

Extract identification and evaluation of the cytotoxic activity of *Polygala fallax* Hemsl in Heilongjiang ethnic medicine against tumors

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Abstract.

BACKGROUND: Heilongjiang Province is a frontier province with distinctive characteristics, fertile land and rich products.

OBJECTIVE: This study provides a new method for qualitatively studying flavonoids in traditional Chinese medicine and a new auxiliary means for identifying flavonoid isomers.

METHODS: The flavonoids in *Polygala fallax* Hemsl were identified by ultra-performance liquid chromatography-photo-diode array (PDA)-quadrupole-electro-static field orbitrap mass spectrometry tandem by UV Spectrum, primary and secondary high-resolution mass spectrometry (MS^1/MS^2) cleavage of fragments combined with databases, mass spectrometry cleavage patterns and literature.

RESULTS: The established QSRR model was used to verify the flavonoids identified from the *Polygala fallax* Hemsl.

CONCLUSION: The structure of multiple *Polygala fallax* Hemsl has been identified using various spectral methods. The tumor cytotoxic activity of the isolated compounds was evaluated. This paper is of great significance for further elucidating the pharmacodynamic substance basis and further developing and utilizing *Polygala fallax* Hemsl.

Keywords: *Polygala fallax* Hemsl, extraction of medicinal herbs, identification, tumor cells, evaluation of toxic activity

1. Introduction

Heilongjiang Province is a frontier province with distinctive characteristics, fertile land, rich products, and a unique climate. The diagnosis, treatment methods, and techniques of ethnic minorities in the cold areas of northern China are distinctive and treasures in traditional Chinese medicine's treasure house.

Yuan Zhi is a typical Chinese herb with definite healing properties, mainly produced in Shanxi, Shaanxi, Jilin, and Henan provinces. It has a long history of medicinal use and has been listed in the Shen Nong Materia Medica as a top-quality product [1]. The Chinese Pharmacopoeia specifies that the source of the herb Yuan Zhi is the dried root of Yuan Zhi or Oval Leaf Yuan Zhi. The clinical applications of Yuan Zhi are widespread, and its principal therapeutic component is a saponin-like substance [2–4]. Studies have shown that saponins have antibacterial and anti-inflammatory [5], antioxidant, antihypertensive, anticancer [6], anti-aging and other pharmacological effects [7], so how to effectively extract saponins from *Polygala* has become the focus of scientific research work.

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1.1. *Polygala fallax* Hemsl

Huanghua Yuanzhi (scientific name: *Polygala fallax* Hemsl.), a kind of plant in the Yuanzhi family, were born in a shady place near water in the valley forest of Jiangxi, Fujian, Hunan, Guangdong, Guangxi, and Yunnan [8–11]. It has the effects of expelling wind and dampness, tonifying deficiency, relieving swelling, regulating menstruation, and promoting blood circulation. Indications: cold, rheumatic pain, tuberculosis, edema, postpartum weakness, irregular menstruation, traumatic injury [12–18].

1.2. Flavonoids

Flavonoids are a class of secondary metabolites widely found in plants (including many herbs, vegetables, and fruits). They are derived from glucose through the mangiferin acid and the acetic acid-propanedioic to produce hydroxycinnamate and three acetic acid molecules, which are further synthesized into chalcone [19]. Flavonoids originally referred to a group of compounds whose primary parent nucleus was 2-phenylchromogenone. It is now generally used to refer to a class of components an essential C6-C3-C6 parent nucleus, i.e. a series of compounds in which two benzene rings (A and B rings, often with phenolic hydroxyl groups) are inter-connected by a central three carbon atom [20].

1.2.1. Classification of flavonoids

Flavonoids are often classified into the following categories based on structural features such as the degree of oxidation of the intermediate 3-carbon chain, the position of the ring-ring linkage and whether the 3-carbon chain is cyclic or not [21]. According to the parent structure, 2-phenylchromanone, there are four active sites on the A ring, five active sites on the B ring and one active site at the C3 position, for a total of 10 positions that can be substituted by the active group, theoretically, 10 isomers can exist for flavonoid monosubstituted compounds. Polysubstituted flavonoids and their glycosides can have up to several dozen isomers. Although more than 9000 flavonoids have been identified, only 100 commercial standards are available for purchase, of which only a few dozen are glycosides, making it difficult to meet the demand for rapid, accurate, high-throughput qualitative identification of flavonoids [22].

1.2.2. Methods for the characterization of flavonoids

The existing methods for the characterization of flavonoids include: wave spectrometry [23], UV spectrometry [24], thin-layer chromatography [25], High-Performance Liquid Chromatography (HPLC) [26], Ultra-Performance Liquid Chromatography-Mass Spectrometry (UPLC-MS) [27], UPLC-MS combined with database method [28], HPLC-MS combined with mass spectrometry cleavage law method, etc. [29]. The wave-spectrum analysis method is the gold standard for identifying unknown compounds. However, the method requires access to the pure product of the unknown compound, and the extraction, separation and purification process is cumbersome, lengthy, and costly. The UV spectroscopy method shows poor specificity, while thin layer chromatography shows low sensitivity and separation efficiency. Although HPLC and UPLC improve the sensitivity, specificity and speed of analysis of flavonoids, it requires the use of standards and are prone to false positives due to the presence of overlapping peaks. The liquid mass spectrometry, particularly ultra-performance liquid chromatography- high-resolution mass spectrometry (UPLC-HRMS), with its advantages of high sensitivity, selectivity, and throughput, as well as the continuous improvement of the mass spectrometry database and the increasing clarity of mass spectrometry cleavage patterns, has become an effective analytical tool for the qualitative analysis of flavonoids and other polar natural products. We conducted a compositional analysis of the extracts of Wolfsbane based on the HPLC-TOF-MS technique combined with a database. We identified 22 flavonoid

components, presumed to be cleaved mainly by losing fragments such as CO and H₂O. HPLC-MS, in combination with database methods, and UPLC-MS in combination with mass spectrometric cleavage laws, enable the qualitative analysis of multi-component compounds in most complex systems.

1.2.3. Cytotoxic and antitumor drugs

Cytotoxicity is a simple cell-killing event caused by cells or chemicals that do not depend on the cell death mechanism of apoptosis or necrosis. Sometimes it is necessary to test the cytotoxicity of specific substances.

Platinum antineoplastic drugs are the most important branch of metal drugs, and are the most widely used metal chemotherapy drugs in clinical practice. Platinum is currently the only approved metal anti-tumor drug. Cisplatin is cytotoxic with anti-proliferative activity and its pharmacological target is usually considered to be DNA. In the high chloride environment of plasma (> 100 mM), cisplatin was inactive, and the Pt-Cl bond was relatively stable. Due to the low concentration of chloride ions in the cell (about 5 mM), after cisplatin enters the cell, the water release of two chlorines gradually occurs, and positively charged hydration ions are generated [30,31].

In this paper, UPLC-PAD-Q-Orbitrap-MS technology was used to identify the flavonoids in *Scutellaria baicalensis*, combined with the database, mass spectrometry, and literature data, and the existing commercial standards of flavonoids were used to construct a mathematical model to realize the accurate and rapid identification of unknown flavonoids and their isomers. It provides a new method for qualitatively studying flavonoids in traditional Chinese medicine and a new auxiliary means for identifying flavonoid isomers.

2. Instruments, reagents and materials

2.1. Instrument

Dionex Ultimate 3000 Ultra High-Performance Liquid Chromatograph, Thermo Corporation, USA; Thermo Scientific Q Exactive Series Mass Spectrometer, Thermo Corporation, USA; Data analysis software, Thermo Scientific Xcalibur Workstation, Compound Discover 3.2; Data analysis and processing software, Matlab R2010a.

2.2. Reagents and materials

Polygala fallax Hemsl, Southern Medicinal Materials Co, LTD; Methanol (chromatographic pure), acetonitrile (chromatographic pure).

2.3. Test Methodology

2.3.1. Preparation of the sample solution of *Polygala fallax* Hemsl

0.6 g of the dried powder of *Polygala fallax* Hemsl was measured into a 50 mL centrifuge tube. The dried powder was put under Ultrasonic treatment at 50°C for 35 min. Sample solutions were prepared by precisely pipetting 100 μL of supernatant into 10 mL flasks. 50 μL 55% of methanol solution was added, shaken, and filtered through a 0.20 μm microporous water membrane. Then the initial filtrate was discarded, and the test solution from the continued filtrate was obtained, pending analysis.

2.3.2. Analysis of the constituents of *Polygala fallax* Hemsl

After sample injection, the compounds were initially screened against UV absorption peaks using a Thermo Scientific Xcalibur workstation. We fit the molecular ion data of MS1 to get the compound

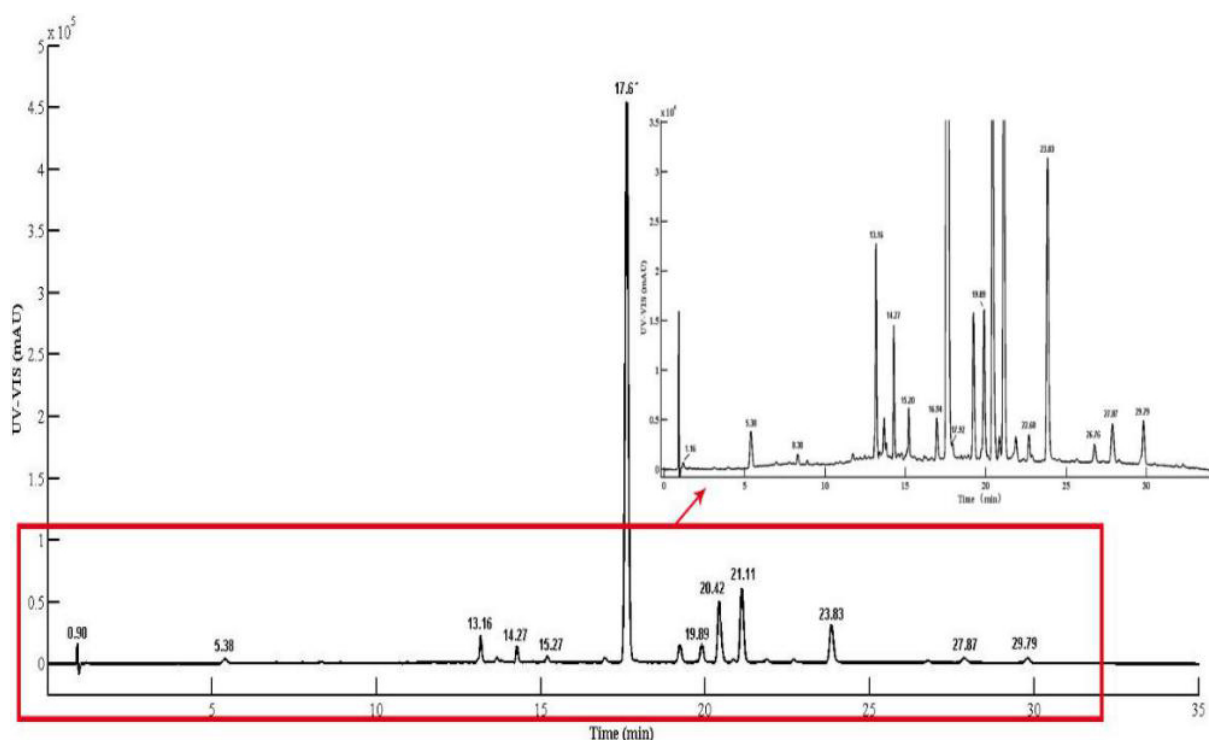


Fig. 1. The chromatography diagram of baicaleol extract obtained at 310 nm using the PAD as a detector.

formula and set the allowable calculation error of the compound sum to 5 ppm. Compound Discoverer 3.2 software was combined with mzVault, mzCloud, and ChemSpider databases were also used to perform compositional analysis of the sample feed results to screen for flavonoids in *Polygala fallax* Hemsl. It recorded retention time (tR), compound name (name), mass-to-charge ratio (MCR), mass error (error), and secondary fragment ion (MS2 fragment ion).

3. Experimental results and discussion

3.1. UHPLC-PAD identification results

The chromatogram obtained at 268 nm using PAD as the detector is shown in Fig. 1 for the extracts of *Polygala fallax* Hemsl. As can be seen from Fig. 1, 18 peaks with peak heights more fabulous than 1000 mAU were obtained under the chromatographic conditions. 18 UV-visible spectra of PAD were obtained using the instrument's software, and 12 peaks corresponded to the UV absorption characteristics of flavonoids. Most of the flavonoid components had two maximum UV absorptions in the wavelength range of 230 ~ 290 nm and 300 ~ 400 nm, and it was tentatively determined that these peaks contained flavonoids.

3.2. UHPLC-HRMS identification results

This paper uses UHPLC-HRMS/MS technology to analyze the error of multi-galaxies and the identification of the chemical composition of the extracts of *Polygala fallax* Hemsl. The method can separate

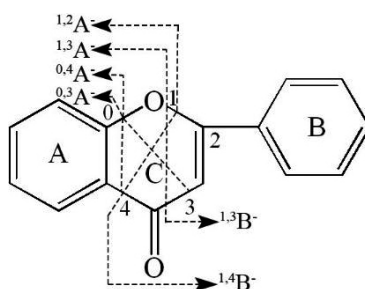


Fig. 2. The C loop cleavage diagram of the flavonoid compound. Subsequently, secondary mass spectral fragments of flavonoids often show.

complex mixtures efficiently while providing precise molecular masses of the compounds to be identified, which yields the elemental composition of the compounds to be identified. According to the structural characteristics of flavonoids, the basic elemental composition of most flavonoid components is C, H and O. Common flavonoids should have a molecular unsaturation (RDB) of at least 11 (Dihydroflavone not less than 10), with a hydrogenated positive ion of no less than 10.5 (Dihydro-flavone not less than 9.5) and a reduced hydrogenated negative ion of no less than 11.5 (Dihydroflavone not less than 10.5). The preliminary determination of flavonoids meets the above conditions. A total of 154 peaks with peak intensities greater than $1.50E^5$ were extracted from the total ion flow chromatograms using this method in both positive and negative ion modes of UHPLC-HRMS, of which the mass number error was less than 5 ppm, and the elemental composition was C, H and O. A total of 70 peaks with RDB greater than 9.5 in positive ion mode and 68 peaks with RDB greater than 10.5 in negative ion mode were extracted. 101 compounds were extracted from the alcohol extract of *Scutellaria baicalensis*, of which more than 90 were possible flavonoids, 76 of which were consistent with the retention times of the PAD identified as possible flavonoids.

3.3. HRMS/MS identification results

Figure 2 shows the primary cleavage of the mother nucleus of flavonoids. First, the B ring is detached, the carbon-carbon bond on the C ring of a flavonoid is broken by the retro Diels-Alder (RDA) reaction to form a pair of complementary ions A^+ (or A^- in the negative ion mode, an ionic fragment containing the A ring) and B^+ (or B^- in the negative ion mode, an ionic fragment containing the B ring), and in the positive ion mode the sum of the mass-to-charge ratios of this pair should be equal to the mass-to-charge ratio of the flavonoid quasi-molecular ion $[M+H]^+$ plus one (or $[M-H]^-$ minus one in the negative ion mode). The number and type of substituents (deletions) contained in rings A and ring B can be determined by the element composition determined by the mass-charge ratio of A^+ and B^+ .

Subsequently, secondary mass spectral fragments of flavonoids often show fragments characteristic of the benzene ring. These fragments with mass-to-charge ratios of 39, 51, 65, 77, and 78. In addition, flavonoids often show neutral loss of 18 (H_2O), 28 (CO), 44 (CO_2), and other ions produced after these. Finally, the presence or absence of methoxy in the molecule can be determined by the presence or absence of neutral loss of 15 (CH_3). Based on the above analysis and judgment, 51 flavonoids were identified in the *Polygala fallax* Hemsl extract based on identifying 95 flavonoids in *Scutellaria baicalensis* by UHPLC-HRMS.

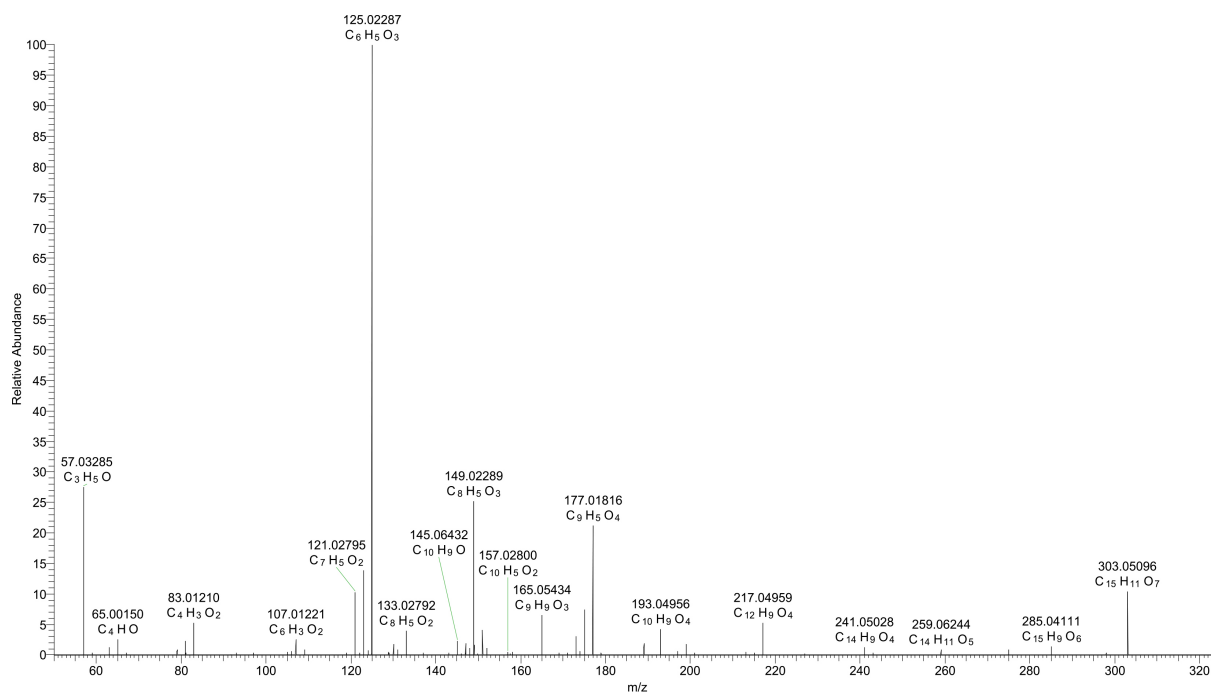


Fig. 3. MS² diagram of [M-H]⁻ m/z 303.05096.

3.3.1. Identification of (2R, 3R)-dihydroquercetin

In the negative ion detection mode of ESI-MS, the ionic formula is C₁₅H₁₁O₇⁻, yielding the compound H3 excimer ion [M-H]⁻ m/z 303.05096. Figure 3 shows that m/z 125.0288 (B⁻) and m/z 177.01816 (A⁻) are the RDA cleavage of the C ring to produce a pair of complementary ions, the sum of the mass-to-charge ratios of which is equal to the quasi-molecular ion mass-to-charge ratio plus one.

In summary, the secondary mass spectra of the above compounds in the positive and negative ion modes and the cleavage pattern of the compound are consistent with the cleavage pattern of flavonoids. Therefore, the compound can be presumed to be a flavonoid. The compound was also identified by database search as (2R, 3R)-Taxifolin, (2R, 3R)-dihydroquercetin. The cleavage pattern is shown in Fig. 4.

3.3.2. Identification of quercetin

In the negative ion detection mode of ESI-MS, the compound excimer ion [M-H]⁻ m/z 301.03531 was obtained and its ionic formula was identified as C₁₅H₉O₇⁻, with the ring-opening ion m/z 121.02760 (B⁻) by Fig. 5, m/z 193 (C₉H₅O₅⁻) for the B-ring with 2 hydroxyl groups, 107.01187 (C₉H₅O₅⁻) for the A-ring with 2 hydroxyl groups and 151.00240 (C₇H₃O₄⁻) for the A-ring stripped of CO₂. In summary, the secondary mass spectral numbers of the compound in the negative ion mode and the cleavage pattern of the compound are consistent with that of flavonoids. Therefore, the compound can be presumed to be a flavonoid, while a database search identified the compound as Quercetin, a quercetin.

Zhang's group [32] used high-resolution mass spectrometry, a new strategy for the structural characterization of potential new phthalide compounds which was proposed by isomer structure predictions combined with a QSRR analysis using phthalide compounds in Chuanxiong. The collected raw HRMS data were preliminarily screened by an in-house database; the MS/MS fragmentation patterns of the

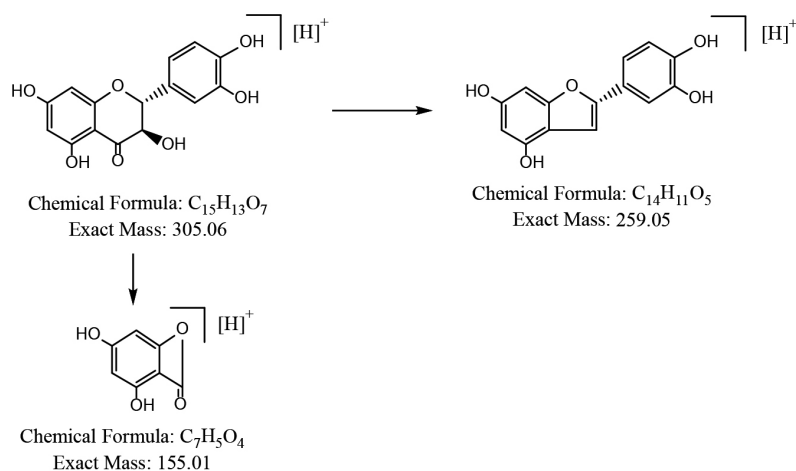
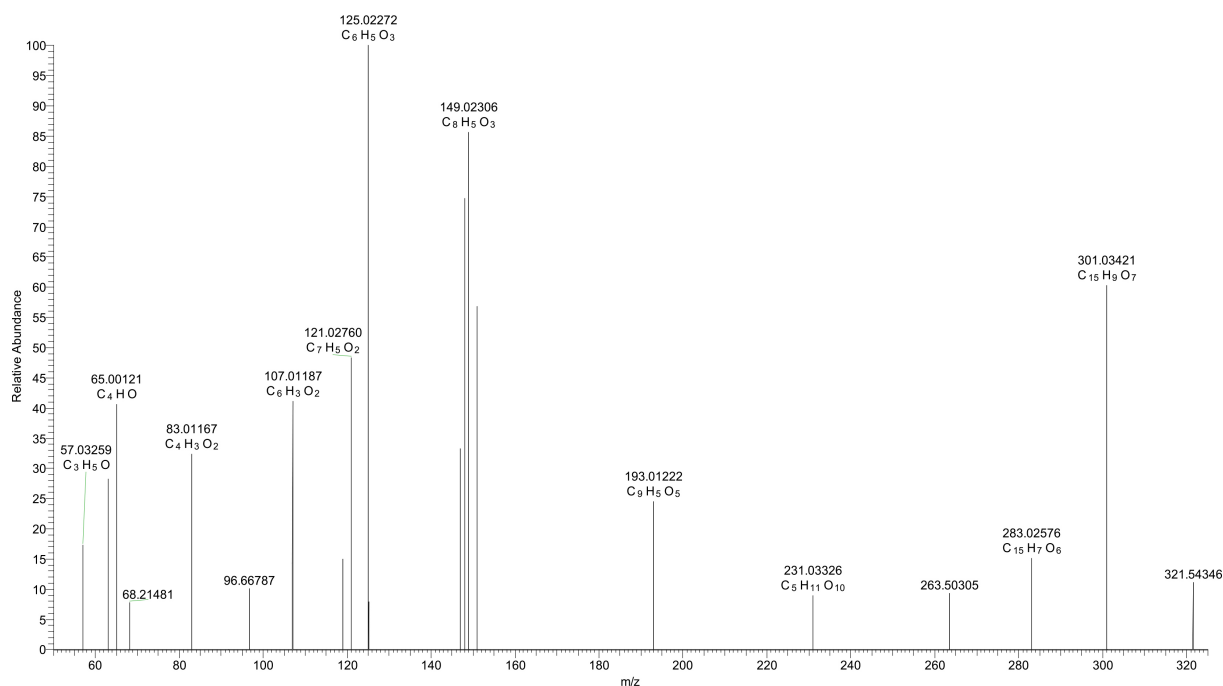


Fig. 4. Secondary fragmentation of dihydroquercetin in positive ion mode.

Fig. 5. MS^2 diagram of $[M-H]^-$ m/z 301.03531.

analogous compounds were summarized; the reported phthalide compounds were identified, and the structures of the isomers were reasonably predicted. The retention times of the phthalide isomers in Chuanxiong were well predicted by the QSRR model combined with reasonable structure predictions. A total of 81 peaks were detected from Chuanxiong and assigned to reasonable structures, and 26 potential new phthalide compounds were structurally characterized.

Li's group [33] used high-resolution mass spectrometry combining with high performance liquid chromatography to study phthalates, presenting a retention time (tR) prediction model based on quantitative

Table 1
IC₅₀ values for cytotoxic activity tests of
compounds ($\mu\text{mol.L}^{-1}$)

The sample	A549	Hela	MCF7
1	> 100	> 100	> 100
2	> 100	> 100	99.2
3	> 100	87.2	95.5
Cisplatin	15.8	15.6	16.3

1: quercetin; 2: (2R, 3R) – dihydroquercetin; 3: baicaleol extract.

structure-retention relationship (QSRR). This model can predict the retention time of a given structure of phthalates including isomers. The results of this study showed that the developed QSRR model could be a useful tool to predict the retention times of unknown metabolites of phthalates and their alternatives in future non-targeted screening analysis.

Therefore, the QSRR model has a broad prospect in the field of analyzing unknown compounds. Based on this method, this study applied it to the analysis of flavonoids in *Scutellaria baicalensis*.

In this study, flavonoids were first analyzed in the extracts of *Polygala fallax* Hemsl by a UV detector. According to the structural characteristics of flavonoids, 12 peaks containing flavonoids were initially screened, but the identification of flavonoids could not be made accurately by UV absorption judgment alone. Only a preliminary judgment could be made that the compound might be a flavonoid, and using UV detection alone was a large error in the judgment of the compound. In order to increase the accuracy of judging flavonoids, we use UPLC-HRMS to obtain high-resolution mass spectrometry extracted ion chromatograms after linear gradient injection of baicalin alcohol extract. 154 peaks with peaks higher than 1.50E^5 and the corresponding accurate mass-to-charge ratios were obtained, and using the instrument's software, we clarified their elemental composition and calculated the compounds the unsaturation of the compounds was calculated using the software provided with the instrument, 95 of the compounds were initially judged to be flavonoids. Based on this judgment, we then used the secondary mass spectrometry data to obtain corresponding possible flavonoid compounds to validate the initial results according to the cleavage pattern of the flavonoids. Of the 95 compounds that could be flavonoids, 45 fits the flavonoid mass spectrometry cleavage pattern. On this basis, the data were analyzed using the Compound Discovered 3.2 software comparison database, in which there were 30 flavonoids with a match greater than 80%. In contrast, the extraction ion chromatography with the same mass-to-charge ratio will have multiple peaks at different retention times. The compounds obtained by database analysis are the same, which puts forward the misclassification problem of isomers. To solve this problem, we used the QSRR model based on the quantitative parameter *c* developed in our laboratory to discriminate the isomers of two groups of flavonoid compounds with mass-to-charge ratios of 285.07 and 285.05 in baicalin alcohol extracts based on the above identification, and the results were verified with standards. The results show that our constructed QSRR model can improve the reliability of flavonoid identification. It is a reliable auxiliary tool and a useful complementary method for identifying flavonoids by liquid mass spectrometry.

3.4. Results of tumour cytotoxic activity assay

The cytotoxic activity of the compounds against three human-derived tumor cell lines, lung cancer cell line A549, cervical cancer cell line Hela and breast cancer cell line MCF7, was determined by MTT assay with cisplatin as a positive control. Table 1 shows the IC₅₀ values of cisplatin and these compounds. The results indicate that the extracts of Flos Farinaceae(2R, 3R)-dihydroquercetin, and quercetin compounds showed potent inhibitory activity against the human-derived tumor cells tested.

4. Conclusion

This paper uses an ultra-performance liquid phase, diode array detector, and high-resolution quadrupole orbital trap mass spectrometer to detect and analyze the methanol extract of *Scutellaria baicalensis* Georgi. A total of 12 chromatograms containing flavonoid compounds were analyzed using PAD spectroscopy. More than 90 compounds were tentatively identified as possible flavonoids by high-resolution primary mass spectrometry combining elemental composition and unsaturation analysis. The secondary mass spectrometry data combined with the mass spectro-metric cleavage patterns of the flavonoids identified more than 40 of them as flavonoids, and the identified flavonoids were verified. Cleavage mechanisms were analyzed using the CD software Mass front. Finally, two flavonoid isomers were identified and validated using the quantitative parameters c in the QSRR model, namely baicalin. The experimental results of this thesis show that the method we have used to identify flavonoids is systematic, comprehensive, accurate, and reliable and can be used to identify and analyze flavonoid compounds in other traditional Chinese medicines, natural products, or foods.

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Conflict of interest

None to report.

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