

## Editorial

---

# Social Dysfunction and Apathy: Transdiagnostic Domains in Late-Life Cognitive Disorders

Madia Lozupone<sup>a</sup>, Vittorio Dibello<sup>b,c</sup>, Rodolfo Sardone<sup>d</sup>, Mario Altamura<sup>e</sup>, Antonello Bellomo<sup>e</sup>, Antonio Daniele<sup>f,g</sup>, Vincenzo Solfrizzi<sup>b</sup>, Emanuela Resta<sup>h</sup> and Francesco Panza<sup>b,\*</sup>

<sup>a</sup>*Department of Translational Biomedicine and Neuroscience “DiBrain”, University of Bari Aldo Moro, Bari, Italy*

<sup>b</sup>*“Cesare Frugoni” Internal and Geriatric Medicine and Memory Unit, University of Bari “Aldo Moro”, Bari, Italy*

<sup>c</sup>*Department of Orofacial Pain and Dysfunction, Academic Centre for Dentistry Amsterdam (ACTA), University of Amsterdam and Vrije Universiteit Amsterdam, Amsterdam, The Netherlands*

<sup>d</sup>*Local Healthcare Authority of Taranto, Taranto, Italy*

<sup>e</sup>*Psychiatric Unit, Department of Clinical & Experimental Medicine, University of Foggia, Foggia, Italy*

<sup>f</sup>*Department of Neuroscience, Catholic University of Sacred Heart, Rome, Italy*

<sup>g</sup>*Neurology Unit, IRCCS Fondazione Policlinico Universitario A. Gemelli, Rome, Italy*

<sup>h</sup>*Translational Medicine and Health System Management, Department of Economy, University of Foggia, Foggia, Italy*

Accepted 31 May 2024

Pre-press 12 July 2024

**Abstract.** Social dysfunction is a maladaptive process of coping, problem solving, and achieving one's goals. A new definition of apathy was cross-linked to social dysfunction, with a reduced goal-directed behavior and social interaction as a separate dimension. We hypothesized that these two neuropsychiatric symptoms may be included in the mild behavioral impairment diagnostic framework, operationalizing and standardizing late-life neuropsychiatric symptom assessment, to improve risk determination of dementia. Social dysfunction and apathy were transdiagnostic and prodromic for late-life cognitive disorders. A transdiagnostic approach could provide a useful mean for a better understanding of apathy and related conditions such as social behavior.

**Keywords:** Alzheimer's disease, apathy, biopsychosocial frailty, dementia, depression, late-life cognitive disorders, mild behavioral impairment, mild cognitive impairment, social dysfunction, social withdrawal

## INTRODUCTION

Among late-life neuropsychiatric symptoms (NPS), social dysfunction and apathy are two macroscopic dimensions included in different neuropsychiatric conditions in older age. They not only

---

\*Correspondence to: Francesco Panza, MD, PhD, “Cesare Frugoni” Internal and Geriatric Medicine and Memory Unit, University of Bari “Aldo Moro”, Bari, Italy. E-mail: f.panza@hotmail.com; ORCID: 0000-0002-7220-0656.

assumed a transdiagnostic characteristic, but also a prodromic significance. Current knowledge fails to define the pathophysiological mechanisms that link system-level phenomena to the multiple hierarchies of brain function underlying social behavior and living. Social deficits such as social dysfunction and withdrawal can sometimes represent the first signs of several neuropsychiatric disorders, manifesting far before the full onset of the other symptoms.<sup>1</sup>

Among recent diagnostic clinical criteria and definitions for apathy,<sup>2–4</sup> the 2018 international consensus group about apathy pointed to the importance of adopting a transdiagnostic approach, which cuts across traditional disease boundaries, to provide useful means for better understanding of apathy and related conditions.<sup>3</sup> In fact, the 2009 the European Psychiatric Association (EPA) diagnostic criteria suggested that apathy may be diagnosed based on a loss of or diminished motivation and the presence of at least one symptom in at least two of three domains of apathy (reduced goal-directed behavior, goal-directed cognitive activity, or emotions).<sup>2</sup> In the 2018 definition of apathy, the term motivation was replaced by goal-directed behavior, domains were re-labelled “dimensions”; and a new separate dimension, social interaction, was introduced.<sup>3</sup> Finally, behavioral and cognitive domains of apathy were subsumed under one category: in the clinical practice, it is difficult to dissociate cognitive from behavioral deficits, because both result in diminished observable activity.<sup>3</sup>

From a microscopic point of view, deficits in social cognitive processes have been identified as core cognitive deficits in neuropsychiatric disorders and have been reported to be among the strongest predictors of impaired social functioning in this populations and in different age strata.<sup>5</sup> Social functioning is defined as an individual’s ability to perform appropriately everyday social tasks and consequently to maintain an adequate social life.<sup>6</sup> Social dysfunction depends on individual inability in coping with stressful situational factors and achieving adequate social gratification and own goals. It could be defined as the maladaptive way to manage personal, interpersonal, or geographic environment.<sup>6</sup> Based on the emergence of persistent NPS among non-demented individuals in later life, mild behavioral impairment (MBI) was associated with cognitive impairment and was suggested as an at-risk state for incident cognitive decline and dementia.<sup>7–9</sup> These NPS included apathy, affective dysregulation, impulse dyscontrol, abnormal perception and thought content, and social

dysfunction. In the present article, we hypothesized the importance of the inclusion of apathy and social dysfunction in MBI and its adequate assessment for predicting cognitive disorders and dementia in later life.

## **APATHY AND SOCIAL DYSFUNCTION, MILD COGNITIVE IMPAIRMENT, AND ALZHEIMER’S DISEASE**

Individual social roles were strongly dependent on age and may be affected by the presence of psychopathology.<sup>10</sup> The dimension of social dysfunction was key in study of health and diseases in aging populations, especially cognitive decline.<sup>11,12</sup> Most studies focused on only one measure of social dysfunction in older age, without proper validation and distinction across different dimensions including subjectivity, structural, and functional aspects. The Social Dysfunction Rating Scale (SDRS) addresses these requirements because it objectively quantifies dysfunctional interaction with the environment.<sup>13</sup>

SDRS was recently validated in older subjects. This scale could be a valid instrument to capture both size and quality of social dysfunction, both in subjects with psychiatric disorders and in normal subjects. Education and global cognitive functions were inversely correlated to SDRS, while a direct association with global psychopathology, depression, and apathy was found.<sup>14</sup> Measures of five factors were identified according to original factorial analysis: Factor 1 – Apathetic-Detachment, Factor 2 –Dissatisfaction, Factor 3 – Hostility, Factor 4– Health-Finance Concern, and Factor 5 – Manipulative- Dependency.<sup>14</sup> Most items were included in the first factor. In this study, we also found an inverse correlation between SDRS and global cognitive scores (Mini Mental State Examination), the level of education, and the executive dysfunction (Frontal Assessment Battery) was also found.<sup>14</sup> The relation of negative social interactions with late-life cognitive disorders has not been extensively investigated, but one study found more frequent negative social interaction to be related to an increased risk of developing mild cognitive impairment (MCI).<sup>15</sup>

On the contrary, among affective NPS (aNPS, depression, apathy, anxiety, and irritability), apathy was the most frequent and persistent symptom in all types of dementia, since from prodromic phases.<sup>16</sup> In particular, apathy showed to increase the risk of dementia progression in individuals with MCI.<sup>17</sup> In

Table 1

Principal longitudinal studies investigating the risk/percentage of conversion from mild cognitive impairment to Alzheimer's disease (AD) associated to the presence of apathy at baseline

Study	Follow-up time (y)	Sample size	Age (y)	AD diagnostic criteria	Association or percentage of AD conversion in relation to apathy
Palmer et al., 2010 <sup>18</sup>	3.3	131	70.8 ± 6.5	NINCDS-ADRDA	HR: 6.9, 95% CI: 2.3-20.6
Rosenberg et al., 2013 <sup>19</sup>	1.2	1,821	75.3 ± 9.3	CDR	HR: 1.38, 95% CI: 1.2-1.56
Guercio et al., 2015 <sup>20</sup>	1.4	75	74.7 ± 8.0	CDR	HR: 1.19, 95% CI: 1.09-1.3
Ruthirakuhan et al., 2019 <sup>21</sup>	1.9	4,932	73.2 ± 9.2	NINCDS-ADRDA	HR: 1.24, 95% CI: 1.05-1.46
Dietlin et al., 2019 <sup>22</sup>	1.5	96	78.7 ± 5.6	CDR	HR: 3.02, 95% CI: 2.17-4.20
Roberto et al., 2021 <sup>23</sup>	2.3	2,137	74.6 ± 8.2	NIA-AA	50.3%
Salem et al., 2023 <sup>24</sup>	8.2	1,092	67.0 ± 5.0	NINCDS-ADRDA	36.1%

NINCDS-ADRA, National Institute of Neurological and Communicative Diseases and Stroke/Alzheimer's Disease and Related Disorders Association; HR, hazard ratio; CI, confidence interval; CDR, Clinical Dementia Rating; NIA-AA, National Institute of Aging and Alzheimer's Association.

fact, in a recent systematic review with meta-analysis including 11 studies with 9504 individuals, there was a significant association between apathy and dementia conversion from MCI [hazard ratio (HR)=1.54; 95% confidence interval (CI)=1.29-1.84], while a subgroup analysis showed a significant association between apathy and progression to AD in MCI individuals (HR = 1.31; 95% CI = 1.15-1.49).<sup>17</sup> In Table 1, we showed the principal longitudinal studies investigating the risk of conversion from MCI to AD associated to the presence of apathy at baseline.<sup>18-24</sup>

Since from the early phases, dementia psychopathology seemed to progressively impair social brain at multiple sites, starting from the structures that sustained motivation and goal directed behavior to the mentalizing network. An inverse or a bidirectional association could be hypothesized in dementia since social withdrawal itself may decrease motivation through stimuli deprivation in a vicious circle.<sup>25</sup> Social function is a complex phenotype, which is influenced by a variety of socio-demographic features, as well as by basic domain deficits, in attention, working memory, and sensory processing.<sup>1</sup> The enormous amount of brain processes required to initiate and maintain social relationships likely reflects an intrinsic vulnerability to pathological insults, which may result in social withdrawal far before the full onset of the disorder. Social withdrawal is likely preceded by subtle cognitive dysfunctions, which are difficult to detect clinically and are specific for dementia subtypes and their course.<sup>26</sup>

Although different types of dementia (i.e., behavioral variant frontotemporal dementia, bvFTD, and AD) experienced declines in cognitive and socio-emotional functioning over time, the pattern of decline appeared to differ since from the beginning. bvFTD patients experienced rapid decline in general cog-

nition, emotion recognition and sarcasm detection, whereas AD patients tended to show progressive decline in general cognition and sarcasm detection only. Specific cognitive deficits, such as word finding difficulty and face/emotional recognition, reduced engagement in social leisure activities leading eventually to social withdrawal. A cognitive domain such as subjective word-finding difficulty was uniquely related to social activity measures, rather than depressive symptoms. On the other hand, social withdrawal itself seemed to determine difficulties in activities of daily living which required cognitive input.<sup>27</sup> Linguistic markers predict onset of AD and other subtypes of FTD (primary progressive aphasia).<sup>28</sup>

Some studies also explored the lived experience of NPS among people with MCI to explain the unique manifestations of NPS in a preclinical cohort.<sup>29,30</sup> Cognitive deterioration, together with the resultant impacts on various life domains, evoked a cluster of NPS that compromised the overall well-being in preclinical subjects.<sup>29</sup> Psychological, emotional, and behavioral symptoms were not solely attributable to neurobiological pathways but also to how individuals may interact with the real world. There was also a differential impact of mild memory change on the everyday lives of older adults with age-normal memory changes and in those with amnesic MCI, with consequences more substantial and generally more adverse for amnesic MCI individuals.<sup>30</sup>

## CONCLUSIONS

In conclusion, in the present article, examining the importance of the inclusion of apathy and social dysfunction in MBI and its adequate assessment, we wanted to hypothesize the importance of early detection and interventions in dysfunctional aspects

of social competence in older age for predicting late-life cognitive disorders and dementia. The presence of social withdrawal and other negative-type symptoms (such as apathy) have been associated with categories of MCI at higher risk of conversion into dementia.<sup>31</sup> NPS, particularly aNPS, in cognitively healthy older adults may be prodromal symptoms of AD.<sup>32</sup> This concept has been termed MBI as a parallel concept to MCI.<sup>7</sup> Social functioning and SDRS might be included in a risk index and use this to stratify older persons for biomarker assessment for cognitive and psychiatric health. Other constructs similar to MBI have been proposed as predictors of different types of dementia and MCI,<sup>33,34</sup> i.e., the biopsychosocial frailty phenotype, with its coexistent biological/physical and psychosocial dimensions, defining a biological aging status and including motivational, emotional, and socioeconomic domains. This complex and multidimensional frailty phenotype has been associated with all-cause dementia, MCI, non-amnesic MCI, AD, AD neuropathology, vascular dementia, and non-AD dementias,<sup>33,34</sup> suggesting that this construct may capture important aspects of assessment and targeted intervention in late-life cognitive disorders.

## AUTHOR CONTRIBUTIONS

Madia Lozupone (Conceptualization; Data curation; Funding acquisition; Investigation; Methodology; Resources; Software; Supervision; Validation; Visualization; Writing – original draft; Writing – review & editing) Vittorio Dibello (Conceptualization; Methodology; Project administration; Validation; Visualization; Writing – review & editing) Rodolfo Sardone (Funding acquisition; Investigation; Methodology; Project administration; Resources; Validation; Visualization; Writing – review & editing) Mario Altamura (Conceptualization; Funding acquisition; Investigation; Writing – review & editing) Antonello Bellomo (Conceptualization; Data curation; Supervision; Validation; Visualization; Writing – review & editing) Antonio Daniele (Conceptualization; Funding acquisition; Investigation; Supervision; Validation; Visualization; Writing – original draft) Vincenzo Solfrizzi (Conceptualization; Data curation; Supervision; Validation; Visualization; Writing – review & editing) Emanuela Resta (Conceptualization; Data curation; Funding acquisition; Investigation; Supervision; Validation; Visualization; Writing – review & editing)

Francesco Panza (Conceptualization; Data curation; Funding acquisition; Investigation; Methodology; Project administration; Resources; Software; Supervision; Validation; Visualization; Writing – original draft; Writing – review & editing).

## CONFLICT OF INTEREST

The authors have no relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript apart from those disclosed. Dr Lozupone and Dr Panza are Editorial Board Members of this journal but was not involved in the peer-review process of this article nor had access to any information regarding its peer-review.

## FUNDING

This paper was supported by the Project “Development of an ensemble learning-based, multi-dimensional sensory impairment score to predict cognitive impairment in an elderly cohort of Southern Italy” funded by the European Union – Next Generation EU – NRRP M6C2 – Investment 2.1 Enhancement and Strengthening of NHS biomedical research (Grant Agreement PNRR-MAD-2022-12376656).

## REFERENCES

1. Porcelli S, Van Der Wee N, van der Werff S, et al. Social brain, social dysfunction and social withdrawal. *Neurosci Biobehav Rev* 2019; 97: 10–33.
2. Robert P, Onyike CU, Leentjens AF, et al. Proposed diagnostic criteria for apathy in Alzheimer’s disease and other neuropsychiatric disorders. *Eur Psychiatry* 2009; 24: 98–104.
3. Robert P, Lanctôt KL, Agüera-Ortiz L, et al. Is it time to revise the diagnostic criteria for apathy in brain disorders? The 2018 international consensus group. *Eur Psychiatry* 2018; 54: 71–76.
4. Miller DS, Robert P, Ereshefsky L, et al. Diagnostic criteria for apathy in neurocognitive disorders. *Alzheimers Dement* 2021; 17: 1892–1904.
5. Cotter J, Granger K, Backx R, et al. Social cognitive dysfunction as a clinical marker: A systematic review of meta-analyses across 30 clinical conditions. *Neurosci Biobehav Rev* 2018; 84: 92–99.
6. Birchwood M, Smith J, Cochrane R, et al. The Social Functioning Scale. The development and validation of a new scale of social adjustment for use in family intervention programmes with schizophrenic patients. *Br J Psychiatry* 1990; 157: 853–859.

7. Ismail Z, Smith EE, Geda Y, et al. Neuropsychiatric symptoms as early manifestations of emergent dementia: Provisional diagnostic criteria for mild behavioral impairment. *Alzheimers Dement* 2016; 12: 195–202.
8. Creese B, Brooker H, Ismail Z, et al. Mild behavioral impairment as a marker of cognitive decline in cognitively normal older adults. *Am J Geriatr Psychiatry* 2019; 27: 823–834.
9. Nathan S, Gill S and Ismail Z. ApoE  $\epsilon$ 4 status in pre-dementia risk states, mild behavioural impairment and subjective cognitive decline, and the risk of incident cognitive decline. *Alzheimers Dement* 2020; 16(Suppl 6): e046615.
10. Hakulinen C, Pulkki-Råback L, Jokela M, et al. Structural and functional aspects of social support as predictors of mental and physical health trajectories: Whitehall II cohort study. *J Epidemiol Community Health* 2016; 70: 710–715.
11. Matthews KA, Gallo LC and Taylor SE. Are psychosocial factors mediators of socioeconomic status and health connections? A progress report and blueprint for the future. *Ann N Y Acad Sci* 2010; 1186: 146–173.
12. Wilson RS and Bennett DA. How does psychosocial behavior contribute to cognitive health in old age? *Brain Sci* 2017; 7: 56.
13. Linn MW, Sculthorpe WB, Evje M, et al. A social dysfunction rating scale. *J Psychiatr Res* 1969; 6: 299–306.
14. Lozupone M, Panza F, Piccininni M, et al. Social dysfunction in older age and relationships with cognition, depression, and apathy: The GreatAGE Study. *J Alzheimers Dis* 2018; 65: 989–1000.
15. Hertzog C, Kramer AF, Wilson RS, et al. Enrichment effects on adult cognitive development: Can the functional capacity of older adults be preserved and enhanced? *Psychol Sci Public Interest* 2008; 9: 1–65.
16. Lyketsos CG, Lopez O, Jones B, et al. Prevalence of neuropsychiatric symptoms in dementia and mild cognitive impairment: results from the cardiovascular health study. *JAMA* 2002; 288: 1475–1483.
17. Fresnais D, Humble MB, Bejerot S, et al. Apathy as a predictor for conversion from mild cognitive impairment to dementia: a systematic review and meta-analysis of longitudinal studies. *J Geriatr Psychiatry Neurol* 2023; 36: 3–17.
18. Palmer K, Di Iulio F, Varsi AE, et al. Neuropsychiatric predictors of progression from amnesic-mild cognitive impairment to Alzheimer's disease: the role of depression and apathy. *J Alzheimers Dis* 2010; 20: 175–183.
19. Rosenberg PB, Mielke MM, Appleby BS, et al. The association of neuropsychiatric symptoms in MCI with incident dementia and Alzheimer disease. *Am J Geriatr Psychiatry* 2013; 21: 685–695.
20. Guercio BJ, Donovan NJ, Munro CE, et al. The apathy evaluation scale: A comparison of subject, informant, and clinician report in cognitively normal elderly and mild cognitive impairment. *J Alzheimers Dis* 2015; 47: 421–432.
21. Ruthirakuhan M, Herrmann N, Vieira D, et al. The roles of apathy and depression in predicting Alzheimer disease: A longitudinal analysis in older adults with mild cognitive impairment. *Am J Geriatr Psychiatry* 2019; 27: 873–882.
22. Dietlin S, Soto M, Kiyasova V, et al. Neuropsychiatric symptoms and risk of progression to Alzheimer's disease among mild cognitive impairment subjects. *J Alzheimers Dis* 2019; 70: 25–34.
23. Roberto N, Portella MJ, Marquié M, et al. Neuropsychiatric profiles and conversion to dementia in mild cognitive impairment, a latent class analysis. *Sci Rep* 2021; 11: 6448.
24. Salem H, Suchting R, Gonzales MM, et al. Apathy as a predictor of conversion from mild cognitive impairment to Alzheimer's disease: A Texas Alzheimer's Research and Care Consortium (TARCC) Cohort-Based Analysis. *J Alzheimers Dis* 2023; 92: 129–139.
25. Shinagawa S, Babu A, Sturm V, et al. Neural basis of motivational approach and withdrawal behaviors in neurodegenerative disease. *Brain Behav* 2015; 5: e00350.
26. Kumfor F, Irish M, Leyton C, et al. Tracking the progression of social cognition in neurodegenerative disorders. *J Neurol Neurosurg Psychiatry* 2014; 85: 1076–1083.
27. Farrell MT, Zahodne LB, Stern Y, et al. Subjective word-finding difficulty reduces engagement in social leisure activities in Alzheimer's disease. *J Am Geriatr Soc* 2014; 62: 1056–1063.
28. Eyigoz E, Mathur S, Santamaria M, et al. Linguistic markers predict onset of Alzheimer's disease. *EClinicalMedicine* 2020; 28: 100583.
29. Lin RSY, Yu DSF, Li PWC, et al. Lived experience of neuropsychiatric symptoms among females with mild cognitive impairment: A phenomenological study. *J Adv Nurs* 2022; 78: 1100–1111.
30. Parikh PK, Troyer AK, Maione AM, et al. The impact of memory change on daily life in normal aging and mild cognitive impairment. *Gerontologist* 2016; 56: 877–885.
31. Lopez-Anton R, Santabàrbara J, De-la-Cámara C, et al. Mild cognitive impairment diagnosed with the new DSM-5 criteria: prevalence and associations with non-cognitive psychopathology. *Acta Psychiatr Scand* 2015; 131: 29–39.
32. Rosenberg PB. Loneliness as a marker of brain amyloid burden and preclinical Alzheimer disease. *JAMA Psychiatry* 2016; 73: 1237–1238.
33. Panza F, Solfrizzi V, Sardone R, et al. Depressive and biopsychosocial frailty phenotypes: impact on late-life cognitive disorders. *J Alzheimers Dis* 2023; 94: 879–898.
34. Solfrizzi V, Scafato E, Custodero, et al. Biopsychosocial frailty and mild cognitive impairment subtypes: Findings from the Italian project on the epidemiology of Alzheimer's disease (IPREA). *Alzheimers Dement* 2023; 19: 3306–3315.