

Evaluation of CSF Biomarkers as Predictors of Alzheimer's Disease: A Clinical Follow-Up Study of 4.7 Years

Joakim Hertz^{a,b}, Lennart Minthon^{a,b}, Henrik Zetterberg^c, Eugene Vanmechelen^d, Kaj Blennow^c and Oskar Hansson^{a,b,*}

^a*Clinical Memory Research Unit, Department of Clinical Sciences Malmö, Lund University, Malmö, Sweden*

^b*Neuropsychiatric Clinic, Malmö, Skåne University Hospital, Malmö, Sweden*

^c*Institute of Neuroscience and Physiology, Department of Psychiatry and Neurochemistry, the Sahlgrenska Academy at University of Gothenburg, Mölndal, Sweden*

^d*Innogenetics NV, Ghent, Belgium*

Handling Associate Editor: Mony de Leon

Accepted 13 May 2010

Abstract. In this study, we determined the diagnostic accuracy of cerebrospinal fluid (CSF) biomarkers to predict development of Alzheimer's disease (AD) within five years in patients with mild cognitive impairment (MCI). To do so, the levels of tau, phosphorylated tau, $A\beta_{42}$, $A\beta_{40}$, $A\beta_{38}$, sA β PP α , and sA β PP β were analyzed in 327 CSF samples obtained at baseline from patients with AD ($n = 94$), MCI ($n = 166$), depressive disorder ($n = 29$), and cognitively healthy controls ($n = 38$). In the cohort with MCI at baseline, 33% subsequently developed AD and 16% developed other types of dementia; however, 51% were still cognitively stable after a follow-up of 4.7 years (range 3.0–7.2). Optimal cut-offs for each biomarker or combinations of biomarkers were defined in the AD, control, and depressive disorder groups. Several combinations resulted in sensitivity and specificity levels $> 85\%$ for differentiation of AD from controls and depressive disorder. Using the previously established cut-offs, a combination of $A\beta_{42}$ and tau could predict future development of AD in MCI patients with a sensitivity of 88%, specificity 82%, positive predictive value 71%, and negative predictive value 94%. MCI patients with both low $A\beta_{42}$ and high tau levels had a substantially increased risk of developing AD (OR 20; 95% CI 6–58), even after adjustment for confounding factors. Ultimately, CSF biomarkers can stratify MCI patients into those with very low or high risk for future development of AD. However, the specificities and positive predictive values are still too low to be able to diagnose AD before the patients fulfill the clinical criteria.

Keywords: Alzheimer's disease, amyloid- β , biomarkers, cerebrospinal fluid, early diagnosis, mild cognitive impairment

INTRODUCTION

Alzheimer's disease (AD) is the most common cause of dementia, and the global prevalence is estimated to

increase considerably over the next few decades [1]. Pathological hallmarks of AD are senile plaques containing amyloid- β (A β) and neurofibrillary tangles containing tau protein. According to the amyloid cascade hypothesis, the 42 amino acid residues long isoform of $A\beta_{1-42}$ (A β_{42}) initiates a cascade of pathological events in AD, ultimately resulting in synaptic dysfunction, neuronal loss, and brain atrophy [2–4]. A β_{42} is produced through orchestrated β -secretase and

*Correspondence to: Oskar Hansson, MD, PhD, Neuropsychiatric Clinic, Skåne University Hospital, S-20502 Malmö, Sweden. Tel.: +46 40 335036; Fax: +46 40 334604; E-mail: oskar.hansson@med.lu.se.

γ -secretase cleavages of the large trans-membranous amyloid- β protein precursor (A β PP). Through variability in the γ -secretase cleavage site, A β PP processing may also yield peptides with other C-terminal amino acids, such as A β_{1-38} and A β_{1-40} . Processing of A β PP also produces the N-terminal soluble fragments, including sA β PP α and sA β PP β [2–4].

The underlying disease process probably starts decades before the clinical onset of the disease [4–6]. Disease modifying therapies such as γ - and β -secretase inhibitors or vaccination regimens are more likely to be effective if initiated during the early phases of AD when the neurodegeneration is not too severe. Therefore, there is an urgent need for methods to accurately detect AD pathology before the affected subjects have become demented [4–6].

Mild cognitive impairment (MCI) is a heterogeneous syndrome, where approximately 30–50% of the patients will develop AD within five years [7]. Several studies on cohorts with MCI have shown that abnormal levels of cerebrospinal fluid (CSF) tau and A β_{1-42} are associated with subsequent development of AD [8–17]. However, many of these studies have had relatively short periods of clinical follow-up. In MCI populations, it probably takes at least five years before most of the patients with preclinical AD have become demented and thereby may be diagnosed with clinical AD. Studies with short follow-up time will therefore underestimate the true prevalence of incipient AD. Consequently, if a biomarker can detect AD pathology many years before conversion to dementia will occur, the estimated specificity (and positive predictive value) of that biomarker will be falsely low in such studies. To our knowledge only one study has previously investigated the diagnostic value of CSF biomarkers in a MCI population, who have been followed up clinically after an average of more than four years [10]. A limitation of that particular study was however that the cut-off levels for CSF tau and A β_{42} were established in the same cohort of patients that was then used to evaluate the diagnostic performance of the biomarkers to detect incipient AD.

In the presents study, the levels of total tau (T-tau), phosphorylated tau (P-tau), A β_{42} , A β_{40} , A β_{38} , sA β PP α , and sA β PP β were analyzed in 327 CSF samples obtained at baseline from patients with AD ($n = 94$), MCI ($n = 166$), depressive disorder ($n = 29$), and cognitively healthy controls ($n = 38$). The included patients with MCI at baseline have either subsequently developed a certain type of dementia or they have been cognitively stable for an average of 4.7 years (range 3.0–7.2 years). Following recommendations in

the STARD criteria [18], we established the cut-off levels of the individual biomarkers or combinations of biomarkers when differentiating AD patients from controls and patients with depressive disorder. The ability of the biomarkers to predict future development of AD was then evaluated in the MCI cohort.

MATERIALS AND METHODS

Patients with AD, MCI, depression, and cognitively healthy controls

In the present study, performed at the Memory clinic of Malmö University Hospital, CSF samples were included from patients with AD ($n = 94$), MCI ($n = 166$), depressive disorder ($n = 29$), and from cognitively healthy controls ($n = 38$). At the clinical baseline visit, physicians with special interest in cognitive disorders performed a thorough physical, neurological, and psychiatric examination, as well as a clinical interview focusing on cognitive symptoms and ADL function. Furthermore, cognitive tests, analysis of apolipoprotein E (APOE) genotype, and imaging of the brain were done. Patients who received an AD diagnosis at baseline had to meet the DSM-III-R criteria of dementia [19] and the criteria of probable AD defined by NINCDS-ADRDA [20]. The AD patients were followed over time with repeated clinical evaluations, which increases the clinical diagnostic accuracy.

Patients with MCI at baseline had to fulfill the criteria advocated by Petersen and colleagues [7], including: 1) memory complaint, preferably corroborated by an informant; 2) objective memory impairment adjusted for age and education, as judged by the physician; 3) preservation of general cognitive functioning, as determined by the clinician's judgment based on a structured interview with the patient and a Mini-Mental Status Examination (MMSE) score greater than or equal to 24; 4) zero or minimal impairment of daily life activities; and 5) not fulfilling the DSM-III-R criteria of dementia. Patients with other causes of cognitive impairment, including subdural hematoma, brain tumor, CNS infection, schizophrenia, major depressive episode, and current alcohol abuse were not included. However, MCI subjects were allowed to show signs of white matter changes or silent brain infarcts, because these changes are frequent in elderly subjects with or without cognitive deficits. Similarly, MCI patients with mild to moderate depressive symptoms and low plasma concentrations of vitamin B12 or folate were not excluded.

The included patients with MCI at baseline have either subsequently developed a certain type of dementia or they have been cognitively stable for an average of 4.7 years (range 3.0–7.2 years). The patients with MCI who received a diagnosis of AD during clinical follow-up were required to meet the same criteria as those diagnosed with AD already at baseline (see above). Subjects who during follow-up were diagnosed as having vascular dementia (VaD) fulfilled the DSM-III-R criteria of dementia and the requirements for probable VaD by NINDS-AIREN [21]. VaD of the subcortical type were diagnosed according to the recommendations by Erkinjuntti and co-workers [22]. The consensus criteria by McKeith and coworkers [23] were applied when diagnosing dementia with Lewy bodies.

Patients with depressive disorder fulfilled the DSM-IV criteria of depression [24]. They did not fulfill the criteria of MCI or dementia at the baseline visit or during clinical follow-up.

The control population consisted of healthy elderly volunteers, who were recruited in the city of Malmö, Sweden. Inclusion criteria were (i) absence of memory complaints or any other cognitive symptoms; (ii) preservation of general cognitive functioning; and (iii) no active neurological or psychiatric disease.

The clinical diagnoses of all patients were reviewed by a consensus group consisting of three medical doctors (JH, LM, and OH) with special interest in cognitive disorders. Out of the 327 subjects included in the present study, data from 30 cases have previously been published [16].

The study was approved by the Ethics Committee at the University of Lund and the patients and/or their relatives gave their informed consent (for research).

Analysis of baseline CSF

CSF was collected in polypropylene tubes, stored at -80°C and analyzed after the clinical follow-up of the study was completed. The procedure followed The Alzheimer's Association Flow Chart for LP and CSF sample processing [25]. The levels of total tau, tau phosphorylated at Thr₁₈₁ (P-tau) and A β ₄₂ were determined using xMAP technology as previously described [26]. In the present study the results were not adjusted to match those obtained from conventional ELISA measurements. In eight cases (7 MCI and 1 depression), xMAP analysis resulted in technical errors and these samples were excluded from the study. CSF A β ₃₈, A β ₄₀, and A β ₄₂ levels were analyzed by electrochemiluminescence technology (Meso Scale

Discovery [MSD], Gaithersburg, Maryland, USA), using the MS6000 Human Abeta 3-Plex Ultra-Sensitive Kit, following the recommendations by the manufacturer. Measurement of β -secretase cleaved soluble A β PP (sA β PP β) and α -secretase cleaved soluble A β PP (sA β PP α) in CSF was performed by electrochemiluminescence technology (Meso Scale Discovery, Gaithersburg, Maryland, USA), using the MS6000 Human sA β PP α /sA β PP β Kit, following the recommendations by the manufacturer. In 7 cases (4 MCI, 2 AD, and 1 depression) MSD analysis resulted in technical errors for the analysis of A β ₄₂. Data from these samples were included in the manuscript, except when MSD A β ₄₂ levels were evaluated.

Statistical analyses

The statistical analyses were accomplished with SPSS for Windows, version 17.0.1 (SPSS Inc, Chicago, Illinois), unless otherwise specified. To compare demographic and CSF baseline data between groups, a non-parametric Kruskal-Wallis test was performed followed by Mann-Whitney *U*-test for continuous variables with correction for multiple comparisons (see Table 1). Pearson's x^2 test was used for dichotomous variables. The Spearman correlation coefficient was used for bivariate correlation analyses. Receiver operating characteristic (ROC) curves were drawn by plotting the true-positive fraction (sensitivity) against false-positive fraction (100% – specificity). When evaluating the ability of CSF biomarkers to distinguish patients with AD from controls and cases with depression, the latter two were treated as one group as CSF biomarker levels did not differ significantly between these groups. The area under the curve (AUC) was calculated using Medcalc for Windows, version 11.1 (Medcalc Software, Mariakerke, Belgium). The MedCalc software was also used to assess the statistical difference of diagnostic performance between the different biomarkers or biomarker ratios (continuous variables only), according to the method developed by DeLong et al. [27]. We used the cut-off levels of the individual biomarkers or combinations of biomarkers that resulted in the highest Youden's index (sensitivity+specificity-1) [28] when differentiating AD patients from controls and patients with depressive disorder. It is important to note that the optimal cut-offs for a certain biomarker (or a certain ratio of biomarkers) is usually not the exactly same when the biomarker (or biomarker ratio) is used alone as a continuous variable compared to when it is used in combination with another biomarker, because

Table 1
Demographic data and levels of CSF biomarkers of included subjects with successful xMAP analysis

	Controls (<i>n</i> = 38)	Depression (<i>n</i> = 28)	AD (<i>n</i> = 94)	Stable MCI (<i>n</i> = 82)	MCI-AD (<i>n</i> = 52)	MCI-other (<i>n</i> = 25)
Females	27 (71%)	14 (50%)	61 (65%)	46 (56%)	34 (65%)	11 (44%)
Age, y	77 (8.2)	58 (8.4) ^a	77 (7.1) ^b	69 (7.5) ^{a,b,c}	76 (7.8) ^{b,d}	72 (6.7) ^{b,c}
Carrier of <i>APOE</i> $\epsilon 4$	10 (26%)	8 (29%)	64 (68%) ^{a,b}	37 (45%) ^c	40 (77%) ^{a,b,d}	12 (48%)
MMSE score at baseline	28.3 (1.8)	27.9 (2.2)	19.0 (3.9) ^{a,b}	28.3 (1.3) ^c	26.1 (1.6) ^{a,b,c,d}	26.9 (2.0) ^c
$A\beta_{42xMAP}$	265 (74)	271 (53)	158 (41) ^{a,b}	249 (64) ^c	156 (57) ^{a,b,d}	221 (70) ^{c,e}
T-tau	91 (49)	54 (26) ^a	177 (113) ^{a,b}	81 (48) ^c	143 (68) ^{a,b,d}	82 (44) ^{c,e}
P-tau	31 (17)	29 (11)	54 (32) ^{a,b}	31 (16) ^c	51 (22) ^{a,b,d}	30 (13) ^{c,e}
$A\beta_{42MSD}$	1019 (435)	862 (386)	480 (247) ^{a,b}	799 (391) ^c	488 (255) ^{a,b,d}	688 (492) ^a
$A\beta_{40}$	11036 (2613)	8235 (2535) ^a	9384 (2653) ^a	9029 (2726) ^a	9133 (3016) ^a	7746 (2734) ^a
$A\beta_{38}$	2284 (833)	1570 (805) ^a	1864 (748)	1807 (758) ^a	1950 (747)	1480 (734) ^a
sA β PP α	787 (350)	689 (274)	767 (371)	720 (353)	802 (360)	589 (264)
sA β PP β	244 (112)	193 (80)	238 (125)	237 (113)	249 (109)	192 (90)

Data are mean (SD) or number (%). CSF biomarker levels are given in pg/ml.

^a*p* < 0.003 vs. Controls; ^b*p* < 0.003 vs. Depression; ^c*p* < 0.003 vs AD; ^d*p* < 0.003 vs. Stable MCI; ^e*p* < 0.003 vs MCI-AD.

Abbreviations: Stable MCI, MCI patients with stable cognitive functions during a follow-up period of 3.0–7.2 years; MCI-AD, MCI patients who developed Alzheimer's disease during follow-up; APOE, apolipoprotein E; MMSE, Mini-Mental State Examination. $A\beta_{42xMAP}$, $A\beta_{42}$ quantified with xMAP technology; $A\beta_{42MSD}$, $A\beta_{42}$ quantified with MSD technology; T-tau, total tau; P-tau, phosphorylated tau.

the latter approach includes two different continuous variables with two different cut-offs. Using the previously established cut-offs, the diagnostic accuracy of the biomarkers or combination of biomarkers were then evaluated in the MCI-cohort. Binary logistic regression models were used to study whether abnormal levels of CSF biomarkers in MCI patients were associated with subsequent development of AD. The analyses were carried out with adjustment for potential confounding of the baseline demographic variables, i.e., age, gender, MMSE, and *APOE* $\epsilon 4$ carrier status (carriers of zero, one, or two *APOE* $\epsilon 4$ alleles). Furthermore, a backward stepwise binary regression model was used to simultaneously estimate the impact of the baseline variables (pathological CSF, age, gender, MMSE total score, and *APOE* $\epsilon 4$ carrier status) on the conversion to AD among MCI subjects.

RESULTS

Subjects and biomarker levels

In the present study, CSF samples were included from patients with AD (*n* = 94), MCI (*n* = 166), depressive disorder (*n* = 29), and from cognitively healthy controls (*n* = 38). Out of 166 patients with MCI at baseline, 85 (51%) were cognitively stable when clinically followed up after an average of 4.7 years (3.0–7.2 years). However, 55 (33%) of the MCI patients subsequently developed AD and 26 (16%) de-

veloped other types of dementias, including vascular dementia (*n* = 17), dementia with Lewy bodies (*n* = 4), progressive supranuclear palsy (*n* = 3), semantic dementia (*n* = 1), and normal pressure hydrocephalus (*n* = 1). In eight cases (7 MCI and 1 depression) xMAP analysis of $A\beta_{42}$, T-tau, and P-tau were missing, and these samples were excluded from the study. The demographic data and biomarker levels of all included subjects are shown in Table 1. The frequencies of *APOE* $\epsilon 4$ carriers were significantly higher, and the baseline MMSE scores were significantly lower, in the groups with AD patients and MCI patients who subsequently developed AD (MCI-AD) (Table 1). Moreover, both AD patients and the MCI patients who later on developed AD (MCI-AD) had higher baseline levels of T-tau and P-tau and lower levels of $A\beta_{42}$ compared with cognitively healthy controls, patients with depressive disorder, cognitively stable MCI patients, and MCI patients who developed other forms of dementias than AD (*p* < 0.0001) (Table 1). In the control group, there were no significant correlations between CSF biomarkers and age or baseline MMSE score.

Tau and P-tau did not significantly differ between carriers of zero, one, or two *APOE* $\epsilon 4$ alleles in the studied diagnostic groups. Figure 1 depicts the levels of $A\beta_{42}$ in the different diagnostic groups, stratified by the number of *APOE* $\epsilon 4$ alleles (see also Fig. 2 for specific *APOE* genotypes). In the control and depression groups, there were no significant differences in $A\beta_{42}$ levels between subjects who were carriers of zero, one, or two *APOE* $\epsilon 4$ alleles; however, in the AD and MCI-

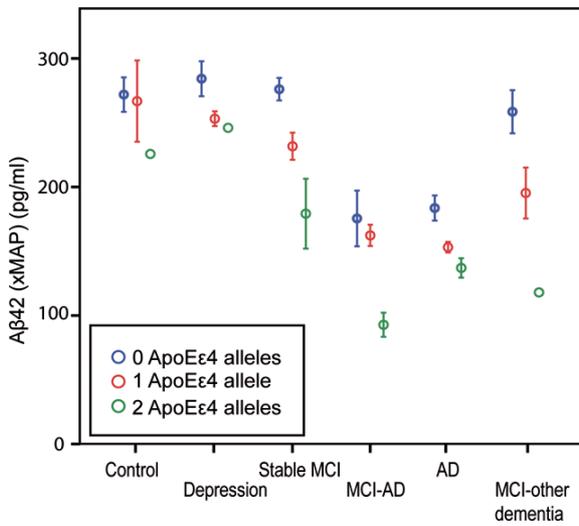
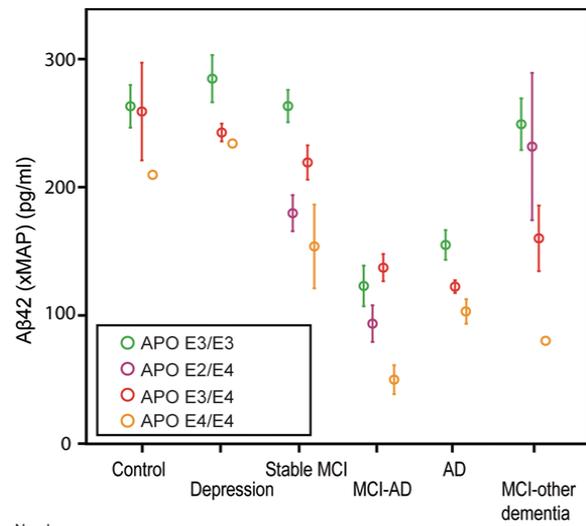


Fig. 1. Figure 1 depicts the levels of $A\beta_{42}$ in the different diagnostic groups, stratified by the number of $APOE \epsilon 4$ alleles. The levels of $A\beta_{42}$ differed significantly between subjects with AD when compared to controls, cases with depression, or cases with stable MCI, respectively, even when analyzing the subgroups with zero or one $APOE \epsilon 4$ alleles separately ($p < 0.01$). Similarly, the levels of $A\beta_{42}$ differed significantly between subjects with MCI-AD when compared to controls, cases with depression, or cases with stable MCI, respectively, even when analyzing the subgroups with zero or one $APOE \epsilon 4$ alleles separately ($p < 0.01$). Error bars represent SEM.

AD groups, $A\beta_{42}$ levels were significantly lower in subjects with two alleles of $APOE \epsilon 4$ compared to those with zero alleles ($p < 0.01$). Importantly, the levels of $A\beta_{42}$ differed significantly between subjects with AD or MCI-AD when compared to controls, cases with depression or cases with stable MCI, respectively, even when analyzing the subgroups with zero or one $APOE \epsilon 4$ alleles separately ($p < 0.01$) (Table 2). The subgroup with two $APOE \epsilon 4$ alleles could not be analyzed separately as it contained only 1 control and 1 case with depression and five cases with either stable MCI or MCI-AD. However, when analyzing individuals with either one or two $APOE \epsilon 4$ alleles as a group, we found very significant differences in the levels of $A\beta_{42}$ between subjects with AD or MCI-AD when compared to controls, cases with depression or stable MCI cases, respectively ($p < 0.001$).

Biomarkers for differentiation of AD from controls and depression

ROC analysis resulted in areas under the ROC curves (AUC) above 0.87 for several biomarkers or ratios of biomarkers when differentiating AD from controls and depression (Table 3). None of the biomarkers resulted



Numbers	Control	Depression	Stable MCI	MCI-AD	AD	MCI-other dementia
E3/E3	27	17	36	9	27	13
E2/E4	0	0	2	3	0	2
E3/E4	9	7	30	32	52	9
E4/E4	1	1	5	5	12	1

Fig. 2. The figure depicts the levels of $A\beta_{42}$ in the different diagnostic groups, stratified by the main $APOE$ genotypes. The levels of $A\beta_{42}$ differed significantly between subjects with AD when compared to controls, cases with depression or cases with stable MCI, respectively, even when analyzing the subgroups with E3/E3 or E3/E4 separately ($p < 0.01$). Similarly, the levels of $A\beta_{42}$ differed significantly between subjects with MCI-AD when compared to controls, cases with depression or cases with stable MCI, respectively, even when analyzing the subgroups with E3/E3 or E3/E4 separately ($p < 0.01$). The groups with E2/E4 and E4/E4 genotypes are too small to be able to reliably study effect of diagnosis on $A\beta_{42}$ levels. Error bars represent SEM.

in significantly greater AUCs than that of $A\beta_{42}$ alone, when it was quantified with xMAP technology (Table 3) ($p > 0.05$). However, both $A\beta_{42}$ and T-tau performed better than P-tau ($p < 0.001$). Moreover, the T-tau/ $A\beta_{40}$ ratio was superior to T-tau alone ($p < 0.001$) when the biomarkers were used as continuous variables.

Youden's index was then used to establish optimal cut-offs when separating AD patients from controls and patients with depression, resulting in sensitivity and specificity levels $> 85\%$ for many of the combinations of biomarkers (Table 3). The highest Youden's index (0.80) was achieved when either using the $A\beta_{42MSD}/\text{Tau}$ ratio (< 7.3) or when using the combination of $A\beta_{42xMAP}$ ($< 209 \text{ ng/ml}$) and T-tau/ $A\beta_{40}$ ratio (> 0.088) (Table 3, Fig. 3).

Prediction of AD among MCI patients

ROC analysis resulted in AUCs ≥ 0.84 for several biomarkers or ratios of biomarkers when predicting

Table 2
Baseline levels of $A\beta_{42}$, age, and MMSE scores in the different diagnostic groups, stratified by the number of *APOE* $\epsilon 4$ alleles

	Controls	Depression	Stable MCI	MCI-AD	AD	MCI-other
$A\beta_{42}$						
0 <i>APOE</i> $\epsilon 4$ alleles	267 \pm 69 (<i>n</i> = 28)	280 \pm 60 (<i>n</i> = 20)	272 \pm 57 (<i>n</i> = 45)	173 \pm 74 ^{a,b,c} (<i>n</i> = 12)	180 \pm 53 ^{a,b,c} (<i>n</i> = 30)	254 \pm 59 ^{d,e} (<i>n</i> = 13)
1 <i>APOE</i> $\epsilon 4$ allele	262 \pm 93 (<i>n</i> = 9)	249 \pm 15 (<i>n</i> = 7)	228 \pm 59 (<i>n</i> = 32)	160 \pm 48 ^{a,b,c} (<i>n</i> = 35)	150 \pm 29 ^{a,b,c} (<i>n</i> = 52)	192 \pm 64 (<i>n</i> = 11)
2 <i>APOE</i> $\epsilon 4$ alleles	222 (<i>n</i> = 1)	242 (<i>n</i> = 1)	176 \pm 60 (<i>n</i> = 5)	91 \pm 21 ^f (<i>n</i> = 5)	135 \pm 26 (<i>n</i> = 12)	116 (<i>n</i> = 1)
Age						
0 <i>APOE</i> $\epsilon 4$ alleles	76 \pm 7	58 \pm 9 ^a	70 \pm 8 ^b	79 \pm 8 ^{b,c}	75 \pm 8 ^b	72 \pm 6 ^{b,e}
1 <i>APOE</i> $\epsilon 4$ allele	80 \pm 11	56 \pm 3 ^a	69 \pm 7 ^{a,b}	75 \pm 8 ^{b,c}	78 \pm 6 ^{b,c}	71 \pm 8 ^b
2 <i>APOE</i> $\epsilon 4$ alleles	82	75	70 \pm 7	73 \pm 7	74 \pm 7	84

Data are mean (SD) or number (%). $A\beta_{42}$ levels are given in pg/ml.

^a*p* < 0.003 vs. Controls; ^b*p* < 0.003 vs. Depression; ^c*p* < 0.003 vs. Stable MCI; ^d*p* < 0.003 vs. AD; ^e*p* < 0.003 vs. MCI-AD; ^f*p* < 0.003 vs. Stable MCI.

Abbreviations: Stable MCI, MCI patients with stable cognitive functions during a follow-up period of 3.0–7.2 years; MCI-AD, MCI patients who developed Alzheimer's disease during follow-up; *APOE*, apolipoprotein E; $A\beta_{42}$, $A\beta_{42}$ quantified with xMAP technology.

Table 3

Diagnostic accuracy of CSF biomarkers for differentiation of patients with Alzheimer's disease from cognitively healthy controls and patients with depression

	AUC (95% CI)	Optimal cut off(s)	Youden's index	Sensitivity, %	Specificity, %
Biomarkers as continuous variables					
$A\beta_{42}MSD/Tau$	0.94 (0.89–0.97)	< 7.3	0.80	97	83
$A\beta_{42}MSD/A\beta_{40}$	0.91 (0.86–0.95)	< 0.069	0.79	93	86
$A\beta_{42xMAP}/T-tau$	0.94 (0.89–0.97)	< 2.5	0.78	96	82
T-tau/ $A\beta_{40}$	0.94 (0.90–0.97)	> 0.010	0.75	89	86
$A\beta_{42xMAP}$	0.92 (0.87–0.96)	< 209	0.74	91	83
$A\beta_{42}MSD/A\beta_{38}$	0.89 (0.83–0.93)	< 0.37	0.69	87	82
$A\beta_{42}MSD/P-tau$	0.89 (0.83–0.93)	< 21	0.69	87	82
$A\beta_{42xMAP}/P-tau$	0.88 (0.82–0.93)	< 6.6	0.66	83	83
$A\beta_{42}MSD$	0.88 (0.82–0.93)	< 523	0.62	73	89
T-tau	0.87 (0.81–0.92)	> 100	0.61	78	83
$A\beta_{42xMAP}/A\beta_{40}$	0.83 (0.76–0.88)	< 0.024	0.56	86	70
P-tau	0.74 (0.67–0.80)	> 51	0.40	46	94
Combinations of two continuous variables					
$A\beta_{42xMAP}$ and T-tau/ $A\beta_{40}$	–	< 209 and > 0.088	0.80	88	92
$A\beta_{42xMAP}$ and T-tau	–	< 209 and > 62	0.77	89	88
$A\beta_{42}MSD/A\beta_{40}$ and T-tau	–	< 0.069 and > 62	0.77	91	86
$A\beta_{42xMAP}/P-tau$ and T-tau	–	< 6.6 and > 62	0.67	82	85

The variables are ordered by their diagnostic performance according to Youden's index.

Abbreviations: AUC, area under the ROC curve; $A\beta_{42xMAP}$, $A\beta_{42}$ quantified with xMAP technology; $A\beta_{42}MSD$, $A\beta_{42}$ quantified with MSD technology; T-tau, total tau; P-tau, phosphorylated tau.

subsequent development of AD in patients with MCI (Table 4). Also in the MCI cohort, none of the continuous biomarker variables resulted in significantly greater AUCs than that of $A\beta_{42}$ alone when it was quantified with xMAP technology (*p* > 0.05) (Table 4). Corroborating earlier findings [11], the predictive values of $A\beta_{42}/A\beta_{40}$ and $A\beta_{42}/A\beta_{38}$ ratios were higher compared to that of $A\beta_{42}$ alone (*p* < 0.01) (Table 4) when using the MSD technology to quantify $A\beta$ in MCI patients. However, $A\beta_{42}$ quantified with xMAP technology performed as well as the $A\beta_{42}/A\beta_{40}$ (MSD) ratio.

Following recommendations in the STARD criteria, we applied the same cut-off levels previously established in the AD, control, and depression groups to the cohort with MCI patients. This approach resulted in sensitivity and specificity levels above 80% for several of the combinations of biomarkers. The highest Youden's index (0.70) was achieved using a combination of $A\beta_{42}$ (< 209 ng/ml) and T-tau (> 62 ng/ml) (Table 4; Fig. 3). This combination could predict future development of AD in MCI patients with a sensitivity of 88%, specificity 82%, positive predictive val-

Table 4

The ability of CSF biomarkers to predict subsequent development of Alzheimer’s disease in patients with mild cognitive impairment (MCI) when using the same cut offs as in Table 3

	AUC	Youden’s index	Sensitivity, %	Specificity, %	PPV, %	NPV, %
Biomarkers as continuous variables						
$A\beta_{42MSD}/\text{Tau}$	0.88 (0.82–0.93)	0.62	90	72	62	94
$A\beta_{42xMAP}$	0.84 (0.77–0.89)	0.61	90	71	60	94
$A\beta_{42xMAP}/\text{T-tau}$	0.88 (0.82–0.93)	0.61	90	71	60	94
$A\beta_{42xMAP}/\text{P-tau}$	0.87 (0.81–0.92)	0.59	87	72	61	92
$A\beta_{42MSD}/A\beta_{38}$	0.85 (0.79–0.91)	0.59	88	71	58	93
$A\beta_{42MSD}/A\beta_{40}$	0.86 (0.79–0.91)	0.56	85	71	56	92
$\text{T-tau}/A\beta_{40}$	0.84 (0.77–0.89)	0.56	83	73	60	90
$A\beta_{42xMAP}/A\beta_{40}$	0.84 (0.78–0.90)	0.51	86	65	54	90
T-tau	0.81 (0.74–0.87)	0.50	73	77	60	85
$A\beta_{42MSD}/\text{P-tau}$	0.84 (0.78–0.90)	0.48	87	61	52	90
$A\beta_{42MSD}$	0.73 (0.66–0.80)	0.38	67	71	50	83
P-tau	0.78 (0.71–0.84)	0.32	42	90	67	76
Combinations of two continuous variables						
$A\beta_{42xMAP}$ and T-tau	—	0.70	88	82	71	94
$A\beta_{42xMAP}/\text{P-tau}$ and T-tau	—	0.66	87	79	66	92
$A\beta_{42MSD}/A\beta_{40}$ and T-tau	—	0.63	85	78	63	92
$A\beta_{42xMAP}$ and $\text{T-tau}/A\beta_{40}$	—	0.62	81	81	68	90

The variables are ordered by their diagnostic performance according to Youden’s index.

Abbreviations: AUC, area under the ROC curve; PPV, positive predictive value; NPV, negative predictive value; $A\beta_{42xMAP}$, $A\beta_{42}$ quantified with xMAP technology; $A\beta_{42MSD}$, $A\beta_{42}$ quantified with MSD technology; T-tau, total tau; P-tau, phosphorylated tau.

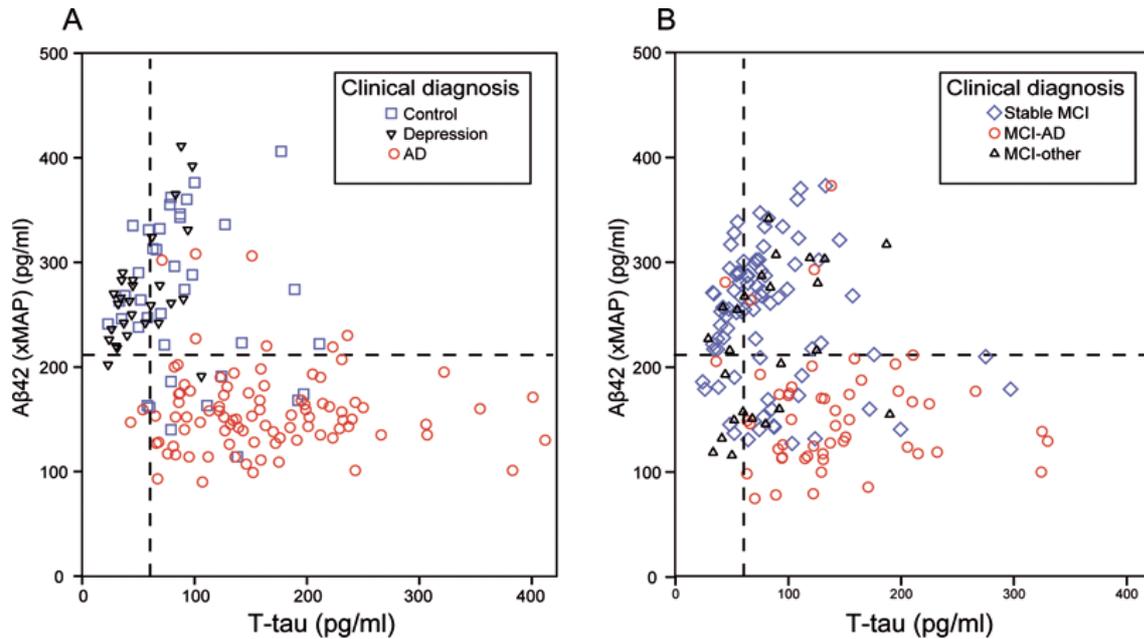


Fig. 3. Panel A depicts the scatter plot of CSF $A\beta_{42}$ and T-tau in AD patients, controls and patients with depressive disorder. Panel B shows the scatter plot of CSF $A\beta_{42}$ and T-tau in all patients with mild cognitive disorder, divided into those who did not progress to dementia (stable MCI), those who developed Alzheimer’s disease (MCI-AD), and those who were diagnosed with other types of dementia (MCI-other) during follow-up. The horizontal hatched lines represent the cut-off value for $A\beta_{42}$ and the vertical hatched lines represent the cut-off for T-tau.

ue 71%, and negative predictive value 94% (Table 4; Fig. 3). Moreover, logistic regression analyses revealed that MCI patients with both low $A\beta_{42}$ and high T-tau levels had a substantially increased risk of develop-

ing AD (OR 20; 95% CI 6–58), even after adjustment for age, gender, baseline MMSE score, and *APOE* $\epsilon 4$ carrier status. Furthermore, the risk factors were analyzed simultaneously using a multivariate backward

stepwise logistic regression model. In this stepwise model, pathological CSF (both low $A\beta_{42}$ and high T-tau levels), and baseline MMSE score were significantly associated with progression to AD among MCI subjects, while the other risk factors (*APOE* $\epsilon 4$ carrier status, age, and gender) did not contribute to the explanatory power of the model. As expected, the same results were obtained when using a multivariate *forward* stepwise logistic regression model (data not shown).

DISCUSSION

We found that several combinations of biomarkers exhibited sensitivity and specificity levels above 85% for differentiation of AD from controls and depressive disorder when optimal cut-offs were defined in the same diagnostic groups. Using the previously established cut-offs, a combination of $A\beta_{42}$ and T-tau could predict future development of AD in MCI patients with a sensitivity of 88%, specificity 82%, positive predictive value 71%, and negative predictive value 94%. The MCI patients with both low $A\beta_{42}$ and high tau levels had a substantially increased risk of developing AD (OR 20; 95% CI 6–58), even after adjustment for potentially confounding factors such as *APOE* $\epsilon 4$ carrier status.

Corroborating earlier data [10,14,16], the levels of $A\beta_{42}$, T-tau, and P-tau did not differ between individuals affected with AD in the MCI stage and those that were already demented, indicating that these biomarkers become substantially altered very early in the disease process of AD. Interestingly, there is evidence of changes of CSF tau and $A\beta_{42}$ in the even earlier, pre-symptomatic phase of the AD [29–31]. Moreover, longitudinal studies with repeated CSF taps in patients with AD show that CSF biomarkers do not change substantially over time in demented AD patients [32–35], even though there might be a slight increase in T-tau levels over time [32]. These results strongly indicate that CSF tau and $A\beta_{42}$, like amyloid imaging, are diagnostic markers that change during the presymptomatic stages of the disease, and then are quite stable over time [5]. Accordingly, CSF biomarkers should primarily be used as diagnostic markers detecting the underlying disease state, and other methods, such as cognitive tests or measures of brain atrophy or regional cerebral blood flow, are needed to reflect the stage of the disease.

In the present study, the MCI patients who did not develop any dementia disorder were followed clinically for an average of 4.7 years (range 3.0–7.2),

which is similar to our previously published cohort with MCI [10]. An even more extensive follow-up period of the cognitively stable MCI patients might increase the specificity (and positive predictive value) of CSF biomarkers further, since some of the included cognitively stable MCI patients with abnormal CSF might still develop AD in the future. We therefore intend to continue to follow this cohort of MCI patients over time.

According to the STARD recommendations [18], we established the cut-offs of each biomarkers or combination of biomarkers in an independent cohort and then applied them in the population with MCI. This was not the case in our previously published MCI study [10], and probably explains the somewhat lower predictive accuracy of CSF tau and $A\beta_{42}$ in the present study. However, it is important to note that it is very difficult to use cut-off levels from other studies, because the absolute levels of T-tau, P-tau, and $A\beta_{42}$ differ between studies. This is probably due to unsatisfactory standardization of biomarker levels between different laboratories and different handling of CSF samples before analysis [25]. This problem was highlighted in a recent multi-centre study showing quite large differences in CSF biomarker levels (especially $A\beta_{42}$) between clinical sites [16]. In spite of these problems, three multi-center studies, with average follow-up times of 1–3 years, have shown that CSF biomarkers are associated with future development of AD in cohorts with MCI [9,14,16].

The present study suggests that CSF biomarkers can stratify MCI patients into those with very low or high risk for future development of AD. Therefore, CSF biomarkers could be used to identify MCI patients who are at high risk of developing AD for therapeutic trials investigating new disease-modifying therapies. Using this approach, one would need to include a lower number of MCI patients in such treatment trials. In addition, beneficial effects of treatments are likely to be easier to detect in MCI cohorts with a very high prevalence of incipient AD. Finally, it could be considered more ethical to not include patients with a very low risk of AD in trials that could cause side effects.

It has recently been proposed that AD might be diagnosed before the patients fulfill the established clinical criteria of AD with the help of certain examinations, including CSF biomarkers, magnetic resonance imaging, and positron emission tomography [36]. However, the present study indicates that the positive predictive value (71%) of tau and $A\beta_{42}$ for prediction of AD is still too low to be able to diagnose AD before the pa-

tients fulfill the clinical criteria. Even though the cognitively stable MCI patients were followed for almost an average of 5 years, it is still unclear if all MCI cases with abnormal CSF biomarkers will develop AD in the future. However, the positive predictive value of CSF biomarkers can be increased if they are combined with other diagnostic methods, such as measurements of regional cerebral blood flow [37]. Interestingly, the negative predictive value of tau and $A\beta_{42}$ was found to be very high (94%) in the MCI cohort, indicating that MCI patients with normal CSF $A\beta_{42}$ or tau levels do not run an increased risk of developing AD compared to the normal population. If used in the clinical practice, patients with normal CSF biomarkers might be reassured and they would probably not need an extended and costly clinical follow-up.

ACKNOWLEDGMENTS

We thank İsa Källén, Monica Christiansson, and Sara Hullberg at the Clinical Neurochemistry Laboratory, Mölndal, Sweden, for their skillful technical assistance. This study was supported by the Swedish Research Council (grant numbers: 14002 and 2006-6227); Alzheimer's Association (grant number NIRG-08-90356); the Royal Swedish Academy of Sciences and the Torsten and Ragnar Söderberg Foundation; Stiftelsen för Gamla Tjänarinnor; Skne county council's research and development foundation; The Swedish Society of Medicine; The Swedish Brain Power; The Trolle-Wachtmeister foundation; and The regional agreement on medical training and clinical research (ALF) between Skne County Council and Lund University.

Authors' disclosures available online (<http://www.jalz.com/disclosures/view.php?id=454>).

REFERENCES

- [1] Ferri CP, Prince M, Brayne C, Brodaty H, Fratiglioni L, Ganguli M, Hall K, Hasegawa K, Hendrie H, Huang Y, Jorm A, Mathers C, Menezes PR, Rimmer E, Sczufca M (2005) Global prevalence of dementia: a Delphi consensus study. *Lancet* **366**, 2112-2117.
- [2] Haass C, Selkoe DJ (2007) Soluble protein oligomers in neurodegeneration: lessons from the Alzheimer's amyloid beta-peptide. *Nat Rev Mol Cell Biol* **8**, 101-112.
- [3] Querfurth HW, LaFerla FM (2010) Alzheimer's disease. *N Engl J Med* **362**, 329-344.
- [4] Blennow K, de Leon MJ, Zetterberg H (2006) Alzheimer's disease. *Lancet* **368**, 387-403.
- [5] Jack CRJ, Knopman DS, Jagust WJ, Shaw LM, Aisen PS, Weiner MW, Petersen RC, Trojanowski JQ (2010) Hypothetical model of dynamic biomarkers of the Alzheimer's pathological cascade. *Lancet Neurology* **9**, 119-128.
- [6] Perrin RJ, Fagan AM, Holtzman DM (2009) Multimodal techniques for diagnosis and prognosis of Alzheimer's disease. *Nature* **461**, 916-922.
- [7] Petersen RC (2004) Mild cognitive impairment as a diagnostic entity. *J Intern Med* **256**, 183-194.
- [8] Riemenschneider M, Lautenschlager N, Wagenpfeil S, Diehl J, Drzezga A, Kurz A (2002) Cerebrospinal fluid tau and beta-amyloid 42 proteins identify Alzheimer disease in subjects with mild cognitive impairment. *Arch Neurol* **59**, 1729-1734.
- [9] Visser PJ, Verhey F, Knol DL, Scheltens P, Wahlund LO, Freund-Levi Y, Tsolaki M, Minthon L, Wallin AK, Hampel H, Burger K, Pirttila T, Soininen H, Rikkert MO, Verbeek MM, Spuru L, Blennow K (2009) Prevalence and prognostic value of CSF markers of Alzheimer's disease pathology in patients with subjective cognitive impairment or mild cognitive impairment in the DESCRIPA study: a prospective cohort study. *Lancet Neurol* **8**, 619-627.
- [10] Hansson O, Zetterberg H, Buchhave P, Londos E, Blennow K, Minthon L (2006) Association between CSF biomarkers and incipient Alzheimer's disease in patients with mild cognitive impairment: a follow-up study. *Lancet Neurol* **5**, 228-234.
- [11] Hansson O, Zetterberg H, Buchhave P, Andreasson U, Londos E, Minthon L, Blennow K (2007) Prediction of Alzheimer's disease using the CSF Abeta42/Abeta40 ratio in patients with mild cognitive impairment. *Dement Geriatr Cogn Disord* **23**, 316-320.
- [12] Hampel H, Teipel SJ, Fuchsberger T, Andreasen N, Wiltfang J, Otto M, Shen Y, Dodel R, Du Y, Farlow M, Moller HJ, Blennow K, Buerger K (2004) Value of CSF beta-amyloid1-42 and tau as predictors of Alzheimer's disease in patients with mild cognitive impairment. *Mol Psychiatry* **9**, 705-710.
- [13] Herukka SK, Hallikainen M, Soininen H, Pirttila T (2005) CSF Abeta42 and tau or phosphorylated tau and prediction of progressive mild cognitive impairment. *Neurology* **64**, 1294-1297.
- [14] Shaw LM, Vanderstichele H, Knapiak-Czajka M, Clark CM, Aisen PS, Petersen RC, Blennow K, Soares H, Simon A, Lewczuk P, Dean R, Siemers E, Potter W, Lee VM, Trojanowski JQ (2009) Cerebrospinal fluid biomarker signature in Alzheimer's disease neuroimaging initiative subjects. *Ann Neurol* **65**, 403-413.
- [15] Bouwman FH, Schoonenboom SN, van der Flier WM, van Elk EJ, Kok A, Barkhof F, Blankenstein MA, Scheltens P (2007) CSF biomarkers and medial temporal lobe atrophy predict dementia in mild cognitive impairment. *Neurobiol Aging* **28**, 1070-1074.
- [16] Mattsson N, Zetterberg H, Hansson O, Andreasen N, Parnetti L, Jonsson M, Herukka SK, van der Flier WM, Blankenstein MA, Ewers M, Rich K, Kaiser E, Verbeek M, Tsolaki M, Mulugeta E, Rosen E, Aarsland D, Visser PJ, Schroder J, Marcusson J, de Leon M, Hampel H, Scheltens P, Pirttila T, Wallin A, Jonhagen ME, Minthon L, Winblad B, Blennow K (2009) CSF biomarkers and incipient Alzheimer disease in patients with mild cognitive impairment. *JAMA* **302**, 385-393.
- [17] Brys M, Pirraglia E, Rich K, Rolstad S, Mosconi L, Switalski R, Glodzik-Sobanska L, De Santi S, Zinkowski R, Mehta P, Pratico D, Saint Louis LA, Wallin A, Blennow K, de Leon MJ (2009) Prediction and longitudinal study of CSF biomarkers in mild cognitive impairment. *Neurobiol Aging* **30**, 682-690.

- [18] Bossuyt PM, Reitsma JB, Bruns DE, Gatsonis CA, Glasziou PP, Irwig LM, Moher D, Rennie D, de Vet HC, Lijmer JG (2003) The STARD statement for reporting studies of diagnostic accuracy: explanation and elaboration. *Clin Chem* **49**, 7-18.
- [19] American Psychiatric Association (1987) *Diagnostic and Statistical Manual of Mental Disorders, Third edition revised*. American Psychiatric Association, Washington, DC, USA.
- [20] McKhann G, Drachman D, Folstein M, Katzman R, Price D, Stadlan EM (1984) Clinical diagnosis of Alzheimer's disease: report of the NINCDS-ADRDA Work Group under the auspices of Department of Health and Human Services Task Force on Alzheimer's Disease. *Neurology* **34**, 939-944.
- [21] Roman GC, Tatemichi TK, Erkinjuntti T, Cummings JL, Masdeu JC, Garcia JH, Amaducci L, Orgogozo JM, Brun A, Hofman A, et al. (1993) Vascular dementia: diagnostic criteria for research studies. Report of the NINDS-AIREN International Workshop. *Neurology* **43**, 250-260.
- [22] Erkinjuntti T, Inzitari D, Pantoni L, Wallin A, Scheltens P, Rockwood K, Roman GC, Chui H, Desmond DW (2000) Research criteria for subcortical vascular dementia in clinical trials. *J Neural Transm Suppl* **59**, 23-30.
- [23] McKeith IG, Perry EK, Perry RH (1999) Report of the second dementia with Lewy body international workshop: diagnosis and treatment. Consortium on Dementia with Lewy Bodies. *Neurology* **53**, 902-905.
- [24] American Psychiatric Association (1994) *Diagnostic and Statistical Manual of Mental Disorders, Fourth edition*. American Psychiatric Association, Washington, DC, USA.
- [25] Blennow K, Hampel H, Weiner M, Zetterberg H (2010) Cerebrospinal fluid and plasma biomarkers in Alzheimer's disease. *Nature Reviews Neurology* **6**, 131-144.
- [26] Olsson A, Vanderstichele H, Andreasen N, De Meyer G, Wallin A, Holmberg B, Rosengren L, Vanmechelen E, Blennow K (2005) Simultaneous measurement of beta-amyloid(1-42), total tau, and phosphorylated tau (Thr181) in cerebrospinal fluid by the xMAP technology. *Clin Chem* **51**, 336-345.
- [27] DeLong ER, DeLong DM, Clarke-Pearson DL (1988) Comparing the areas under two or more correlated receiver operating characteristic curves: a nonparametric approach. *Biometrics* **44**, 837-845.
- [28] Youden WJ (1950) Index for rating diagnostic tests. *Cancer* **3**, 32-35.
- [29] Fagan AM, Roe CM, Xiong C, Mintun MA, Morris JC, Holtzman DM (2007) Cerebrospinal fluid tau/beta-amyloid(42) ratio as a prediction of cognitive decline in nondemented older adults. *Arch Neurol* **64**, 343-349.
- [30] Stomrud E, Hansson O, Blennow K, Minthon L, Londos E (2007) Cerebrospinal fluid biomarkers predict decline in subjective cognitive function over 3 years in healthy elderly. *Dement Geriatr Cogn Disord* **24**, 118-124.
- [31] Skoog I, Davidsson P, Aevansson O, Vanderstichele H, Vanmechelen E, Blennow K (2003) Cerebrospinal fluid beta-amyloid 42 is reduced before the onset of sporadic dementia: a population-based study in 85-year-olds. *Dement Geriatr Cogn Disord* **15**, 169-176.
- [32] Buchhave P, Blennow K, Zetterberg H, Stomrud E, Londos E, Andreasen N, Minthon L, Hansson O (2009) Longitudinal study of CSF biomarkers in patients with Alzheimer's disease. *PLoS One* **4**, e6294.
- [33] Blennow K, Zetterberg H, Minthon L, Lannfelt L, Strid S, Annas P, Basun H, Andreasen N (2007) Longitudinal stability of CSF biomarkers in Alzheimer's disease. *Neurosci Lett* **419**, 18-22.
- [34] Bouwman FH, van der Flier WM, Schoonenboom NS, van Elk EJ, Kok A, Rijmen F, Blankenstein MA, Scheltens P (2007) Longitudinal changes of CSF biomarkers in memory clinic patients. *Neurology* **69**, 1006-1011.
- [35] Kanai M, Matsubara E, Isoe K, Urakami K, Nakashima K, Arai H, Sasaki H, Abe K, Iwatsubo T, Kosaka T, Watanabe M, Tomidokoro Y, Shizuka M, Mizushima K, Nakamura T, Igeta Y, Ikeda Y, Amari M, Kawarabayashi T, Ishiguro K, Harigaya Y, Wakabayashi K, Okamoto K, Hirai S, Shoji M (1998) Longitudinal study of cerebrospinal fluid levels of tau, A beta1-40, and A beta1-42(43) in Alzheimer's disease: a study in Japan. *Ann Neurol* **44**, 17-26.
- [36] Dubois B, Feldman HH, Jacova C, Dekosky ST, Barberger-Gateau P, Cummings J, Delacourte A, Galasko D, Gauthier S, Jicha G, Meguro K, O'Brien J, Pasquier F, Robert P, Rossor M, Salloway S, Stern Y, Visser PJ, Scheltens P (2007) Research criteria for the diagnosis of Alzheimer's disease: revising the NINCDS-ADRDA criteria. *Lancet Neurol* **6**, 734-746.
- [37] Hansson O, Buchhave P, Zetterberg H, Blennow K, Minthon L, Warkentin S (2009) Combined rCBF and CSF biomarkers predict progression from mild cognitive impairment to Alzheimer's disease. *Neurobiol Aging* **30**, 165-173.