Dementia and COVID-19, a Bidirectional Liaison: Risk Factors, Biomarkers, and Optimal Health Care

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Abstract. Cognitive impairment following SARS-CoV-2 infection is being increasingly recognized as an acute and possibly also long-term sequela of the disease. Direct viral entry as well as systemic mechanisms such as cytokine storm are thought to contribute to neuroinflammation in these patients. Biomarkers of COVID-19-induced cognitive impairment are currently lacking, but there is some limited evidence that SARS-CoV-2 could preferentially target the frontal lobes, as suggested by behavioral and dysexecutive symptoms, fronto-temporal hypoperfusion on MRI, EEG slowing in frontal regions, and frontal hypometabolism on 18F-FDG-PET. Possible confounders include cognitive impairment due to hypoxia and mechanical ventilation and post-traumatic stress disorder. Conversely, patients already suffering from dementia, as well as their caregivers, have been greatly impacted by the disruption of their care caused by COVID-19. Patients with dementia have experienced worsening of cognitive, behavioral, and psychological symptoms, and the rate of COVID-19-related deaths is

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disproportionately high among cognitively impaired people. Multiple factors, such as difficulties in remembering and executing safeguarding procedures, age, comorbidities, residing in care homes, and poorer access to hospital standard of care play a role in the increased morbidity and mortality. Non-pharmacological interventions and new technologies have shown a potential for the management of patients with dementia, and for the support of their caregivers.

Keywords: Alzheimer’s disease, cognition, COVID-19, dementia, SARS-CoV-2

INTRODUCTION

Cognitive impairment in severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection has frequently been reported, in patients with meningitis, encephalitis, encephalopathy, and acute cerebrovascular disease, but also when no identifiable structural cause can be found [1, 2]. Rates of cognitive impairment in COVID-19 patients range widely across studies [2–4], due to different neurological conditions causing cognitive impairment and different evaluation timings and settings [2–4]. Although several pathways have been implicated in viral entry in the central nervous systems (CNS), the presence of the virus is not necessary to elicit neuronal damage, as other detrimental mechanisms such as cytokine storm could play a crucial role in COVID-19 neuroinflammation [5, 6]. Most studies comprehensibly focus on acute cognitive syndromes such as delirium, while longitudinal data on cognition are scarce. The distinction between acute and permanent cognitive decline in the context of COVID-19, though too early to ascertain, is an essential one. Other coronaviruses, such as severe acute respiratory syndrome coronavirus 1 (SARS-CoV-1) and middle east respiratory syndrome (MERS), mostly caused acute confusion, mania and psychosis, and even if residual depression, anxiety, insomnia, memory impairment, post-traumatic stress disorder (PTSD) and fatigue have been described, most patients showed a progressive return to their baseline function [7]. In patients with an established diagnosis of dementia, the available studies point to an increased susceptibility of Alzheimer’s disease (AD) patients to COVID-19 infection, and to higher rates of COVID-19 deaths [8–11]. Multiple factors, such as difficulties in remembering safeguarding procedures (e.g., wearing masks or adhering to social distance), but also age, genotype, comorbidities, and residing in care homes, have been shown to play a role [10, 12, 13]. In this review, focusing on the reciprocal link between COVID-19 and dementia, we will examine the possible pathogenic role of COVID-19 in causing cognitive impairment and available biomarkers, and describe the challenges that COVID-19 poses to patients with dementia and their caregivers, as well as possible interventions.

INTERPLAY BETWEEN SARS-COV-2 AND DEMENTIA

Pathophysiology

Neuro-invasion by SARS-CoV-2 is thought to be achieved through different mechanisms. One possible way is the hematogenous spread from infected leukocytes, similarly to HIV’s Trojan horse, crossing the blood-brain barrier (BBB) [14]. Direct viral invasion through infected vascular endothelial cells directly to glial cells has also been described, as well as penetration from the olfactory epithelium to the olfactory bulb through retrograde axonal transport along the olfactory nerve [15]. Vagal and glossopharyngeal nerves might also play a role in neuro-invasion and multiorgan dissemination of SARS-CoV-2 [16]. Moreover, angiotensin-converting enzyme 2 (ACE-2) receptors control SARS-CoV-2 entry into cells, and their expression in endothelial cells mediates viral invasion across the BBB [15]. Neuropathological and transcriptome-based studies in humans found high ACE-2 expression throughout the brain, especially in the pons, medulla oblongata, substantia nigra, caudate nucleus, spinal cord, hypothalamus, hippocampus, middle temporal gyrus, amygdala, cingulate cortex, frontal cortex, and olfactory bulb [17, 18]. Given that the medulla oblongata contains the respiratory centres of the brain, its involvement might partially explain the susceptibility of many COVID-19 patients to severe respiratory distress [17]. Preclinical evidence on other coronaviruses indicate their greater affinity to CA1 and CA3 hippocampal regions [19]. This does not seem to apply...
to SARS-CoV-2, as the relatively limited neuropathological data available show a primary involvement of medulla oblongata, basal ganglia, cerebellum, frontal lobes and olfactory bulbs [6, 20]. No proven cases of AD or frontotemporal dementia (FTD) have been associated to SARS and MERS coronaviruses, but this evidence is limited by small numbers. Despite the growing amount of literature, clinical evidence for viral presence of SARS-CoV-2 in the cerebrospinal fluid (CSF) is still limited, even if viral particles can be found in the brain [20–22]. COVID-19 is often associated with a cytokine storm, with increased release of interleukin 6 (IL-6), tumor necrosis factor alpha (TNF-alpha), interleukin 1 beta (IL-1β), and interleukin 8 (IL-8), which strongly resembles an immune effector cell-associated neuro-toxicity syndrome (ICANS) [23]. Increased cytokine levels, especially interleukin 6 (IL-6), have been shown to be positively correlated with COVID-19 severity and mortality, leading to multiorgan failure [24, 25]. This cytokine storm induces a massive activation of macrophages and monocytes and compromise the integrity of the BBB, which in turn, can induce astrocyte and glial cells to produce reactive oxygen species which would ultimately lead to CNS damage [26]. As a result, markers of BBB disruption, such as serum S100B, and glial activation, as glial fibrillary acidic protein (GFAP), are increased in patients with COVID-19-related encephalopathy [27–29]. Moreover, this cytokine storm is also associated with increased levels of ferritin, lactate dehydrogenase (LDH) and D-dimer, which in turn can lead to an hypercoagulable state and increased cerebrovascular events [30]. Lastly, hypoxia secondary to respiratory insufficiency needs to be considered among the major causative mechanisms leading to transient and possibly permanent cognitive impairment [31] (Fig. 1).

**Dementia as a risk factor for COVID-19**

Dementia, and particularly AD, is associated with higher rates of infection and hospitalization due to COVID-19 [9, 32]. A large UK biobank study showed that AD was the major risk factor for hospital admission for COVID-19 (OR = 2.29) [9]. According to an American study, dementia was associated with an OR of 5.2 and AD of 7.7 of COVID-19 infection [32].
Multiple factors could contribute to these increased rates of infection. Patients with dementia might have difficulties in remembering safeguarding procedures, such as wearing masks, or understanding the public health information issued [33] (Fig. 2). A Japanese study on AD and FTD patients showed that both patient groups had troubles understanding the meaning of the requests, and AD patients often forgot the instructions [33], while FTD patients struggled more to follow safeguarding procedures, especially washing hands, social distancing, and staying at home, because of their socially inappropriate and stereotyped behaviors. In care homes, increased intrusiveness and wandering undermine efforts to maintain isolation, thus increasing the risk of contagion [34, 35].

Even in healthy people, a UK Biobank study showed that over 431,051 healthy subjects an elevated risk of COVID-19 incidence was related to performance on two tests of cognitive function, i.e., verbal and numerical reasoning and reaction speed on a ‘Go/No-go task’ [36]. Similarly, an American study on 850 healthy subjects found that participants’ social-distancing compliance could be predicted by individual differences in working memory (WM) capacity [37], with high WM capacity individuals understanding better the true merits of social distancing. Therefore, it is not surprising that AD patients, who have lower WM capacity and have poorer response inhibition, could have higher rates of infection.

However, not only is the incidence of COVID-19 higher in patients with dementia, but these patients are also more likely to have more severe presentations, and higher mortality rates due to COVID-19 infection [10, 38]. An epidemiological study among 185 countries found a significant correlation between burden of dementia and life expectancy, and COVID-19 related deaths [39]. The highest numbers of confirmed cases and deaths were reported in high-income countries, where also the prevalence of dementia is higher, and more patients live in long-term care facilities, where COVID-19 had a higher toll [39]. A cross-country study involving UK, Spain, Ireland, Italy, Australia, US, India, Kenya, and Brazil found that 29%–75% of the deaths in patients with dementia in care homes were linked to COVID-19 [40]. In a UK Biobank study, pre-existing dementia was the most important factor associated with COVID-19 severity (OR = 3.07) [10]. An Italian observational study showed that severe dementia was an independent risk factor for death [38]. In a Korean study, age, chronic lung disease, and AD were the only
significant parameters for predicting COVID-19 non-survival [41]. A Spanish study in 204 patients with AD and FTD showed that AD patients had higher mortality rates compared to patients with FTD, even though the AD ones were older in this study, and that risk of death was much more common in patients living in care homes (72.0%) compared to homedwelling patients (7.3%), regardless of the etiology [13].

Several explanations might account for the higher rate of COVID-19 mortality in patients with dementia. This has often been attributed exclusively to age, but comorbid diseases such as AD may be more influential than aging [42, 43], and age and dementia are independently associated with a higher mortality [44]. Moreover, there might be local hospital guidelines and ethical reasons accounting for higher mortality in patients with dementia. In case of shortage of ventilators, rationing of health care may be required, which could result in elderly patients with dementia being denied intensive care or ventilatory support, resulting in higher mortality [45, 46]. Reports of ‘ageism’, i.e., discrimination against elderly people, and especially patients with dementia, have been frequently described during the COVID-19 pandemic [40]. As an example, in the UK, people living with dementia often reported being denied access to hospital or being told that they had to sign “do not attempt resuscitation (DNAR)” arrangements [40]. Alzheimer Europe has made a public statement that a diagnosis of dementia should never be a reason to refuse people access to treatment, care and support, as would violate basic human rights [47]. Ethical considerations arise also when artificial intelligence is proposed to optimize triage [48].

Shared biological links between dementia and COVID-19 infection

Several shared neurobiological substrates have been suggested to mediate the pathological synergy between AD and COVID-19 infection [49]. While ACE-2 receptors overexpression could facilitate viral access into the CNS, their role in neurodegenerative diseases is still debated, with some evidence claiming that the physiological decline in ACE-2 expression could explain the increased severity of cognitive symptoms in elderly patients [50], while other advocate a neuroprotective effect [51, 52]. Pre-COVID-19 data showed reduced ACE-2 levels in AD, which inversely correlated with Aβ and tau burden [51–53]. On the other hand, preliminary preprint data from COVID-19 patients with AD show that ACE-2 levels are actually upregulated in AD brains [54], and increased ACE-2 levels could therefore constitute an intrinsic vulnus and an additional risk factor for viral entry.

APOE4 genotype, a known risk factor for late-onset sporadic AD, has been acknowledged as a possible additional predisposing factor for COVID-19 infection and severity [55] (Fig. 1). A UK-Biobank study showed that, after adjusting for pre-existing dementia, hypertension, coronary artery disease, and Type-2 diabetes, APOE4 homozygotes have a 2.2-fold increased risk for COVID-19 infection, and more severe outcomes, specifically a 4.3-fold case-fatality rate, compared to APOE3 homozygotes [10, 12, 56]. This finding has been questioned with respect to the adjustment of p-value in genome-wide association studies (GWAS) and possible selection bias [57, 58]. Its replication could help to unravel a possible detrimental effect of ApoE4 in inducing neuroinflammatory microglia response, as in AD [59], and further neurodegeneration in COVID-19 patients [51].

Another possible biological link between COVID-19 and AD is represented by a pro-inflammatory cytokine profile. IL-6 and IL-1β have been frequently associated with increased mortality in COVID-19 patients [2], and currently IL-6 and IL-1β inhibitors, such as tocilizumab and anakinra, are among the most used treatment options for COVID-19 [60, 61] (Fig. 1). Neuroinflammation has received much attention in recent years as a driver of cognitive impairment in AD [62]. Pre-COVID-19 data indicate that inflammatory markers in AD show different patterns across disease progression, with some cytokines peaking in the prodromal phase and then declining, while others, including IL-1β and IL-6, increasing alongside disease progression [63–65]. Preclinical data in animal models of AD show that counteracting inflammation leads to better cognitive performances [66–68], while evidence on the use of anti-inflammatory drugs in AD has been inconclusive, given their poor BBB permeability [69]. SARS-CoV-2 open reading frame 3a (ORF3a) protein stimulates NLRP3 inflammasome, which has also been found to cause pathological accumulation of Aβ [70, 71]. Galectin-3, a key element in COVID-19 inflammation [72], is involved in Aβ oligomerization and toxicity, and has been proposed as shared anti-inflammatory target [73]. Nevertheless, these potential mechanisms remain speculative at the moment, given the absence of reliable post-mortem data on Aβ and neurofibrillary tangles in COVID-19 patients.
Delirium as a clinical presentation of COVID-19 patients with dementia: Cognitive features and biomarkers

Among patients diagnosed with dementia, one of the most frequent symptoms of COVID-19 at onset is delirium, especially in the hypoactive form [74, 44]. By contrast, fever, cough, and dyspnea are less common [38, 75, 76]. A French study in 353 elderly showed that patients with dementia compared to those without, more often exhibited hypoactive (27.6% versus 11.4%) and hyperactive delirium (14.9% versus 5.5%), altered consciousness (17.2% versus 6.4%), and lymphopenia (79.3 % versus 66.7%), and less often hyperthermia (47.0% versus 61.6 %), cough (46.3% versus 66.7%) and loss of taste (2.3% versus 10.1%) [77]. Prevalence of delirium increases with age and with more severe cognitive impairment, and can be associated with as high as 17 times greater mortality rates [74].

Pharmacological management has also been implicated in induction or exacerbation of delirium in COVID-19 patients [110, 111]. Benzodiazepines (BDZ) (OR = 1.59), opioids (OR = 1.39), antipsychotics (OR = 1.59) and vasopressor infusions (OR = 1.25) have been found to be associated with a higher risk of delirium in these patients [110]. Pharmacodynamic interactions with drug-induced effects on increased QTc prolongation, coagulation abnormalities, and immunosuppression also need to be considered in COVID-19 patients [112]. Haloperidol has shown a favorable profile in terms of morbidity and mortality, while BDZ and anticholinergic drugs should be avoided for the high risk of respiratory depression and confusion [110, 112]. Whenever possible, non-pharmacological management of agitation should be preferred, in order to avoid acute delirium and a post-intensive care syndrome (PICS) [110].

Given the high frequency of delirium in patients with COVID-19 infection, it is crucial to understand whether there are any specific cognitive, imaging, electrophysiological, or laboratory predictors (biomarkers) of CNS involvement. Moreover, it is important to ascertain whether these elderly COVID-19 patients with delirium are more likely to develop a neurodegenerative disorder in the future or are already affected by preclinical disease. There is some limited evidence that SARS-CoV-2 could preferentially target the frontal lobes [78–82] (Fig. 1). A clinical phenotype characterized by acute frontal type behavior such as attention deficits, abulia, alogia, absence of goal directed behavior, frontal release signs and myoclonus, has been frequently described in hospitalized COVID-19 patients, whether in intensive care unit (ICU) on non-ICU wards [83–85]. At neuropsychological testing, deficits in frontal lobe functions have been reported, even in young COVID-19 patients, and seem to be correlated with the severity of respiratory symptoms and mechanical ventilation, but not with gender, length of ICU stay or duration of mechanical ventilation [86–88]. In these cases, magnetic resonance imaging (MRI) findings can be either unremarkable, or show fronto-temporal hypoperfusion at arterial spin labeling, or even atrophy in multiple regions, including the frontal grey matter [78, 83, 88]. Electroencephalogram (EEG) frequently shows frontal abnormalities, including slow waves or epileptiform discharges, in up to 1/3 of the cases, and has recently been proposed as a biomarker for COVID-19 encephalopathy [89]. An inflammatory profile in the CSF, such as increased IL-6 or oligoclonal bands has also been described [78, 83, 79, 80, 90]. Frontal hypometabolism on positron emission tomography with F-18 fluorodeoxyglucose (FDG-PET) imaging has been reported in these patients with acute frontal type behavioral changes [79, 80]. Moreover, frontal hypometabolism is found in COVID-19 patients without evidence of acute delirium or frontal signs, but with anosmia and ageusia, and in old as well as young patients [90]. Pre-COVID-19 literature on FDG-PET in patients with delirium is not vast, but the few available studies show a more diffuse pattern of hypometabolism [91, 92]. There are no pre-delirium scans in most COVID-19 studies, so these changes might also reflect in some studies premorbid patterns of cognitive impairment as in AD or FTD, delirium potentially being only an epiphenomenon [93]. Nevertheless, even in patients with no previous history of cognitive impairment, there are reports of a fronto-parietal FDG-PET hypometabolism coupled with relative striatal and cerebellar hypermetabolism in the acute stage of COVID-19, which correlates with the degree of cognitive impairment at testing [94, 95]. After 6 months, one study showed a significant reduction of an initial frontoparietal hypometabolism, accompanied by a significant improvement in cognition, even if there were still residual mild deficits at neuropsychological testing and their FDG-PET did not completely revert back to normal [94].

What is the underlying cause of this frontal lobe dysfunction? The role of direct viral damage is at the moment limited, and even if viral particles can be found in the brain, the few neuropathological
data available argue in favor of an inflammatory process rather than direct damage by SARS-CoV-2, involving primarily the brainstem, cerebellum, and olfactory bulbs, and to a lesser extent, the basal ganglia and frontal lobes [6, 20]. Notably, in some of these cases, there is evidence that counteracting neuroinflammation could have a positive impact on the patients’ outcomes, either through steroids administration, intravenous immunoglobulin (IVIG), or tocilizumab [24, 79, 80, 84, 85] (Fig. 1). The frontal lobes are particularly vulnerable to hypoxic damage, but given the response to immunosuppression, it is unlikely that this is the sole source of the cognitive impairment [96] (Fig. 1). Lack of standardized diagnostic protocols, as well as polymorphic nomenclatures such as COVID-19 related delirium [78], encephalopathy [79], encephalitis [97], akinetic mutism [85], and frontal lobe dysfunction [80], hamper comparability across studies [98]. It is not known whether these patients are more likely to develop FTD or AD at the present time, and large-scale data on blood and CSF biomarkers of neurodegeneration is lacking, with reports of increased neurofilament light chain and total tau in severe COVID-19 cases [29].

Moreover, COVID-19 is related to increased risk of vascular changes and stroke [99], which can also impact cognition acutely, and are important comorbidities in subjects with cognitive impairment.

**Long-term effects on cognition and cognitive profile**

Only future longitudinal data will be able to assess the long-term impact of COVID-19 on cognition. Currently, a few studies have described the cognitive status of COVID-19 patients at discharge or after a short follow-up period. In a French study in COVID-19 patients hospitalized in an ICU, 33% out of 45 patients had a dysexecutive syndrome at discharge [83] (Fig. 1). Another French study reported that after a mean of 110.9 days, the most frequently reported persistent symptoms were fatigue (55%), dyspnea (41.7%), followed by loss of memory (34.2%), attention deficits (26.7%), and sleep disorders (30.8%) [100]. A small Chinese study on 29 patients who recovered from COVID-19 infection and 29 controls found lower scores in COVID-19 patients at the continuous performance test (CPT), which measures sustained and selective attention, and the CPT score was positively correlated with their C-reactive protein (CRP) levels [101]. One small Spanish study in 35 COVID-19 patients aged 20–60 years, conducted 10–35 days after hospital discharge, found that patients who reported headache, anosmia, dysgeusia, diarrhea, and those who required oxygen therapy had lower scores in several cognitive domains, such as long-term episodic memory and WM, attention, executive function, processing speed and naming, compared to asymptomatic patients [86]. Performance on digit span backwards was consistently impaired, even if only anosmia and ageusia were present. Preliminary preprint data from a large UK online study on 84,285 people, including 9,201 without and 3,466 with respiratory symptoms, and 361 with laboratory-confirmed SARS-CoV-2 infection, showed that global cognitive scores covaried with respiratory COVID-19 symptom severity after correcting for multiple socio-economical cofounders, including age, and people who required ventilation performed significantly worse [87]. Notably, in this study only a small proportion (0.76%) of participants reported having residual symptoms, although this was much higher in patients who required ventilation, in which case 78% had residual cognitive symptoms. Semantic problem solving, visual attention, and visual WM were the most affected domains, especially in hospitalized patients. The scores in patients who required ventilation were equivalent to 10-year older subjects in this dataset. One small American study in 57 COVID-19 patients, 88% of which had documented hypoxemic respiratory failure, showed deficits in working memory, set-shifting, divided attention, and processing speed in 40–55% of patients, which were not significantly associated with intubation length or the time from extubation to assessment, psychiatric diagnosis, or preexisting cardiovascular/metabolic disease [102]. Preliminary preprint data on Long COVID-19 symptoms after 7 months in a sample of 3,762 subjects support deficits in attention, short-term memory and executive functions, with a great impact on the ability to return to work [103].

Is this dysexecutive/frontal syndrome characteristic of COVID-19 infection? Deficits in executive function are also prominent in patients with acute respiratory distress syndrome (ARDS) [104–106], and a significant percentage of ARDS survivors suffer long-term cognitive impairment with prominent dysexecutive traits [107], as part of PICS [106]. The major risk factors for the development of PICS are age, prior cognitive deficits, delirium, sepsis, sleep deprivation, ARDS, prolonged mechanical ventilation, and multiorgan failure [107–109], many of which are experienced by COVID-19 patients.
Mechanical ventilation has been associated with executive dysfunction during and after ICU treatment, and is a risk factor for developing delirium [105]. Nevertheless, not all COVID-19 patients who develop cognitive dysfunction in the aftermath have undergone mechanical ventilation, or have been in ICU, so this might be a causal agent as well as a cofactor. A limitation of the available studies on COVID-19 is that many patients are not usually screened for baseline cognitive status, nor for biomarkers of cognitive impairment.

It is important to keep in mind that post-ICU patients frequently experience a worsening of cognition, due to depression, anxiety, and PTSD [113]. PTSD has also been reported in MERS and SARS patients, even 18–30 months after illness [114, 115], and by COVID-19 patients [116]. Subjective cognitive complaints are also frequently reported by COVID-19 patients, in the acute and chronic phase, and in patients with or without a pre-existing psychiatric diagnosis [103, 117]. Subjective complaints often correlate with executive dysfunction, quality of life and heave a substantial impact on patients’ ability to return to work [103, 118]. Multiple centers already advise to monitor cognitive and psychological symptoms of PICS, and encourage the use of cognitive behavioral therapy, counseling sessions, and psychological support [119, 120].

To summarize, the etiology of cognitive impairment is probably multifactorial [117].

Discussing the cognitive long-term implications of vascular brain damage due to COVID-19 is beyond the scope of this review, but vascular ischemic and hemorrhagic events are frequently seen in COVID-19 patients [121, 122], and the point prevalence of long-term vascular dementia in these patients will need to be ascertained.

Only few studies so far have examined voxel-wise structural, non-lesional changes in patients recovered from COVID-19 [88, 123]. A small study on 13 COVID-19 patients admitted to ICU showed brain atrophy in regions including primarily, but not limited to, frontal regions [88]. A subset of patients had executive dysfunction and deficits in lexical fluency at testing within 2 weeks of ICU discharge, but brain atrophy was present regardless of the presence of cognitive impairment [88]. Another study enrolled 60 COVID-19 patients 3 months after illness, with negative SARS-CoV-2 PCR at the time of enrolment, and 39 age- and sex-matched controls that had not been exposed to SARS-CoV-2. Their study results are somehow unexpected, as COVID-19 patients had statistically significantly higher grey matter volumes bilaterally in the olfactory cortex, hippocampus, insula, left rolandic operculum, left Heschl’s gyrus and right cingulate gyrus, reduced mean diffusivity, axial diffusivity, and radial diffusivity, and increased fractional anisotropy, which are opposite findings compared to what usually observed in neurodegenerative diseases, and therefore more data are needed to draw definite conclusions.

Lastly, there is general expectation that COVID-19 vaccination would bring current epidemic under control. Recent data has shown that influenza and pneumonia vaccination might be associated with reduced risk of AD [124]. Bidirectional approach to AD and COVID-19 should include designing of proper studies to evaluate effect of various anti-COVID vaccination on incidence or course of AD.

**MANAGEMENT OF PEOPLE WITH DEMENTIA DURING THE COVID-19 OUTBREAK**

*Impact of COVID-19 on patients’ and caregivers’ well-being*

Most of the literature so far has focused on the impact of COVID-19 on AD patients, described as a “double burden”, as the pandemic both increases their vulnerability and disrupts their social network, including their access to the healthcare system [39, 125]. Scheduled outpatient activities such as blood sampling or neuroimaging appointments might be postponed or missed altogether, due to hospital management decisions, but also to the reluctance of vulnerable patients to go to the hospital, and a timely diagnosis and initiation of treatment could be delayed in patients referred for diagnostic evaluation [126] (Fig. 2). The ‘Digital Divide’, i.e., little knowledge of telecommunication and other digitally-based modes of communication, is frequent among elderly people, especially patients with dementia, and they depend primarily on in-person support, which might be lacking. Family members are often banned from visiting long-term care facilities, and patients with dementia might not understand its reason, and feel abandoned [126]. Group activities in nursing homes may also be prohibited, leading to physical and social isolation. This might increase behavioral and psychological symptoms of dementia (BPSD), such as anxiety, agitation, irritability, sleep disturbances, apathy, and depression, which might in turn also impact the individuals’ ability to report symptoms of the infection.
Social restrictions induce several lifestyle modifications eventually increasing psychological distress in healthy people and even more in patients with dementia, and the lack of social, physical and cognitive stimulation causes in these patients a partial “deprivation syndrome” effect [129].

During quarantine, worsening of cognitive symptoms, particularly of memory and orientation abilities and increased BPSPD in patients with cognitive impairment have often been reported, especially in patients with severe dementia [130]. An Argentinian study found that 48% patients with AD reported an increased level of anxiety in the context of lockdown [131], and a French study showed higher depression and anxiety rates during the COVID-19 crisis in AD patients [132]. AD is not the only dementia who might be affected by COVID-19. One Italian multicentric study on 4,913 patients with different dementia types showed an increased burden of neuropsychiatric symptoms in 59.6% of patients with dementia, either worsening of preexisting symptoms (51.9%) or new onset (26%), which required drug modifications in 27.6% of the cases [129]. Irritability, apathy, agitation, and anxiety were the most frequently reported worsening symptoms, and sleep disorder and irritability the most reported new symptoms. Anxiety and depression were correlated with a diagnosis of AD, mild disease severity, and female gender. Having a diagnosis of dementia with Lewy bodies (DLB) increased the risk of worsening hallucinations and sleep disorders, while FTD increased the risk of aberrant motor behavior and change of appetite.

Worsening of cognition and BPSPD have been reported also in patients with mild cognitive impairment (MCI), who have experienced higher levels of apathy during quarantine, clearly increasing over time [133]. A Spanish study in patients with MCI or mild dementia found that only 61% declared feeling well, 29% felt sad, 22% worried, 11% afraid, 24% anxious, and 14% bored [134]. Those living alone reported greater negative psychological effects and sleeping problems. One Italian study in patients at risk for dementia, i.e., patients with subjective cognitive impairment (SCI) and MCI, found that patients led an increased sedentary lifestyle, switched to a more unhealthy diet and had lower engagement in active recreational activities, which can increase the risk of developing dementia [135]. One Dutch study in patients with SCI, MCI, and dementia due to AD or DLB showed that 46% of the patients with objective cognitive decline (MCI, dementia) and 38% of SCIs reported an increase in BPSPD, and concerns regarding a faster cognitive decline were reported by 44% of patients with objective cognitive decline and 14% of SCIs [136]. 76% of the caregivers reported an increase in BPSPD, and two third reported discontinuation of care, which correlated with a higher caregiver burden [136].

Caregivers have also been highly affected by the pandemic, and higher burden has been associated with longer confinement periods and with caring for more severe cases [131, 137–141] (Fig. 2). Caregivers might be the only point of contact between the patient and the outside world, and being cut off from their personal network, might feel isolated [129]. Across studies, at least two-thirds reported increased distress [136]. Nevertheless, it is important to keep in mind that most of these studies lack adequate controls and longitudinal data, and that COVID-19 has had an impact on mental health even in healthy people [142].

Adaptive strategies and challenges for clinical assessment and non-pharmacological interventions

The healthcare system should plan adequate strategies to counteract these neuropsychological and behavioral consequences of quarantine, also through the use of communication technologies [143]. Indeed, the COVID-19 pandemic has confronted us with new diagnostic challenges, but also, many suggest, with an opportunity to positively revolutionize our diagnostic system [35, 127, 144].

Performing neuropsychological assessments wearing Level 2 personal protective equipment (PPE) with COVID-19 patients poses several logistical challenges [145]. Paper and pencil tasks cannot be taken into the ward during the assessment [145]. For timed tasks, even where is possible to wear a wristwatch, it cannot be seen underneath the PPE, and speaking through a mask to patients with perceptual or cognitive deficits makes bedside cognitive assessment difficult to perform [145]. Moreover, COVID-19 patients often experience debilitating fatigue, which may adversely affect their cognitive performance, and increased anxiety, which can influence the reliability of their cognitive scores [145].

Even in outpatient settings, is not only the Digital Divide wide among elderly and patients with dementia, but in addition, they might have further difficulties in performing a phone or video consultation, as microphone and speakers may be insufficient for some patients with dysarthric speech, hearing, and ambient and background noises may exacerbate these
The important role of non-pharmacological treatments for patients with dementia and their caregivers has been underscored by the COVID-19 crisis (Fig. 2). Having a support network that prevents risks of exposure to COVID-19 and guarantees food and medical supplies, a daily routine with healthy sleeping habits and leisure activities, staying physically and mentally active with cognitive stimulation exercises, and ensuring social contacts using technology should be encouraged [149, 150]. Emphasis on communicating the situation in an understandable and calming way, including the necessity of PPE, is imperative [151]. Continuous cognitive, social, and physical stimulation has previously been used to reduce cognitive decline and modulate BPSD [152, 153] and remain an appropriate approach during the ongoing epidemic. Physical and mental activities have been modified for remote implementation, such as aerobic exercises, yoga, Pilates, music therapy, and singing [40]. Combined patient-caregiver psychoeducational interventions have also been trialed in the context of COVID-19 to reduce BPSD, such as cognitive behavioral therapy, cognitive stimulation therapy, multisensory stimulation, and mindfulness-based intervention [154]. Depending on the country, other strategies have also been adopted such as robots [155], virtual cognitive stimulation therapy [156], television-based assistive integrated technology [134], and virtual reality [157]. Some countries, as South Korea, have distributed "memory aid-packages" to quarantined elderly patients with dementia, containing a variety of helpful items such as guidelines to prevent COVID-19, face masks, exercise tools such as stretching bands, plant raising kits, and learning materials or tools for cognitive activities [138]. In Ireland, in response to the closure of day care centers, the delivery of a ‘Golden Moments’ pack has been arranged, providing different activities to support people with dementia at home [40].

Lastly, funding for dementia research has been drastically reduced due to COVID-19 [158]. Therefore, action needs to be taken to avoid a pauperization of dementia researchers, and more importantly, detrimental effects on AD patients [158].

CONCLUSION

As we still are in the peak of the pandemic, most studies focus on acute cognitive symptoms, while longitudinal data are scarce, and whether COVID-19 is the initial pathogenic trigger for further neurodegeneration is yet to be determined. However, current evidence shows a bidirectional relationship between COVID-19 global pandemic and dementia. Indeed, data on cognitive dysfunction in young patients with COVID-19, who do not fall into high-risk age groups for dementia, seem to support a possible frontal type cognitive dysfunction in COVID-19 patients, at least in the acute state [86, 87]. COVID-19 specific biomarkers of cognitive impairment are lacking, but some common features have increasingly been recognized, as a pro-inflammatory profile in the CSF, MRI fronto-temporal hypoperfusion, EEG frontal slowing, and 18F-FDG-PET frontal hypometabolism. On the other hand, patients who have already been diagnosed with dementia had to face multiple challenges due to COVID-19, including reduced access to care, isolation, but also increased rates of hospitalization and mortality. This is true across different types of disease (AD, FTD, DLB, vascular dementia) and also across all disease stages (SCI, MCI, dementia). Worsening of cognitive, behavioral, and psychological symptoms of dementia have had an impact on the patients, but also on their caregivers. Non-pharmacological interventions show a potential benefit in improving patients’ symptoms and caregivers’ burden.

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