

Research Report

Assessing the Role of Locus Coeruleus Degeneration in Essential Tremor and Parkinson's Disease with Sleep Disorders

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Abstract.

Background: Previous studies have demonstrated the importance of the locus coeruleus (LC) in sleep-wake regulation. Both essential tremor (ET) and Parkinson's disease (PD) share common sleep disorders, such as poor quality of sleep (QoS). LC pathology is a feature of both diseases. A question arises regarding the contribution of LC degeneration to the occurrence of poor QoS.

Objective: To evaluate the association between LC impairment and sleep disorders in ET and PD patients.

Methods: A total of 83 patients with ET, 124 with PD, and 83 healthy individuals were recruited and divided into ET/PD with/without poor QoS (Sle/NorET and Sle/NorPD) subgroups according to individual Pittsburgh Sleep Quality Index (PSQI) score. Neuromelanin-sensitive magnetic resonance imaging (NM-MRI) and free-water imaging derived from diffusion MRI were performed. Subsequently, we evaluated the association between contrast-to-noise ratio of LC (CNR_{LC}) and free-water value of LC (FW_{LC}) with PSQI scores in ET and PD groups.

Results: CNR_{LC} was significantly lower in ET ($p=0.047$) and PD ($p=0.018$) than in healthy individuals, whereas no significant difference was found in FW_{LC} among the groups. No significant differences were observed in CNR/FW_{LC} between patients with/without sleep disorders after multiple comparison correction. No correlation was identified between CNR/FW_{LC} and PSQI in ET and PD patients.

Conclusions: LC degeneration was observed in both ET and PD patients, implicating its involvement in the pathophysiology of both diseases. Additionally, no significant association was observed between LC integrity and PSQI, suggesting that LC impairment might not directly relate to overall QoS.

Keywords: Essential tremor, Parkinson's disease, locus coeruleus, magnetic resonance imaging, sleep disorders

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PLAIN LANGUAGE SUMMARY

A brain nucleus named locus coeruleus (LC) is important in sleep-wake regulation, and poor quality of sleep (QoS) is common in essential tremor (ET) and Parkinson's disease (PD) patients. Previous studies demonstrated the LC is impaired in ET and PD. We proposed that LC impairment might be related to LC degeneration. The contrast-to-noise ratio of LC (CNR_{LC}) and free-water value of LC (FW_{LC}) acquired from MRI scans, and Pittsburgh Sleep Quality Index (PSQI) score can reflect LC impairment and quality of sleep, respectively. To test this proposal, we recruited 83 patients with ET, 124 with PD, and 83 healthy individuals and divided them into ET/PD with/without poor QoS (Sle/NorET and Sle/NorPD) subgroups and MRI scans were performed. We found that CNR_{LC} was lower in ET and PD than in healthy individuals, but nor was FW_{LC} . The CNR/FW_{LC} were equal between patients with/without poor QoS, and we found there were no correlation between CNR/FW_{LC} and PSQI score in ET and PD patients. These results suggested that although the LC is impaired in ET and PD, it might not be directly linked to overall sleep quality.

INTRODUCTION

Essential tremor (ET) and Parkinson's disease (PD) are the two most prevalent movement disorders, primarily characterized by their respective classical motor symptoms. Clinically, the former is defined as "isolated action tremor of bilateral upper limbs", whereas the latter encompasses symptoms such as rest tremor, rigidity, bradykinesia, and postural and gait instability [1, 2]. Furthermore, comprehensive studies have indicated that individuals with ET or PD might also experience similar nonmotor symptoms, including sleep disorders [3–5], cognitive impairment [3, 6], and a spectrum of neuropsychiatric disorders [3, 7, 8]. Particularly in recent years, an increasing number of studies have shed light on sleep disorders in ET and PD, such as poor quality of sleep (QoS), rapid eye movement sleep behavior disorder (RBD), obstructive sleep apnea, and others. It has also been suggested that individuals with sleep disorders have an elevated risk of developing neurodegenerative disorders and that the progression of those disorders might be accelerated [9, 10]. This can be attributed to the impaired clearance of waste

proteins during periods of inadequate sleep, potentially contributing to long-term neurodegenerative processes [11].

Of all the sleep problems mentioned above, poor QoS is the most frequent issue in ET patients. In PD, besides RBD, poor QoS is also associated with cognitive impairments, mobility issues, anxiety, and freezing of gait [12]. However, the neural mechanism underlying poor QoS in patients with ET and PD remains unknown. Sleep behavior is regulated by the ascending reticular activating system (ARAS), within which the locus coeruleus (LC) is a crucial nucleus [13]. The LC acts as the primary source of norepinephrine in the brain and plays a predominant role in sleep-wake regulation [14]. Findings from animal experiments and studies conducted on healthy subjects have revealed that the LC is involved in arousal [15, 16], sleep-wake transitions [15, 17], and emotional regulation [18]. Consequently, damage to the LC can disrupt these functions and impact QoS. Previous studies have already examined and found a strong association between LC degeneration and RBD in individuals with PD [19]. Furthermore, LC degeneration has also been associated with mild sleep disturbance in PD [20]. However, the impact of LC degeneration on sleep disorders in ET, specifically the decline in QoS, remains unknown. Therefore, the main objective of our research is to investigate whether LC degeneration is associated with the decline in QoS in PD or ET.

Due to the small size of the LC, conventional structural magnetic resonance imaging (sMRI) is unable to provide noninvasive imaging of the LC. However, advanced MRI techniques such as neuromelanin-sensitive MRI (NM-MRI) and free-water imaging have emerged as important tools for *in vivo* assessment of LC injury. Currently, NM-MRI is considered the optimal noninvasive *in vivo* imaging modality, with which our team and other researchers have detected LC degeneration in patients with ET and PD [19, 21]. Free-water imaging is a novel imaging technique for detecting LC degeneration with high sensitivity [22], and could therefore serve as a supplementary tool for evaluating LC injury.

Since LC degeneration occurs in both ET and PD patients, the objective of this study was to investigate and compare the relationship between overall sleep quality and LC in ET and PD patients, with and without impaired sleep quality. In addition, we examined the correlation between Pittsburgh Sleep Quality Index (PSQI) score and LC signal intensity (SI) in both ET and PD patients.

MATERIALS AND METHODS

Participants

A total of 290 participants, comprising 83 ET, 124 PD, and 83 healthy control (HC) individuals, were included in this study. All participants were enrolled in the Second Affiliated Hospital of Zhejiang University School of Medicine from March 2019 to June 2023. ET patients were diagnosed in accordance with the Consensus Statement on the classification of tremors [1], and PD diagnoses were confirmed by experienced neurologists based on MDS Clinical Diagnostic Criteria for Parkinson's disease [23]. Participants who met the following criteria were excluded: (1) other neurodegenerative diseases, such as multiple system atrophy, progressive supranuclear palsy, dementia with Lewy bodies; (2) history of cerebrovascular disorders, craniocerebral trauma, neurological surgery, intracranial space-occupying lesions, and other psychiatric diseases; (3) low-quality MRI images containing artifacts; (4) left- or bilateral-handedness in participants; and (5) refusal to sign informed consent or presence of MRI contraindications. MRI scans and clinical evaluations were conducted on the same day for each participant. We further segregated the ET and PD groups into four subgroups for analysis across groups with/without sleep disorders: ET with poor QoS (SleET), ET with normal QoS (NorET), PD with poor QoS (SlePD), and PD with normal QoS (NorPD). Poor QoS was defined in those with a PSQI score > 5 [24].

Ethics statement

Ethical approval for this study was obtained from the ethics committee of the Second Affiliated Hospital of Zhejiang University School of Medicine, and informed consent forms were signed by all participants in accordance with the Declaration of Helsinki.

Clinical assessment

Demographic data of all patients were collected, including age, sex, education, and disease duration. Subsequently, clinical assessments were conducted, covering the following domains: (1) the severity of tremor and its impact on daily life for ET patients, assessed using The Essential Tremor Rating Assessment Scale (TETRAS); (2) PD severity, assessed using Unified Parkinson's Disease Rating Scale-Part III (UPDRS-III); and (3) PSQI score to evaluate the

overall sleep quality during the past month, comprising the following domains: sleep efficiency, sleep latency, sleep duration, sleep disturbance, sleep quality, use of sleep drugs, and daytime dysfunction.

Image acquisition and processing

All participants underwent a 3.0-Tesla MRI scan using a GE Discovery MR750 3.0T MRI scanner, earplugs and foam cushions were equipped to minimize noise and head motion. Three-dimensional T1-weighted structural MRI, NM-MRI, and diffusion tensor imaging (DTI) were performed.

Three-dimensional T1-weighted images were acquired using a fast spoiled gradient sequence: echo time (TE)=3.036 ms; repetition time (TR)=7.336 ms; inversion time = 450 ms; flip angle (FA)=11°; field of view (FOV)=260 × 260 mm²; matrix = 256 × 256; slice thickness = 1.2 mm; number of slices = 196 (sagittal). NM-MRI images were obtained by applying a T1-weighted fast spin echo sequence: TE = 18.6 ms; TR = 600 ms; FA = 77°; FOV = 220 × 220 mm²; matrix = 512 × 512; slice thickness = 3 mm; slice gap = 0 mm; number of slices = 17 (axial). DTI images were obtained by applying a spin echo-echo planar imaging sequence: TR = 8000 ms; TE = 80 ms; FA = 90°; FOV = 256 × 256 mm²; matrix = 128 × 128; slice thickness = 2 mm; slice gap = 0 mm; number of slices = 67 (axial). Diffusion images were acquired from 30 gradient directions ($b = 1000$ s/mm²) and included five acquisitions without diffusion weighting ($b = 0$). An additional $b = 0$ acquisition with reverse phase-encode polarity was acquired for correcting distortion. The scanning coverage extended from the top of the basal ganglia to the bottom of the medulla oblongata, and the acquisition plane was positioned perpendicular to the brainstem.

CNR_{LC} and CNR_{SN} calculations

Two authors (S.C.L. and Y.L.F.), who were blinded to the subjects' information, conducted manual measurements of LC and substantia nigra (SN) independently. The intraclass correlation coefficients of the two measurements were 0.866 and 0.828, respectively. The measurements were performed using ITK-SNAP (<https://sourceforge.net/projects/itk-snap/>), an open-source software toolbox for MRI image segmentation. LC is an elongated subcortical nucleus situated bilaterally at the base of the fourth ventricle within the pontine tegmentum (PT). Therefore, we

delineated circular areas as regions of interest (ROIs) in three continuous slices, either with the highest SI or corresponding to the approximate anatomical location of the LC. A larger ROI of PT was also selected on the same slice to calculate the contrast-to-noise ratio for the LC (CNR_{LC} ; Fig. 1). The methods for outlining the SN were described in our study [25]. The mean and standard deviation (SD) of the SI were calculated for LC, SN, PT, and cerebral peduncle (CP). The formula for calculating CNR_{LC} and CNR_{SN} was $(SI_{SN/LC} - SI_{CP/PT})/SD_{CP/PT}$ and bilateral $CNR_{LC/SN}$ values of each sample were averaged for final evaluation.

DTI data processing

MRtrix3 (www.mrtrix.org) and FMRIB Software Library (FSL, <http://www.fmrib.ox.ac.uk/fsl>) were used to process diffusion data. These data were first preprocessed by denoising and removing Gibbs ringing artifacts. Subsequently, topup and eddy in FSL were performed to correct susceptibility-induced and eddy-current-induced distortion, and motion effects in the diffusion data [26]. Next, the skulls were stripped from the DTI data for each participant. To acquire and analyze free-water data, a bi-tensor model from a previous study was applied for predicting intracellular and extracellular water components [27]. FA maps in native space were linearly and nonlinearly registered to the FA template in Montreal Neurological Institute (MNI) space using FSL. Subsequently, FW maps of the subjects were warped using the transformation matrix from the FA maps. Extraction of free-water data of LC (FW_{LC}) based on a probabilistic atlas with peak signal coordinates observed at two SDs [28].

Statistical analysis

Statistical Package for the Social Sciences (SPSS), version 27, was used for statistical analysis. Differences in demographic and clinical variables among the ET, PD, and HC groups were analyzed using analysis of variance (ANOVA) for continuous variables and chi-squared testing for nominal variables. Differences in MRI variables (CNR_{LC} and FW_{LC}) were analyzed using a general linear model (GLM), with “sleep medication” set as a covariate. Post-hoc Bonferroni correction was applied to compare the differences between the two groups. Two-sample student’s *t*-tests were conducted to compare the dif-

ferences between the SleET and NorET subgroups, as well as between the SlePD and NorPD subgroups. Partial correlation analysis was used to examine the correlation between CNR_{LC} and FW_{LC} and the total score and subscale PSQI scores (including seven domains) in ET, PD, SleET, and SlePD groups; age, sex, education, and disease duration were set as covariates, while UPDRS-III was additionally included as a covariate in PD and SlePD groups. False discovery rate (FDR) correction was applied for multiple comparison correction. Statistical significance was defined as a *p*-value < 0.05.

RESULTS

Demographics and clinical data

No significant differences in age, sex, and education were found among the ET, PD, and HC groups. Disease duration was significantly longer in the ET group than in the PD group ($p < 0.001$). PSQI scores were significantly higher in both the ET and PD groups compared to the HC group ($p < 0.001$), whereas no significant difference was found between the ET and PD groups. In addition, use of sleep medication was significantly different between ET and HC ($p = 0.013$), as well as between PD and HC ($p = 0.008$; Table 1).

No significant differences in age, sex, education, and disease duration were found between the SleET and NorET groups, or between the SlePD and NorPD groups. Whereas the TETRAS score in the SleET and NorET groups did not differ, the UPDRS-III score of the SlePD group was significantly higher than that of the NorPD group ($p = 0.009$). The use of sleep medication was significantly higher in the SleET group than in NorET ($p = 0.040$), and also in SlePD compared to NorPD. ($p < 0.001$; Table 2).

CNR_{LC} and FW_{LC} data

Initially, the CNR_{LC} and FW_{LC} results were compared among the ET, PD, and HC groups. Post-hoc tests showed that both ET and PD groups exhibited significantly lower CNR_{LC} ($p = 0.047$, $p = 0.018$; Fig. 2A) compared to the HC group, but no significant difference was found between ET and PD groups. The GLM values of FW_{LC} were not significantly different between the ET, PD, and HC groups. (Fig. 2B).

Subsequently, in the subgroup analysis, there was no significant difference in CNR_{LC} and FW_{LC} between either ET or PD subgroups (Fig. 3). Fur-

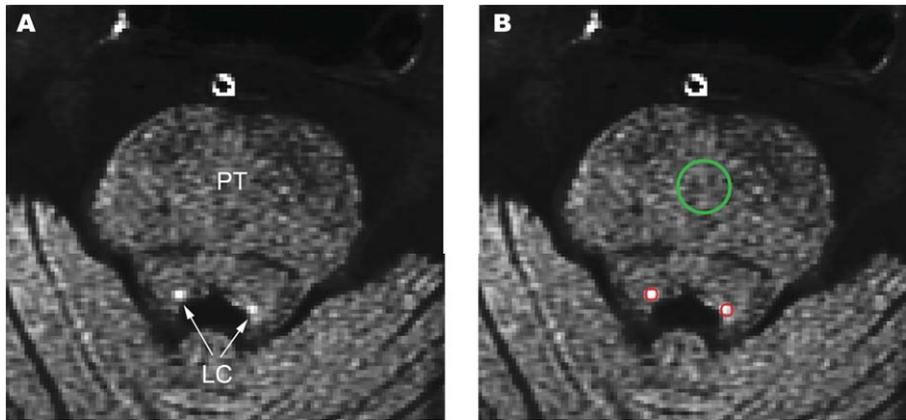


Fig. 1. The anatomical location of the locus coeruleus (LC) and pontine tegmentum (PT). An NM-MRI slice of a healthy control (A) and the corresponding selected regions of interest (ROIs) of LC and PT (B).

Table 1
The demographic and clinical variables of ET, PD, and HC

Variables	ET	PD	HC	<i>p</i>	post-hoc tests <i>p</i>		
	(<i>n</i> = 83)	(<i>n</i> = 124)	(<i>n</i> = 83)		HC vs. ET	HC vs. PD	ET vs. PD
Age (y)	60.76 ± 8.45	60.06 ± 8.43	61.58 ± 7.97	0.435	–	–	–
Sex (M/F)	43/40	63/61	38/45	0.699	–	–	–
Education (y)	9.23 ± 2.55	8.67 ± 3.72	9.28 ± 3.66	0.358	–	–	–
Disease duration (y)	13.88 ± 9.99	3.44 ± 3.94	–	<0.001***	–	–	–
TETRAS	32.66 ± 17.49	–	–	–	–	–	–
UPDRS-III	–	22.71 ± 14.09	–	–	–	–	–
PSQI	4.92 ± 4.04	4.62 ± 3.57	2.75 ± 1.34	<0.001***	<0.001***	<0.001***	1.000
Sleep medication (Y/N)	6/77	10/114	0/83	0.032*	0.013*	0.008**	0.825

ET, essential tremor; PD, Parkinson's disease; HC, healthy control; TETRAS, The Essential Tremor Rating Assessment Scale; UPDRS, Unified Parkinson's Disease Rating Scale; PSQI, Pittsburgh Sleep Quality Index. **p* < 0.05, ***p* < 0.01, ****p* < 0.001.

Table 2
The demographic and clinical variables of SleET, NorET, SlePD and NorPD

Variables	ET		<i>p</i>	PD		<i>p</i>
	SleET (<i>n</i> = 30)	NorET (<i>n</i> = 53)		SlePD (<i>n</i> = 40)	NorPD (<i>n</i> = 84)	
Age (y)	61.64 ± 9.02	60.26 ± 8.15	0.479	60.77 ± 8.23	59.72 ± 8.55	0.519
Sex (M/F)	16/14	27/26	0.834	17/23	46/38	0.202
Education (y)	8.67 ± 2.50	9.55 ± 2.55	0.132	8.48 ± 3.48	8.77 ± 3.85	0.684
Disease duration (y)	11.81 ± 8.96	15.06 ± 10.42	0.156	3.48 ± 3.38	3.42 ± 4.19	0.937
TETRAS	30.58 ± 16.58	33.83 ± 18.04	0.420	–	–	–
UPDRS-III	–	–	–	27.48 ± 16.49	20.44 ± 12.26	0.009**
PSQI	9.50 ± 2.92	2.32 ± 1.43	<0.001***	8.95 ± 2.71	2.56 ± 1.47	<0.001***
Sleep medication (Y/N)	5/25	1/52	0.040	9/31	1/83	<0.001***

SleET, essential tremor with poor QoS; NorET, essential tremor without poor QoS; SlePD, Parkinson's disease with poor QoS; NorPD, Parkinson's disease without poor QoS; TETRAS, The Essential Tremor Rating Assessment Scale; UPDRS, Unified Parkinson's Disease Rating Scale; PSQI, Pittsburgh Sleep Quality Index. **p* < 0.05, ***p* < 0.01, ****p* < 0.001.

thermore, considering the potential impact of both the different usage of sleep medication in subgroups and the difference in UPDRS-III score between SlePD and NorPD groups, an additional analysis of covariance (ANCOVA) was performed, with sleep medication and UPDRS-III score set as covariates. This analysis still did not reveal any difference between these groups.

Correlation between CNR/ FW_{LC} and PSQI score

After adjustment of age, sex, education, disease duration, UPDRS-III score, use of sleep medication by FDR correction, no significant correlations were found between CNR_{LC} and FW_{LC} and total and subscale PSQI scores in ET, SleET, PD, and SlePD groups (Fig. 4).

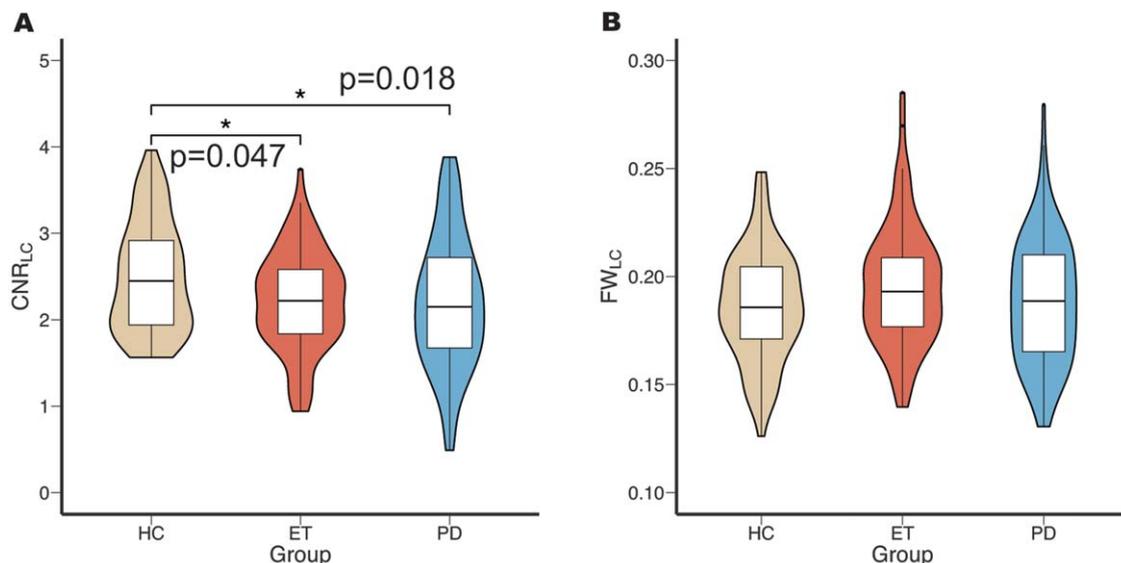


Fig. 2. **Comparison of CNR_{LC} and FW_{LC} in HC, ET, and PD groups.** The result of ANOVA and post-hoc Bonferroni test of CNR_{LC} (A) and FW_{LC} (B) among these groups. CNR_{LC} , contrast-to-noise ratio of locus coeruleus; FW_{LC} , free-water value of locus coeruleus; HC, healthy control; ET, essential tremor; PD, Parkinson's disease.

DISCUSSION

In this study, we used multi-modal MRI to assess LC integrity and investigate its relationship with overall sleep quality in ET and PD patients. A significant reduction of CNR_{LC} was observed in both ET and PD patients compared to the HC group. FW_{LC} values tended to be higher in ET and PD groups, although the differences were not statistically significant. However, no difference was found in CNR_{LC} or FW_{LC} between patients with and without poor QoS. The degree of LC impairment was not associated with the PSQI score.

It was demonstrated using NM-MRI that LC is impaired in both ET and PD patients. However, free-water analysis revealed no significant difference but only a tendency for LC impairment in ET and PD groups. This discrepancy could be attributed to the limited resolution of DTI, which might be inadequate for accurately resolving LC structure [29]. Compared to free-water imaging, NM-MRI is a preferable method for the assessment of LC impairment. Indeed, the aforementioned results are consistent with previous MRI studies [19, 21, 30] and post-mortem studies [31–33] demonstrating LC impairment in ET and PD. However, a smaller-scale study reported no significant difference in CNR_{LC} between HC and ET patients, but a difference between ET and tremor-dominant PD (PD_{TD}) patients was observed [34]. This might be attributed to the limited sample size,

which did not account for the neuropathological heterogeneity of these diseases. Furthermore, the disease duration of ET patients in that study was shorter than in ours, which might explain the lesser extent of LC impairment.

The LC contains a number of norepinephrinergic neurons, the projections of which extensively reach the basal ganglia, cortex, and cerebellum, and participate in the regulation of cognition, emotion, and sleep [35]. The investigation of the association between LC impairment and overall sleep quality revealed no significant differences in CNR/FW_{LC} between the subgroups of the two diseases, respectively. There were also no correlations between CNR/FW_{LC} and PSQI scores in ET, PD, SleET, and SlePD groups. PSQI assesses seven aspects of sleep, encompassing sleep quality, sleep latency, nocturnal sleep duration, sleep efficiency, sleep disturbances, sleep medication use, and daytime dysfunction. Previous studies have demonstrated correlations between specific PSQI domains such as prolonged sleep latency or increased daytime sleepiness and neurodegeneration [36]. However, our analysis did not yield any significant correlations between the PSQI domains and LC impairment. Several explanations might account for these findings. Firstly, LC damage in either diseases might not substantially contribute to the deterioration of the overall sleep quality for these patients. Secondly, previous research on RBD in individuals with PD has demonstrated an association between

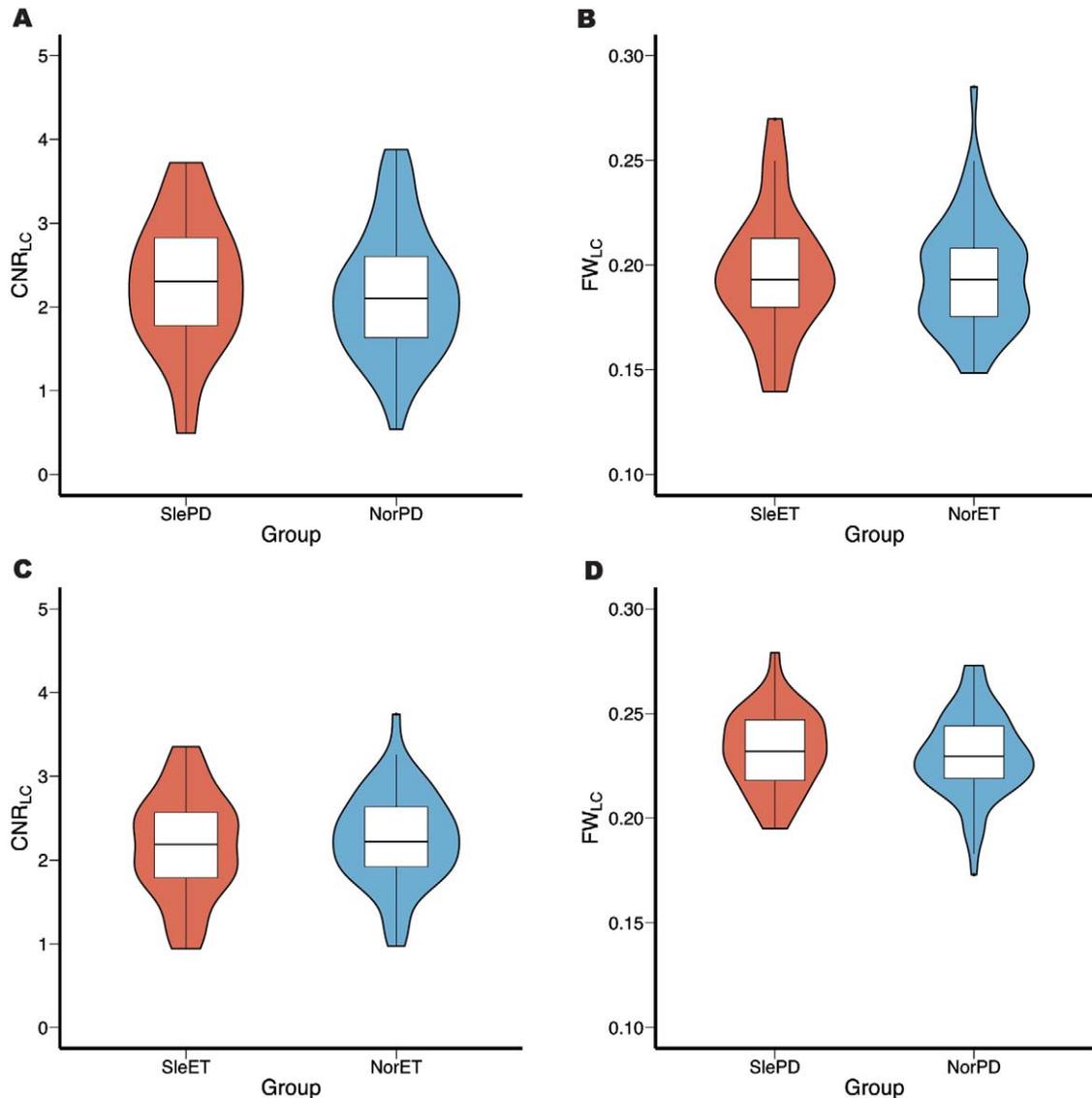


Fig. 3. Comparison of CNR_{LC} and FW_{LC} values in ET and PD subgroups. The result showed no significant difference in CNR_{LC} and FW_{LC} between SleET and NorET (A, B), SlePD and NorPD (C, D). CNR_{LC} , contrast-to-noise ratio of locus coeruleus, FW_{LC} , free-water value of locus coeruleus, SleET, essential tremor with poor QoS; NorET, essential tremor without poor QoS; SlePD, Parkinson's disease with poor QoS; NorPD, Parkinson's disease without poor QoS.

RBD and impairment of the LC [37, 38]. However, it is important to note that PSQI total score and subscale scores, which cover seven domains of sleep quality, might not provide a complete understanding of the intricate relationship between the LC and sleep. This might be because the LC specifically influences certain aspects of sleep that PSQI does not assess, such as RBD and arousal, while other factors such as functional brain networks and lower cerebellar volume might also impair sleep quality [39, 40].

Limitations

This study has several limitations. Firstly, as PSQI is a self-reported questionnaire, polysomnography offers greater advantages in providing a more objective description of sleep patterns. Given that our MRI scan resolution is limited to a slice thickness of 3 mm, which is prone to partial-volume effects, further studies with higher resolution and isotropic LC imaging are needed to confirm the present findings [41]. Also, a more direct method, such as positron emission

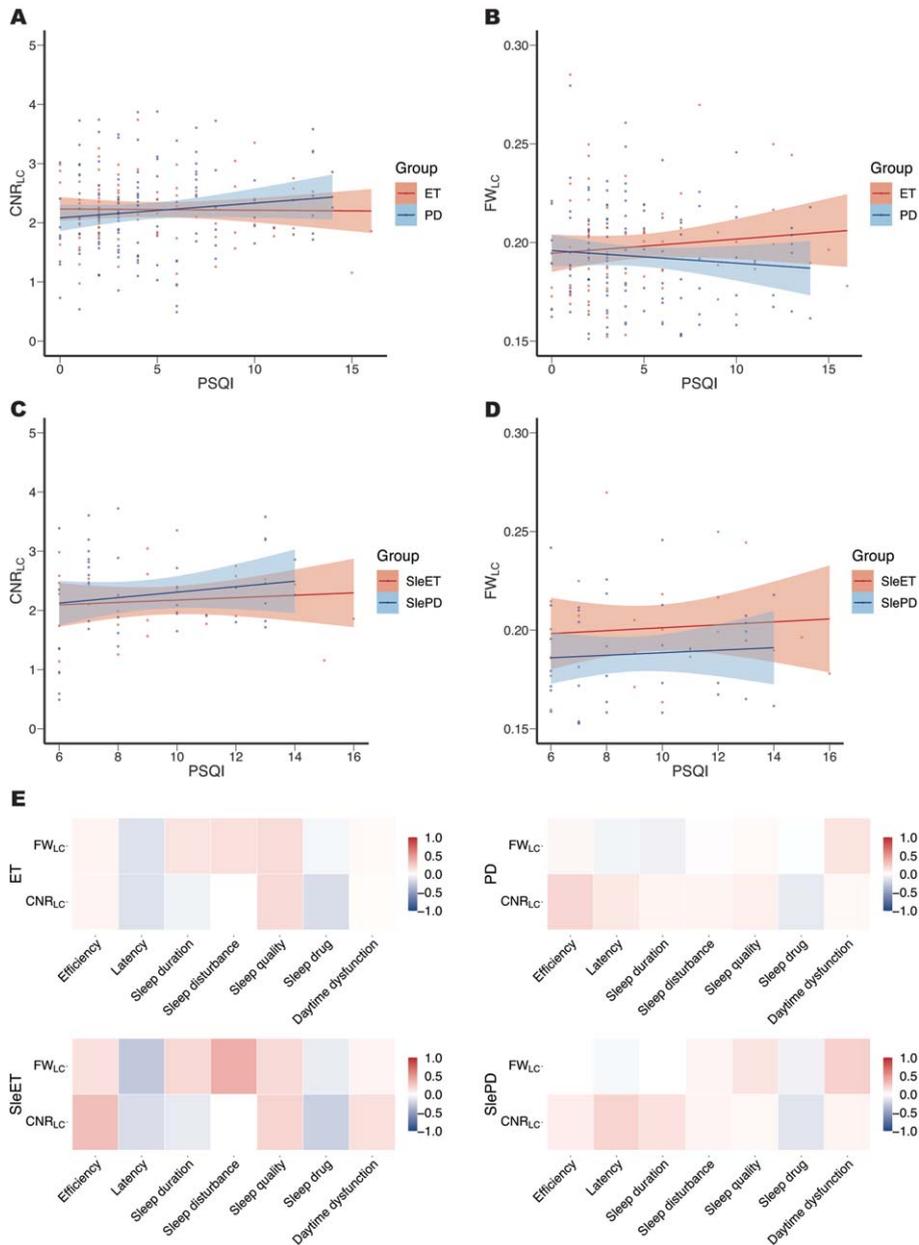


Fig. 4. **Correlations between CNR_{LC} and FW_{LC} with the total score and subscale scores of PSQI.** No significant correlations were found between CNR_{LC} (A, C) and FW_{LC} (B, D) with the total score in ET, SleET, PD, and SlePD groups, nor were found between CNR_{LC} and FW_{LC} with the subscale scores (E). CNR_{LC}, contrast-to-noise ratio of locus coeruleus, FW_{LC}, free-water value of locus coeruleus, SleET, essential tremor with poor QoS; SlePD, Parkinson's disease with poor QoS.

tomography to measure noradrenergic transporter levels, might provide valuable insights.

Conclusion

We observed significant LC impairment in both ET and PD individuals, which might be involved in the

pathophysiology of both diseases. In addition, there was no difference in LC integrity between groups with/without poor QoS, nor was there a correlation with PSQI score. Our findings suggest that LC impairment might not be directly linked to overall sleep quality. More experiments should be conducted to further support this conclusion.

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CONFLICT OF INTEREST

The authors have no conflict of interest to report.

DATA AVAILABILITY

The data supporting the findings of this study are available on request from the correspondence authors. Detailed original data are not publicly available due to privacy and ethical issues.

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