# Refinement of a neuronal differentiation protocol predominantly yields human iPS cell-derived dopaminergic neurons for the investigation of neurodegenerative pathomechanisms *in vitro*

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#### Abstract.

**BACKGROUND:** Major pathomechanisms underlying neurodegenerative diseases, such as Parkinson's Disease, are still not well understood. Induced human pluripotent and rodent embryonic stem cells provide powerful disease models to address neurodegeneration-inducing pathomechanisms on a molecular and cellular level.

**OBJECTIVE:** Our aim is to establish a refined protocol to generate healthy and patient donor stem cell-derived dopaminergic neurons to investigate neurodegenerative events *in vitro*.

**METHODS:** Human healthy donor- and patient-derived induced pluripotent stem cells were differentiated into stable dopaminergic progenitor cell lines and further differentiated into dopaminergic neurons. Induced pluripotent stem cells, neuronal progenitors and terminally differentiated neurons were characterized by confocal laser microscopy-based immunofluorescence analysis, live cell imaging demonstrating dopamine transporter-specific uptake of a fluorescent substrate and transcriptome analysis.

**RESULTS:** Based on our immunofluorescence analysis, dopaminergic differentiation approaches predominantly yield dopaminergic neurons and GFAP-expressing glial cells. We detected a small partition of GABAergic neurons, yet neither serotonergic nor glutamatergic neurons. Dopaminergic neurons were successfully stained for pre- and postsynaptic and mitochondrial markers. Live cell imaging experiments verified dopamine transporter-dependent uptake of the fluorescent monoamine transporter substrate ASP+.

**CONCLUSION:** Human stem cell-derived dopaminergic neurons are a suitable cellular system for fluorescence-based experimental approaches to address neurodegenerative events *in vitro*.

Keywords: Induced pluripotent stem cells, neuronal differentiation, stem cell-derived neurons, dopamine transporter, mitochondria

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### 1. Introduction

Dopamine (DA) releasing neurons, together with noradrenergic and serotonergic neurons, constitute the monoaminergic neurotransmitter system of the central nervous system (CNS). DA, as the other monoaminergic neurotransmitters, is involved in a variety of physiological processes, ranging from learning and memory, movement to emotional processes, including arousal, reinforcement and reward [1-3]. As for serotonergic neurons, located to the raphé nuclei, the number of DA neurons is small compared to other types of neurons, and amount for up to 400,000 cells located to distinct brain regions of the human CNS. From these regions, DA neurons build axonal networks to other brain areas, where they exert their neuromodulatory effect via G-protein coupled receptors on their target neurons [4–6]. The main DA signaling pathways are the mesocorticolimbic projections and the nigrostriatal pathway. The mesocorticolimbic projections are built by the mesolimbic and mesocortical pathway. DA neurons of the mesolimbic pathway are located to the ventral tegmental area (VTA) of the midbrain and project their axons to the nucleus accumbens inside the ventral striatum. The mesocortical pathway is formed by DA neurons of the VTA that project their axons to the prefrontal cortex. DA signaling of these pathways has been shown to contribute to reward-related cognition [7] and a dysfunctional mesocorticolimbic DA signaling is associated with addiction, attention deficit hyperactivity disorder and schizophrenia [6, 8, 9]. The nigrostriatal pathway is maintained by DA neurons located to the substantia nigra pars compacta in the midbrain, which grow their axons to the caudate nucleus and putamen inside the dorsal striatum. DA signaling of these neurons contributes to reward cognition, associative learning and movement. Regarding this signaling pathway, disruption of DA neurotransmission is associated with psychiatric diseases, including addiction, and most importantly with neurodegenerative disorders, predominantly Parkinson Disease [3, 10, 11].

Parkinson's Disease (PD) is a slowly progressing neurodegenerative disease with rising incidences during the past years. PD affected about 0.3% of the entire population of industrialized countries, and 1-3.5% of people aged 60 years and older [12-14]. Currently, PD is the second most common neurodegenerative disorder, only surpassed by Alzheimer's Disease [15, 16]. PD is characterized by a progressive cell death of DA neurons in the substantia nigra pars compacta, thereby depleting the striatum of sufficient DA signalling required to maintain physiological processes. This continuous DA neurodegeneration strongly affects the dorsolateral putamen in the striatum, causing the typical clinical PD phenotype symptoms such as tremor, rigidity, bradykinesia and postural instability [17]. Despite displaying an almost homogenous clinical phenotype, the onset of PD has been shown to be multifactorial. On the genetic level, several genes were identified, which, if mutated, are associated with PD. The gene SNCA, for example, encodes for alpha-synuclein, a cytoplasmic protein that is predominantly found in presynaptic terminals of CNS neurons. In the disease state, point mutations in the SNCA gene cause alpha-synuclein to also form stable  $\beta$ -sheets instead of alpha-helical structures. As a consequence, misfolded proteins can produce protein aggregates, protofibrils and ultimately large fibrils that accumulate as large deposits called Lewy bodies. On the long run, these accumulations of large aggregates lead to cell death and extensive neuronal loss. [18-20]. In addition to genetic causes, neurobiochemical abnormalities are implied to play key roles in PD pathogenesis by contributing to a progressive degradation of DA neurons. Here, mitochondrial dysfunction, neuroinflammation and free radical-induced damage are considered as major pathomechanisms underlying PD pathogenesis [21-23]. With regard to mitochondrial dysfunction and oxidative stress, rodent in vivo and in vitro models were established to gain insight into yet not well understood molecular and cellular mechanisms of each pathomechanism on its own and especially regarding their interaction. One of these models relies on systemic application of MPTP (1-methyl-4-phenyl-1,2,5,6-tetrahydropyridine), which is metabolized to MPP+ (methyl-4-phenylpyridinium) and subsequently taken up by DA neurons. Once taken up into DA neurons, MPP+ exerts a neurotoxic effect by inhibition of complex I of the mitochondrial

respiratory chain, which ultimately leads to a PD-related phenotype based on selective DA cell death [24–27].

With the advent of human induced pluripotent stem (hiPS) cells, human stem cell-derived models for neurodegenerative diseases are available, which have the potential to significantly add to the insights gained by non-human in vivo and in vitro research models [28-32]. With regard to PD, hiPS cell-derived DA neurons featuring the genetics of healthy donors and patients provide the opportunity to invesitgate disease-related pathomechanisms in a yet unexploited way. The main obstacle in generating human stem cell-derived DA neurons is to establish reliable and efficient differentiation protocols [29, 33-35]. Generally, dopaminergic differentiation protocols, relying on growth factorbased selection of DA precursors, yield an average of 8 to 40% of stem cell-derived DA neurons (reviewed in [29]). In addition to a comparatively small yield of DA neurons, only a few studies were able to demonstrate physiological functionality, including the recording of spontaneous action potentials or calcium ion oscillations [36-38]. In 2011, Kriks and colleagues published a differentiation protocol, which significantly increased the yield of human embryonic stem cell-derived DA neurons to nearly 80% after 50 days of terminal differentiation. Furthermore, these stem cell-derived neurons were efficiently engrafted in animal models of PD [39]. In this study, CHIR99201, a potent GSK3b inhibitor strongly activating WNT signalling [40], was successfully applied to enhance the expression of dopaminergic phenotype-specific transcription factors. A slightly altered differentiation protocol was established, which reduced the duration of terminal differentiation to 28 days, yet decreased the yield of DA neurons to 35% of all cells and 70% of all neurons [41]. Recently, Li and colleagues, published a protocol for the rapid induction and long-term self-renewal of neuronal progenitors derived from human embryonic stem cells [42]. These progenitors can be successfully differentiated into neurons, however, yielded only up to 10% DA neurons. For our study, we have adapted the generation of neuronal progenitors to establish healthy donor- and PD patient donor-derived neuronal DA precursor cell lines. These cell lines were then terminally differentiated into mature DA neurons using a set of selected growth factors and small molecules that were applied to generate mature, functional human DA neurons in vitro [39, 41]. We have characterized the neuronal population generated by this protocol regarding their yield of DA and non-DA neurons, neuronal maturity and synaptic marker proteins. In addition, we show that hiPS cell-derived neurons can be stained for mitochondrial markers, that allow tracking mitochondria morphology, and furthermore applied for live cell imaging experiments. In summary, although the differentiation protocol does not yield a homogenous DA neuronal population, the amount of DA-producing neurons generated demonstrate the suitability of this human microscopy-based cellular model to investigate neurodegenerative events in vitro.

#### 2. Material and methods

#### 2.1. Human induced pluripotent stem cell lines tissue culture

HiPS cell line B7\_051 derived from a healthy donor was generated using a Sendai Virus reprogramming kit (Cytotune 2.0, Life Technologies). All steps for iPS cell production were performed as described in the protocols provided by the manufacturer. Briefly, human skin fibroblasts obtained via skin biopsy were transfected with a Sendai virus reprogramming vector (Cytotune 2.0, Life Technologies) containing sequences for the four Yamanaka factors Oct4, Sox2, Kfl4 and c-Myc [28, 43] (Ethics Committee II of Medical Faculty Mannheim of Heidelberg University approval no. 2014-626N-M). HiPS cell lines were cultured in feeder-free condition with mTeSR<sup>TM</sup>-1 medium (Stemcell Technologies) on human embryo stem cell-qualified Matrigel (Corning) and split weekly with a ratio of 1 : 6. Culture medium was changed daily. The Parkinson patient-derived induced pluripotent stem (hiPS<sup>PD</sup>) cell line with an alpha-synuclein gene triplication was obtained from EBiSC (European Bank for induced pluripotent Stem Cells; Edinburgh; UK) and has previously been genomically characterised [44].

The loss of the Sendai Virus reprogramming vector in the established hiPS cell line was verified by PCR analysis.

# 2.2. Transcriptome analysis of hiPS cells, neuronal progenitors and terminally differentiated neurons

Total RNA was extracted using an RNeasy kit (Qiagen). RNA quality was controlled by Nanodrop ND-1000, Agilent 2100 Bioanalyzer. For gene expression analysis, total RNA was processed by the German Cancer Research Center (DKFZ Heidelberg) Genomics and Proteomics core facility and hybridized on Illumina Human ref-12 bead arrays according to the specifications of the manufacturer. Normalized Data was exported and microarray data analysis was performed using a commercially available software package (Graphpad Prism 7.0).

## 2.3. Generation of ventral midbrain DA neuronal progenitors and terminal dopaminergic differentiation

#### 2.3.1. Neural induction of hiPS cells into ventral midbrain DA progenitors

For the generation of a stable DA neural precursor cell (NPC) line, the protocol of Li et al. [42] was modified. Briefly, 7-10 hiPS cell clones were selected and plated on Matrigel-coated tissue dishes and cultured in mTeSR<sup>TM</sup>-1 medium with daily medium change. After 3 days medium was changed to neural induction medium: DMEM/F12 GlutaMax<sup>TM</sup>:Neurobasal<sup>®</sup> (1:1), 1xB27 and 1xN2 supplement, 1% MEM non-essential amino acids, 1% GlutaMAX<sup>TM</sup> supplement and Penicillin-Streptomycin (100 U/mL and 100 µg/mL, respectively; medium and supplements from Thermo-Fisher Scientific), 3 µM of the GSK3-inhibitor CHIR99021 (Tocris Bioscience), 2 µM SB431542 (Sigma-Aldrich) and 10 ng/mL human leukemia inhibitory factor (hLIF, Sigma-Aldrich). Medium was changed every other day. After 10 days, cells were split 1:3 once per week for 6 passages using Accutase (Thermo-Fisher Scientific). After this period, cells where characterized regarding their NPC phenotype and subsequently maintained in neuronal induction medium (weekly splitted in a ratio of 1:10).

#### 2.3.2. Differentiation of hiPS cell-derived NPC into DA neurons

The first stage of terminal neuronal differentiation comprised a dopaminergic selection phase for 2 weeks: NPC were seeded on Matrigel-coated glass coverslips with a density of approx. 4500 cells/cm<sup>2</sup>. Next day NPC culture medium was changed to SHH medium consisting of DMEM/F12 GlutaMax<sup>TM</sup>:Neurobasal<sup>®</sup> (1 : 1), 1xB27 and 1xN2 supplement, 1% MEM non-essential amino acids, 1% GlutaMAX<sup>TM</sup>, 1% Penicillin-Streptomycin (100 U/mL and 100 µg/mL, respectively), 20 ng/mL epidermal growth factor (EGF), 20 ng/mL fibroblast growth factor 8b (FGF-8b), 25 ng/mL human sonic hedgehog protein (SHH; all Miltenyi Biotec) and 100 µML-ascorbic acid (Roth). Medium was changed every other day. After 2 weeks, the next stage of terminal differentiation was initiated by withdrawing SHH medium and starting culture in terminal differentiation medium, consisting of DMEM/F12 GlutaMax<sup>TM</sup>:Neurobasal<sup>®</sup> (1 : 1), 1xB27 and 1xN2 supplement, 1% MEM non-essential amino acids, 1% GlutaMAX<sup>TM</sup>, 1% Penicillin-Streptomycin (100 U/mL and 100 µg/mL, respectively), 5 ng/mL brain-derived neurotrophic factor (BDNF), 5 ng/mL glial-derived neurotrophic factor (GDNF; both Miltenyi Biotec), 100 µM L-ascorbic acid (Roth) and 0.5 mg/mL N<sup>6</sup>,2'-O-Dibutyryladenosine 3',5'-cyclic monophosphate (dbcAMP; Sigma-Aldrich). Medium was changed every other day. Mature neurons were found after 50 days of culture in terminal differentiation medium.

#### 2.4. Immunofluorescence analysis of hiPS cells, NPC, and stem cell-derived neurons

Immunofluorescence analysis was performed at several cellular stages of various differentiation stages (see figure legends). Prior to permeabilization of cells and antibody incubation, cells grown on glass cover slips or in culture dishes (Ibidi) were fixed with 4% para-formaldehyde (PFA, Sigma-Aldrich) in 1x phosphate buffered saline (PBS) for 10–15 min at room temperature (RT) and subsequently washed three times with 1xPBS. Depending on the antibodies applied, two different permeabilization methods were applied: after fixation cells were either permeabilized with 0.1% Triton X-100 in 0.1% sodium citrate (Thermo-Fisher Scientific) for 5 min at 4 °C or with 0.1% saponin in 1x PBS (Sigma Aldrich) at RT for 15 min. After permeabilization with Triton X-100, cells were blocked in blocking solution containing 10% horse serum (Thermo-Fisher Scientific) and 0.2% fish skin gelatine (Sigma-Aldrich) in 1xPBS for 1 hour at RT. Primary antibodies were then applied simultaneously diluted in blocking solution for 45 min at RT, followed by three washing steps with blocking solution. Fluorochrome-conjugated secondary antibodies were diluted and incubated with the cells for 45 min in the dark. Finally, cells were washed three times with 1xPBS and once with ddH<sub>2</sub>O before mounting them with fluorescent mounting medium (Dako Cytomation) onto microscopy slides. After permeabilization with saponin, cells were incubated with primary antibodies. Primary antibodies were simultaneously diluted in antibody solution (0.2% fish skin gelatine and 0.01%saponin in 1xPBS) and 100 µL of antibody solution was applied per cover slip for 45 min at RT. Coverslips were then washed three times with blocking solution, followed by secondary antibody incubation for 45 min at RT in the dark. Before mounting coverslips with fluorescent mounting medium (Dako Cytomation) on microscopy slides, cells were washed three times with 1xPBS and once with ddH<sub>2</sub>O. All primary antibodies are listed in Table 1 with corresponding dilutions, permeabilization solution and manufacturer. All secondary antibodies were Alexa Fluor-conjugated secondary antibodies (Thermo Fisher Scientific) and diluted in a ratio of 1:1000.

#### 2.5. Image acquisition of immunostained hiPS cell-derived neurons

All images were acquired with a confocal Leica TCS SP5 imaging system attached to a DM IRE2 microscope equipped with an acusto-optical beam splitter and a HCX PL APO 63x oil planchromat lens. Excitation lasers included an argon ion laser (458 - 514 nm), a DPSS laser (561 nm) and a HeNe laser (633 nm). Confocal z-stacks were acquired with sections taken every 0.1 to 0.5  $\mu$ m and exported as tiff-files. Image processing was performed with NIH ImageJ software version 1.51n (NIH Bethesda). If not indicated otherwise in figure legends, images are maximum projections of confocal z-stacks.

#### 2.6. ASP+ live cell imaging of hiPS cell-derived dopaminergic neurons

Functional dopamine transporter (DAT) molecules located to the cell surface of DA neurons allow to selectively label DA neurons with the fluorescent monoamine transporter substrate 4-(4-(dimethylamino)styryl)-N-methylpyridinium iodide (ASP+, Life Technologies). After DAT-dependent uptake, ASP+ accumulates in mitochondria [45, 46]. HiPS cell-derived DA neurons were washed with ND medium and exposed to  $10 \,\mu$ M ASP+ for 60 sec. Then, cells were washed again with ND medium and imaged in dye-free ND medium on a Leica TCS SP5 imaging system attached to a DM IRE2 [47]. Excitation laser was an argon ion laser running at 488 nm. Confocal z-stacks were acquired with sections taken every 0.5  $\mu$ m. Images were imported to and processed using NIH ImageJ version 1.51 (NIH Bethesda).

Table	1
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Primary antibodies including host species used for immunofluorescence experiments with corresponding dilution and permeabilization protocol

Primary Antibody (host)	Dilution	Manufacturer (Cat. No.)	Permeabilized with
Oct3/4 (rat)	1:100	R&D Systems (MAB1759)	Triton-X
SOX2 (goat)	1:200	Santa Cruz Biotechnology (sc-17320)	Triton-X
PAX6 (mouse)	1:200	Synaptic Systems (153 011)	Triton-X
Nestin (rabbit)	1:250	abcam (ab105389)	Triton-X/Saponin
Map2a (mouse)	1:200	Synaptic Systems (188 011)	Triton-X/Saponin
Tau (guinea pig)	1:200	Synaptic Systems (314 004)	Triton-X/Saponin
NeuN (mouse)	1:200	Merck Millipore (MAB377)	Triton-X
TuJ (rabbit)	1:200	Synaptic Systems (302 304)	Triton-X/Saponin
GFAP (mouse)	1:500	Synaptic Systems (173 111)	Triton-X/Saponin
TH (rabbit)	1:1000	Merck Millipore (AB152)	Saponin
DAT extracellular (rat)	1:200	ATS Bio (AB-N17)	Triton-X
vMAT2 (rabbit)	1:100	Synaptic Systems (138 302)	Triton-X
GAD (rabbit)	1:200	Synaptic Systems (198 003)	Saponin
vGLUT (guinea pig)	1:250	Synaptic Systems (135 307)	Triton-X
TPH2 (rabbit)	1:200	Dianova (ABR-38586)	Saponin
SERT (mouse)	1:200	ATS Bio (AB-N40)	Saponin
Synapsin (mouse)	1:200	Synaptic Systems (106 011)	Triton-X/Saponin
Homer (guinea pig)	1:200	Synaptic Systems (160 004)	Triton-X/Saponin
Bassoon (rabbit)	1:200	Synaptic Systems (141 002)	Saponin
AIF (mouse)	1:1000	Acris (BM4114)	Triton-X
Mfn2 (rabbit)	1:200	Sigma-Aldrich (M6444)	Triton-X

#### 3. Results and discussion

### 3.1. Comparison of pluripotency and neuronal phenotype markers in healthy donor- and patient donor-derived hiPS cells and NPCs

For generation of hiPS cells, human skin fibroblast from a healthy donor were obtained via skin biopsy and transfected with a Sendai virus construct coding for four pluripotency genes Oct4, Sox2, Klf4 and c-Myc (Cytotune 2.0, Life Technologies). After emergence of cell clusters, hiPS cells were selected and expanded according to their morphology. Figure 1A shows exemplary images of donor fibroblasts and hiPS cell clusters. Healthy donor-derived hiPS cells displayed a normal karyotype after being kept undifferentiated for 20 passages (data upon request), and were positively immunostained for the pluripotency markers Oct4 and Sox2 (Fig. 1B). For transcriptome analyses of both hiPS cell lines and healthy donor-derived fibroblasts, quantitative RT-PCR was performed for several genes associated with pluripotency (Fig. 1D). Expression levels of mRNA transcripts were related to the transcriptional level of the housekeeping gene beta-actin (ACTB), which did not significantly differ between cell lines. Fibroblasts showed very low expression levels for all analyzed pluripotency genes, except for c-Myc. Compared to fibroblasts' expression profile, both hiPS cell lines showed an increased expression level of pluripotency-associated transcription factors Oct4, Sox2, Lin28, Nanog and ZFP42. Only in case of c-Myc and Klf4, hiPS cell lines expression levels were not increased compared to fibroblasts. In case of c-Myc, this may be explained by the fact that this transcription factor is not a core pluripotency factor and not necessarily required for reprogramming of fibroblasts [48-51] and similar expression levels may be related to c-Myc's role in cell growth [52–54]. In contrast to c-Myc, Klf4, Oct4 and



Fig. 1. Generation of hiPS cells from adult human skin fibroblasts by sendaiviral reprogramming: (A) Exemplary images showing morphological differences between healthy donor human skin fibroblasts, healthy donor-derived hiPS cells (hiPSC<sup>control</sup>), and patient donor-derived hiPS cells (hiPSC<sup>PD</sup>), the latter established by Divine and colleagues [44]. Scale bars: 200  $\mu$ m. (B) Immunofluorescence analysis of hiPSC<sup>control</sup> for the pluripotency transcription factors Oct4 and Sox2. Scale bar: 200  $\mu$ m. (C) Exemplary images of healthy donor (DA<sup>NPC</sup>) and patient donor (DA<sup>NPC-PD</sup>) hiPS cell-derived DA progenitors. Scale bars: 200  $\mu$ m. (D) Expression analysis of mRNA of pluripotency markers in healthy donor-derived fibroblast, hiPSC<sup>control</sup> and hiPSC<sup>PD</sup>. The analysis shows genotype-independent up-regulation of pluripotency related genes in hiPS cells compared to adult human skin fibroblasts. Graph shows mean  $\pm$  SD.

Sox2 are considered to be essential for the induction of pluripotency (reviewed in [54]). The low expression profile of Kfl4 observed in our analysis may be compensated by the high expression levels of Oct4, Sox2, Lin28, Nanog and the other pluripotency transcription factors tested, which constitute a core pluripotency network and are partially able to bind to promoter and enhancer regions of other pluripotency-inducing transcription factors [48, 49, 54].

In order to generate stable DA NPC lines, hiPS cells of both genotypes were induced along neural fate pathway (see 2.3). NPC lines allow long-term cell culture and provide a convenient starting point for terminal differentiation into functional DA neurons [39, 42]. Exemplary images of our stable dopaminergic NPC lines are shown in Fig. 1C. Our immunofluorescence analysis showed that both genotypes of hiPS cell-derived DA progenitors express the neuronal progenitor markers (Fig. 2). Heathy donor-



Fig. 2. Generation of genotype-specific DA progenitor cell lines. Immunofluorescence analysis of healthy donor hiPS cellderived DA<sup>NPC</sup> showed co-distributed immunostainings for Pax6 and Sox2 (A) as well as for Nestin and Sox2 (B). Similar distributions of antibody signals for Pax6 and Sox2 (C), and Nestin and Sox2 (D) were found in DA<sup>NPC-PD</sup>. Scale bars: 50  $\mu$ m. (E) Expression analysis of pluripotency and neuronal phenotype genes in hiPS cells, NPC and neurons of both genotypes. Pluripotency markers (Oct4, Nanog, UTF1, ZFP42) are downregulated in dopaminergic progenitors (DA<sup>NPC</sup> and DA<sup>NPC-PD</sup>) and terminally differentiated neuronal cultures (DA<sup>N</sup> and DA<sup>N-PD</sup>). Genes associated with acquisition of a neuronal phenotype (Nestin, Vimentin, Pax6, MSI1) are equally upregulated. Graphs show mean  $\pm$  SD.

derived NPC (DA<sup>NPC</sup>) displayed predominantly compact nucleus-shaped, overlapping staining patterns for Pax6 and Sox2 (Fig. 2A and 2B). In DA<sup>NPC</sup> we observed a cytosolic localization for Nestin (Fig. 2B and 3A). Compared to DA<sup>NPC</sup>, patient donor-derived DA progenitors (DA<sup>NPC-PD</sup>) exhibited similar immunostainings for Nestin, Pax6 and Sox2 (Fig. 2C and 2D). In addition to immunofluorescence analysis, NPC lines of both genotypes were analyzed for transcription of a subset of differentiation-state associated genes in order to trace the phenotypic transition from pluripotency to neuronal stages. Upon neuronal induction, both hiPS cell-derived neuronal precursor cell lines show down-regulation of the pluripotency genes Oct4, Nanog, UTF1 and ZFP42 (Fig. 2E). In contrast to this, genes associated with neuronal phenotype, Nestin, Pax6, MSI1 and Vimentin, are up-regulated after successful generation of hiPS cell-derived NPC lines. With the exception of Nestin, a common marker for neuronal progenitors, all neuronal phenotype-related genes were still expressed at similar rates in hiPS cell-derived neurons. This may reflect the circumstance that terminal differentiation is not a simultaneously occurring process and late stage cultures likely still contain a significant number of proliferating progenitors. In summary, the gene set selected for our transcriptome analysis did not reveal genotype-specific differences at pluripotency and later neuronal stages. Although a differential gene analysis would provide a more complete insight into transcriptional activities, our findings showed that no aberrant gene expression interfered with successful neuronal differentiation using our protocol.

#### 3.2. Terminal neuronal differentiation along the dopaminergic pathway

Terminal dopaminergic differentiation was started by a two week selection of ventral midbrain dopaminergic progenitors in order to increase the yield of DA neurons (see 2.3.). Following this selection stage, terminal differentiation of preselected NPC was induced and already after 14 days of terminal differentiation, hiPS cell-derived neurons could be identified based on immunostainings (Fig. 3). The axonal marker protein Tau and the somatodendritic protein Map2a were already detectable on day 14 of terminal differentiation (Fig. 3B), yet still found to colocalize in immature human stem cell-derived neurons. Upon aging of neurons, the level of neuronal polarity increases and immunosignals of both proteins were clearly restricted to distinct cellular locations. On d50 of terminal neuronal differentiation, neuronal polarity is fully established in *in vitro*-generated neurons and was verified by immunofluorescence analysis (Fig. 3C). Accordingly, on d50 of terminal neuronal differentiation, expression of the neuronal maturation marker NeuN can be found in approximately 80% of all cells, which are also positively immunostained for the neuronal microtubuli protein TuJ1 (Fig. 3D). However, induction of terminal differentiation does not result in a pure neuronal culture: in addition to TuJ1-positive hiPS cell-derived neurons, immunostaining for GFAP revealed a similar partition of glial cells (Fig. 3E).

#### 3.3. Verification of the dopaminergic phenotype

In order to confirm the dopaminergic identity of developing hiPS cell-derived neurons, immunofluorescence analyses were performed for various dopaminergic neuron-specific marker proteins, including (1) tyrosine hydroxylase (TH), the rate-limiting enzyme for dopamine synthesis, (2) dopamine transporter (DAT), which was detected in subcellular compartments as well as located to the cell surface, and (3) vesicular monoamine transporter (vMAT2), which is required to load dopamine into synaptic vesicles.

On d14 of terminal differentiation, quantification of immunostainings revealed up to 40% GFAPpositive cells and 30% Tau-positive cells in both cell lines (data not shown). Of the latter, cells were predominantly positively stained by DAT and TH antibodies, and the amount of DA neurons was within



Fig. 3. Differentiation of ventral midbrain DA NPCs into neural cells: (A) Exemplary Pax6 and Nestin immunostaining of DA<sup>NPC</sup>, the starting point for terminal differentiation. PAX6 (red) shows nuclear expression of protein, whereas Nestin (green) signal is restricted to cytoplasm. Scale bar:  $25 \,\mu$ m. (B-D) Neuronal phenotype of hiPS cell-derived neurons was verified by immunofluorescence analysis for Map2a, Tau, NeuN, and TuJ1. (B) On d14 of terminal differentiation, neuronal polarity was only partially established, indicated by co-localized signal of axonal TAU and somatodendritic MAP2a. Scale bar:  $50 \,\mu$ m. (C) On d15 of terminal differentiation, neuronal polarity was established as shown by exclusively TAU-positive axonal and Map2a-positive dendritic networks. Scale bar:  $100 \,\mu$ m. (D) Exemplary immunostaining of neuronal maturation marker NeuN and neuronal structural marker TuJ1. Scale bar:  $50 \,\mu$ m. (E) Terminal differentiation of NPC gave also rise to glial cells, as shown by cells showing GFAP-positive, TuJ1-negative immunostaining (d50). Scale bar:  $50 \,\mu$ m.

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the expected range of previously published data by Reinhardt and colleagues [41]. TH immunofluorescence showed granular intracellular staining, which can be found in perinuclear somatic area as well as on neurites (Fig. 4A). Cells that were found to be negative for neuronal markers did not display TH immunostaining on a detectable level. Similarly, expression of DAT was found to be present in the majority of hiPS cell-derived neurons (Fig. 4B), with localization in the somatic region and TAU-positive axons. In addition, on d50 of terminal differentiation, DAT molecules were also detected on the cell surface of hiPS cell-derived DA neurons (Fig. 4C), indicating the ability to take up DA or other monoamine transporter substrates [55]. Immunostaining pattern for cell surface-located DAT molecules displayed a more granular staining compared to the vesicular tightly packed intracellularly located DAT. Furthermore, neurons were analyzed for the presence of vMAT2. Here, the majority of neurons was also positively stained and revealed a globular staining pattern along neurites and perinuclear regions (Fig. 4D). Genotype-specific differences in immunostainings regarding dopaminergic markers were not observed. The immunofluorescence analysis for dopaminergic marker proteins also showed that not all cells identified as neurons by immunostaining for Map2a, TuJ1 and/or Tau displayed a dopaminergic phenotype.

Regarding their mRNA expression profiles, we have compared the transcription levels of genes associated with dopaminergic neurotransmission (Fig. 4E) and synapse formation (Fig. 4F) in hiPS cell-derived neuronal cultures of both genotypes. As expected, SNCA transcripts were enhanced in neurons derived from hiPS<sup>PD</sup> cells (1200 vs 837) [44]. With the exception for TH (397 in hiPSC neurons vs 636 in hiPSC<sup>PD</sup> neurons), the dopamine receptor D4 mRNA transcripts (DRD4; 272 in hiPSC neurons vs 160 in hiPSC<sup>PD</sup> neurons), and monoamino oxidase A (MAOA, 604 in hiPSC neurons vs 271 in hiPSCPD neurons), no differences in transcription levels were found for genes involved in DA signaling (Fig. 4E). Of note, absolute values of mRNA transcripts received may be regarded low, since the overall number of DA neurons among the cellular population is comparatively small. Therefore, to strictly restrict such analysis to DA neurons, separation of DA neurons is required. The comparison of randomly selected synaptic protein mRNA transcripts revealed that the transcription levels of the presynaptic scaffolding protein Piccolo was enhanced in hiPSPD neurons (PCLO, 180 in hiPSC neurons vs 370 in hiPSC<sup>PD</sup> neurons), while the presynaptic scaffolding protein Bassoon did not differ in transcription levels (Fig. 4F). A similar observation was made for Synapsin1 (SYN1; 341 in hiPSC neurons vs 423 in hiPSC<sup>PD</sup> neurons), which was also enhanced in the PD genotype neurons, while other synaptic vesicle protein-encoding genes did not differ in transcription levels. The comparison of the postsynaptic density genes Homer1 and Shank3 showed that in hiPSCPD neurons Shank3 transcripts were downregulated compared to healthy control hiPSC neurons (504 in hiPSC neurons vs 399 in hiPSC<sup>PD</sup> neurons). Again, these data were acquired by performing an mRNA extraction of complete cell culture dishes without separating DA neurons from other cells arising during terminal differentiation (see below). Therefore absolute transcription levels of synaptic genes may be compromised by the lack of purity.

In order to identify the neuronal phenotype of the non-dopaminergic neuronal population derived from hiPS cell lines using our differentiation protocol, immunostaining for other neuronal pheno-type markers were performed. On d50 of terminal differentiation, co-immunofluorescence analysis of neuronal phenotype markers Map2a and TuJ1 in combination with markers for either GABA-ergic, glutamatergic or serotonergic neurons was performed.

Our results show that on d50 of terminal neuronal differentiation a small proportion of neurons were positively immunostained for the GABA-ergic marker protein glutamate decarboxylase-1 (GAD1; Fig. 5A). Therefore, it is particularly important to either distinctly distinguish or separate DA from GABAergic neurons to prevent compromising experimental results when addressing DA neurons. In contrast to GAD1 immunostaining, no neuronal subpopulation of vGLUT-positive glutamatergic neurons was found (Fig. 5B). The same holds true for immunofluorescence analysis for two serotonergic



Fig. 4. Verification of dopaminergic identity. Exemplary images of terminally differentiated hiPS cell-derived DA neurons on d50 are shown. (A) Expression of rate-limiting enzyme for DA synthesis TH. Immunostaining shows cells positive for Map2a (green) were also found to be positive for TH, with signal being mainly located in somatic areas (arrow heads), but also present in neurites. (B, C) As for TH, DAT expression could be verified by immunocytochemistry. For intracellular DAT molecules (B), dense immunosignals were found in perinuclear regions and on neurites. Cell surface-located DAT was especially present on neurites (C) and displayed more granular immunosignals compared to whole cell staining patterns. (D) Exemplary immunofluorescence images for vMAT2. Scale bar: 50 μm. (E) Gene expression analysis of genes encoding for alpha-synuclein (SNCA) and DA signaling (TH, DAT, VMAT2, catechol-O-methyltransferase (COMT), monoamino oxidase A (MAOA), dopamine receptors D1-D4 (DRD1-DRD4). (F) Gene expression analysis of genes encoding for alpha-synuclein (SNCA) and presynaptic scaffolding proteins Piccolo (PCLO), Bassoon (BSN), postsynaptic scaffolding proteins Homer1 and Shank3, and the synaptic vesicle-associated proteins Synapsin 1 (SYN1), Synaptobrevin-1 (VAMP1), Syntaxin 1A (STX1A), and SNAP25.



Fig. 5. Identification of potential subpopulations in hiPS cell-derived neuronal cultures. Exemplary images of terminally differentiated hiPS cell-derived neurons are shown (d50). (A) GAD1 as GABA-ergic marker, (B) vGLUT1 as a glutamatergic marker, and (C) TPH2 and (D) SERT as marker proteins for serotonergic subpopulations. Except for GAD1, no cells were positively stained for any of the other tested markers, indicating a predominantly DA neurons phenotype for neurons generated with our protocol. Scale bar: 50 µm.

markers tryptophan hydroxylase 2 (TPH2; Fig. 5C), which is the rate-limiting enzyme for serotonin synthesis in serotonergic CNS neurons, and the serotonin transporter (SERT, Fig. 5D). In both cases, no hiPS cell-derived neurons were found to be positively immunostained. This is particularly important for two reasons: (1) fluorescent dyes specifically taken up by monoamine transporters, such as ASP+ [47, 56], can be applied to selectively label hiPS cell-derived DA neurons when no other monoaminergic neurons are present and (2) hiPS cell-derived neuronal populations generated according to our protocol may be applied to selectively investigate the effect of Parkinsonian drugs, for example MPP+, on dopaminergic neurotransmission [55] in human stem cell-derived dopaminergic neurons.

#### 3.4. Detection of synapses in healthy donor hiPS cell-derived DA neurons

One goal in establishing robust dopaminergic differentiation protocols is to provide reproducible cellular human disease models, for example to address synaptic connectivity and DA signaling

*in vitro*. To demonstrate the potential to analyze human dopaminergic synapses *in vitro*, immunostainings were performed for presynaptic Synapsin1, one of the most specific markers for CNS synapses and the postsynaptic marker Homer1, which is found to be concentrated at postsynaptic densities. In order to identify dopaminergic neurons, cells were counterstained for TH expression (data not shown). Immunofluorescence of hiPS cell-derived DA neurons revealed that both synaptic proteins were expressed and appeared in punctual staining patterns along the neurites (Fig. 6A). Comparison of immunostaining signals showed that Homer1- and Synapsin1-derived signals localized to similar structures, indicating spatial proximity that provides the cornerstone of synapse formation (indicated by white arrows in Fig. 6A). Of note, DA neurons were shown to rely on volume transmission in addition to synaptic transmission [57, 58] explaining why presynaptic proteins localize to axonal varicosities along the axons of DA neurons, partially without a corresponding postsynaptic counterpart. To ensure that functional synapses are addressed, such synapses may be verified by activity-dependent synaptic uptake and release of fluorescent dyes [59, 60].

In addition, hiPS cell-derived neurons were analyzed for the localization of the presynaptic scaffolding protein Bassoon, essential for maintenance and assembly of the presynaptic active zone of vertebrate synapses (45). Bassoon has been shown to be involved in recruitment of voltage-gated  $Ca^{2+}$  channels, priming of synaptic vesicles and structural integrity of synapses (46). Immunostaining hiPS cell-derived neuronal networks showed localization of Bassoon signals cell soma-close as well as TuJ1-positive neurites (Fig. 6B). TuJ1-deficient, Bassoon-positive cellular structures could not be observed. Regarding Parkinson's disease, an aberrant synaptic mitochondrial activity was reported (47). To detect presynaptically located mitochondria, antibody co-staining of Bassoon and the mitochondrial marker apoptosis-initiating-factor (AIF), which is located in the intermembrane space of mitochondria, was performed. AIF has not only been shown to be involved in pro-apoptotic processes, but also in maintaining a functional complex I in the respiratory chain of mitochondria (48). Our results of immunostaining for presynaptic bassoon and AIF showed a granular staining for both proteins and extensive colocalization in Bassoon-positive subcellular structures. To summarize, immunofluorescence analysis using antibodies targeted against synaptic and mitochondria marker proteins enables to address certain aspects of synaptic connectivity, including synaptic density in hiPS cell-derived dopaminergic networks or synaptic mitochondrial density.

#### 3.5. Visualization of mitochondria in healthy donor hiPS cell-derived DA neurons

A disturbed mitochondria metabolism has been shown to be involved in the neurodegenerative pathology of Parkinson's disease. Mitochondria constantly undergo fusion and fission processes and move along cytoskeletal tracks to maintain physiological homeostasis. Especially the former two occur in a delicate balance and disturbance can result in neurodegenerative pathology, as observed in PD, and are mediated by mitofusins (Mfn) 1 and 2 [61]. For the latter one, *in vivo* studies performed in mice have shown a connection between the loss of Mfn2 and retrograde degeneration of DA neurons, suggesting a role for Mfn2 in PD pathology [62]. Given this example, analysis of mitochondrial localization and function by microscopic approaches, such as immunofluorescence analyses or live cell imaging experiments, provide a fast high-potential approach to investigate mitochondria metabolism in human DA neurons *in vitro*. Such experimental approaches were recently applied to investigate differential effects of the Parkinsonian toxic MPP+ in mouse embryonic stem cell-derived monoaminergic neurons [55].

In addition to the visualization of mitochondrial density at synapses (Fig. 6C), the localization, and thereby the density of mitochondria, can be tracked combining mitochondrial AIF and axonal TAU staining to visualize mitochondrial trafficking along dopaminergic axonal networks (Fig. 7A). Similarly mitochondrial tracking in hiPS cell-derived DA neurons can be performed by application



Fig. 6. Visualization of presynaptic proteins and mitochondrial marker AIF hiPS cell-derived DA neurons (d50). Exemplary images of terminally differentiated healthy donor stem cell-derived neurons are shown. (A) Immunostaining for postsynaptic marker Homer1 (green) and presynaptic marker protein Synapsin1 (red). Merge images show partial co-localization, indicating spatial proximity of both synaptic proteins required for synapse formation in dopaminergic hiPS cell-derived neurons (arrow heads). Yellow asterisk indicates area of zoom images shown in the second panel. Scale bar:  $25 \,\mu$ m, Zoom images:  $10 \,\mu$ m. (B) Additional expression of presynaptic scaffolding protein bassoon (green) was assessed in dopaminergic TuJ1 positive neurons (red) and found to be present in somatic and neuritic regions (arrow heads). Scale bar:  $50 \,\mu$ m. (C) Co-staining of bassoon (red) and mitochondrial marker AIF (green) shows extensive colocalization in bassoon positive presynapses of hiPS cell-derived neurons. Scale bar:  $50 \,\mu$ m.

of Mfn2 antibodies (Fig. 7B). Compared to AIF (Fig. 6C and 7A), Mfn2 staining appeared evenly distributed throughout cells, with no clear subcellular distribution patterns. Staining for intracellular mitochondria markers and neuronal phenotype markers, including Tau and TuJ1, may also be combined



Fig. 7. Immunocytochemical analysis of mitochondria distribution in hiPS cell-derived DA neurons and DAT specific uptake of fluorescent dye ASP+. (A) Immunostaining of mitochondrial intermembrane protein AIF in dopaminergic neurons after 50 days of terminal differentiation. AIF (green) is found to be present in cell soma and neurites (arrow heads). Dopaminergic neurons can be identified by co-localized signals of Tau (red) and TH (magenta). Scale bar: 50  $\mu$ m. (B) Mitochondrial fusion protein Mfn2 (green) can be visualized by immuno-cytochemistry and is evenly distributed throughout cells. Since not only neuronal cells are positively stained for Mfn2, co-staining with neuronal marker (here: TuJ1, red) and dopaminergic marker DAT was applied to identify Mfn-signals exhibited by DA neurons. Scale bar: 50  $\mu$ m. (C) Live cell imaging experiments in hiPS cell-derived DA neurons show DA-specific uptake of fluorescent ASP+ dye into neurons and accumulation in mitochondria. Zoom images show distribution of mitochondria along neurites and neurite endings, with mitochondrial morphology being round and punctual (arrow heads), whereas mitochondria located in cell somas are more elongated (arrows). Scale bar: 25  $\mu$ m, Zoom images: 5  $\mu$ m.

with staining for cell surface-located DAT molecules (Fig. 7B). This enables to combine the analysis of mitochondrial aspects of interest with the availability of DAT molecules that are able to take up DA or other substrates. Generally, since AIF and Mfn2 immunostaining is not restricted to hiPS cell-derived dopaminergic neurons, co-staining with a dopaminergic phenotype marker, either TH or DAT, is required to restrict analysis to dopaminergic neurons.

Regarding live cell imaging approaches, the application of MPTP-derived fluorescent dyes, which are selectively taken up by monoamine transporters expressed in stem cell-derived neurons [47, 55, 56] and label mitochondria [45, 46], provide a suitable tool to analyze morphology, fusion and fission of mitochondria in hiPS cell-derived DA neurons even if the neuronal population contains non-dopaminergic neurons [55]. Figure 7C demonstrates that ASP+ taken up DAT-dependently into hiPS cell-derived DA neurons enables to selectively label mitochondria on neurites and cell bodies of these neurons without staining mitochondrial structures inside non-dopaminergic cells. In neurites and neurite endings, mitochondria appear to be predominantly of small and round shape and can be differentiated from each other. In cell soma, mitochondria distribution is less dense compared to neurites. A large proportion of mitochondria appear elongated implicating extensive fission and fusion processes occurring especially in somatic areas. In addition to investigating mitochondria based on MPTP-derived fluorescent dyes, synaptic activity of DA neurons can also be selectively investigated in a mixed hiPS cell-derived neuronal population. Here, the application of fluorescent false neurotransmitters (FFN) enables to selectively track DA containing synaptic vesicles of hiPS cell-derived DA neurons, since their transport into synaptic vesicle depends on vMAT2, expressed in DA neurons [63]. In summary, both, morphological studies based on immunofluorescence analysis and live cell imaging using DAT- or vMAT2-dependent uptake of fluorescent dyes, can be easily applied to investigate mitochondria density, trafficking and fusion-fission as well as synaptic activity of human dopaminergic neurons *in vitro*.

### 4. Conclusion

Mature, human stem cell-derived DA neurons can be generated from preselected DA progenitors within 50 days. The obtained neuronal cultures are devoid of other monoaminergic neurons, enabling to perform live cell experiments by selectively label DA neurons using fluorescent transporter substrates such as ASP+ or fluorescent false neurotransmitters. Immunostaining of synapses and mitochondria still requires co-staining with DA phenotype markers, to restrict respective analysis on DA neurons, and avoid compromising results by the high number of glia cells and small number of GABAergic neurons growing out of neuronal progenitors. Taken together, hiPS cell-derived DA neurons are a most suitable experimental system for a confocal laser scanning microscope-based experimental approaches to investigate DA signaling *in vitro*. Thereby human *in vitro*-generated DA neurons constitute an easily accessible cellular model to study core pathomechanisms and will significantly contribute to our understanding of DA signaling in the context of neurodegenerative diseases.

#### **Declaration of interest**

Authors declare that there is no conflict of interest.

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