Serological cancer-associated protein biomarker levels at bowel endoscopy: Increased risk of subsequent primary malignancy

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Abstract.
BACKGROUND: It was previously shown in three subpopulations that subjects not identified with colorectal cancer (CRC) at bowel endoscopy, but with increased serological cancer-associated protein biomarker levels had an increased risk of being diagnosed with subsequent malignant diseases. Objective: The aim of the present study was to perform a pooled analysis of subjects from the three subpopulations and subsequently validate the results in an independent study. The study population denoted the training set includes \(N = 4,076\) subjects with symptoms attributable to CRC and the independent validation set \(N = 3,774\) similar subjects.

METHODS: Levels of CEA, CA19-9, TIMP-1 and YKL-40 were determined in blood samples collected prior to diagnostic bowel endoscopy. Follow-up of subjects not diagnosed with CRC at endoscopy, was ten years and identified subjects diagnosed with primary intra- or extra-colonic malignant diseases. The primary analysis was time to a newly diagnosed malignant disease and was analyzed with death as a competing risk in the training set. Subjects with HNPCC or FAP were excluded. The cumulated incidence was estimated for each biomarker and in a multivariate model. The resulting model was then validated on the second study population.

RESULTS: In the training set primary malignancies were identified in 515 (12.6%) of the 4,076 subjects, who had a colorectal endoscopy with non-malignant findings. In detail, 33 subjects were subsequently diagnosed with CRC and 482 subjects with various extra-colonic cancers. Multivariate additive analysis of the dichotomized biomarkers demonstrated that CEA (HR = 1.50, 95% CI:1.21–1.86, \(p < 0.001\)), CA19-9 (HR = 1.41, 95% CI:1.10–1.81, \(p = 0.007\)) and TIMP-1 (HR = 1.25 95% CI: 1.01–1.54, \(p = 0.041\)) were significant predictors of subsequent malignancy. The cumulated incidence at 5 years landmark time was 17% for those subjects with elevated CEA, CA19-9 and TIMP-1 versus 6.7% for those with low levels of all. When the model was applied to the validation set the cumulated 5-year incidence was 10.5% for subjects with elevated CEA, CA19-9 and TIMP-1 and 5.6% for subjects with low levels of all biomarkers. Further analysis demonstrated a significant interaction between TIMP-1 and age in the training set. The age dependency of TIMP-1 indicated a greater risk of malignancy in younger subjects if the biomarker was elevated. This observation was validated in the second set.

CONCLUSION: Elevated cancer-associated protein biomarker levels in subjects with non-malignant findings at large bowel endoscopy identifies subjects at increased risk of being diagnosed with subsequent primary malignancy. CEA, CA19-9 and TIMP-1 were significant predictors of malignant disease in this analysis. TIMP-1 was found dependent on age. The results were validated in an independent symptomatic population.

Keywords: Colorectal cancer, colorectal neoplasia, malignancy, protein biomarkers, endoscopy

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1Deceased during the writing process.

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1. Introduction

Globally, the incidence of malignant diseases is increasing, due to an increasing elderly population, environmental factors, altered lifestyles and due to improved diagnostic achievements. In total, cancer incidences rose from 14.1 million in 2012 to 17.5 million in 2015. The registered number of cancer related deaths rose from 8.2 million to 8.7 million in the same period [1, 2]. Global cancer incidence was estimated to rise to 18.1 million in 2018 with 9.6 million cancer related deaths [1, 3].

Ranked, the 5 most common causes of cancer deaths are lung-, gastric-, liver-, breast- and colorectal cancer [1]. Colorectal cancer (CRC) is diagnosed in 1.85 million people every year and 550,000 die from the disease worldwide [1]. The median age at diagnosis is approximately 70 years [4, 5]. Treatment of CRC and other cancers is costly, and both morbidity and mortality increase with the stage of cancer at time of diagnosis and total annual economic costs of cancer in 2010 was estimated to approximately US$ 1.16 trillion [6].

Early detection of CRC or removal of potentially premalignant adenomas are of the utmost importance to prevent morbidity and mortality caused by CRC [5].

Early detection of other cancers may improve the patient disease course and prognosis, but it is important to note that overdiagnosis and harm by screening should be taken into account and that cost-benefit analyses before screening are important [7].

Previously our research group has analyzed three different subgroups from a population recruited in 2004–05 including 4,990 subjects with symptoms attributable to CRC in prospective studies. The three studies included subjects without findings at colorectal endoscopy, subjects with non-neoplastic lesions at colorectal endoscopy and subjects with colorectal adenomas [8–10]. Results from these three studies demonstrated an association between elevated cancer-associated biomarker levels and development of subsequent malignant diseases including CRC [8–10].

Levels of the biomarker TIMP-1 has previously been described as dependent on age in some studies [11, 12], but to our knowledge, the possible age dependency has not been evaluated in a large cohort study.

The aim of the present study was to reevaluate whether subjects without malignant findings at bowel endoscopy, but with elevated serological cancer-associated protein biomarker levels have an increased risk of being diagnosed with subsequent malignant diseases. We performed a pooled analysis of the results on the cancer-associated biomarkers already published in the three mentioned studies (N = 4,076). The biomarkers were carcinoembryonic antigen (CEA), plasma tissue inhibitor of metalloproteinases-1 (TIMP-1), cancer antigen 19-9 (CA19-9) and human cartilage glycoprotein-39 (YKL-40). The results were then validated in an independent symptomatic population referred to colonoscopy (N = 3,774). Furthermore, an aim of the present study was to test a possible age dependency of TIMP-1 in a large population and to validate the result in a comparable, independent symptomatic population.

Four serological cancer-associated protein biomarkers were analyzed: CEA, CA19-9, TIMP-1 and YKL-40. The four biomarkers were chosen before inclusion of subjects in 2004–2005 because they showed promising results in previous studies on early detection of colorectal neoplasia [13–17] - the results which have been tested in various studies subsequently [18–24].

Both biomarkers, CEA and CA19-9, have been related to CRC for decades. CEA was the first soluble biomarker used for CRC surveillance and is still being used for monitoring CRC. CEA is significantly associated with the presence of CRC and is strongly dependent on CRC stage [5]. CA19-9 is commonly used as a biomarker for diagnosis and surveillance of pancreatic cancer, but has also been associated with breast cancer, ovarian cancer, cancer in the stomach and esophagus, with hepatocellular carcinoma and CRC [25–30]. Elevated concentrations of TIMP-1 are associated to various malignancies such as non-small-cell lung cancer, renal cancer, ovarian cancer and CRC [5, 31–33]. YKL-40 is secreted...
by and associated with different types of solid tumors, including several types of adenocarcinomas, glioblastoma, melanoma and small cell lung carcinoma [34].

The present study was performed to validate former results - that elevated cancer-associated biomarkers identify subjects at increased risk of subsequent malignancy and to test the age dependency of TIMP-1.

Blood sample data from two such large and comparable study populations are limited. The results of the present study may aid to develop a blood screening platform, including the analyzed biomarkers and other promising biomarkers. Attempts of producing a screening platform for occult cancer has been done [35, 36], but to our knowledge no reasonably priced platform, able to distinguish between different malignancies, is currently being used. The combination of different blood biomarkers with cancer type specificity and a high combined sensitivity could be promising for such a platform. In time, frequent out-patient follow-up may detect early neoplastic lesions. Subsequently, patients may be referred to the right treatment earlier and both patients and society may benefit from this.

2. Methods

The 4,990 subjects in the training set were included in a prospective, population-based multicenter study conducted in 2004/05 at six collaborating hospitals in Denmark. The subjects had symptoms attributable to CRC or were included in the Danish HNPCC surveillance program. According to a validated standard operational procedure blood samples were collected prior to endoscopy, handled into serum, EDTA plasma and buffy-coats and frozen at –80°C within two hours. The frozen samples were under 24/7 electronic surveillance. Plasma levels of the prespecified protein biomarkers CEA, CA19-9, TIMP-1 and serum levels of YKL-40 were determined as shown previously to identify a potential relation to endoscopy findings [8–10].

Subjects were stratified into five ranked groups at time of inclusion depending on the primary endoscopy findings: 1: CRC; 2: Extra-colonic cancer; 3: Colorectal adenomas; 4: Non-neoplastic findings, mainly diverticula, and 5: Clean colorectum (Fig. 1). In the present study, only subjects without malignancy at time of inclusion, were included. Hence, subjects from the three initial ranked groups with colorectal adenomas, non-neoplastic findings and clean colorectum. The study was approved by the Ethics Committee of the Capital Region of Denmark (KF01-080/03), which represents all collaborating hospitals, and in addition approved by the Danish Data Protection Agency (2003-41-3312). Before inclusion, all subjects signed an informed consent form. Symptoms or adherence to a HNPCC surveillance program guided whether a subject underwent sigmoidoscopy or colonoscopy. Exclusion criteria were less than 18 years of age, a previous diagnosis of CRC or inability to comply with the protocol [5]. Subjects with findings of adenomas at primary sigmoidoscopy have been offered subsequent colonoscopy, which was not uniformly performed due to patient refusal. Subjects, who underwent primary sigmoidoscopy without findings of colorectal pathology, but with persisting symptoms were offered an additional colonoscopy. Subjects, who had been examined with primary colonoscopy or examined with primary sigmoidoscopy and subsequent colonoscopy but had persisting symptoms were offered additional examinations with ultra-sonography, computerized axial tomography or magnetic resonance imaging.

The inclusion of all subjects was finalized by December 31st, 2005. Audits were performed both on-site and electronically via patient files and existing databases. After termination of the study inclusion, levels of the 4 protein biomarkers were analyzed using validated analysis platforms. CEA, CA19-9 and TIMP-1 were determined in EDTA plasma using the Abbott ARCHITECT® i2000 automated immunoassay system [5]. Levels of the cancer-associated biomarker YKL-40 were determined in serum by a commercial ELISA platform [18] with an on-site detection limit 10 ng/mL, intra-assay coefficients of variability (CV) of 5% and inter-assay CV of <6%.
Fig. 1. Flow chart showing the excluding process of subjects from the training and validation sets in the present study, ending up with the two study populations: Training set N = 4,076 and validation set N = 3,774. The training set was previously subdivided into an adenoma subgroup, a subgroup with non-neoplastic findings and a subgroup with clean colorectum. The same is shown for the validation set.

All findings at endoscopy were recorded in a database using the International Classification of Diseases-10 (ICD-10) system. In addition, gender and age were recorded for all subjects. Adenomas found at endoscopy were stratified by the current classification as either at high- or low-risk. Subjects were followed prospectively from primary endoscopy in 2004/05 and primary malignancies were registered. Corresponding to a follow up period of 7 to 10 years depending on the time of the audits. Skin cancers - except malignant melanomas and ten subjects with spinocellular carcinomas either with local invasion or disseminated cancer - were not included. Events registered within 6 months from the initial bowel endoscopy were not included for further analysis (N = 127). Subjects with HNPCC or FAP were excluded, as described previously [10].

The validation set included 4,698 independent symptomatic subjects referred to first time ever colonoscopy from May 1, 2010 to November 30, 2012. Subjects had blood samples collected before diagnostic colonoscopy. The blood samples were handled according to a validated standard operational procedure, exactly as the samples from the training set as described. Protein biomarkers, CEA, CA19-9 and TIMP-1, were analyzed using the same assays as in the training set, whereas YKL-40 was not determined in the validation set. Subjects were stratified as in the training set. Non-malignant findings were identified in 4,009 subjects analyzed in the present study (Fig. 1). Events registered within 6 months from the initial bowel endoscopy were not included for further analysis (N = 234). Subjects with malignant findings and high-risk adenomas have been described earlier [37].

3. Statistics

The individuals included were those without malignant finding at endoscopy, and without a cancer diagnosis within 6 months from that date. The time from this landmark to any cancer (except ordi-
nary skin cancer) were recorded, if no event occurred then the individual was censored. The study comprises a training dataset based on prospectively accrued individuals with symptoms attributable to CRC and a validation set collected from an independent study. The principle analysis was based on the Cox proportional hazards model with death as a competing risk [38]. Four biomarkers were assessed, CEA, TIMP-1, CA19-9 and YKL-40. The markers were scored as the log of the value (base 2) or as elevated/normal. The latter is the 90th percentile of the log transformed marker level regressed on age using values from individuals referred to colonoscopy due to symptoms but without any finding, comorbidity or use of prescription drugs. This procedure resulted in an age corrected elevated/normal binary variable. Univariate as well as multivariate analyses were performed, the latter including biomarkers as well as age and gender. Results were present as hazard ratios (HR) with 95% confidence limits and as the incidence rates. For the models using markers on the continuous scale, the first quartile was defined as low and the third quartile as high calculating the cumulative incidence entering these values in the regression equation based on the continuous model specification. The training set was used for identification of relevant signature for prediction of subsequent cancer, and this was in turn applied to the validation set. The initial model was selected from the training set including the significant covariates in the multivariate setting. Analyses included tests for relevant interactions in particular between markers and age as well as the status (clean colon, non-malignant finding or adenoma) at the examination by including the interaction term in the regression model. Final models were stepwise reduced although always including age and gender, noting that age was a confounding variable. The final model was then locked and applied to the validation set. The level of significance was set to 5%. Calculations were done using SAS v9.4.

4. Results

In total, 4,076 subjects from the training set were included in the present study; 2,242 (55%) were women. The validation set included 3,774 subjects; 2041 (54%) were women. The median ages in the two sets were 60.9 years (18.5–97.3) and 63.1 years (18.1–96.0), respectively. Symptoms from the subjects in the training set recorded at inclusion were distributed as follows: 1,875 (46%) had pain, 1,465 (36%) had bleeding, 1,835 (45%) had altered bowel habits, 687 (17%) had weight loss, 33 (1%) had unspecific symptoms and finally 396 (10%) subjects could not inform about any symptoms, but were referred by a physician because of symptoms attributable to CRC, with some subjects having several symptoms. In the validation set, 1,818 (48%) had pain, 1,341 (36%) had bleeding, 2,371 (63%) had altered bowel habits, 903 (24%) had weight loss, 498 (13%) had anemia, 135 (4%) palpable tumors, 796 (21%) had meteorism and 105 (3%) had no reported symptoms. The median marker levels in the training study were the following: YKL-40: 69 ng/ml (6-3502), CEA 1.8 ng/ml (0-738), CA19-9 5.5 ng/ml (2-23480) and TIMP-1 86 ng/ml (27-818). In the validation study, the median levels were 2.1 ng/ml (0-37) for CEA, 8.1 ng/ml (2.0-4338) for CA19-9 and 98 ng/ml (30-1064) for TIMP-1.

Primary malignancies were identified in 515 (12.6%) of the 4,076 subjects with non-malignant findings at the primary bowel endoscopy in the training set (Table 1). In detail, 33 subjects were subsequently diagnosed with CRC and 482 subjects with various extra-colonic cancers. In total, 455 subjects had high risk adenomas and 384 subjects had low risk adenomas – defined in a previous study [10].

The rate of primary malignancy in the study period was highest in the group diagnosed with adenoma at bowel endoscopy (18%) in contrast to those with non-malignant findings (13%) and clean colon (11%), however tests for interaction between the biomarkers and findings were not significant suggesting that the biomarkers had similar impact. P-values for the interaction terms for the continuous model specification were 0.58 (YKL-40), 0.83 (TIMP-1), 0.38 (CA19-9) and 0.78 (CEA). For the dichotomized models, the p-values were 0.18, 0.55, 0.84 and 0.93, respectively.
Table 1

<table>
<thead>
<tr>
<th>Cancer diagnosis</th>
<th>Study</th>
<th>Training</th>
<th>Validation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nose, moth and throat</td>
<td></td>
<td>16</td>
<td>11</td>
</tr>
<tr>
<td>Number (N)</td>
<td></td>
<td>2.00</td>
<td>1.38</td>
</tr>
<tr>
<td>Gastrointestinal tract</td>
<td></td>
<td>43</td>
<td>32</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>5.38</td>
<td>4.01</td>
</tr>
<tr>
<td>Liver, gallbladder, pancreas</td>
<td></td>
<td>16</td>
<td>17</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>2.00</td>
<td>2.13</td>
</tr>
<tr>
<td>Bronchi, lung and pleura</td>
<td></td>
<td>61</td>
<td>36</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>7.63</td>
<td>4.51</td>
</tr>
<tr>
<td>Malignant melanoma</td>
<td></td>
<td>15</td>
<td>20</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>1.88</td>
<td>2.50</td>
</tr>
<tr>
<td>Connective tissue</td>
<td></td>
<td>3</td>
<td>2</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>0.38</td>
<td>0.25</td>
</tr>
<tr>
<td>Breast</td>
<td></td>
<td>56</td>
<td>36</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>7.01</td>
<td>4.51</td>
</tr>
<tr>
<td>Female genitalia</td>
<td></td>
<td>49</td>
<td>9</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>6.13</td>
<td>1.13</td>
</tr>
<tr>
<td>Male genitalia</td>
<td></td>
<td>176</td>
<td>54</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>22.03</td>
<td>6.76</td>
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<tr>
<td>Kidney and urinary tract</td>
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<td>25</td>
<td>27</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>3.13</td>
<td>3.38</td>
</tr>
<tr>
<td>Nervous system</td>
<td></td>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>0.63</td>
<td>0.63</td>
</tr>
<tr>
<td>Thryoidea</td>
<td></td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>0.13</td>
<td>0.25</td>
</tr>
<tr>
<td>Thorax</td>
<td></td>
<td>1</td>
<td>.</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>0.13</td>
<td>.</td>
</tr>
<tr>
<td>Cancer not specified and metastasis</td>
<td></td>
<td>15</td>
<td>1</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>1.88</td>
<td>0.13</td>
</tr>
<tr>
<td>Different types of leukemia</td>
<td></td>
<td>33</td>
<td>32</td>
</tr>
<tr>
<td>%</td>
<td></td>
<td>4.13</td>
<td>4.01</td>
</tr>
<tr>
<td>Total N</td>
<td></td>
<td>515</td>
<td>284</td>
</tr>
</tbody>
</table>

The expected cancer incidence per year for the Danish population in 2005 was calculated based on the NORDCAN database [39], excluding non-melanoma skin cancer and was found to be 1.35% which is lower than the overall observed cancer incidence of 2.11% in the training dataset.

In the validation set, primary malignancies were identified in 284 (7.5%) of the 3,774 subjects. The distribution of cancer diagnoses is listed in Table 1.

Univariate analyses made for the training set showed that all dichotomized biomarkers were significant for detecting malignancy; all had $p<0.001$. Univariate analyses of markers on a continuous log scale base 2 were also significant (Table 2).

Multivariate analyses of the markers on a log transformed scale showed that YKL-40 ($p=0.11$) and TIMP-1 ($p=0.078$) were not significant, whereas CEA (HR = 1.13 95% CI:1.04–1.23, $p=0.003$) and CA19-9 (HR = 1.10 95% CI:1.03–1.17, $p=0.004$) were significant (Table 2). Multivariate additive analyses of the dichotomized markers demonstrated that CEA (HR = 1.50, 95% CI:1.21–1.86,
Table 2
Univariate and multivariate analysis, including calculated Hazard Ratios, \( p \)-value’s and confidence intervals, for YKL-40, TIMP-1, CA19-9 and CEA

<table>
<thead>
<tr>
<th>Marker</th>
<th>Univariate continuous</th>
<th>Univariate binary</th>
<th>Multivariate continuous</th>
<th>Multivariate binary</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Hazard Ratio</td>
<td>95% Lower Limit</td>
<td>95% Upper Limit</td>
<td>( Pr &gt; ) ChiSq</td>
</tr>
<tr>
<td>YKL-40</td>
<td>1.18</td>
<td>1.10</td>
<td>1.26</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>TIMP-1</td>
<td>1.53</td>
<td>1.32</td>
<td>1.78</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>CA19-9</td>
<td>1.16</td>
<td>1.09</td>
<td>1.24</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>CEA</td>
<td>1.22</td>
<td>1.13</td>
<td>1.32</td>
<td>&lt;0.001</td>
</tr>
</tbody>
</table>
p < 0.001), CA19-9 (HR = 1.41, 95% CI: 1.10–1.81, p = 0.007) and TIMP-1 (HR = 1.25 95% CI: 1.01–1.54, p = 0.041) were significant predictors of subsequent malignancy but YKL-40 (p = 0.74) was not (Table 2). When age and gender were added to these multivariate models CEA and CA19-9 remained significant, but TIMP-1 was not significant (p = 0.26 and p = 0.73, respectively).

Figure 2A shows cumulated incidences for the significant continuous biomarkers CEA, CA19-9 and TIMP-1. The cumulated malignancy incidence at 5 years was 6.7% for those having normal levels of all 3 biomarkers increasing to 17.0% for those subjects with all biomarker levels elevated. A similar pattern was seen for markers evaluated on the dichotomized scale, for all marker levels at the first quartile, the cumulated incidence was 6.2% and 9.8% for those at the third quartile, Fig. 2B.

The results of applying the multivariate model using continuous levels to the validation set are shown in Fig. 2C. The cumulated incidence for subjects with normal biomarker levels was 5.6% in contrast to 10.5% for those with all three biomarker levels elevated. For the models entering markers on the
Table 3

The results of multivariate analysis of the biomarkers CEA and CA19-9, including an interaction between TIMP-1 and age

<table>
<thead>
<tr>
<th></th>
<th>Continuous model</th>
<th></th>
<th></th>
<th>Binary model</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Hazard Ratio</td>
<td>95% CI</td>
<td>p-value</td>
<td>Hazard Ratio</td>
<td>95% CI</td>
<td>p-value</td>
</tr>
<tr>
<td>CEA</td>
<td>1.11</td>
<td>1.02–1.20</td>
<td>0.022</td>
<td>1.57</td>
<td>1.26–1.94</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>CA19-9</td>
<td>1.11</td>
<td>1.04–1.19</td>
<td>0.0015</td>
<td>1.48</td>
<td>1.15–1.91</td>
<td>0.002</td>
</tr>
<tr>
<td>TIMP-1 Age = 50</td>
<td>1.72</td>
<td>1.31–2.27</td>
<td>1.47</td>
<td>1.09–1.98</td>
<td>0.008</td>
<td></td>
</tr>
<tr>
<td>TIMP-1 Age = 60</td>
<td>1.21</td>
<td>0.99–1.49</td>
<td>&lt;0.0001</td>
<td>1.20</td>
<td>0.96–1.50</td>
<td>0.0008</td>
</tr>
<tr>
<td>TIMP-1 Age = 70</td>
<td>0.86</td>
<td>0.70–1.05</td>
<td>0.98</td>
<td>0.80–1.20</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

For additive biomarkers, hazard ratios with p-values are presented and for the interaction between TIMP-1 and age, the p-values are shown with the hazard ratios for three different, randomly chosen but representative ages: 50, 60 and 70 years of age with confidence intervals (CI) presented in addition. ‘TIMP-1 age = 50, age = 60 or age = 70’ represents the calculated hazard ratios of TIMP-1 of a 50-, 60- or 70-year-old subject.

dichotomized level, the cumulated incidence was 4.8% for those at the first quartile and 7.0% for those at the third quartile, Fig. 2D.

Multivariate analyses of CEA and CA19-9 levels, including an interaction between TIMP-1 and age, are shown in Table 3. Hazard ratios with p-values are presented for the additive marker effects. Additionally, hazard ratios with p-values for the interaction between TIMP-1 and age with three chosen and representative ages, 50, 60 and 70 years, are presented.

The importance of TIMP-1 decreased with age. For 70-year-old the difference was minimal whereas for 50-year-old, the hazard ratio was 1.67 in the continuous model and 1.44 in the dichotomized model. The cumulated incidences of malignancy are shown in Fig. 3 (A-D). Figure 3A show results of the continuous analyses for the training set; for 50-year-old the cumulated incidence was 4.1% if the levels of CEA, CA19-9 and TIMP-1, were normal increasing to 6.0% for those with elevated TIMP-1. The cumulated incidence for 60-year-old was 6.0% increasing to 7.1%, whereas for 70-year-old the cumulated incidence was 8.7% vs 8.5%, respectively. The corresponding cumulated incidences for the model with markers from the training set on a dichotomized scale for age 50 with normal or elevated TIMP-1 were: 3.9% and 5.2%, for age 60: 6.1% and 6.7%, and for age 70: 9.4% and 8.7%, respectively (Fig. 3B). This shows a higher impact for TIMP-1 at lower age compared to higher age. The same calculations for the validation set is illustrated in Fig. 3C-D. Figure 3C shows the continuous results, and Fig. 3D the dichotomized results.

5. Discussion

The present study evaluated data from two large and comparable populations (training and validation sets), both with subjects having endoscopy done due to symptoms attributable to CRC. The overall results of the training set showed that increased levels of the cancer-associated biomarkers, CEA, CA19-9 and TIMP-1, were significant in detecting subjects at risk of developing a subsequent primary malignancy. All results were assessed in the validation set using the models developed in the training set.

The data on the training set have been published [8–10], and in these studies, new malignancies were included from the day of the inclusion. New data audits done on the training set in connection with the present study showed that some minor registration alterations were made previously due to staggered registration. Thus, some subjects with a newly detected extra colonic malignancy within months of the initial endoscopy likely had their neoplasia at time of endoscopy. To exclude subjects who might had
Fig. 3. Shows cumulated incidences for the model with interaction between TIMP-1 and age, $p < 0.0001$. CEA and CA19-9 biomarker levels are normal. Plots A and B show results for the training set, Plots C and D show results for the validation set. Plot A and C show continuous results, plots B and D show dichotomized results. For plots A-D: Line 1 shows age 50 and normal TIMP-1. Line 2 shows age 60 and normal TIMP-1. Line 3 shows age 70 and normal TIMP-1. Line 4 shows age 50 and elevated TIMP-1. Line 5 shows age 60 and elevated TIMP-1. Line 6 shows age 70 and elevated TIMP-1.

an extra-colonic malignancy at inclusion a cut-off at six months after inclusion / primary endoscopy was chosen for the training set as well as the validation set. The cut-off was chosen assuming that symptom-giving extra-colonic malignancies would be diagnosed within six months of the endoscopy, as subjects were offered further examinations of malignancy if they had continuous symptoms and an endoscopic examination without an explanation of their symptoms.

Within the observation period of five years, 12.9% vs 7.5% of subjects in the training and validation sets, respectively, subsequently developed malignancy. The one-year malignancy incidence for the Danish general population was 1.35% [39] which was lower than the overall one-year cancer incidence of 2.11% observed in the symptomatic training set. It was not surprising, that a population symptomatic of malignancy has a higher one-year incidence of cancer, than the general population. A part of the difference in cancer incidence between the two study populations can be explained by the registered prostate cancers. In the validation set 52 subjects were registered with prostate cancer vs 175 subjects in the training set. Different factors may have influenced on the difference in incidence of prostate cancer
in the two populations. The training population was included between the years 2004 and 2005 and the validation population between 2010 and 2012. In Denmark the incidence rate of prostate cancer fell from 170 to 145 per 100,000 men between the years 2009 and 2010. The decrease was primarily due to the changed guidelines by the Danish Urologist Society in 2010, so that only genetically predisposed or symptomatic subjects should be screened with PSA. The high rate of prostate cancer in the training study was due to early detection by PSA-screening in the years before 2009 [40–42].

Thirty-three subjects from the training set developed CRC. Of those, 19 subjects developed a right-sided colon cancer. Those right-sided cancers may not have been detected because of an insufficient choice of primary endoscopy due to the lack of symptoms or as a result of missed premalignant lesions, as previously addressed [9, 10]. Some subjects from the training set only had a proctoscopy or a sigmoidoscopy as primary endoscopy. In the validation set two subjects developed appendiceal cancer, but no subjects developed a colon or rectum cancer. All subjects in the validation set had a colonoscopy at inclusion, which may explain that no subjects developed CRC, because major precancerous lesions had been detected and resected at the time of inclusion.

Subjects in both populations developed various malignancies - almost the entire spectrum of the ICD-10 classification. Yet the pattern was similar, and cancer types with large incidence and prevalence, such as prostate, lung, breast and colorectal cancers were represented as anticipated (Table 1).

Four cancer-associated biomarkers (CEA, CA19-9, TIMP-1 and YKL-40) were analyzed in the training set. Univariate analyses showed that all four biomarkers in the training set were significant predictors of primary malignancies ($p < 0.001$).

Both binary multivariate additive analyses and continuous multivariate additive analyses showed that CEA, CA19-9, female gender and age were significant, independent covariates. The fact that age was shown to be a significant independent covariate was not surprising as age is an important risk factor of developing a malignancy [43, 44].

Incidence curves were presented for the multivariate additive binary calculations of CEA, CA19-9 and TIMP-1 in the training set. The cumulated 5-year incidence was increased if one of the biomarkers was elevated compared to normal levels and increased even further to a substantial 17.0% cumulated 5-year incidence of subsequent malignancy if all biomarkers were elevated.

The multivariate analyses in the training set showed that YKL-40 was not a significant, independent biomarker, which made it possible to validate the other three biomarkers (CEA, CA19-9 and TIMP-1) with data from the validation set. When the additive model from the training set was applied to the data from the validation set, a significant association was showed at $p < 0.0001$. This validates the results from the training set - there is an increased risk of subsequent malignancies if subjects have elevated levels of CEA, CA19-9 and TIMP-1 (Fig. 2C and D).

Rank correlations between age and the markers showed that TIMP-1 was associated with age ($r = 0.36, p < 0.0001$) as well as YKL-40 ($r = 0.65, p < 0.0001$) whereas CEA and CA19-9 demonstrated low associations ($r = 0.02, p = 0.13$ and $r = 0.01, p = 0.72$, respectively).

The analyses of interactions between the different covariates in the training set showed that TIMP-1 was significantly dependent on age for the models with biomarkers on a continuous scale as well as for the dichotomized models. These results demonstrated that elevated TIMP-1 in younger individuals (50 years) provided a greater risk of malignancy, whereas elevated levels of TIMP-1 in older individuals (70 years) did not show an increased risk. Applying the locked models based on the training set to the validation set confirmed these findings. These hypotheses were not initially specified and therefore interpreted with caution although it was also shown in the validation set. Other cancer-associated biomarkers, such as CA-125 [45–48], prostate specific antigen (PSA) [49–51], different biomarkers related to breast cancer [52, 53] and various DNA-methylations on different genes [54], have been shown to correlate with age biomarkers.
Inclusion of additional cancer-associated biomarkers may add to the efficacy of identifying subjects at increased risk of developing a subsequent primary malignancy. Additional biomarkers, such as other protein biomarkers, miRNA, ctDNA methylations and DNA fragments [55–65], could be considered. Future combinations of various cancer-associated biomarkers may lead to development of cancer specific risk stratifications based on algorithms, where numeral specific biomarkers and age are included. Age as a covariate should be included in the algorithms as greater age itself leads to an increased risk of subsequent malignancy [66]. Specific calculated malignancy risks may aid in targeting cancer search in individuals with unspecific signs of malignancy and refer these individuals to special cancer investigation departments and/or help specialists at such departments making the right diagnosis [67–71]. In Denmark ‘Diagnostic Departments’ were established in April 2012. Since then, subjects with unspecified symptoms of severe illness have been referred by their physicians to examination at these departments. Those patients are entitled to an accelerated medical investigation of the symptoms. A pan-cancer biomarker panel could potentially be a part of the examination in such cases, both in Denmark and globally.

6. Conclusion

The present study based on pooled data from a population with symptoms attributable to CRC but with non-malignant findings at endoscopy, showed that elevated levels of the cancer-associated biomarkers CEA and CA19-9 were significant in detecting subjects at risk of developing a subsequent primary malignancy. The results were validated in a comparable large population. Furthermore, calculations of interactions between the different covariates showed that the biomarker TIMP-1 was dependent on age in both the training- and the validation set. These results should be validated in other studies, and preferably also in a non-Danish population.

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Conflict of interest

Nothing to declare.

Ethical considerations

The study was approved by the Ethics Committee of the Capital Region of Denmark (KF01-080/03), which represents all collaborating hospitals, and in addition approved by the Danish Data Protection Agency (2003-41-3312). Before inclusion, all subjects signed an informed consent form.

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References


