# **Research Report**

# Neoadjuvant Cisplatin, Gemcitabine, and Docetaxel in Sarcomatoid Bladder Cancer: Clinical Activity and Whole Transcriptome Analysis

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# Abstract.

**BACKGROUND:** Sarcomatoid urothelial cancer of the bladder (SBC) is a rare, but aggressive histological subtype for which novel treatments are needed.

**OBJECTIVE:** We evaluated the clinical activity and safety of neoadjuvant cisplatin plus gemcitabine plus docetaxel (CGD) in muscle-invasive patients with SBC and assessed SBC tumor biology by whole transcriptome RNA sequencing.

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**METHODS:** A single-institution, retrospective analysis of muscle-invasive SBC patients treated with neoadjuvant CGD with molecular analysis. Patients received cisplatin 35 mg/m2 + gencitabine 800 mg/m2 + docetaxel 35 mg/m2 intravenously on days 1 and 8 + pegfilgrastim 6 mg subcutaneously on day 9 every 3 weeks for 4 cycles followed by cystectomy. The primary endpoint was pathologic complete response (ypCR) rate.

**RESULTS:** Sixteen patients with SBC received neoadjuvant CGD with a ypCR rate of 38% and a < ypT2 rate of 50%. Grade 3 and 4 toxicity occurred in 80% and 40% of patients, but was manageable with 81% of patients completing > 3 CGD cycles. Whole transcriptome RNA sequencing demonstrates co-clustering of SBC with conventional urothelial tumors. SBC tumors are characterized by basal-squamous and stroma rich gene signatures with frequent increased expression of immune checkpoint (*CD274* (PD-L1)), chemokine (*CXCL9*), and T-cell (*CD8A*) genes.

**CONCLUSIONS:** SBC is a chemosensitive subtype, with ypCR rate similar to urothelial bladder cancer following CGD neoadjuvant therapy. Whole transcriptome tissue analyses demonstrate increased expression of immune checkpoint and T-cell genes with therapeutic implications.

Keywords: Sarcomatoid, bladder cancer, neoadjuvant, cisplatin, gemcitabine, docetaxel, complete response, RNA sequencing

# INTRODUCTION

Sarcomatoid urothelial bladder cancer (SBC) is characterized by a bi-phasic histology composed of both epithelial and mesenchymal components. SBC is morphologically distinct and makes up less than 5% of all bladder cancers [1]. In retrospective investigations, SBC demonstrates an aggressive clinical course with reduced overall survival compared to conventional urothelial carcinoma (UC) [2–6]. Thus, novel treatment paradigms are essential to improve survival in this patient population.

The current standard treatment for muscleinvasive, surgically-resectable SBC is radical cystectomy (RC) [7]. Retrospective analyses suggest an improvement in overall survival (OS) in SBC patients treated with neoadjuvant chemotherapy (NAC) prior to RC compared to RC alone [8-13]. A metaanalysis has demonstrated a statistically significant 33% improvement in OS among patients with SBC who received NAC [14]. Similarly, a systemic review of NAC in patients with UC histological subtypes concluded that NAC may be beneficial to patients with SBC [15]. However, observed pathologic complete response rates (ypCR) of 9-20% in patients with SBC are lower than the 26-38% ypCR rates seen with NAC in muscle-invasive bladder cancer (MIBC) with conventional UC. [10, 11, 16-18]. Thus, the merit of NAC in patients with SBC remains unresolved.

In 2014, an index patient at Johns Hopkins with bulky, locally-advanced, SBC of the prostatic urethra achieved a major radiographic partial response (PR) and a ypCR at cystectomy in response to combination neoadjuvant chemotherapy with cisplatin, gemcitabine, and docetaxel (CGD) (Supplementary Figure S1). The CGD regimen was chosen after consultation with our sarcoma program team. We aimed to utilize a multi-agent regimen with activity in urothelial carcinoma or soft tissue sarcomas and prior demonstration of safety [19-21]. Based on the favorable outcome of this index patient, we programmatically began offering the CGD NAC regimen to all surgically resectable SBC patients fit for such therapy. In this report, we detail clinical outcomes with this regimen and assess whole transcriptome gene expression in SBC patient tumors compared to conventional MIBC and leiomyosarcoma, a representative soft tissue sarcoma resected by our urologic surgeons. We hypothesized that CGD NAC would demonstrate a clinically meaningful pathologic CR rate in muscle invasive SBC, and that whole transcriptome analysis of SBC tumors would identify relevant SBC tumor biology insights.

#### MATERIALS (PATIENTS) AND METHODS

#### Study design

A retrospective cohort analysis of patients prospectively treated at Johns Hopkins Medical Institutions (JHMI) was conducted. Patients were not randomized in this study, and blinding was not performed. All patient clinical and tissue specimens were collected and analyzed under our IRB approved protocol (JHU IRB00176985) which was approved with a waiver of consent due to the retrospective and minimal patient risk nature. All protected health information (PHI) was removed to create an analytic dataset prior to analysis. All analyses were conducted in accord with the principles of the Helsinki Declaration [22].

# Sarcomatoid bladder cancer neoadjuvant chemotherapy cohort

SBC NAC eligibility included: confirmed muscleinvasive (>T2) SBC, of any amount, by expert genitourinary pathology review on transurethral resection of bladder tumor (TURBT) specimen; no radiographic disease outside of the bladder and pelvis on pre-treatment CT scan and/or MRI imaging of the chest, abdomen, and pelvis; creatinine clearance > 50 ml/min, age > 18; ECOG performance status 0-1; and fit for curative intent cystectomy. Patients with resectable lymph node involvement were considered eligible.

# Gene expression tissue analysis patients

Patients treated with curative intent cystectomy with archived formalin-fixed paraffin-embedded (FFPE) tissue available from three groups were eligible for comparative studies using RNA based gene expression tissue analyses: 1) Muscle-invasive SBC; 2) Muscle-invasive conventional UC with no SBC or other histologic subtypes present; and 3) leiomyosarcoma of the abdomen/pelvis. All diagnoses were confirmed by expert genitourinary pathology review. Use of neoadjuvant or adjuvant chemotherapy was permitted. Pre-treatment TURBT specimens were analyzed in SBC patients who received NAC. Cystectomy or surgical resection specimens were analyzed in all other groups.

#### Treatment

Patients with SBC eligible for cisplatin-based NAC received intravenous cisplatin 35 mg/m<sup>2</sup>, gemcitabine 800 mg/m<sup>2</sup>, and docetaxel 35 mg/m<sup>2</sup> (CGD) on days 1 and 8 of a 21-day cycle for a maximum of 4 cycles [19]. All patients received pegfilgrastim 6 mg subcutaneous on day 9 of each cycle. Following NAC completion, a radical cystectomy with a standard pelvic lymph node dissection (LND) was performed. An extended pelvic LND was allowed at the discretion of the urologist. Similar surgical techniques were utilized in UC and leiomyosarcoma patients. All surgeries were performed at JHMI facilities.

# Disease evaluations

Patients were clinically staged prior to NAC with CT or MRI imaging of the chest, abdomen, and pelvis. Restaging TURBT was not routinely performed. Complete blood count and comprehensive metabolic profile were performed prior to each NAC cycle. Treatment related toxicity events were graded according to Common Terminology Criteria for Adverse Events (CTCAE) version 5.0 [23]. Imaging was repeated prior to cystectomy to ensure no evidence of systemic progression. Pathologic staging was assessed according to the American Joint Committee on Cancer staging system [24]. Clinical and radiographic assessments after surgery followed National Comprehensive Cancer Network guidelines at the discretion of the treating physician [25]. Disease progression was assessed by RECIST criteria by the treating medical oncologist, and an independent RECIST read was not performed by the radiologist [26].

Pathologic complete response (ypCR) was defined by a ypT0, N0 stage at cystectomy. Pathologic downstaging (<ypT2) was defined by either ypT1, ypTa, or ypTis tumor stage at cystectomy with N0 nodal stage. Progression-free survival (PFS) and overall survival (OS) were defined as the time from start of treatment until radiographic progression or death respectively.

#### RNA sequencing analysis of tumor specimens

One-millimeter punch biopsy of tumor blocks or macrodissection of TURBT FFPE slides was performed in areas with greater than 75% tumor cells. Attempts were taken to focus on tumor regions enriched for SBC, however, complete restriction to pure SBC regions was not performed. RNA extraction was performed per manufacturer's instructions (Roche High Pure miRNA, Rotkreuz, Switzerland). Library preparation and whole transcriptome RNA sequencing were performed utilizing the Ion Ampliseq<sup>TM</sup> Transcriptome Human Gene Expression kit and Ion S5TM XL sequencer platforms (Thermo Fisher Scientific, Waltham, MA). Gene expression analysis was performed using the AmpliSeqRNA analysis plugin in the Torrent Suite Software aligning the raw sequence reads to human reference genome (hg19 Ampliseq Transcriptome\_ERCC\_V1.fasta) using the Torrent Mapping Alignment Program (TMAP) [27].

# Statistical considerations

The primary endpoint was ypCR in patients with SBC who were treated with the neoadjuvant CGD regimen. Secondary endpoints included < ypT2 rate, PFS, OS, toxicity rates, and gene expression analyses. ypCR,<ypT2, and toxicity rates are reported as percentages. PFS and OS were analyzed by Kaplan-Meier methods [28]. The gene expression data were analyzed with instruction from the R packages; "DESeq2" to normalize the sequencing data [29], "consensusMIBC" [30] to classify samples for Consensus\_class, and "ComplexHeatmap" [31] and "circlize" [32] to generate heatmaps.

#### RESULTS

#### Patient demographics

Between August 2014 and July 2022, 16 patients with SBC were treated with neoadjuvant cisplatin, gemcitabine, and docetaxel. The data lock for this analysis occurred on May 17, 2023. The median age on C1D1 was 61; 6 patients were at least 69 years or older, and two patients were 80 or older. Most patients were male, Caucasian, former or current smokers, with primary tumors within the bladder. All patients had an Eastern Cooperative Group performance status of 0-1. Locally advanced disease was common with 9 patients (56%) having > T3 or node positive (N+) disease prior to treatment. Percentage of sarcomatoid subtype data was available in half of the SBC patients with 5 patients (31%) demonstrating 80% or greater sarcomatoid subtype present. Full demographics are summarized in Table 1.

# Clinical efficacy

Fifteen patients (94%) underwent cystectomy and were evaluable for pathologic response. One patient with a suspected solitary progressive lung metastasis did not proceed to cystectomy. This patient was later treated with radiation to the lung lesion, received pembrolizumab, developed pneumonitis, underwent biopsy of increasing mediastinal nodes which were found consistent with sarcoidosis with no malignancy. Cystoscopic surveillance of the bladder and serial CT imaging has shown no recurrence for two years off of all therapy. Six patients (38%) achieved a ypCR (Table 2). No association between sarcomatoid percentage and ypCR rate was observed with two ypCR responses in five (40%) patients with sar-

		Table 1	l			
Patient	Demographics	(n = 16).	(IQR	= interquarti	le range	;
PS = per	formance status;	UC = uro	thelial	carcinoma;	TURBT =	=
transurethral resection of bladder tumor)						

Age, Median (IQR)	61 (59 - 70)
$\overline{\operatorname{Sex}, n(\%)}$	
Male	10 (63)
Race, <i>n</i> (%)	
Caucasian	12 (75)
African-American	4 (25)
Smoking status, n (%)	
Current	3 (19)
Former	6 (38)
Never	7 (44)
ECOG PS, <i>n</i> (%)	
0	4 (25)
1	12 (75)
Prior pelvic radiation <sup>a</sup> , $n$ (%)	2 (13)
Pre-treatment clinical stages, $n$ (%)	
T2, N0	7 (44)
T3 or T4, N0	4 (25)
T3 N0	2 (13)
T4 N0	2 (13)
T any, <i>N</i> +	5 (31)
T2 N1	1 (6)
T3 N1	2 (13)
T3 N2	1 (6)
T4 N2	1 (6)
Primary site, $n$ (%)	
Bladder	15 (94)
Prostatic urethra	1 (6)
Sarcomatoid TURBT percentage	
80-100%	5 (31)
<80%	3 (19)
Not reported	8 (50)

<sup>a</sup>Two male patients with clinically localized prostate cancer were treated with brachytherapy and brachytherapy plus external beam radiation 5 and 9 years respectively prior to their SBC diagnoses.

Table 2 Pathologic responses (n = 16)

Responders, n (%)	8 (50)
Complete response	
ypT0 N0	6 (38)
Pathologic downstaging ( <ypt2 n0)<="" td=""><td>2 (13)</td></ypt2>	2 (13)
ypT1 N0	1 (6)
ypTa N0	1 (6)
Non-responders, n (%)	8 (50)
Node-negative	4 (25)
ypT2 N0	2 (13)
ypT4 N0	2 (13)
Node-positive or metastatic	4 (25)
ypT0 N1	1 (6)
ypT2 N2	1 (6)
ypT3 N2	1 (6)
ypTx Nx M1 <sup>a</sup>	1 (6)

<sup>a</sup>Patient developed a solitary clinical lung metastasis and did not undergo cystectomy. Biopsy of mediastinal node later showed caseating granulomas consistent with sarcoidosis.



Fig. 1. Survival Analyses of Sarcomatoid Bladder Cancer Patients treated with Neoadjuvant Cisplatin+Gemcitabine+Docetaxel. A. Progression-Free Survival, B. Overall Survival.

comatoid percentage 80% or higher and four ypCR responses in 11 (36%) patients with less than 80% sarcomatoid percentage. Two additional patients (13%) had tumor downstaged to < ypT2 N0. Six patients (38%) had locally advanced ypT3/4 or *N* + disease.

With a median follow up of 23.1 months, the median PFS for all patients treated with CGD NAC was 21.9 months with a median OS that has not been reached (Fig. 2). Eleven patients (69%) were alive at time of data lock, and nine patients (56%) had no disease progression at time of data lock.

# Toxicity and safety

Eight (50%) patients completed all four planned cycles of CGD NAC. Thirteen patients (81%) completed at least three cycles. Two of six patients 69 or older (33%) completed all four cycles, and four of six (67%) completed at least three cycles. Seven of sixteen patients (44%) required dose reductions, most commonly for myelosuppression or worsening renal function. Surgery was performed a median of 54 days (IQR 46 – 61) from the last day of chemotherapy administration.

Grade 3 toxicity events were observed in 12 (80%) patients and grade 4 toxicity events in 6 (40%) patients. Grade 3 events occurring in more than 10% of patients included: anemia (77%), thrombocytopenia (23%), fatigue (20%), hyponatremia (15%), and infection (13%). Grade 4 events included: thrombocytopenia (23%), pulmonary embolism (13%), and infection (7%). The full toxicity profile is shown in Table 3. Seven patients (44%) were admitted either during or just after NAC. Four admissions were due to infection, one due to clot associated urinary retention,

one due to deep vein thrombosis, and one due to acute kidney injury. No surgeries were aborted due to ontreatment toxicity. No on-treatment or perioperative deaths were observed.

#### Whole transcriptome RNA sequencing analyses

Sufficient high-quality RNA for whole transcriptome RNA sequencing was available from 12 patients with SBC, 79 patients with conventional MIBC, and 6 patients with leiomyosarcoma (seminal vesicle, pelvic sidewall, pre-rectal space, kidney, uterus, and colon primary sites). Principal component analysis of RNA sequencing data from these samples demonstrated that leiomyosarcoma tumors cluster tightly, and separately from MIBC (Fig. 2A). In contrast, the majority of SBC tumors were interspersed among the MIBC tumors. Specifically, a distinct cluster of SBC tumors separate from MIBC tumors was not observed, nor did the majority of SBC tumors co-cluster tightly with the leiomyosarcoma tumors. Within the SBC tumors alone, we did not observe any transcriptomic signature differences according to older WHO sarcomatoid classification terminology in use at the time of patient diagnosis and treatment (e.g. carcinosarcoma vs UC with sarcomatoid features) (Suppl Fig S2).

In unsupervised hierarchical clustering analysis with the top 5000 most variable genes measured by median absolute deviation, leiomyosarcoma tumors again cluster tightly near each other and distinct from the MIBC tumors (Fig. 2B). The SBC tumors demonstrated prominent heterogeneity with individual SBC tumors found within both the MIBC and leiomyosarcoma tumor groups.



Fig. 2. Whole Transcriptome Analysis of SBC compared to MIBC and leiomyosarcoma. A. Principal component analysis of the SBC (n = 12), MIBC (n = 79), and leiomyosarcoma (n = 6) sample RNA sequencing data. Green = MIBC, Blue = SBC, Red = leiomyosarcoma. B. Unsupervised cluster analysis of gene expression among the top 5000 most variable genes of the samples in (A.). Green = MIBC, Blue = SBC, and Red = leiomyosarcoma. Red denotes higher expression, and green denotes lower expression. C. Application of The Consensus Molecular Classifier Gene Expression Signatures of Muscle-Invasive Bladder Cancer to SBC (n = 12), MIBC (n = 79), and leiomyosarcoma (n = 6) sample RNA sequencing data. Green = MIBC, Blue = SBC, Red = leiomyosarcoma. D. Gene Expression Signatures of Key Anti-tumor Immune Response, Inflammation, and T-cell Infiltration Genes in SBC (n = 12), MIBC (n = 79), and leiomyosarcoma (n = 6) sample RNA sequencing data. Green = MIBC, Blue = SBC, Red = leiomyosarcoma (n = 6) sample RNA sequencing data. Green = MIBC, Blue = SBC, Red = leiomyosarcoma. D. Gene Expression Signatures of Key Anti-tumor Immune Response, Inflammation, and T-cell Infiltration Genes in SBC (n = 12), MIBC (n = 79), and leiomyosarcoma (n = 6) sample RNA sequencing data. Green = MIBC, Blue = SBC, Red = leiomyosarcoma (n = 6) sample RNA sequencing data. Green = MIBC, Blue = SBC, Red = leiomyosarcoma (n = 6) sample RNA sequencing data. Green = MIBC, Blue = SBC, Red = leiomyosarcoma using the same color schema as in (B.). SBC = sarcomatoid bladder cancer; MIBC = muscle-invasive bladder cancer.

All grade toxicity						
	Grade 1-2	Grade 3	Grade 4			
Hematologic and Laboratory ( $n = 13$ evaluable), $n$ (%)						
Hematologic						
Leukopenia	5 (38)	1 (8)	1 (8)			
Neutropenia	4 (31)	0 (0)	1 (8)			
Lymphopenia	2 (15)	1 (8)	0 (0)			
Anemia	3 (23)	9 (69)	0 (4)			
Thrombocytopenia	3 (23)	4 (31)	3 (23)			
Laboratory						
Hyponatremia	2 (15)	3 (23)	0 (0)			
Hypomagnesemia	4 (31)	1 (8)	0 (0)			
Hypokalemia	4 (31)	0 (0)	0 (0)			
Hypocalcemia	1 (8)	1 (8)	0 (0)			
Hypophosphatemia	0 (0)	0 (0)	1 (8)			
Elevated creatinine	5 (38)	0 (0)	0 (0)			
Elevated alanine transferase	5 (38)	0 (0)	0 (0)			
Elevated aspartate transferase	2 (15)	0 (0)	0 (0)			
Elevated alkaline phosphatase	4 (31)	0 (0)	0 (0)			
Hyperbilirubinemia	2 (15)	0 (0)	0 (0)			
Non-hematologic ( $n = 15$ evaluable), $n$ (%)						
Constitutional						
Fatigue	10 (67)	3 (20)	0 (0)			
Pain	2 (13)	0 (0)	0 (0)			
Weight loss	2 (13)	0 (0)	0 (0)			
Infection	3 (20)	3(20)	1 (7)			
Neurologic						
Headache	2 (13)	0 (0)	0 (0)			
Neuropathy	5 (33)	1 (7)	0 (0)			
Impaired hearing	2 (13)	0 (0)	0 (0)			
Pulmonary						
Pulmonary embolism	0 (0)	0 (0)	2 (13)			
Dyspnea	2 (13)	0 (0)	0 (0)			
Cardiovascular						
Leg swelling	2 (13)	0 (0)	0 (0)			
Deep vein thrombosis	0 (0)	1 (7)	0 (0)			
Gastrointestinal						
Diarrhea	4 (27)	1 (7)	0 (0)			
Nausea	8 (53)	0 (0)	0 (0)			
Constipation	3 (20)	0 (0)	0 (0)			
Decreased appetite	3 (20)	0 (0)	0 (0)			
Taste disturbance	3 (20)	0 (0)	0 (0)			
Colitis	0 (0)	1 (7)	0 (0)			

Table 3

Upon application of the consensus molecular classifier of muscle-invasive bladder cancer gene expression signatures to each tumor specimen, most SBC tumors aligned with basal-squamous and stroma rich subtypes with only a singular tumor aligning with the luminal unstable subtype (Fig. 2C) [33]. Among the SBC basal-squamous tumors, increased expression of basal cytokeratins *KRT5*, *KRT6A*, and *KRT14* were observed, whereas within the SBC stroma rich tumors, increases in the expression of mesenchymal/fibroblast genes *ACTC1*, *DES*, and *MYH11* were noted. Increased expression of T-cell and inflammatory chemokine-associated genes *CD274*, *CD8A*, and *CXCL9* were observed in both SBC basal-squamous

and stroma-rich tumors. Among SBC tumors, nine (75%) aligned with the basal-squamous subtype, two (17%) with the stroma rich subtype and one (8%) with the luminal unstable subtype. Among SBC tumors across all subtypes, expression of inflammatory and T-cell genes was increased in the majority of tumors (Fig. 2D).

## DISCUSSION

In this retrospective single-institution experience of a uniform cisplatin, gemcitabine, and docetaxel (CGD) regimen administered as neoadjuvant therapy (NAC) to patients with muscle-invasive SBC, we observed clinically relevant activity with pathologic complete response (ypCR) observed in 38% of patients. Our results compare favorably to prior reports of 9-20% pCR rates in patients with SBC when variable, non-standardized NAC regimens were utilized [10, 11, 18]. Moreover, our ypCR rates align with observed ypCR rates of 26-38% with cisplatinbased NAC regimens for conventional MIBC [16, 17, 34, 35]. Our data suggests muscle invasive SBC is chemotherapy-sensitive, and a uniform, higherintensity regimen containing cisplatin, docetaxel, and gemcitabine may achieve superior pCR rates than previously used regimens in this disease.

While the benefit of NAC in SBC has been inconsistent in prior small, singular retrospective studies, a recent examination of SEER population-based data suggests patients with metastatic SBC who receive chemotherapy derive OS benefit [8-11, 36]. Furthermore, in a meta-analysis assessing the role of NAC or adjuvant chemotherapy in patients with bladder cancer with histologic subtypes, an OS benefit was observed in patients with SBC treated with NAC, but not adjuvant chemotherapy [14]. Similar to the patients with SBC treated with NAC in our cohort, the majority of patients in prior studies had clinical T2-T3 stage tumors [3, 4, 10]. In our study, we also noted responses in patients with clinical T4 and N+tumors. Therefore, a confounding effect of more favorable tumor stages on the higher pCR rate noted in our population is unlikely.

Treatment related toxicity was common with the higher-intensity CGD regimen with anemia, thrombocytopenia, infection, and worsening renal function as the most common grade 3 or 4 events leading to dose reductions or inability to complete all four intended treatment cycles. The grade 3 and grade 4 toxicity rates of 80% and 40% observed with the CGD regimen are higher than the 10-36% grade 3 and 8-9% grade 4 toxicity rates reported with the cisplatin and gemcitabine (CG), or the dose-dense methotrexate, vinblastine, doxorubicin, and cisplatin (ddMVAC) regimens in recent NAC investigations in conventional MIBC [16, 17, 34]. Although frequent, the CGD toxicity profile proved manageable for the majority of patients with 81% of patients able to receive at least three CGD treatment cycles. Among the six treated patients aged 69 or older, four were able to complete at least three cycles. Due to a high frequency of bulky, locally advanced bladder tumors with a tendency for accelerated hematuria due to treatment-related tumor necrosis, weekly

complete blood count and comprehensive metabolic profile monitoring is recommended to rapidly detect and treat any significant treatment related toxicities.

We sought to understand at a molecular level whether SBC more closely resembles conventional MIBC, a representative soft tissue sarcoma such as leiomyosarcoma, or represents its own distinct biological entity. Our analyses are primarily descriptive due to low number of SBC and leiomyosarcoma tumors analyzed. Given these limitations, our RNA sequencing analysis demonstrated that a subset of SBC clusters with conventional MIBC, which is consistent with our finding that SBC responds to CGD NAC. Our findings of increased KRT5, KRT6A, and KRT14 expression within some SBC tumors align with the work of others who have noted that such basal genes are upregulated in patients with SBC [37]. In conventional MIBC, increased expression of basal genes associates with favorable response to NAC [38].

When applying the consensus molecular classifier for MIBC to the SBC tumors, most SBC tumors aligned within either the basal-squamous or stroma rich classifications. Increased expression of genes with critical mediating functions of anti-tumor immune responses (CD274 (PD-L1)), inflammatory chemokines (CXCL9), or T-cell infiltration within the tumor microenvironment (CD8A) were observed in SBC specimens across all molecular subtypes. Our observation of frequent upregulation of CD274 (PD-L1) in SBC patient tumors agrees with similar findings from initial SBC tumor molecular characterization efforts by other groups [37]. This is especially relevant given that anti-PD-1 directed adjuvant therapy has demonstrated improved disease-free survival in patients with conventional MIBC, particularly in patients with PD-L1 positive tumors [39]. Moreover, two retrospective analyses of patients with advanced SBC treated with anti-PD-(L)1 therapy have demonstrated objective response rates of 37% and 38% respectively [40, 41]. Given this data, the PD-(L)1 pathway represents a promising therapeutic target in SBC that warrants evaluation in prospective clinical trials. Trials that are accruing will help answer this question including those examining neoadjuvant pembrolizumab combined with chemotherapy in the neoadjuvant treatment of MIBC with urothelial carcinoma histologic subtypes (including SBC) (NCT04383743) as well as combination treatment with enfortumab vedotin and pembrolizumab in

patients with metastatic urothelial carcinoma histologic subtypes (including SBC) (NCT38446675), As nectin-4 expression in SBC is lower than conventional urothelial carcinoma and other histological subtypes including squamous cell carcinoma and adenocarcinoma, it remains to be seen whether this combination will be efficacious in advanced SBC [42–44].

The use of a uniform and consistent CGD dosing schedule in all patients treated represents a major strength of our study. To our knowledge, this is the first SBC data presented where a standardized chemotherapy regimen was employed. Past SBC reports of chemotherapy outcomes have relied on national registry or institutional databases to assess outcomes [8-11, 40, 41]. Therefore, evaluation of the merits of specific chemotherapy regimens was not possible. Our study establishes a preliminary benchmark for ypCR rate, PFS, and OS specific to the CGD regimen that can serve as the basis for future studies. Furthermore, our finding that the vast majority of SBC tumors demonstrate increased expression of immune checkpoint and T-cell genes confirms the initial work of other groups and has significant clinical relevance [37]. However, the small sample size of patients with SBC treated with NAC represents a limitation of the study. In addition, the single-institution nature of our study may introduce unaccounted biases with impact on clinical outcomes. Lastly, the inclusion of patients with any sarcomatoid component and the lack of variant percentage quantification in half of the SBC specimens within our SBC cohort introduces heterogeneity within the small SBC cohort that may have clinical and biological significance.

# CONCLUSION

In this initial report of patients with SBC treated with a uniform cisplatin, gemcitabine, and docetaxel neoadjuvant chemotherapy regimen, we observed pathologic complete responses in 38% of patients with frequent, but manageable treatment related toxicity. Our results demonstrate that SBC is a chemosensitive malignancy and serve as a benchmark for future prospective clinical trials. Analysis of tumor RNA sequencing shows that increased expression of immune checkpoint and T-cell associated genes is frequent in SBC tumors, a finding of clinical relevance and with important implications for future SBC clinical trial considerations.

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# AUTHOR CONTRIBUTIONS

Conception: N.M.H.; Performance of Work: B.A.J., B.A.T., C.K., B.M., K.L., W.L., J.I.E., G.J.N., A.S.B., A.M., A.G., N.A., A.E.R., P.M.P., E.C., J.H-C., N.S., S.H.P., M.K., W.C., T.J.B., N.M.H.; Interpretation of Data: B.A.J., B.A.T., Y.J., D.J.M., W.C., N.M.H.; Writing the Article: B.A.J., N.M.H.

#### CONFLICT OF INTEREST

Noah Hahn discloses consulting compensation from AstraZeneca, Merck, BioGears, Seattle Genetics, Mirati, Incyte, RemGen, Janssen, Pfizer, EMD Serono, Verity Pharmaceuticals, Huron Consulting, Guidepoint, Natera, Protara Therapeutics, Astellas Pharma, Trya Biosciences; research support to the institution from HTG Molecular Diagnostics, AstraZeneca, Bristol Myers-Squibb, Genentech, Seattle Genetics, Pieris, Inovio, Principia Biopharm, Incyte, Loxo Oncology, and Ikena Oncology; speaking honorarium from Medscape. Max Kates discloses consulting compensation from Genesis Biotech, Janssen, Pacific Edge, and FerGene. Andres Matoso discloses consulting compensation from PathAI. Burles A. Johnson III discloses serving as an unpaid member of Seattle Genetics Emerging Thought Leaders Advisory Board. Burles A. Johnson III, Noah Hahn, Jean Hoffman-Censits, and David McConkey

are co-authors on a provisional US patent for an immune-based biomarker to predict response to immunotherapy in patients with advanced bladder cancer.

T.J.B., J.H-C., N.M.H., and D.J.M. are on the Editorial Board of this journal, but these individuals were not involved in the peer-review process nor had access to any information regarding its peer-review.

#### DATA AVAILABILITY

The genomic data supporting this manuscript are currently available on request from the corresponding author, and we plan to upload these data into a publicly available database before publication.

## SUPPLEMENTARY MATERIAL

The supplementary material is available in the electronic version of this article: https://dx.doi.org/ 10.3233/BLC-240008.

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