Concussion and the autonomic nervous system: An introduction to the field and the results of a systematic review

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Abstract

BACKGROUND: Recent evidence suggests that autonomic nervous dysfunction may be one of many potential factors contributing to persisting post-concussion symptoms.

OBJECTIVE: This is the first systematic review to explore the impact of concussion on multiple aspects of autonomic nervous system functioning.

METHODS: The methods employed are in compliance with the American Academy of Neurology (AAN) and PRISMA standards. Embase, MEDLINE, PsychINFO, and Science Citation Index literature searches were performed using relevant indexing terms for articles published prior to the end of December 2016. Data extraction was performed by two independent groups, including study quality indicators to determine potential risk for bias according to the 4-tiered classification scheme of the AAN.

RESULTS: Thirty-six articles qualified for inclusion in the analysis. Only three studies (one Class II and two Class IV) did not identify anomalies in measures of ANS functioning in concussed populations.

CONCLUSIONS: The evidence supports the conclusion that it is likely that concussion causes autonomic nervous system anomalies. An awareness of this relationship increases our understanding of the physical impact of concussion, partially explains the overlap of concussion symptoms with other medical conditions, presents opportunities for further research, and has the potential to powerfully inform treatment decisions.

Keywords: Concussion, mild traumatic brain injury, post-concussion syndrome, autonomic dysfunction, orthostatic intolerance

1. Introduction

1.1. Mild traumatic brain injury and postconcussive symptoms

For every 100,000 people in the population, about 500 people a year present to an emergency department with mild traumatic brain injury (mTBI)/concussion (Bazarian et al., 2005). The actual prevalence of concussions is likely much higher than this figure, as only about a third of patients with concussion present for acute treatment in emergency departments; some present to primary care or urgent care clinics, and many do not seek any medical intervention (Feigin et al., 2013; Sosin, Sniezek, & Thurman, 1996). A number of symptoms are associated with concussive injuries including headache, nausea, balance difficulties, light and noise sensitivity, cognitive difficulties, sleep disruption,
and emotional disturbance (de Guise et al., 2010; Elbin et al., 2016; Landre, Poppe, Davis, Schmaus, & Hobbs, 2006; Oldenburg, Lundin, Edman, Nygren-de Boussard, & Bartfai, 2016).

There is wide variance in the persistence of post-concussion symptoms dependent on the mechanism of injury and nature of the population sampled. For example, in a sports concussion sample of 635 high school and collegiate athletes, less than 3% reported lingering symptoms at one month post injury (McCrea et al., 2009). A very different picture is seen in patients who present to emergency departments with concussions from a mixed variety of mechanisms. In emergency department concussion studies from around the globe, the prevalence of persisting symptoms at 6 months or more ranges from about 30–50% (Canada – (Bellerose, Bernier, Beaudoin, Gravel, & Beauchamp, 2017); Sweden – (Ahman, Saveman, Styrke, Bjornstig, & Stalnacke, 2013; Lannsjo, af Geijerstam, Johansson, Bring, & Borg, 2009); Morocco – (Fourtassi et al., 2011); Netherlands – (de Koning et al., 2017; Stulemeijer, van der Werf, Borm, & Vos, 2008); Norway – (Jakola et al., 2007; Roe, Sveen, Alvsaker, & Bautz-Holter, 2009; Sigurdardottir, Andelic, Roe, Jerstad, & Schanke, 2009); Germany – (Rickels, von Wild, & Wenzlaff, 2010); China – (Chan, 2005); Lithuania – (Mickeyvi-ciene et al., 2004); Switzerland – (Zumstein et al., 2011); England – (Barrett, Ward, Boughhey, Jones, & Mychalkiw, 1994); United States – (Kraus, Hsu, Schafer, & Afifi, 2014; McMahon et al., 2014; Rimel, Giordani, Barth, Boll, & Jane, 1981); India – (Guru-raj, 2002); Scotland – (Thornhill et al., 2000); New Zealand – (Norrie et al., 2010; Theadom et al., 2016).

Identifying the cause of this high prevalence of persistent post-concussive symptoms in the non-sports population has been a source of much speculation. Some of the most cited reasons for persistent complaints after concussion include:

- Persistent central nervous system neuropathology (Bigler et al., 2016; D’Souza M et al., 2015; Dean, Sato, Vieira, McNamara, & Sterr, 2015; Nordin et al., 2016; Shahim et al., 2017; Zagarchev et al., 2016)
- Malingering (Carroll et al., 2004; Cottingham & Boone, 2014; Lange, Iverson, Brooks, & Rennison, 2010; Spadoni, Kosheleva, Buchsbaum, & Simmons, 2015)
- Expectation effects (Ferguson, Mittenberg, Barone, & Schneider, 1999; Waldron-Perrine, Tree, Spencer, Suhr, & Bieliaskas, 2015)
- Psychological conditions either preceding or subsequent to the injury (Donnell, Kim, Silva, & Vanderploeg, 2012; Losoi et al., 2016; Macleod, 2010)
- Posttraumatic anxiety responses (Bryant, 2011; Schneiderman, Braver, & Kang, 2008; Vasterling et al., 2012)
- Pituitary Dysfunction (Sundaram, Geer, & Greenwald, 2013; Tanriverdi et al., 2015; Tanriverdi, Unluhizarci, & Kelestimur, 2010)
- Vestibular or oculomotor dysfunction (Heitger et al., 2009; Suh et al., 2006)
- Chronic pain and/or comorbid injuries to the cervical spine (Morin, Langevin, & Fait, 2016; Richter, 1995; Smith-Seeemiller, Fow, Kant, & Franzen, 2003; Weyer Jamora, Schroeder, & Ruff, 2013)

In considering the question of the causation of postconcussive symptoms, we would echo the conclusions of Iverson over a decade ago: “Those seeking simplistic answers for the underlying cause of the postconcussion syndrome (e.g., ‘neurogenic’ versus ‘psychogenic’) can easily find superficial support for binary or interwoven etiologies in the extant literature. The literature is large, convoluted, methodologically flawed, and the conclusions drawn frequently go well beyond the empirical data. Experienced clinicians and researchers know that it is extraordinarily difficult to disentangle the many factors that can be related to self-reported symptoms in persons who have sustained remote MTBIs [mild traumatic brain injuries/concussions].” (Iverson, 2005)

Dysfunction of the autonomic nervous system (ANS) has also been proposed as a factor that can contribute to postconcussive complaints (J. Leddy, Hinds, Sirica, & Willer, 2016; J. J. Leddy, Kozlowski, Fung, Pendergast, & Willer, 2007). The purpose of the present paper is to introduce the reader to aspects of ANS physiology that are relevant in concussion recovery and systematically review literature that directly explores ANS dysfunction in concussed humans. This article is organized in three main sections: 1) a brief overview of the ANS, and in particular its relevance in cerebral perfusion and concussion, 2) the results of a systematic review designed to identify articles exploring ANS dysfunction in humans with concussive injuries, and 3) conclusions based on the systematic review including a brief outline of treatment implications gleaned from increased understanding of the ANS-concussion relationship. The
present paper is the first systematic review to explore the impact of concussion on multiple aspects of ANS functioning.

1.2. The Autonomic nervous system: A brief overview

When compared to other neural processes, the role of the ANS in human health has been comparatively neglected in clinical research and training programs (Beissner & Baudrexel, 2014; Rees, 2014). For many rehabilitation professionals, training regarding the workings of the ANS tends to be focused on the fight-flight and rest-digest aspects of the sympathetic (SNS) and parasympathetic (PNS) branches of the autonomic nervous system respectively. While these ANS functions are certainly important, they represent only a small subset of the many ways that the ANS contributes to human physical functioning.

The ANS innervates cardiac muscle, smooth muscle, and glands in organ systems throughout the body. It supports the automated fine-tuning of organ systems to maintain an adaptive response to changes in the internal and external environment (such as changes in levels of temperature, light exposure, or level of threat). It also regulates organ systems to respond optimally to changes in behavior (such as feeding, postural changes, and sexual encounters). The ANS plays a role in regulating blood pressure, gastrointestinal movement and secretion, body temperature, metabolism, sexual response, glucose metabolism, and many other physical processes. If ANS fibers to an organ are cut, the organ may continue to function, but capacity to respond to changing conditions will be compromised (Robertson, 2004).

It should be noted that the ANS works in concert with the hypothalamic–pituitary–adrenal axis and has intricate feedback loops with other body systems such as the immune system (Elenkov, Wilder, Chrousos, & Vizi, 2000; Kenney & Ganta, 2014; Ulrich-Lai & Herman, 2009). Elaborating on these intertwined systemic relationships is beyond the scope of this paper but their existence reinforces the concept that human physiology is best conceptualized holistically, as an integrated network of organs and functions rather than disparate semi-independent systems.

1.3. The ANS and cerebral perfusion

One of the roles of the ANS is to regulate the diameter of blood vessels that contain smooth muscle. In general, sympathetic activation acts to constrict blood vessels while parasympathetic activation dilates vessels. This process impacts organs and structures throughout the body, including the flow of blood in the brain (cerebral perfusion).

The ANS is not the only system that impacts cerebral blood flow. Other processes involved include vaso-neuronal coupling (a link between the metabolic needs of neuronal networks and changes in the diameter of the blood vessels that supply them), cerebral blood vessel response to varying levels of localized circulating carbon dioxide and oxygen, pericyte-mediated changes in neural capillary diameter, and direct/intrinsic innervation of cerebral blood vessels from structures in the central nervous system (CNS) (see reviews in Bishop & Neary, 2015; Folino, 2007; Goadsby, 2004; Tahsili-Fahadan & Geocadin, 2017).

While not the only system involved in cerebral perfusion, the ANS does play a prominent role, and also interacts with other systems known to regulate cerebral perfusion. There are two main aspects to ANS regulation of cerebral perfusion, 1) a system to maintain tolerances of overall blood pressure entering the brain based on ANS modulation of cardiac functioning (the baroreflex), and 2) extrinsic innervation of surface cerebral blood vessels by the ANS.

1.3.1. The baroreflex

The baroreflex is a term that refers to an ANS feedback loop that maintains stable blood flow into brain tissue. The carotid arteries contain baroreceptors, which are sensory neurons whose firing rates are linked to the level of stretch in the arterial wall. These receptors provide input regarding carotid blood pressure to the nucleus of the solitary tract in the brain stem and from there to additional brain regions that are involved in ANS responses (nucleus ambiguus, the dorsal motor nucleus, and the caudal and rostral ventrolateral medulla oblongata). When pressure in the carotid arteries changes, signals are sent from the brain via parasympathetic and sympathetic ANS pathways to the sinus node of the heart, heart muscle, and vasculature. These signals serve to increase or decrease heart rate and contractility, and change the tone and diameter of blood vessels – thus altering vascular resistance (for further details, see the review by Duschek, Werner, & Reyes Del Paso, 2013). The combined effect of these reflexes is to maintain a stable pressure in arteries supplying blood to the brain.
1.3.2. Extrinsic innervation of surface cerebral blood vessels

“The brain possesses an intrinsic mechanism by which its vascular supply can be varied locally in correspondence with local variations of functional activity.” (Roy & Sherrington, 1890)

Once blood enters the brain, there are systems in place to increase perfusion to networks that are highly active in current demands and decrease perfusion to brain areas that are less relevant to the circumstances at hand. At this very moment, the ANS in concert with other processes is promoting preferential blood supply to networks in your brain that optimizes your capacity to efficiently read and process what you are reading (and down-regulate supply to networks that are less active).

As previously mentioned, there are numerous processes that contribute to region specific variation in cerebral blood flow. One of the prominent processes reflects ANS activity. Direct innervation of cerebral blood vessels by the ANS is primarily localized to the surface of the brain. Cerebral arteries and arterioles constrict in response to sympathetic nerve stimulation, and parasympathetic activation acts as a vasodilator. When cerebral arteries enter brain parenchyma there is a transition to intrinsic CNS innervation by structures in the locus coeruleus, raphe nucleus, basal forebrain, and thalamus (Folino, 2007). It is notable that many of these structures share involvement in central nervous system control of the autonomic nervous system (Benarroch, 1993; McDougall, Munzberg, Derbenev, & Zsombok, 2014; Taggart, Critchley, van Dijvendoden, & Lambiase, 2016; Takahashi, Hinson, & Baguley, 2015). This suggests that both surface and internal brain blood vessel innervation is controlled by a single system served by core CNS networks that are involved in ANS regulatory functions. Some of the afferents of these networks connect to cerebral blood vessels via standard ANS fiber tracts and some take a more direct internal route.

The ANS is one of the systems that increase the efficiency of the brain by increasing blood supply to brain networks that are best suited to task demands. This allows the brain to perform optimally for periods of time that would otherwise be prohibitive if resource availability (via the blood) was not facilitated by this dynamic process.

1.4. The relevance of cerebral perfusion in concussion

Alterations in cerebral blood flow have been identified as one of the most lingering metabolic changes associated with animal models of concussion (Giza & Hovda, 2014). Anomalies in cerebral blood flow have also been found in human samples of concussed patients; anomalies may persist for weeks and months post-injury, and such anomalies correlate with persistent postconcussive complaints (Barlow et al., 2017; Bartnik-Olson et al., 2014; Bonne et al., 2003; N. Churchill et al., 2017; N. W. Churchill et al., 2017; Clausen, Pendergast, Willer, & Leddy, 2016; Ellis et al., 2016; Gardner et al., 2015; Maugans, Farley, Altaye, Leach, & Cecil, 2012; Meier et al., 2015; Mutch et al., 2016; Sours, Zhuo, Roys, Shanmuganathan, & Gullapalli, 2015; Y. Wang et al., 2016).

1.5. Cerebral perfusion and postconcussive complaints in non-concussed samples

Symptoms associated with concussion are non-specific to concussion. Many medical conditions present with postconcussive-type complaints at levels that equal or even exceed the level observed in patients with concussion. Those conditions that have been directly evaluated for the presence of postconcussive complaints include:

- chronic pain (Gasquoine, 2000; Smith-Seemiller et al., 2003)
- stress (Machulda, Bergquist, Ito, & Chew, 1998)
- depression (Donnell et al., 2012; Garden, Sullivan, & Lange, 2010; Trahan, Ross, & Trahan, 2001)
- anxiety (Donnell et al., 2012; Garden et al., 2010; Lagarde et al., 2014)
- chronic fatigue syndrome (Tiersky, Cicerone, Natelson, & DeLuca, 1998)
- orthopedic injuries (Laborey et al., 2014; Landre et al., 2006; Losoi et al., 2016; Mickeviciene et al., 2004)
- sleep disruption (Stocker, Khan, Henry, & Germain, 2017)

A portion of the general population also reports concussion-like complaints (Iverson & Lange, 2003; Wong, Regennitter, & Barrios, 1994). Patients with cervical strain injuries have symptom profiles that are often indistinguishable from patients with concussion (Morin et al., 2016).
What factor can account for the similarities of complaints amongst these diverse groups and patients with concussion?

Part of the answer may lie in the observation that autonomic dysregulation has been identified in many of these conditions, including:

- chronic pain (Fazalbhoy, Birznieks, & MacEachfield, 2014; Hallman & Lyskov, 2012; Koenig, Jarczok, Ellis, Heilcke, & Thayer, 2014)
- insomnia (Basta, Chrousos, Vela-Bueno, & Vgontzas, 2007; Farina et al., 2014; Huang, Kutner, & Bliwise, 2011; Maes et al., 2014; Spiegelhalder et al., 2011; Vgontzas et al., 1998)
- stress (Chrousos, 2009; Tsigos, Kyrou, Kassi, & Chrousos, 2000)
- deconditioning (Convertino, Doerr, Eckberg, Fritsch, & Vernikos-Danellis, 1990; Coupe et al., 2009; Pawelczyk, Zuckerman, Blomqvist, & Levine, 2001)
- depression (Bassett, 2016; Perlmutter et al., 2012; Won & Kim, 2016)
- anxiety (Brudey et al., 2015; Friedman & Thayer, 1998; Hoehn-Saric & McLeod, 1988; McCraty, Atkinson, Tomasino, & Stuppy, 2001; Thayer, Friedman, & Borkovec, 1996)
- chronic fatigue syndrome and fibromyalgia (Elenkov et al., 2000; Kulshreshtha & Deepak, 2013; Lee et al., 2016)
- Cervical strain/whiplash-associated disorders (Sterling, 2011).

As previously noted, ANS dysregulation has the potential to impact baroreflex efficiency and cerebral perfusion. It is thus not surprising that in many of the conditions listed above, anomalies in indices of cerebral perfusion and baroreflex efficiency have also been found:

- chronic pain (Coghill, Sang, Berman, Bennett, & Iadarola, 1998; Duschek, Muck, & Reyes Del Paso, 2007; Gilkey, Ramadan, Aurora, & Welch, 1997; Nagamachi et al., 2006; Sundstrom et al., 2006)
- insomnia (Smith et al., 2002; Smith, Perlis, Chengazi, Soeffing, & McCann, 2005)
- deconditioning (Kawai et al., 1993; Sun et al., 2005)
- depression (Koschke et al., 2009; MacHale et al., 2000; Vasic et al., 2015)
- anxiety (Fredrikson, Fischer, & Wik, 1997; Hughes, Feldman, & Beckham, 2006; Lambert et al., 2002; Mathew, 1994)
- chronic fatigue syndrome (MacHale et al., 2000; Peckerman et al., 2003; Yoshiuchi, Farkas, & Natelson, 2006)

It is also of note, that patients with known baroreflex dysfunction as their primary diagnosis (such as postural orthostatic tachycardia syndrome) report symptoms similar to those reported by patients with concussion, including fatigue, headaches, nausea, memory dysfunction, attention complaints, brain fog, anxiety, depression, and insomnia (Arnold et al., 2015; Garland, Celedonio, & Raj, 2015; Ocon, 2013; Ross, Medow, Rowe, & Stewart, 2013).

The research briefly reviewed above supports the contention that a contributor to the symptoms associated with concussion may be ANS anomalies and their associated impact on cerebral blood flow efficiency. With this in mind, it is not surprising that the symptoms of concussion are non-specific to concussion and occur in many other medical conditions that involve ANS dysfunction and compromised cerebral blood flow efficiency. The present article systematically evaluates the literature that explores the impact of human concussion on the ANS.

1.6. Measurement of the autonomic nervous system

The ANS can be measured in multiple ways. In this section we discuss the most common measurement techniques that appear in concussion research.

1.6.1. Heart rate variability (HRV)

Heart rate variability is one of the most commonly used techniques to evaluate the functioning of the ANS in concussion-related studies. The use of HRV as a measure of autonomic functioning is based on the observation that pacemakers in the heart are regulated by input from both the SNS and PNS – the baroreflex (Rajendra Acharya, Paul Joseph, Kannathal, Lim, & Suri, 2006). Heart rate variability reflects the fact that the period of time between any two consecutive heart beats (R-R intervals) is not exactly the same; largely due to SNS and PNS regulation. When we say a person has a heart rate of 60 beats per minute we are reporting an average, around which there is variability (HRV). Simplistically, the SNS is thought to increase heart rate and decrease HRV whereas the PNS is thought to slow heart rate and increase HRV. In practice, both branches of the ANS work together in harmony to regulate heart rate and HRV in order to optimize heart output to situational demands. In severe
traumatic brain injury there is an “uncoupling” of pacemakers located in heart tissue and ANS input to the heart; this uncoupling is more pronounced in patients with the most severe injuries; for patients in a coma, HRV can approach zero (Goldstein, Toweill, Lai, Sonnenthal, & Kimberly, 1998). Standard procedures, definitions, and interpretations for HRV analysis were established by the Task Force of the European Society of Cardiology and the North American Society of Pacing and Electrophysiology (1996).

1.6.2. Valsalva maneuver (VM)

The Valsalva maneuver can be used to evaluate the responsiveness of sympathetic and parasympathetic baroreflex pathways to changes in intrathoracic pressure (Low, Tomalia, & Park, 2013; Novak, 2011). Participants blow into a mouthpiece connected to a pressure monitor and are instructed to maintain a predetermined pressure for 15 seconds. Changes in blood pressure, heart rate, and heart rate variability are used to quantify the response of the baroreflex.

1.6.3. Head upright tilt table testing (HUT)

Head upright tilt table testing provides an evaluation of the blood pressure and heart rate response to tilt and is used to detect orthostatic hypotension (failure of the baroreflex to maintain stable cerebral blood pressure in response to postural stasis and positional change) (Low et al., 2013; Novak, 2011). Alternative, indirect methods to measure baroreflex impairment to postural challenge include tracking for symptoms of syncope (fainting) and pre-syncopal symptoms (such as visual symptoms or lightheadedness when standing).

1.6.4. Cerebral perfusion in response to manipulation of blood pressure

The rate of blood flow in major vessels in the brain can be measured by transcranial doppler ultrasonography (TCD) and other methods such as arterial spin labeling MRI techniques. Experimental manipulation of systemic blood pressure using either medications or deflation of pressurized leg cuffs activates baroreflex-mediated adaptations to maintain stable cerebral blood flow. Changes in cerebral perfusion measured by TCD quantify the efficiency of the baroreflex response.

1.6.5. Sympathetic response to stress

The sympathetic nervous system can be stimulated by applying physical stressors (such as exercise or pain), or environmental stressors (cold or loud noise). ANS-mediated changes in heart rate and sweating (skin conductance) can be directly measured and contrasted with control groups to identify atypical autonomic responses.

1.6.6. Oculocardiac reflex

Applying standardized pressure to the eyeball triggers a series of impulses in ANS pathways with the end results of increasing parasympathetic input to the heart, which is known as the oculocardiac reflex. The strength of this response can be measured by heart rate indices and HRV analysis.

1.6.7. Arterial pulse wave

Increasing peripheral SNS tone (which decreases blood vessel lumen diameter) alters the magnitude and latency of reflected waves from blood being pumped from the heart into arteries. Wave pattern analysis can identify atypical responses of the ANS to changes in vascular resistance.

1.6.8. Pupillary response to light exposure

The ANS is the dominant regulator of pupil diameter with SNS activation leading to dilation and PNS activation leading to constriction of the pupil (McDougal & Gamlin, 2015). Medical devices have been developed to measure the latency, amplitude, and speed of pupil changes in response to bursts of light of various frequencies and durations.

2. Methods

The review was designed to be consistent with the PRISMA (Preferred Reporting Items for Systematic reviews and Meta-Analyses) standards for conducting systematic reviews (Liberati et al., 2009; Moher, Liberati, Tetzlaff, Altman, & Group, 2009). The American Academy of Neurology (AAN) has published specific methodology for conducting systematic reviews and evaluating the quality of identified research evidence (2015; 2011). The methods employed in the present study are in compliance with the AAN, PRISMA, and the Institute of Medicine’s standards for developing systematic reviews. A review protocol was not published prior to conducting the present review.

2.1. Research question

The AAN identifies several types of questions that form the foundation of the review methodology. The
present topic of interest is classified as a causation question – “a question regarding the cause-and-effect relationship of an exposure and a condition.” p.5.

Causation questions can be framed in the recommended (population, intervention, co-intervention, outcome, study designs sampled) PICOS format. The following question is examined in this review:

Population – In humans,  
Intervention – does a history of concussion  
Co-intervention – when compared to humans with no history of concussion  
Outcome – cause anomalies in autonomic nervous system functioning.  
Study Designs – No limitations were placed on timeframes post-injury or types of study design included in the analysis.

2.2. Search strategy

The search was conducted with the support of a medical librarian. Four electronic databases were utilized – Embase (1974–2016), MEDLINE (1950–2016), PsycINFO (1872–2016), and Science Citation Index (1900–2016). A preliminary search was conducted to retrieve articles of interest, and these were combined with articles from the private collection of the authors to identify key articles relevant to the research question. Medical subject headings used in indexing each of the key articles were identified for each database and were employed in the final search. Terms relevant to concussion were combined with the operator OR, as were terms associated with the autonomic nervous system.

The final search was conducted on December 28, 2016 with automated updates to the search to capture articles published (including advance online publication ahead of print) at any point prior to the end of December 2016. A Boolean search strategy comprising (condition of interest) AND (outcome of interest) was employed which utilized the following structure:

(concussion) AND (autonomic nervous system)

Relevant indexing terms were combined to produce a search string unique to each database. No limits (e.g. human, timeframes, article type) were employed. Search results were exported and combined using Endnote software with removal of duplicates. Key articles in the field were identified from the results of the initial search. The capacity of the final search to identify all of the key articles was used as a means to check the validity of the results. The search was refined until all key articles were identified. Furthermore, reference lists of review articles in the field and all articles reviewed in full text stage were manually searched to identify any additional relevant articles. The results of the database search, the reference lists of articles that were evaluated for full text review, and the personal collections of the authors were the only sources of information used to identify potentially relevant articles.

The results of the separate database searches were combined using Endnote software and duplicates removed. The resultant titles and abstracts were reviewed independently (TM and JP) to exclude clearly irrelevant articles. For articles deemed potentially relevant after title and abstract review, full text articles were retrieved and reviewed to determine if the article met the a priori inclusion-exclusion criteria.

Pre-specified inclusion-exclusion criteria that were used to identify articles relevant to the question at hand were:

1) Full text article published in a peer reviewed medical journal presenting original data. Excluded review articles summarizing the work of others, excluded abstracts without full text, and excluded published poster abstracts.
2) Data pertains to human subjects (any age included).
3) Data includes analysis of a group diagnosed with concussion. When mixed brain injury samples were studied, it was required that results be provided separately for a subgroup of participants with concussion (no mixed mild-moderate-severe groups).
4) Data includes at least one variable assessing the functioning of the autonomic nervous system. The outcome of interest was required to be primarily reflective of an autonomic process. Studies with outcomes that have multiple potential contributors, rendering doubt as to whether the ANS was the dominant contributor, were excluded. For example, the bulk of concussion related blood flow studies (e.g., SPECT) that did not also incorporate some form of autonomic challenge were excluded due to the numerous processes that could account for cerebral blood flow anomalies (see previous discussion).

The full text of all articles that met the inclusion-exclusion criteria were forwarded to two independent groups (KC/AC and TW/HP). For each article, both groups independently extracted study
characteristics and entered these into an evidence table. Evidence tables summarized key components of each study—sample demographics and description, time since injury, concussion definition, ANS variables employed, results, conclusions; and tabulated characteristics relevant to generalizability, risk of bias, and findings (see below). The evidence table headings and structure was developed and piloted on a sample of articles prior to distribution to the independent extraction groups (by JP and TM).

The evidence tables included features of the study design that were relevant in determining risk of bias according to the four-tiered classifications scheme developed by the American Academy of Neurology. “In this scheme, studies graded Class I are judged to have a low risk of bias, studies graded Class II are judged to have a moderate risk of bias, studies graded Class III are judged to have a moderately high risk of bias, and studies graded Class IV are judged to have a very high risk of bias.”

In the case of causation questions, for studies to be classified as Class I, they must meet the following criteria (AAN 2015):

- “Cohort survey with prospective data collection
- All relevant confounding characteristics are presented and substantially equivalent between comparison groups or there is appropriate statistical adjustment for differences
- Outcome measurement is objective or determined without knowledge of risk factor status
- Also required:
  a. Primary outcome(s) defined
  b. Exclusion/inclusion criteria defined
  c. Accounting of dropouts (with at least 80% of enrolled subjects completing the study)”

Failure to meet these Class I requirements results in downgrading to lower classifications based on criteria defined by the AAN workgroup. To reduce subjectivity in criteria ratings several variables were operationalized to support consistent and accurate categorization. Operationalized definitions were provided to the two rating groups, the most prominent being defining what constituted adequate definition of the variables of interest (concussion and ANS) and definitions to clarify categorization of study designs (see below).

The group decided that an adequate definition of concussion must be consistent with those published by a recognized health organization (e.g., World Health Organization, Department of Defense, or American Congress of Rehabilitation Medicine etc.). Definitions of concussion based solely on Glasgow Coma Score of 13 to 15 were considered inadequate as most accepted definitions used in research and clinical practice require additional features. People who have Glasgow Coma Scale scores of 15 may or may not have sustained a concussion dependent on the presence of mental status changes or symptoms indicative of brain impairment. Diagnostic methods where criteria were unstated, such as “diagnosis was made by clinical evaluation by medical staff” (in the absence of a statement regarding what specific criteria were employed) were also considered inadequate.

For the purpose of the present research, the types of studies were defined as follows:

**Prospective cohort survey** – Study participants (e.g., athletes) were enrolled prior to the development of the onset of the variable of interest (ANS dysfunction) and this is established via baseline testing. The population is split into groups based on exposure to the risk variable (concussion) and these two groups are followed for later emergence of the outcome of interest (ANS dysfunction). The AAN guideline requires “prospective data collection;” thus, if participants were recruited prior to their injury but no baseline data regarding ANS functioning was collected at baseline (pre-concussion) they were classified as retrospective cohort surveys.

**Retrospective cohort survey** – Participants are enrolled after they are assumed to have developed the outcome of interest (ANS dysfunction). The subsequent testing is designed to confirm the outcome or clarify its magnitude. In the case of concussion research, any designs where participants were first tested for ANS dysfunction after their concussive injury would fall into this category; present ANS dysfunction is associated with the prior (retrospective) exposure to the risk factor (concussion).

**Case control study** – A design that samples a population for the presence of the outcome (ANS dysfunction) and groups participants based on the presence or absence of the outcome (e.g., undergraduate psychology students are split into ANS dysfunction positive group compared to ANS dysfunction negative group). It is the presence of the outcome that determines group membership not concussion exposure (i.e. ANS cases vs. ANS noncases). The analysis involves determining what proportion of the cases and controls were exposed to the variable of interest (concussion).

The AAN guidelines include a criterion regarding confounding characteristics. For Class I studies
confounds must be presented, equivalent, or adjusted for. For the present analysis, studies which employed close matching criteria were judged to have adequately met this criterion. Studies that evaluated and reported confound equivalence or statistically adjusted for these were also judged to have met the criteria.

As the two groups independently completed the evidence tables they were forwarded in stages to a third party not directly involved in the extraction (TM) for comparison. Any discrepancies were resolved by a consensus discussion coordinated by the third party – the two reviewing groups did not have direct contact with one another regarding their ratings. Once discrepancies were resolved, a final master evidence table was generated which forms the basis of the study results.

2.3. Analysis

For those articles that met inclusion criteria, quality of evidence was evaluated by employing the qualitative and quantitative tools described in the AAN guideline. The guideline includes processes for evaluating both the risk of bias in individual studies (grading criteria) and also at the outcome/conclusion level (how to temper and describe conclusions based on the entire sample). These processes are described in more detail in the body of the results section.

A principal summary measure for autonomic functioning evaluation was not employed due to the heterogeneity of methods employed in concussion research and the limited, early stage of research in this field. Any ANS outcome reported in patients with concussion was included for evaluation and whenever possible, differences in means between control and concussed groups on ANS variables were calculated.

3. Results

The search terms employed in the final search are listed in Table 1. The search gleaned 3159 articles from Embase, 2538 articles from MEDLINE, 106 from PsycINFO, and 88 from the Science Citation Index. These were combined in Endnote software and duplicates removed leaving 5382 articles identified as potentially relevant from the literatures search. A summary of the disposition of articles identified in the search is contained in Fig. 1.

Thirty-six studies were identified for inclusion in the analysis. Four of these were case studies, seven were case series, and 25 included a control group. All of the studies that included a control group were retrospective cohort designs. Quality indices and AAN grading for studies including a control group are summarized in Table 2. (Case studies and case series are automatically graded as Class 4 under AAN criteria).

3.1. Conclusions regarding autonomic nervous system anomalies in concussion

No Class I studies exploring the relationship between concussion and ANS anomalies were identified. For those studies that included a control group, the evidence base using AAN grading criteria included: Class II – 7 studies, Class III – 5 studies, and Class IV – 13 studies; with an additional 11 Class IV case studies or case series. The vast majority of the included studies identified anomalies in measures of ANS functioning in concussed populations. Only three studies (one Class II, and two Class IV) (Su, Kuo, Kuo, Laï, & Chen, 2005; Temme, St Onge, & Bleiberg, 2016; Truong & Ciuffreda, 2016c) did not find a difference in autonomic measures between the sample of concussed patients and their respective control group. The four case studies, and seven case series all identified ANS anomalies in concussed individuals.

The AAN guidelines include a system for synthesizing evidence based on the overall quality of the multiple studies included in the analysis (an outcome level analysis). The system enables authors to use a common conclusion language to describe the synthesis of their results. Under AAN criteria, multiple class one studies warrant the use of a “highly likely” descriptor to describe the relationship between the variables. Two Class II studies or a single class I study corresponds to a “likely” conclusion. Further criteria are defined for data sets where a “possibly” or “insufficient evidence” conclusion is warranted. Per the AAN guidelines, the evidence base included in the present analysis supports the following conclusion for the outcome level analysis:

It is “likely” that concussion causes autonomic nervous system anomalies.

3.2. Insights from uncontrolled studies

Key characteristics of the case studies and case series are summarized in Table 3. It is not possible to draw systematic conclusions regarding causation from uncontrolled studies that are automatically
Table 1
Indexing terms employed in the final search

<table>
<thead>
<tr>
<th>Concussion headings</th>
<th>Autonomic nervous system headings</th>
</tr>
</thead>
<tbody>
<tr>
<td>MEDLINE (MeSH)</td>
<td></td>
</tr>
<tr>
<td>Brain injuries</td>
<td>Autonomic nervous system</td>
</tr>
<tr>
<td>Brain concussion</td>
<td>Autonomic nervous system diseases</td>
</tr>
<tr>
<td>Postconcussion syndrome</td>
<td>Postural orthostatic tachycardia syndrome</td>
</tr>
<tr>
<td></td>
<td>Hypotension, orthostatic</td>
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<td></td>
<td>Baroreflex</td>
</tr>
<tr>
<td></td>
<td>Blood flow velocity</td>
</tr>
<tr>
<td></td>
<td>Syncope</td>
</tr>
<tr>
<td>Embase (Emtree)</td>
<td></td>
</tr>
<tr>
<td>Head Injury</td>
<td>Autonomic nervous system</td>
</tr>
<tr>
<td>Brain Injury</td>
<td>Autonomic dysfunction</td>
</tr>
<tr>
<td>Brain concussion</td>
<td>Orthostatic intolerance</td>
</tr>
<tr>
<td>Postconcussion syndrome</td>
<td>Postural orthostatic tachycardia syndrome</td>
</tr>
<tr>
<td>Traumatic brain injury</td>
<td></td>
</tr>
<tr>
<td>Concussion</td>
<td>Autoregulation</td>
</tr>
<tr>
<td>Brain Concussion</td>
<td>Cardiovascular autoregulation</td>
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<td></td>
<td>ECG abnormality</td>
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<td></td>
<td>Heart Rate</td>
</tr>
<tr>
<td></td>
<td>Heart rate variability</td>
</tr>
<tr>
<td>PsycINFO (Subjects)</td>
<td>Autonomic nervous system</td>
</tr>
<tr>
<td>Brain Concussion</td>
<td>Sympathetic nervous system</td>
</tr>
<tr>
<td>Traumatic brain injury</td>
<td>Parasympathetic nervous system</td>
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<tr>
<td></td>
<td>Syncope</td>
</tr>
<tr>
<td></td>
<td>Heart rate</td>
</tr>
<tr>
<td>Science Citation Index (Topics)</td>
<td></td>
</tr>
<tr>
<td>Concussion</td>
<td>Autonomic</td>
</tr>
<tr>
<td>Postconcussion syndrome</td>
<td>Autonomic control</td>
</tr>
<tr>
<td>Mild traumatic brain injury</td>
<td>Autonomic dysfunction</td>
</tr>
<tr>
<td>Concussion symptoms</td>
<td>Autoregulation</td>
</tr>
<tr>
<td>Minor head-injury</td>
<td>Sympathetic dysregulation</td>
</tr>
<tr>
<td></td>
<td>Electrocardiogram</td>
</tr>
<tr>
<td></td>
<td>Orthostatic</td>
</tr>
<tr>
<td></td>
<td>Postural tachycardia syndrome</td>
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<tr>
<td></td>
<td>Baroreflex</td>
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<tr>
<td></td>
<td>Baroreflex sensitivity</td>
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<tr>
<td></td>
<td>Heart rate variability</td>
</tr>
<tr>
<td></td>
<td>QT interval variability</td>
</tr>
</tbody>
</table>

classified as Class IV under AAN guideline criteria. What case studies and case series can shed light on are clinical or experimental observations that are notable and supportive of future research with more rigorous designs.

The samples represented in the case studies and case series identified by the literature search are diverse and range from athletes (La Fountaine, Toda, Testa, & Bauman, 2014; Lagos, Thompson, & Vaschillo, 2012; Middleton, Krabak, & Coppel, 2010; Pellman, Viano, Casson, Arfken, & Powell, 2004), to pediatric patients (Heyer et al., 2016; Midleton et al., 2010), and military personnel injured in IED blasts (Sams, LaBrie, Norris, Schauer, & Frantz, 2012). ANS anomalies reported in these diverse samples alerts us to the possibility that ANS dysfunction can follow injury in a wide range of concussion sub-populations.

The studies sampled concussed participants at varying intervals post-injury. Some studies reported acute findings within the first week (La Fountaine et al., 2014; Pellman et al., 2004; Sams et al., 2012; Strebel, Lam, Matta, & Newell, 1997; Vavilala et al., 2004), whereas other studies included patients beyond six months post-injury (Heyer et al., 2016; Mirow et al., 2016; Truong & Ciuffreda, 2016b). This observation suggests that concussion can potentially impact the ANS in both acute and post-acute timeframes. Anomalies were identified using a wide variety of ANS evaluation techniques. The most common technique was heart rate variability analysis (La Fountaine et al., 2014; Lagos et al., 2012; Mirow
et al., 2016; G. Tan et al., 2009). Other techniques included Valsalva responses (Middleton et al., 2010), perfusion changes in relation to autonomic challenge (Strebel et al., 1997; Vavilala et al., 2004), tilt table testing (Heyer et al., 2016), pupil responsivity to light (Truong & Ciuffreda, 2016b), and tracking syncopal and pre-syncopal symptoms (Pellman et al., 2004; Sams et al., 2012).

One of the most compelling case series sampled consecutive pediatric patients with concussion that had been referred for treatment at a pediatric headache clinic (Heyer et al., 2016). Patients reporting syncope or pre-syncopal symptoms prior to concussion, or syncope following concussion were excluded. The sample of 34 participants between the ages of 13 and 18 years completed head upright tilt table testing which evaluates the responsiveness of the baroreflex to postural changes. Patients were evaluated between 1 and 6 months post-injury. Syncope was observed in 29.5% of the sample and postural orthostatic tachycardia syndrome in 41.2% of the sample. Overall, 70.6% of the sample had anomalous tilt table test results.

3.3. Insights from controlled studies of athletes

Key characteristics of the controlled studies including athletes are summarized in Table 4. Ten sports concussion studies were included with a wide range of sports represented in primarily young adult samples. Some studies included data amenable to effect size calculation (Glass’s delta was employed) and often multiple ANS variables were reported on. Due to the methodological diversity of design and measurement techniques between studies, pooling/combining data across studies using meta-analytic techniques was precluded. The magnitude of effect identified in the individual studies may be of interest to some readers and the effect size reported in each study is reported in Table 4. Where multiple variables were reported on relevant to ANS functioning, the variable with the strongest effect was included in the table. The strongest findings for each study on ANS variables ranged from $d = 0.48$ (Hutchison et al., 2017) to $d = 5.26$ (La Fountaine, Gossett, De Meersman, & Bauman, 2011).

Patterns apparent in the data can be gleaned from perusal of Table 4 and include:

a) Autonomic anomalies were more apparent during conditions of physical challenge such as exercise or isometric handgrip conditions (apparent in 7 of 7 studies that included a physical demand condition (Abaji, Curnier, Moore, & Ellemberg, 2016; Clark, Caudell-Stamper, Dailer, & Divine, 2016; B. Gall, W. Parkhouse, & D. Goodman, 2004a; B. Gall, W. S. Parkhouse, & D. Goodman, 2004b; La Fountaine, Heffernan, Gossett, Bauman, & De Meersman, 2009;...
### Table 2
Methodological quality of studies with control groups examining ANS functioning after concussion – American Academy of Neurology, 2011 & 2015 rating criteria

<table>
<thead>
<tr>
<th>Population</th>
<th>Class 1 Requirements</th>
<th>Downgrades</th>
<th>AAN Class</th>
</tr>
</thead>
<tbody>
<tr>
<td>First Author (year)</td>
<td>Prospective data collection</td>
<td>a) Confounds accounted for or equivalent</td>
<td>b) Outcome is objective or blind evaluation</td>
</tr>
<tr>
<td>Sport Concussion Studies</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Abaji (2016)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Bailey (2013)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Clark (2016)</td>
<td>x</td>
<td>x</td>
<td>✓</td>
</tr>
<tr>
<td>Gall (2004a)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Gall (2004b)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Hutchison (2017)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>La Fountaine (2009)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>La Fountaine (2011)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>(La Fountaine (2016)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Senthinathan (2017)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>General Population and Military Studies</td>
<td></td>
<td></td>
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<tr>
<td>Capó-Aponte (2013)</td>
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<tr>
<td>Hanna-Pladdy (2001)</td>
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<td>Hilz (2011)</td>
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<td>Hilz (2015)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>Hilz (2016)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>Junger (1997)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Liao (2016)</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>van Noordt (2011)</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Su (2005)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Sung (2016)</td>
<td>x</td>
<td>x</td>
<td>✓</td>
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<tr>
<td>Sung (2016)</td>
<td>x</td>
<td>x</td>
<td>✓</td>
</tr>
<tr>
<td>Temme (2016)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Thiagarajan (2015)</td>
<td>x</td>
<td>x</td>
<td>✓</td>
</tr>
<tr>
<td>Truong (2016a)</td>
<td>x</td>
<td>x</td>
<td>✓</td>
</tr>
<tr>
<td>Truong (2016c)</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
</tr>
</tbody>
</table>

AAN = American Academy of Neurology; ANS = autonomic nervous system; n/a = not applicable; ✓ = criterion met; x = criterion not met; * - confounds may be described not necessarily equivalent or accounted for in class 3 studies; ** = criterion met only at first evaluation point, not at follow-up points; ^ = retrieved in 2016 advance online publication.
Table 3
Summary of case studies and case series examining ANS functioning after concussion

<table>
<thead>
<tr>
<th>First Author (year)</th>
<th>Location</th>
<th>Sample description, age in years, (concussion criteria)</th>
<th>ANS evaluation method</th>
<th>Evaluation period post injury</th>
<th>Main Findings related to ANS functioning</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>Case Studies</em></td>
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</tr>
<tr>
<td>La Fountaine (2014) New Jersey, USA</td>
<td>n = 1, age = 20, concussed male soccer player, (criteria unstated)</td>
<td>Heart rate variability analysis at rest, repeated at 2, 6, and 10 days post injury</td>
<td>Identified abnormal autonomic control of sino-atrial pacing and cardiac conduction that trended toward normal over time.</td>
<td></td>
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</tr>
<tr>
<td>Lagos (2012) New York, USA</td>
<td>n = 1, age = 42, concussed female athlete, sport not stated, (criteria unstated)</td>
<td>Heart rate variability analysis at rest, repeated evaluations weekly for 10 weeks beginning at 3.5 months post injury</td>
<td>Following 10 weeks of biofeedback treatment, heart rate variability measures demonstrated improvement.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Middleton (2010) Washington, USA</td>
<td>n = 1, age = 16, concussed female gymnast, (criteria unstated)</td>
<td>Response of blood pressure and heart rate to Valsalva maneuver</td>
<td>Unclear – at least three weeks post injury</td>
<td>Sympathetic dysfunction with absence of late, phase II rise in blood pressure with Valsalva, suggesting altered vascular tone and heart rate response compared with normal controls</td>
<td></td>
</tr>
<tr>
<td>Strebel (1997) Washington, USA</td>
<td>n = 1, 29 year old female concussed in motor vehicle versus pedestrian accident (criteria not stated)</td>
<td>Flow velocity from middle cerebral artery using transcranial Doppler ultrasonography in response to medication induced blood pressure increase</td>
<td>6 days post-injury</td>
<td>Velocity in the middle cerebral arteries exhibited a completely pressure-passive response with the pharmacologically induced mean arterial blood pressure increase – indicating an absence of cerebral autoregulation. This was reconfirmed postoperatively in the awake patient.</td>
<td></td>
</tr>
<tr>
<td><em>Case Series</em></td>
<td></td>
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<tr>
<td>Heyer (2016) Ohio, USA</td>
<td>n = 34, 26 female, age 16.0 (1.5) with at least one concussion within 6 months referred to headache clinic (Zurich 2008 criteria)</td>
<td>Head upright tilt table testing including heart rate, blood pressure, ECG,</td>
<td>Between 21 days and 6 months for initial testing</td>
<td>70.6% of the sample had abnormal tilt test results – 29.5% with isolated syncope and 41.2% with postural orthostatic tachycardia syndrome, 29.5% normal.</td>
<td></td>
</tr>
<tr>
<td>Mirow (2016) Utah, USA</td>
<td>n = 61, all male, age 33.5 (7.5), concussed active duty mixed military, (criteria similar to ACRM)</td>
<td>24 hour ambulatory EEG for heart rate variability analysis</td>
<td>Between 3 months and five years</td>
<td>Evidence of autonomic nervous system dysfunction was apparent with sympathetic nervous system hyperactivation.</td>
<td></td>
</tr>
<tr>
<td>Pellman (2004) Nationwide, USA</td>
<td>N = 650, age not stated, NFL players with 887 concussions reported, (criteria similar to ACRM)</td>
<td>Symptom report of syncope</td>
<td>During the period of recovery – all but one returned to play within 7 days</td>
<td>13 of 887 (1.5%) players with concussion reported syncope during their recovery.</td>
<td></td>
</tr>
<tr>
<td>Sams (2012) US troops in Afghanistan</td>
<td>n = 2, age 19 and 39, male service members injured in IED explosion; case series n = 183 service members evaluated in concussion clinic (department of defense criteria)</td>
<td>Physician conducted clinical interview to identify syncopal and presyncopal symptoms</td>
<td>In two cases syncope occurred within 24 hours of injury, larger group time period not reported</td>
<td>Reports details of two cases where patients developed syncope after blast concussion. In a case series of 183, 1.1% developed syncope and others reported postural or exertional lightheadedness or dizziness.</td>
<td></td>
</tr>
</tbody>
</table>

(Continued)
<table>
<thead>
<tr>
<th>First Author (year)</th>
<th>Location</th>
<th>Sample description, age in years, concussion criteria</th>
<th>ANS evaluation method</th>
<th>Evaluation period post injury</th>
<th>Main Findings related to ANS functioning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tan (2009)</td>
<td>Texas, USA</td>
<td>n = 28, female age 30.6 (8.9), veterans presenting for polytrauma evaluation (criteria not stated)</td>
<td>15 min heart rate variability measurement</td>
<td>Not stated</td>
<td>Low heart rate variability compared to norms was related to multiple variables with some unique variance accounted for by concussion. On the basis of these findings, the researchers also noted a 17% of participants had impaired cerebrovascular autoregulation reactivity to medication-induced arterial pressure changes relative to normative values.</td>
</tr>
<tr>
<td>Truong (2016b)</td>
<td>New York, USA</td>
<td>n = 32, age 37 (11), gender not stated</td>
<td>Pupillary response to light flashes of varying intensity</td>
<td>Ranging from within first year to more than four years (not otherwise stated)</td>
<td>On average, photosensitive individuals with mTBI exhibited larger pupil diameters, more rapid redilation velocities, and more rapid recovery times.</td>
</tr>
<tr>
<td>Vavilala (2014)</td>
<td>Washington, USA</td>
<td>n = 12, age 8.8 (5.6), unclear gender distribution, children with concussion who required general anesthesia for extracranial surgery (GCS 13–15 with abnormal scan or mental status changes)</td>
<td>Mean middle cerebral artery flow velocities as measured using transcranial Doppler</td>
<td>3.5 (3.7) days</td>
<td>17% of participants had impaired cerebrovascular autoregulation reactivity to medication-induced arterial pressure changes relative to normative values.</td>
</tr>
</tbody>
</table>

La Fountaine, Toda, Testa, & Hill-Lombardi, 2016; Senthinathan, Mainwaring, & Hutchison, 2017); they were also apparent in a study of simulated orthostatic challenge (Bailey et al., 2013). Anomalies at rest were found in 3 of 6 studies with a rest condition (Hutchison et al., 2017; La Fountaine et al., 2011; La Fountaine et al., 2016).

b) Anomalies were dominated by reduced parasympathetic nervous system involvement in concussed groups (5 of 5 studies (Abaji et al., 2016; Gall et al., 2004a; Hutchison et al., 2017; La Fountaine et al., 2011; Senthinathan et al., 2017)); effects on sympathetic nervous system (SNS) indicators were less consistent and included reduced SNS involvement (Gall et al., 2004a), lack of prominent sympathetic anomalies (Abaji et al., 2016; Hutchison et al., 2017), mixed effects (La Fountaine et al., 2016), or increased sympathetic tone (Senthinathan et al., 2017).

c) Anomalies in ANS functioning were apparent in athletes at varying timeframes post injury with evaluation ranging from within the first few days of injury (Gall et al., 2004a; La Fountaine et al., 2011; La Fountaine et al., 2016) to several months post injury (Abaji et al., 2016).

### 3.4. Insights from controlled general population studies

Key characteristics of the 15 controlled studies including general population samples are summarized in Table 4. Five studies sampled emergency department populations (Junker et al., 1997; Liao et al., 2016; Su et al., 2005; Sung, Chen, et al., 2016; Sung, Lee, et al., 2016), three sampled vision rehabilitation center patients (Thiagarajan & Ciumfreda, 2015; Truong & Ciumfreda, 2016a, 2016c), three studies sampled university students (Hanna-Pladdy, Berry, Bennett, Phillips, & Gouvier, 2001; Hilz et al., 2015; van Noordt & Good, 2011), one recruited from the community (Temme et al., 2016), and the remainder did not clearly report source of sample. In studies that included data amenable to effect size calculation, the strongest findings for each study on ANS variables ranged from $d=0.45$ (Sung, Chen, et al., 2016) to $d=10.0$ (Thiagarajan & Ciumfreda, 2015); the strongest finding for each study is listed in Table 4. The methodological diversity of the studies and the samples evaluated precluded combination of data by meta-analytic/pooling methods. The methods for
### Table 4
Summary of controlled studies examining ANS functioning after concussion

<table>
<thead>
<tr>
<th>First Author (year)</th>
<th>Location</th>
<th>Population (concussion criteria)</th>
<th>Concussed Sample, age in years</th>
<th>Control Sample, age in years</th>
<th>ANS evaluation methods</th>
<th>Time post-injury</th>
<th>Main findings</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sports Concussion Studies</strong></td>
<td></td>
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<tr>
<td>Abaji (2016) Quebec, Canada</td>
<td>University football and volleyball (AAN and McRory 2009)</td>
<td>n = 12, age 21.4 (1.1), all male; none with LOC or amnesia, all symptom free.</td>
<td>n = 12, age 22.1 (1.6), all male; matched.</td>
<td>Heart rate variability at rest and during hand grip contraction exertion condition</td>
<td>95 (63) days.</td>
<td>Concussion was associated with reduced high frequency power (more parasympathetic withdrawal) during exercise condition ($d = 0.87$) which was also reflected in the ratio of high to low frequency spectral power in the trace ($d = 2.86$).</td>
<td></td>
</tr>
<tr>
<td>Bailey (2013) Wales, UK</td>
<td>Professional boxers (not stated)</td>
<td>n = 12, age 27 (4), male professional boxers</td>
<td>n = 12, age 28 (4), physical fitness matched males</td>
<td>Baroreflex functioning via middle cerebral artery perfusion changes during leg cuff deflation.</td>
<td>n/a current boxers all with repetitive trauma</td>
<td>Rate of baroreflex response to compensate for simulated orthostatic challenge was slower in boxers ($d = 2.0$) and the overall extent to which cerebral perfusion was maintained despite changes in mean non-cranial pressure was also impoverished in the boxers ($d = 2.6$).</td>
<td></td>
</tr>
<tr>
<td>Clark (2016) Ohio, USA</td>
<td>College athletes (not stated)</td>
<td>n = 8, gender not stated, age 18–26</td>
<td>n = 9 age-matched</td>
<td>Looked at heart rate at which exercise induced carotid murmur occurred</td>
<td>Not stated</td>
<td>Murmur in the control group occurred at 150 bpm and in the concussed group at 120 bpm ($d = 2.41$).</td>
<td></td>
</tr>
<tr>
<td>Gall (2004a) British Columbia, Canada</td>
<td>Ice hockey (Canadian Hockey Association Guideline)</td>
<td>n = 14, age 18.1 (0.4), male ice hockey players</td>
<td>n = 14, age 18.8 (0.4); matched.</td>
<td>Heart rate variability at rest, and during moderate and intense exercise bouts on stationary bicycle.</td>
<td>Evaluated at rest at 1.8 (0.2) days. First exercise test at 5.0 (1.4) days, repeated 5 days later.</td>
<td>No differences in heart rate variability measures at rest. Across both exercise bouts (when symptom free), the spectral power associated with parasympathetic and sympathetic regulation of heart rate was weaker in the concussed group by 33%. (low frequency $d = 2.6$; high frequency $d = 3.2$).</td>
<td></td>
</tr>
<tr>
<td>Gall (2004b) British Columbia, Canada</td>
<td>Ice hockey (Canadian Hockey Association Guideline)</td>
<td>n = 14 male junior ice hockey players; a) n = 9, age 17.8 (0.5), missed time b) n = 5, age 18.8 (0.8), no missed time</td>
<td>n = 14 matched male players; a) n = 9, age 18.7 (0.4) b) n = 5, age 19.0 (0.8)</td>
<td>Heart rate response to exercise bouts on a stationary bicycle.</td>
<td>6.7 (1.8) days for missed time group; 2.0 (0.5) days for no missed time. Repeated 5 days later.</td>
<td>For the missed time group, rise in heart rate was significantly greater in the concussed group over the first 8 minutes of exercise than the control group – they displayed a greater rise in heart rate over time ($d = 5.26$ missed time group). Heart rate was still elevated at the second evaluation point when symptom free or returned to play.</td>
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<tr>
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<tbody>
<tr>
<td>Hutchison (2017)</td>
<td>Ontario, Canada</td>
<td>University – multiple sports (similar to ACRM)</td>
<td>(n = 21), age 21 (2.5), 10 female</td>
<td>(n = 21), age 21 (2.5), 10 female; matched.</td>
<td>Heart rate variability – two seated 5 min intervals reported on.</td>
<td>In first week; after symptoms resolved; 1 week after clearance to return to play 34.7 (37.7) days.</td>
<td>Anomalies in heart rate variability measures in concussed athletes were noted at all three time points – primarily due to decreased parasympathetic activity ((d = 0.41)) with more prominent effects in women. Overall variance in R-R intervals ((d = 0.48)). Anomalies were apparent at return to play.</td>
</tr>
<tr>
<td>La Fountaine (2009) New York, USA</td>
<td>Athletes (not stated)</td>
<td>(n = 3), age 19 (2), 1 female, athletes with first concussion</td>
<td>(n = 3), age 19 (2), 1 female, matched.</td>
<td>5 min HRV analysis at rest followed by 3 min with isometric handgrip.</td>
<td>Within 48 hours and repeat at day 14.</td>
<td>No differences for heart rate variability or heart rate at either time point. Heart rate complexity was lower in the concussion group at 48 hours in handgrip condition ((d = 3.25)) but not at 14 days. At 48 hours, the QTVI reflected greater impairment in the concussion group ((d = 5.25)) than in the matched controls – assumed vagal dysfunction. At weeks 1 and 2, the QTVI normalized to the levels of the control group.</td>
<td></td>
</tr>
<tr>
<td>La Fountaine (2011) New York, USA</td>
<td>Athletes (not stated)</td>
<td>(n = 3), age 19 (2), 1 female, athletes with first concussion</td>
<td>(n = 3), age 19 (2), 1 female, matched.</td>
<td>Evaluated vagal modulation of heart rate using a QT interval variability index (QTVI)</td>
<td>48 hours post injury, and 1 and 2 weeks post initial visit</td>
<td>The systolic slope of the arterial pulse wave was reduced in concussed athletes at both time points (greatest in hand grip at 48 hours, (d = 0.52)) and was more pronounced in participants with more severe concussions – likely reflective of abnormal arterial stiffness and fall in stroke volume due to autonomic anomalies.</td>
<td></td>
</tr>
<tr>
<td>La Fountaine (2016) New Jersey, USA</td>
<td>Athletes (not stated)</td>
<td>(n = 10), age 19 (1), 2 female</td>
<td>(n = 7), age 20 (1), 1 female; matched</td>
<td>Arterial pulse wave analysis 5 min during rest and one min during isometric handgrip test conditions.</td>
<td>48 hours and again at one week post-injury.</td>
<td>The systolic slope of the arterial pulse wave was reduced in concussed athletes at both time points (greatest in hand grip at 48 hours, (d = 0.52)) and was more pronounced in participants with more severe concussions – likely reflective of abnormal arterial stiffness and fall in stroke volume due to autonomic anomalies.</td>
<td></td>
</tr>
<tr>
<td>Senthinathan (2017) Ontario, Canada</td>
<td>University sports teams (similar to ACRM)</td>
<td>(n = 11), age 19.9 (0.8), 7 female</td>
<td>(n = 11), age 20.3 (0.6), 7 female; matched</td>
<td>Heart rate variability – two seated 5 min intervals and one 5 min standing interval.</td>
<td>1st week post injury, 4.7 (2.1) days; after symptoms resolved, 18.1 (7.9) days; 1 week after clearance to play 25.5 (8.2) days</td>
<td>Increased sympathetic and reduced parasympathetic involvement in heart rate variability across all time points while seated (max (d = 1.75) – concussed sample anomalies reducing over time but some anomalies persisting after clearance to return to play. No absolute group differences when standing but HRV change measures from sit to stand showed less adaptive change in concussed group.</td>
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<tr>
<td>Capó - Aponte (2013) Maryland, USA</td>
<td>Military personnel (ACRM)</td>
<td>$n = 20$, age 32.7 (6.9), 6 female</td>
<td>$n = 20$, age 29.7 (7.7), 2 female</td>
<td>Pupillary response to light flashes</td>
<td>15–45 days post injury</td>
<td>Constriction latencies and velocities slower in mTBI, rate of redilation also slower (max $d = 7.1$)</td>
</tr>
<tr>
<td>Hanna-Pladdy (2001) Louisiana, USA</td>
<td>University students (ACRM)</td>
<td>a) Symptomatic group – $n = 22$, age 22.7 (4.3), 15 female&lt;br&gt;b) Asymptomatic group – $n = 22$, age 23.9 (7.3), 9 female</td>
<td>a) Symptomatic group – $n = 22$, age 20.4 (4.8), 18 female&lt;br&gt;b) Asymptomatic group – $n = 22$, age 21.6 (3.0), 14 female</td>
<td>Skin conductance, and heart rate response to simulated stressful condition in the form of aversive noise through headphones.</td>
<td>1–15 years (modal 4–6 years)</td>
<td>Participants with concussion history had highest heart rates and skin conduction changes in response to stress condition. They also displayed cognitive vulnerability to stress (data for effect size calculation not reported).</td>
</tr>
<tr>
<td>Hilz (2011) New York, USA Erlangen, Germany</td>
<td>“patients” unclear source (WHO)</td>
<td>$n = 20$, age 37.0 (13.3), 3 female</td>
<td>$n = 20$ age 25.6 (8.8), 5 female</td>
<td>Heart rate variability analysis at supine rest and in first 60 seconds standing.</td>
<td>20 (11) months post injury (range 5–43 months)</td>
<td>Concussed group had significantly less heart rate variability in both the supine ($d = 0.62$) and standing conditions ($d = 0.83$) compared with control group. Spectral analysis demonstrated reduced parasympathetic modulation with a shift toward higher sympathetic activity at rest and reduced baroreflex buffering capacity on standing.</td>
</tr>
<tr>
<td>Hilz (2015) Erlangen, Germany</td>
<td>University TBI registry (WHO)</td>
<td>$n = 24$, age 34 (12), 7 female</td>
<td>$n = 27$, aged 30 (11), 10 female</td>
<td>Eyeball pressure (EP) activates the oculocardiac reflex arch which enhances PNS tone. Evaluated heart rate variability with 2 min eyeball pressure.</td>
<td>32 (24) months post injury (range 5–86 months)</td>
<td>EP resulted in increased shift of autonomic balance to parasympathetic increases as expected in the control group, but the opposite reaction, balance shift toward sympathetic increases in the concussed group. Differences on multiple measures (max $d = 1.0$)</td>
</tr>
<tr>
<td>Hilz (2016) Erlangen, Germany</td>
<td>“Patients” unclear source (WHO)</td>
<td>$n = 25$, age 35.0 (13.2), 7 female</td>
<td>$n = 29$, age 31.2 (12.2), 9 female</td>
<td>Heart rate variability, continuous BP, respiration at rest and in response to Valsalva maneuver</td>
<td>34 (29) months post injury (range 4 to 98 months)</td>
<td>Heart rate variability measures lower at rest in mTBI group (max $d = 0.70$). Heart rate variability was not different in the Valsalva challenge but patients with mTBI were slower to normalize blood pressure ($d = 0.88$)</td>
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<tr>
<td>Junger (1997)</td>
<td>Washington, USA</td>
<td>Emergency department (GCS 13–15 within 8 hrs)</td>
<td>n = 29, aged 38 (16), 6 female</td>
<td>n = 29, age 38 (16), 11 female</td>
<td>Cerebral blood flow velocity response to induced rapid brief changes in arterial blood pressure (caused by leg cuff deflation)</td>
<td>Within 48 hours of injury</td>
<td>Auto-regulation response was impaired in 8 of the 29 patients with mTBI (outside the lowest response of controls; max $d = 1.0$)</td>
</tr>
<tr>
<td>Liao (2016)</td>
<td>Taipei, Taiwan</td>
<td>Emergency department (WHO)</td>
<td>n = 165, age 40.1 (11.1) years, 100 female, at one week</td>
<td>n = 82, age 30.7 (12.1), 58 female</td>
<td>5 min resting heart rate variability analysis performed twice</td>
<td>First evaluation within two weeks, follow up week 6 and 12</td>
<td>Reduced heart rate variability measures in mTBI group at all time points. At first time point max $d = 0.62$ (high dropout rate at follow up)</td>
</tr>
<tr>
<td>van Noordt (2011)</td>
<td>Ontario, Canada</td>
<td>University students (Head injury with altered state of consciousness)</td>
<td>n = 18, (age and gender not separately reported – entire sample age 19.8 (2.3), 33 female)</td>
<td>n = 26</td>
<td>Electrodermal activity as a measure of sympathetic arousal in response to cognitive challenge.</td>
<td>not stated</td>
<td>Participants with mTBI had lower arousal when preparing to make a decision – interpreted as diminished physiological responses in mTBI group – but most comparisons were not significant.</td>
</tr>
<tr>
<td>Su (2005)</td>
<td>Hualien, Taiwan</td>
<td>Emergency department (GCS 15)</td>
<td>n = 18, mean age 26.6 (range 13–42), 26% female in larger sample</td>
<td>n = 17, aged 18–43, unreported gender</td>
<td>Heart rate variability, 5 min at rest</td>
<td>Immediately after admission</td>
<td>No significant differences between GCS15 and controls for LF, HF, and LF/HF</td>
</tr>
<tr>
<td>Sung (2016)</td>
<td>Taipei, Taiwan</td>
<td>Emergency department (GCS13-15 with normal scan)</td>
<td>n = 181, age 38.5 (9.2), 109 female</td>
<td>n = 83, age 30.5 (10.4), healthy volunteers</td>
<td>5 min resting heart rate variability analysis performed twice</td>
<td>Within first week, follow up at 1.5, 3, 6, 12, and 18 months post injury</td>
<td>At initial evaluation lower HRV in concussion (max $d = 0.55$). Lower parasympathetic tone was found in 12- and 18-month evaluations when compared to first week values in female patients.</td>
</tr>
<tr>
<td>Sung (2016)</td>
<td>Taipei, Taiwan</td>
<td>Emergency department (GCS13-15 with normal scan)</td>
<td>n = 440 total, completers</td>
<td>n = 331, median age 40, 200 female At six weeks n = 125</td>
<td>Heart rate variability, 5 min at rest</td>
<td>Baseline within two weeks but referred to as “1st week”; follow up at 6th week</td>
<td>Reduced heart rate variability in mTBI group at time 1 (max $d = 0.40$) and time 2 (max $d = 0.45$)</td>
</tr>
<tr>
<td>Temme (2016)</td>
<td>Alabama, USA</td>
<td>Community (ACRM)</td>
<td>n = 36, 9 female, age 25.5 (5.4)</td>
<td>n = 36, 9 female, age 24.9 (4.9), matched</td>
<td>Pupillary response to flashes of light in the context of hypoxic physical stressor</td>
<td>3.1 (2.7) years</td>
<td>No differences in pupillary response were found between groups</td>
</tr>
<tr>
<td>Thiagarajan (2015)</td>
<td>New York, USA</td>
<td>Vision rehabilitation center (not stated)</td>
<td>n = 17, age 31 (6), 12 female (note age sig different)</td>
<td>n = 15, age 26 (5)</td>
<td>Pupillary response to light flashes</td>
<td>Greater than one year</td>
<td>Overall findings suggest intact parasympathetic response but abnormal sympathetic pupillary control system (max $d = 10.00$).</td>
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Table 4 (Continued)

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<tr>
<td>Truong (2016a)</td>
<td>New York, USA</td>
<td>Vision rehabilitation center (not stated)</td>
<td>n = 32, age 37 (11), had multiple previous brain injuries; gender not stated</td>
<td>n = 40, age 33 (12)</td>
<td>Pupillary response to light flashes of varying intensity &gt;45 days post injury</td>
<td>In concussion group longer constriction latency, slower velocities, and smaller pupil diameters at baseline were noted. Anomalies in parasympathetic and sympathetic pupillary response parameters were identified (max $d = 5.20$). No differences in static or dynamic pupillary responses were found between groups.</td>
</tr>
<tr>
<td>Truong (2016c)</td>
<td>New York, USA</td>
<td>Vision rehabilitation center (not stated)</td>
<td>n = 32, age 37 (11), gender not stated</td>
<td>n = 40, age 33 (12)</td>
<td>a) Baseline static diameter of pupil prior to light pulse, b) amplitude of change in pupillary diameter in response to light pulses &gt;45 days post injury</td>
<td>No differences in static or dynamic pupillary responses were found between groups.</td>
</tr>
</tbody>
</table>

ACRM = American Congress of Rehabilitation Medicine; AAN = American Academy of Neurology; ANS = autonomic nervous system; ECG = electrocardiogram; GCS = Glasgow Coma Score; IED = improvised explosive device; mTBI = mild traumatic brain injury; WHO = World Health Organization.

ANS evaluation were more diverse in the general population studies than the athlete studies but findings were consistent; concussed populations relative to control groups demonstrated:

a) Reduced parasympathetic involvement (8 of 13 studies (Capó-Aponte, Urosevich, Walsh, Temme, & Tarbett, 2013; Hilz et al., 2011; Hilz et al., 2016; Liao et al., 2016; Sung, Chen, et al., 2016; Sung, Lee, et al., 2016; Thiagarajan & Ciuffreda, 2015; Truong & Ciuffreda, 2016a) with the remainder reporting no significant parasympathetic change).

b) Less efficient or suboptimal response to autonomic challenge (11 of 14 studies, (Capó-Aponte et al., 2013; Hanna-Pladdy et al., 2001; Hilz et al., 2011; Hilz et al., 2016; Junger et al., 1997; Liao et al., 2016; Sung, Chen, et al., 2016; Sung, Lee, et al., 2016; Thiagarajan & Ciuffreda, 2015; Truong & Ciuffreda, 2016a; van Noordt & Good, 2011).

c) Impaired ANS functioning over a wide time-frame post-injury, ranging from the first 48 hours (Junger et al., 1997) to several years (Hanna-Pladdy et al., 2001; Hilz et al., 2015; Hilz et al., 2011; Hilz et al., 2016).

Two studies demonstrated notable sympathetic nervous system hypersensitivity (Hilz et al., 2015; Hilz et al., 2011), but as with sports concussion studies SNS anomalies were variable in both detection and direction. In one interesting study, university students with a remote concussion history several years earlier were evaluated for cognitive functioning and autonomic reaction to the environmental stressor of unpleasant noise via headphones (Hanna-Pladdy et al., 2001). Concussed students had larger increases in sympathetic nervous system responses and decreases in cognitive processing efficiency. These findings were more pronounced in students with concussion who also reported postconcussive symptoms at the time of the study.

4. Conclusions

This study was designed to answer the question: “Does concussion cause ANS anomalies?” Based on a systematic review that followed the protocols outlined by the AAN the following conclusion was reached: “It is ‘likely’ that concussion causes ANS anomalies.”
The mechanism of action between concussion and ANS dysfunction has yet to be fully elucidated. Potentially, ANS anomalies after concussion are the direct physiologic effect of damage to central and peripheral ANS structures. Some authors have proposed that structures in the region of the midbrain (where many central autonomic regulators reside) may be preferentially vulnerable to the impact of concussion (Jang & Kwon; 2017; Ropper & Gerson, 2007). Animal models of concussion suggest that brain stem anomalies after concussion can contribute to ANS dysregulation (Sinha et al., 2017). Peripheral ANS structures vulnerable to forces involved in concussion include the cervical sympathetic chain (SNS) and the vagus nerve and its branches (PNS) which pass through the cervical spine region. Damage to cervical spinal structures in injuries such as whiplash and cervical strain are known to correlate with ANS anomalies including dysfunction in the cervical sympathetic chain in some patients (Adeboye, Emerton, & Hughes, 2000; Sterling, 2011). There is marked overlap between the symptoms of concussion and cervical strain/whiplash injuries and a growing body of research supports increased clinical attention to neck pathology in patients with concussion (Morin et al., 2016).

Some authors have found correlates between level of emotional distress after concussion and autonomic anomalies. It is unclear at this point to what extent the emotional impact of concussion reflects the physiologic impact of situational/physical/emotional stressors associated with the injury or direct physiologic impact of the injury to the emotional circuitry in the brain (X. Wang et al., 2017). It is also unclear to what extent circuitry associated with resilience, such as pain resilience might also be compromised (Leung et al., 2016). In one study included in the analysis (Liao et al., 2016), patients with mTBI had impaired autonomic functioning relative to controls, regardless of whether or not they reported high or low levels of anxiety; but those patients with mTBI with anxiety displayed more prominent ANS dysfunction on HRV analysis.

The relationship between concussion and the autonomic nervous system is relevant to the field for multiple reasons:

**The relationship found between concussion and ANS anomalies is supportive of a paradigm shift in the field that supports including non-central nervous system factors in research and clinical models.**

The ANS and other body systems are involved in optimizing physiologic functioning to match the circumstances that the individual is facing. Only a partial understanding of concussion will emerge if the field continues to focus almost exclusively on its central nervous system effects. Important physiologic principles associated with dysregulation of the ANS, HPA axis, the immune system, and other body systems may result from a broader focus of research.

The relationship between concussion and ANS anomalies provides a plausible mechanism that accounts for the similarity of concussion symptoms with other medical conditions. Some of the research related to the similarity of concussion symptoms and the symptoms associated with other medical conditions was presented in the introduction to this paper. Because the data supports a likely relationship between ANS dysfunction and concussion that can persist over months and years post-injury, it should not be surprising that other medical conditions associated with ANS anomalies (chronic pain, orthostatic hypotension, chronic fatigue, anxiety, depression, whiplash, insomnia, etc.) have symptom overlap with concussion. We reiterate that ANS dysfunction is only one aspect of the neuropathology of concussion that has the potential to contribute to symptoms. Other variables such as mitochondrial dysfunction, micro-hemorrhages, axonal injury, neuroinflammatory responses, pituitary dysfunction, and other variables may also be active contributors to ongoing symptoms in some patients (Hadanny & Efrati, 2016; Signoretti, Vagnozzi, Tavazzi, & Lazzarino, 2010; Tanriverdi et al., 2010; Wofford et al., 2017). While likely not the only variable involved in persistent postconcussive complaints, the shared ANS pathology with other medical conditions clarifies why concussion does not produce a unique symptom profile.

**4.1. ANS informed Concussion Treatment**

The relationship between concussion and ANS anomalies can inform treatment decisions with patients presenting with postconcussive complaints. A more complete understanding of the physical impact of concussion can alert clinicians to a wider range of treatment possibilities.

In making treatment decisions, practitioners in the area of concussion are not armed with a solid foundation of evidence-based options founded in high quality randomized controlled trials. As noted in the AAN manual that formed the core methodology of this review, evidence in the form of randomized controlled trials is only one source of knowledge that
clinicians use to make treatment decisions. Other factors include awareness of “neuroanatomic principles” and intuitive clinical “judgment.” Increased awareness of the neuroanatomic principles of concussion in relationship to the ANS opens up opportunities for a wider range of plausible interventions and a rationale for streamlining treatment decisions. There are several ways in which incorporating an ANS framework into rehabilitation informs treatment.

a) Several authors have proposed that treatment modalities that directly target ANS imbalances may be useful in concussion populations. Among the modalities proposed is biofeedback training in various forms (Conder & Conder, 2015; Jenkins, 2012; Lagos et al., 2012; Lagos, Thompson, & Vaschillo, 2013; Tegeler et al., 2016; Thompson, Thompson, & Reid-Chung, 2015). Noninvasive vagal nerve stimulation (Clancy et al., 2014; Kirsch & Smith, 2000) is another potential direct ANS intervention, although it has not yet been explored in concussion populations.

b) It is also relevant that concussion does not always occur in patients who are in perfect physical condition. Patients may present with a number of physical conditions prior to their injury that place strain on the ANS. In addition to the direct effects of concussion on ANS functioning, associated injury factors such as pain may further encumber optimal ANS functioning. Consider a 50-year-old male who is concussed in a fall from a ladder. He has a pre-injury history of depressed mood and chronic workplace stress; following the concussion he experiences insomnia, chronic pain, and deconditioning due to a leg fracture associated with the fall. The clinician is working with a patient who has at least five variables negatively impacting ANS functioning in addition to concussion. It is our clinical experience that a holistic approach that takes all these variables into account in the treatment planning is much more likely to lead to resolution of post-concussive complaints than one that is narrowly focused on any one of these variables.

c) An awareness that ANS dysfunction and associated persistent cerebral perfusion changes may be among the prominent contributors to post-concussive complaints opens up a range of therapeutic opportunities for supporting ANS balance in the injured patient. Clinicians can provide individually timed sequential education regarding the many behavioral variables that can empower patients to stabilize the ANS and reduce symptoms. Opportunities for intervention include:

- Efforts to reduce unpredictability and chronic stress by establishing a sustainable daily routine, liaising with employers or school personnel, or efforts to reduce relationship/family distress and role ambiguity in the face of injury (Lennartsson, Jonsdottir, & Sjors, 2016; Thayer, Ahs, Fredriksson, Sollers, & Wager, 2012).
- Education regarding nutrition variables that impact the ANS (Buchhorn, Hauk, Meint, & Willaschek, 2016; Dippacher, Willaschek, & Buchhorn, 2014; Kitamura, Torii, Uneyama, & Niijima, 2010; Kopp, 2009; Luyer, Habes, van Hak, & Buurman, 2011).
- Graded exercise programs (Gagnon, Galli, Friedman, Grilli, & Iverson, 2009; J. Leddy et al., 2016; Sanudo, Carrasco, de Hoyo, Figueroa, & Saxton, 2015; C. O. Tan, Meehan, Iverson, & Taylor, 2014)
- Relaxation and meditation protocols in their various forms (Charalambous, Giannakopoulou, Bozas, & Paikousis, 2015; Jerath, Edry, Barnes, & Jerath, 2006; Pal, Velkumary, & Madanmohan, 2004; Tang, Holzel, & Posner, 2015).
- Positive event scheduling (Kop et al., 2011; McCrory, Atkinson, Tiller, Rein, & Watkins, 1995)
- Treatment of cervical strain, mobility, and pain issues (Marshall, Vernon, Leddy, & Baldwin, 2015; Morikawa et al., 2017; Morin et al., 2016; Ye, Lee, Lin, & Chuang, 2017)

These types of intervention have not been validated for patients with concussion in high quality randomized controlled trials (no AAN Grade I or II trials) to date. Patients can be informed of this and educated that the individually tailored application in concussion rehabilitation for persistent symptoms is indicated given our awareness of “neuroanatomic principles” associated with concussion and the impact of such variables on ANS optimization.
Corrected Proof

Detailed discussion of how these techniques can be applied to patients with concussion and how they benefit autonomic functioning is beyond the scope of this paper. This section on treatment is intended to briefly highlight some promising opportunities for concussion rehabilitation that are informed in part by an awareness of the “likely” relationship between concussion and autonomic functioning.

d) Prescribing providers should be cautious in prescribing medications that have the potential to further undermine ANS functioning in patients with concussion. For patients whose response to ANS stabilizing behavioral interventions is suboptimal, or those who prefer a non-behavioral treatment approach, medication intervention in ANS functioning may be a fruitful option. Many patients may have pre-existing prescriptions for ANS impacting medications that may need to be re-considered in the face of their injury. The classes of medication that have the potential to deleteriously impact ANS functioning are many and because the body is a unitary system there may be few medications that do not impact the ANS (Pleuvry, 2007). Providers should consider the potential ANS impact of medications as part of their decision-making for treatment interventions. Classes of medication where caution is indicated include SNRI and (to a lesser extent) SSRI medications, tricyclic antidepressants, antihistamines, antipsychotics, and beta blockers (Becker, 2012; Koschke et al., 2009).

4.2. Limitations of the systematic review

The present systematic review is limited by a number of external and internal variables. External variables such as publication of positive results biases, limited understanding of which measures are most sensitive to ANS anomalies in concussion research, and very few standardized assessment procedures limit the quantity and quality of data available for incorporation into a systematic review.

Internal variables that limit the quality of this review include the inherent subjectivity associated with development of the study question, study identification and selection decisions, and risk of bias evaluation processes. We sought to limit subjectivity in our processes by rigidly following published PRISMA, AAN, and Institute of Medicine guidelines. Clearly defining the inclusion criteria, and operationalizing bias evaluation criteria was also employed to reduce subjectivity. Creation of independent, two party, data extraction at the screening and eligibility stages of the evaluation was also employed to reduce subjectivity. Even so, ratings reported in this paper reflect the subjective opinions of the authors to some extent. For example, our decision to judge concussion definitions that relied solely on Glasgow Coma Scale score as inadequate may be considered too stringent by some; our decision to include multiple commonly used concussion definitions (ACRM, WHO, DOD) may be considered too liberal by others. However, where appropriate, we have attempted to be explicit regarding our decision making to facilitate the reader’s interpretation of the results.

It is also possible, if not probable, that we did not identify every study relevant to our PICOS question in the timeframe considered (articles available through the end of 2016). This would reflect a weakness in the search strategy and identification stage. To limit the risk of under-identification, we employed the support of a medical librarian, conducted searches on multiple databases, and crosschecked our results and refined the search terms using key articles in the field that were identified in a preliminary search. We also included broad search headings to compensate for the observation that many concussion studies are indexed in databases under broader categories of brain injury. This resulted in a large number of titles and abstracts to review (over 5,000), and while this was labor intensive it was considered warranted to minimize the risk of excluding relevant articles by employing more narrow terms.

Many systematic reviews identify a principle summary measure for the outcome of interest (e.g. relative risk of mortality, or mean difference in viral load in response to a treatment (Liberati et al., 2009). This was not possible in the current study as measures of ANS functioning employed in concussion research are diverse. There were no two studies that employed an identical ANS outcome evaluation procedure. This precluded both meta-analytic combination of data across studies and also defining a principle/primary summary measure of ANS functioning. The lack of specificity in outcome measure designation reflects the lack of homogeneity in the available research and is reflected in the broad research question and non-specific conclusions that are drawn. We can conclude that concussion “likely” causes ANS anomalies but cannot specify with systematic precision what outcomes are most affected or the magnitude of those effects.
4.3. Recommendations for future research

Many of the articles included in the final analysis of this paper would have warranted a higher AAN grading if they had included a) appropriate inclusion and exclusion criteria, and b) a clear definition of how concussion groups were diagnosed and what criteria was employed to define concussion. Incorporating these fundamental design factors would appear to place minimal extra burden or expense on future research studies. Seven of the 25 studies included in the analysis did not include inclusion-exclusion criteria (automatic downgrade to class 3 or below), and 13 of 25 had inadequate or even absent description of how concussion was determined (automatic downgrade to class 4, see Table 2). No Class I studies were identified in the review, the primary reason for this being the absence of studies that included prospective (baseline) data collection. In clarifying causation in naturalistic human samples, well-designed prospective cohort studies are an optimal approach.

The articles reviewed presented a range of innovative assessment tools that can be incorporated into future research – many of these were non-invasive, placed minimal time demands on participants, and used equipment that is only of modest cost (compared to other forms of research in the area such as fMRI). These observations suggest that this area of research is relatively practical and affordable in the context of the field as a whole.

Significant findings were apparent even in studies with very small sample sizes, which supports the potential benefit of research investment into larger studies. Clearly, prospective studies (with baseline data collection), larger sample sizes, multimodal evaluation (ANS, CNS, cognitive, behavioral variables etcetera), serial assessment over time and at varying intervals post-injury, and incorporating ANS variables into treatment efficacy studies would enhance the quality of future research.

4.4. Executive summary

Concussion “likely” causes anomalies in ANS functioning. An awareness of this relationship not only increases our awareness of the physical impact of concussion, but it also further legitimizes the experience of patients with persistent symptoms, partially explains the overlap of concussion symptoms with those of many other medical conditions, presents opportunities for a potentially fruitful area of concussion research, and has the potential to powerfully inform treatment decisions. We plan to publish a companion article to this systematic review that outlines a stepwise concussion rehabilitation framework that is informed by the ANS principles included in this paper.

Conflict of interest

All of the authors declare that they have no conflict of interest. Dr. Merkley was granted research time through the Barrow Neurological Institute. Otherwise, no sources of funding were employed at any stage in the development of this paper.

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