AZD3293: A Novel, Orally Active BACE1 Inhibitor with High Potency and Permeability and Markedly Slow Off-Rate Kinetics

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Abstract. A growing body of pathological, biomarker, genetic, and mechanistic data suggests that amyloid accumulation, as a result of changes in production, processing, and/or clearance of brain amyloid-β peptide ($A\beta$) concentrations, plays a key role in the pathogenesis of Alzheimer's disease (AD). Beta-secretase 1 (BACE1) mediates the first step in the processing of amyloid-β protein precursor ($A\beta$ PP) to $A\beta$ peptides, with the soluble N terminal fragment of $A\beta$ PP ($sA\beta$ PPβ) as a direct product, and BACE1 inhibition is an attractive target for therapeutic intervention to reduce the production of $A\beta$. Here, we report the *in vitro* and *in vivo* pharmacological profile of AZD3293, a potent, highly permeable, orally active, bloodbrain barrier (BBB) penetrating, BACE1 inhibitor with unique slow off-rate kinetics. The *in vitro* potency of AZD3293 was demonstrated in several cellular models, including primary cortical neurons. *In vivo* in mice, guinea pigs, and dogs, AZD3293 displayed significant dose- and time-dependent reductions in plasma, cerebrospinal fluid, and brain concentrations of $A\beta_{40}$, $A\beta_{42}$, and $sA\beta$ PPβ. The *in vitro* potency of AZD3293 in mouse and guinea pig primary cortical neuronal cells was correlated to the *in vivo* potency expressed as free AZD3293 concentrations in mouse and guinea pig brains. In mice and dogs, the slow off-rate from BACE1 may have translated into a prolongation of the observed effect beyond the turnover rate of $A\beta$. The preclinical data strongly support the clinical development of AZD3293, and patients with AD are currently being recruited into a combined Phase 2/3 study to test the disease-modifying properties of AZD3293.

Keywords: Alzheimer's disease, amyloid-β, drug therapy, pharmacology, preclinical drug evaluation

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INTRODUCTION

Alzheimer's disease (AD) is a devastating neurodegenerative disease that affects an ever-growing number of people worldwide. At the present time, there are no effective disease-modifying treatments for AD, although symptomatic treatments are available. Thus, there is an urgent medical need for treatments that can halt or delay the progress of the disease.

Amyloid- β (A β) pathology (i.e., plaque deposits) is a hallmark of AD, and the overproduction and/or the reduced clearance of brain A β are believed to be key elements in the pathogenesis of both sporadic and early-onset AD. A β species of different length, such as A β 40 and the more pathogenic A β 42, are produced by sequential cleavage of amyloid- β protein precursor (A β PP) to A β peptides via beta-secretase 1 (BACE1) and γ -secretase [1–5], with the soluble N terminal fragment of A β PP (sA β PP β) as a direct product of BACE1 processing. Modulation of A β production or clearance is, therefore, considered one of the highest priority targets for disease modification in AD [6].

Genetic evidence, including causative and protective mutations in A β PP expression around the BACE1 cleavage site, points to a key role of BACE1 in the pathophysiology of AD [7]. The Swedish A β PP mutation, K670N/M671L, has a higher affinity for BACE1, resulting in increased A β production and earlier onset of AD in individuals expressing the mutation than in those without the mutation [8]. Conversely, individuals who express A β PP with the A673T substitution are protected against AD and cognitive decline; the substitution reduces A β production [9, 10]. Mice that express mutant A β PP [11] show progressive A β deposition, and reducing BACE1 activity yields large decreases in amyloid plaque load [12].

Numerous studies have demonstrated that BACE1 inhibitors can reduce brain $A\beta$ concentrations in primates and other animals [13–18]. However, poor blood-brain barrier (BBB) penetration has been an issue with many of these compounds, leading to low brain:plasma concentration ratios [19]. Thus, high doses and high peripheral exposures were required to achieve central effect, because reduction of peripheral $A\beta$ concentration alone does not lower brain $A\beta$ concentration [20, 21].

The promising preclinical data regarding the role of BACE1 inhibitors in reducing amyloid load and possibly modifying the progression of AD have spurred a quest for compounds with more favorable brain:plasma exposure ratios and/or higher potency, as exemplified by AZD3839 and AZ-4217 [17, 18]. While several BACE1 inhibitor compounds have entered into clinical studies [22], some of these compounds have encountered safety issues unrelated to BACE1 inhibition and have thus been terminated [23, 24].

The onset of BACE1 inhibitory effect on AB concentration in vivo typically depends on the AB turnover rate in the respective effect compartment and is maintained as long as the BACE1 inhibitor concentration is sustained [25]. Typically, as the BACE1 inhibitor plasma and brain concentration decreases, the plasma and brain AB concentrations return to baseline as new AB is produced. However, a BACE1 inhibitor with a slow off-rate might display prolonged Aβ reduction that is more dictated by the turnover rate of the BACE1 enzyme than to the Aβ recovery rate. Here, we report the in vitro and in vivo pharmacological characteristics of AZD3293, a novel, very potent, highly permeable, orally active BACE1 inhibitor with BBB penetration, a slow off-rate, and excellent in vivo efficacy with prolonged on-target effect. Based on these findings, AZD3293 has been progressed into clinical development as a potential disease-modifying treatment for AD, and the recruitment of patients with AD into a combined Phase 2/3 study (NCT02245737; AMARANTH) is in progress.

MATERIALS AND METHODS

Compound

The AZD3293 compound, (1*r*,1'*R*,4*r*)-4-Methoxy -5"-methyl-6'-[5-(prop-1-yn-1-yl)pyridin-3-yl]-3'H-dispiro[cyclohexane-1,2'- inden-1',2"-imidazol]-4"-amine, was designed and synthesized by AstraZeneca R&D.

Human BACE1 and BACE2 (hBACE1 and hBACE2) TR-FRET assays

The affinity of AZD3293 was evaluated using hBACE1 and hBACE2 TR-FRET assays. The soluble part of the human β -secretase (recombinant hBACE1 enzyme, aa1–aa460, or hBACE2 enzyme, aa1–aa473) was mixed with compound in reaction buffer (NaOAc, chaps, triton X-100, EDTA pH 4.5) and preincubated for 10 min. Substrate (Europium) CEVNLDAEFK(Qsy7) (PerkinElmer) was added, and the reaction was allowed to proceed for 6.5 h

in darkness at 22°C until stopped with the addition of NaOAc pH 9. The fluorescence of the product was measured on a Victor II 1420 multilabel counter plate reader (Wallac) with an excitation wavelength of 340 nm and an emission wavelength of 615 nm. Additional detail about the assay procedure is available in the literature [26].

Cellular amyloid assays

The potency of AZD3293 was evaluated in a number of amyloid assays using cells of various origins: SH-SY5Y, SH-SY5Y overexpressing wild type ABPP, HEK293 cells overexpressing ABPP with the Swedish mutation (K595N/M596L), N2A cells, and primary cortical neurons isolated from fetal C57BL/6 mice (E16) or Dunkin-Hartley guinea pigs (E25-27). The assay methods have been described extensively in the literature [17, 18, 27]. In brief, the cells were incubated with different AZD3293 concentrations for 5 to 16h, and the release of sAβPPβ, $A\beta_{1-40}$, $A\beta_{1-42}$, or $sA\beta PP\alpha$ into the medium was analyzed using specific commercial ELISA or kits from Meso Scale Discovery. Any cytotoxic effect of AZD3293 noted in a cellular assay was directly evaluated in the cell plates using the ViaLightTM Plus cell proliferation/cytotoxicity kit (Cambrex BioScience, New Jersey, USA), according to the manufacturer's instructions.

hCathepsin D FRET, Notch, and human ether-a-go-go-related gene (hERG) assays

The specificity of AZD3293 was evaluated using hCathepsin D FRET, Notch, and hERG assays. In the hCathepsin D FRET assay, cathepsin D enzyme (Calbiochem) and substrate (Ac-Glu-Asp (EDANS)-Lys-Pro-Ile-Leu-Phe-Phe-Arg-Leu-Gly-Lys (DABCYL)-Glu-NH2) (Bachem) were separately diluted in glycine-HCl buffer. he enzyme was mixed with compound dissolved in DMSO and preincubated for 10 min. Substrate was added, and the reaction mixture was incubated in darkness for 15 min at 22°C. The fluorescent signal was measured on a Victor II 1420 multilabel plate reader (Wallac) with an excitation wavelength of 355 nm and an emission wavelength of 460 nm.

The Notch assay was performed according to previously described methodology [27]. HEK_PS1 and HEK_PS2 cells [28] were transiently transfected with extracellular truncated Notch (Notch1 Δ E). The cells were then incubated with different concentra-

tions of AZD3293 for 12 h. The γ-secretase-liberated Notch1 intracellular domain was analyzed using an Image-Xpress scanner (Molecular Devices) and specific, labeled antibodies. The affinity of AZD3293 on hERG was investigated using the patch clamp technique and hERG-expressing CHO cells in a whole-cell configuration. Recordings were made at room temperature. For each cell, following addition of vehicle solution (0.1% DMSO), AZD3293 was tested at six concentrations: 0.3, 1, 3, 10, 30, and 100 µM. Following application of the highest test concentration, vehicle solution was re-applied to test the reversibility of the effect. The positive control (3 µM cisapride) was then applied. For each cell recorded, data obtained in the presence of the test compound were expressed as a percentage of those obtained during the first application of vehicle solution.

Biacore direct binding assay (DBA)

The binding properties of AZD3293 were evaluated using a Biacore direct binding assay. A CM7 sensor chip was prepared by immobilizing 30 µg/mL purified hBACE1 on Spots 1 and 5, to 10000 response units (RU) in Flow Cell 4 of a BC4000 instrument (GE Healthcare, Bio-Sciences AB, Uppsala, Sweden). The standard Biacore amine coupling procedure, with 10-min activation, was followed. Spot 3 was used as a reference surface (unmodified). The running buffer for immobilization was 100 mM NaOAc pH 4.5, 150 mM NaCl, 0.005% P20; the coupling buffer was 10 mM Na-acetate pH 4.5; contact time was 16 min; and the temperature was 25°C. The surface was left to stabilize overnight. The sample association time was 60 seconds, and the dissociation time was 30 min. AZD3293 and AZD3839 were prepared from a 10 mM DMSO stock to 20 µM compound in assay buffer to a final concentration of 2% DMSO. The Biacore data were generally analyzed with the Biacore 4000 evaluation software using the Kinetics and Affinity evaluation method (reference- and blank-subtracted data). The dissociation-rate constant (k_{off}) was calculated using the 1:1 dissociation model and then recalculated to a half-life $(t_{1/2} = \ln t_{1/2})$ 2/k_{off}) for the complex. This method has been suitable for comparative experiments with AZD3839 [17, 26] and other similarly fast dissociating compounds. However, for slowly dissociating compounds like AZD3293, dissociation could not be distinguished from the background drift in the signal. Therefore, the $t_{1/2}$ of AZD3293 was also estimated with singlecycle kinetics from an experiment using a Biacore T200 instrument in which hBACE1 was immobilized with amine coupling on a CM7 chip to 3000 RU, and five pulses of compound at increasing concentrations were injected in the same cycle, followed by a long, 30 min dissociation phase.

The reversibility of AZD3293 binding was also studied in a displacement experiment with AZD3839 using the same immobilization conditions as described above. After six start-up cycles with running buffer, AZD3839 was injected twice at $20 \,\mu\text{M}$ to determine the initial binding capacity of the surface. The high-affinity compound AZD3293 was then injected twice at $20 \,\mu\text{M}$ to occupy the binding pocket. AZD3839 was then injected every 60 min over a 20 h period to compete with AZD3293 for available binding sites. Each injection of compound was followed by an injection of running buffer as a negative control.

In vitro drug metabolism and pharmacokinetic (DMPK) assays

AZD3293 has been evaluated in a range of in vitro DMPK assays to determine plasma protein binding, brain tissue binding, brain permeability, efflux ratio, and solubility. The plasma protein binding of AZD3293 was analyzed by equilibrium dialysis using radio-labeled AZD3293 at nominal concentrations of 0.2, 5, and 100 µmol/L in mouse, rat, guinea pig, dog, and human plasma. Spectra/Por 2 dialysis membranes (Spectrum Medical Industries Inc.) of molecular weight cut-off 12 to 14 kilodaltons were pre-soaked and assembled within Teflon dialysis cells. For each concentration, triplicate aliquots of spiked plasma solution were dialyzed against Dulbecco's phosphate-buffered saline (pH 7.4) for 6 h at 37°C. Duplicate aliquots of the dialyzed plasma and buffer were taken for radioactivity measurement by liquid scintillation counting (LCS). The plasma protein binding of AZD3293 metabolite M1 was determined at a concentration of 10 µmol/L using cold compound. The unbound fraction in plasma was calculated from the ratio of the area under the peak obtained by mass spectrometry of M1 in buffer divided by sum of the areas of M1 in buffer and plasma [29]. For plasma and blood cell partitioning, triplicate whole blood samples from a single male human subject were spiked with radio-labeled AZD3293 at a concentration of 5 µmol/L, mixed gently, and incubated at 37°C for 0, 0.5, and 2 h. Duplicate aliquots were centrifuged to obtain plasma for radioactivity measurement by LCS.

The *in vitro* metabolism of AZD3293 was studied in human and rat hepatocytes according to the methods described by Floby et al. [30].

To determine brain tissue binding, $300 \, \mu m$ thick coronal rat brain slices were incubated for 5 h in $10 \, \text{mL}$ of an artificial interstitial fluid buffer containing $1 \, \mu M$ of compound. Following the incubation, the slices were weighed and homogenized, proteins were removed, and liquid chromatography-tandem mass spectrometry (LC-MS/MS) analysis was performed. The unbound fraction of AZD3293 in brain was calculated as described by Fridén et al. [31]. Free drug concentrations in mouse, guinea pig, and dog brains were estimated by multiplying the total brain analyte concentrations by the unbound fraction determined from the rat brain, as it has been shown that this unbound fraction in brain is interchangeable among species [32].

Brain permeability and efflux ratio were determined in the Caco-2 and MDCK-MDR1 cell assays as described by Gravenfors et al. [29]. Solubility was measured using the dried-DMSO method as described by Alelyunas et al. [33].

Animals and animal handling

Animal experimentation (using mice, rats, guinea pigs, and dogs) was performed in accordance with relevant guidelines and regulations provided by the Swedish Board of Agriculture. Ethical permission was provided by an ethical board that specializes in animal experimentation (Stockholm North Animal Research Ethical Board).

Female 7- to 14-week-old C57BL/6 mice (n=6 per treatment group and timepoint; Harlan Laboratories, The Netherlands) received vehicle or AZD3293 solution at 50, 100, or 200 μ mol/kg (20, 41, or 82 mg/kg) as a single dose via oral gavage.

Male 5-week old Dunkin-Hartley guinea pigs (*n* = 6 to 8 per treatment group and timepoint; HB Lidköping Kaninfarm, Sweden), received vehicle (5% dimethylacetamide in 0.3 M gluconic acid, pH 3) or AZD3293 solution at 10, 30, or 100 μmol/kg (4, 12, or 41 mg/kg) as a single dose via oral gavage. Mice and guinea pigs were anesthetized 1.5, 2, 3, 4, 6, 8, 16, 24, or 48 h after the (last) administration of vehicle or drug and were then kept under isoflurane anesthesia. Cerebrospinal fluid (CSF) was aspirated from the cisterna magna, and plasma was isolated from blood collected by cardiac puncture into EDTA tubes. The animals were then sacrificed by decapitation, and the brains were dissected into hemispheres.

Plasma AZD3293 concentration and its effect on plasma Aβ₄₀ were studied in the standard regulatory toxicology studies in male and female beagle dogs aged 14.7 to 19.0 months (from Rååhöjden, Sweden) or 8.5 months (from Charles River Laboratories, Canada) at the start of the experiments. AZD3293 was formulated in a 0.3 M gluconic acid, pH 3 solution. In one male dog and one female dog, AZD3293 was administered orally in a repeated, ascending-dose regimen at doses of 9.7, 29, 87, 240, and 9.7 µmol/kg (4, 12, 36, 100, and 4 mg/kg) on Days 1, 3, 8, 10, and 16. Six male and six female dogs were given daily oral AZD3293 doses of 0.48, 3.4, and 24 µmol/kg (0.2, 1.4, and 10 mg/kg) for 14 or 15 days. In addition, data were collected for daily oral doses of 2.4, 7.2, and 36 \(\mu\text{mol/kg}\) (1, 3, and 15 mg/kg; three female and three male dogs per dose) over 91 days. The dynamics of plasma Aβ₄₀ were studied in more detail following a single oral dose of 12, 36, or 60 µmol/kg (5, 15, or 25 mg/kg; one male and one female dog per dose). Bioavailability was determined from two 3-\(\mu\)mol/kg (1.2 mg/kg) oral and two 1.5-\(\mu\text{mol/kg}\) (0.62 mg/kg) intravenous singledose AZD3293 concentration-time profiles in male dogs. Blood samples were taken via jugular vein; CSF and hippocampus samples were collected from anesthetized animals in connection with necropsy.

Extraction and analysis of $A\beta$ and $sA\beta PP\beta$ from animal samples

The extraction of brain tissue and the analysis of AB and sABPPB species were performed as described in detail by Eketjäll et al. [18]. Brain tissue was sonicated in 0.2% dietylamin with 50 mM NaCl, then subjected to ultracentrifugation. Dietylamin brain extracts, plasma, and CSF were analyzed for concentrations of $A\beta_{1-40}$, $A\beta_{1-42}$, and $sA\beta PP\beta$ using commercial and specific immunoassays. Concentrations of sABPPB in guinea pig brain extracts, plasma, and CSF were analyzed using a commercial high-sensitivity sAβPPβ ELISA (#JP27732, IBL International, Hamburg, Germany). In dog plasma, CSF, and brain (hippocampus), Aβ₁₋₄₀ was measured using a commercial ELISA (#KHB3482, Invitrogen, Camarillo, CA, USA). The lower limit of quantification (LLOQ) was determined for each immunoassay plate based on the lowest standard point with a coefficient of variation (CV) <20% and an accuracy (back-calculated concentrations) of 80% to 120%. Log-transformed data were analyzed with pair-wise t-tests within a 1-way ANOVA model, using Prism 4 (GraphPad, USA). The level of significance was p < 0.05 (2-tailed).

Bioanalysis of brain, plasma, and CSF samples

The bioanalysis of brain, plasma, and CSF samples has previously been described in detail [34]. Brain samples from mice, guinea pigs, and dogs were analyzed for concentrations of AZD3293. The right brain hemisphere was homogenized in two volumes (weight/volume) of Ringer solution. Aliquots of plasma (25 μ L) and brain homogenate (50 μ L) were precipitated with 150 μ L acetonitrile containing 200 nmol/L warfarin as an internal standard. Samples were mixed, centrifuged, diluted with mobile phase, and analyzed on an LC-MS/MS system. CSF aliquots (15 μ L) were directly diluted and injected. A correction for blood content in brain was made by subtracting 1.3% of the plasma analyte concentration from the total brain analyte concentration.

Pharmacokinetic/pharmacodynamic analysis

Mouse and guinea pig pharmacokinetic (PK) and pharmacodynamic (PD; Aβ₄₀) data were analyzed using a population modeling approach (NONMEM Version 7.2.0, ICON, Hanover, MD), fitting the data simultaneously using the Monte Carlo Importance Sampling Expectation Maximization for estimation. Model selection was based on the minimum value of the objective function, defined as $-2 * \log like$ lihood. A visual predictive check [35] was used to evaluate whether the model was able to predict the observed variability for 80% of the data population used for model identification. Monte Carlo methods were employed to simulate 1000 hypothetical datasets, using the estimated model parameters and variability. Differences in, and overlap of, the simulated (median 10th percentile and 90th percentile) and original distributions indicated the accuracy of the identified model.

The above exercise resulted in different mathematical models for the two animal species. In mice, a model with a central and two separate peripheral PK compartments provided the best fit to the PK/PD data. Plasma AZD3293 concentration was linked to plasma or brain $A\beta_{40}$ concentration using an indirect/turnover model: $dR/dt = k_{in}*(1-effect)-k_{out}*R$, in which R= plasma or brain $A\beta_{40}$ concentration, $k_{in}=$ zero order $A\beta_{40}$ production rate, $k_{out}=$ first order turnover rate (k_{in} was set to k_{out}), effect = concentration-effect relationship

with effect = I_{max} * CP/(IC₅₀ + CP) where CP is the AZD3293 concentration in plasma, $I_{max} = maximum$ response and IC_{50} = the AZD3293 concentration at which a 50% reduction in the biomarker level from baseline is achieved in vivo. In addition, a constant brain:plasma ratio was assumed, and brain $A\beta_{40}$ concentration was also fitted. In guinea pigs, the PK model included a central and two peripheral PK compartments, one of which is linked to brain AZD3293 concentration. The second peripheral PK compartment is linked to two additional peripheral compartments, a brain-binding compartment and a CSF compartment. The total brain AZD3293 concentration is the sum of the concentrations in the brain and the brain-binding compartments, with these values corrected for brain:plasma ratio. CSF AZD3293 concentration is directly linked to the brain AZD3293 concentration, excluding the bound-to-brain compartment and corrected with a CSF:brain ratio. Plasma AZD3293 clearance (CL) was non-linear and fitted by actual clearance = CL*(1-CP*CLEmax/(CLEC50+CP)), where CLEmax is the maximum clearance and CLEC50 the half maximum concentration at which the clearance is reduced by 50% from baseline.

Data from 119 mice and 123 guinea pigs were included in the population analysis originating from the PK and PK/PD studies. Biomarkers $A\beta_{42}$ and $sA\beta PP\beta$ in mice and guinea pig were analyzed in Phoenix WinNonlin 6.4 using the NMLE 1.3 module in which the PK models were adopted from the models obtained above.

In dogs, dose-response exposure for brain and CSF $A\beta_{40}$ concentrations and dose- and time-response exposure data and plasma $A\beta_{40}$ concentrations were available, including a total of 18 plasma AZD3293 concentration-time profiles. In dog plasma, predose samples were available, and in brain and CSF reference values for $A\beta_{40}$ were used. A population analysis using Phoenix WinNonlin evaluated the goodness of fit. AZD3293 and $A\beta_{40}$ concentration-time profiles were fitted with an indirect/turnover model in which k_{out} was estimated separately from k_{in} but linked by baseline. Bioavailability was calculated using non-compartmental analysis.

RESULTS

In vitro potency and selectivity

AZD3293 was identified as a potent (inhibitory constant $[K_i] = 0.4$ nM) inhibitor of hBACE1 using

an enzymatic TR-FRET assay (Table 1). When the potency of AZD3293 with respect to secretion of A β_{40} and sA β PP β was studied in a range of cellular models, the compound displayed pM potency in primary neuron cultures from mice and guinea pigs and in SH-SY5Y cells over-expressing A β PP (IC $_{50}$ = 610 pM, 310 pM, and 80 pM, respectively). AZD3293 selectivity was evaluated against the two aspartyl proteases, hBACE2 and cathepsin D, as well as Notch processing, and the compound showed near equipotency against hBACE2 and a >25,000-and >41,000-fold selectivity against cathepsin D and Notch IC $_{50}$ values, respectively. Important K_i and IC $_{50}$ values are summarized in Table 1.

AZD3293 was also tested in a panel of more than 350 *in vitro* radioligand binding and enzyme activity assays, covering a diverse range of receptors, ion channels, transporters, kinases, and enzymes, up to a concentration of $10 \,\mu\text{M}$ of AZD3293. A few significant responses were observed, but these had at least a 1,000-fold selectivity against BACE1, thus indicating specificity to BACE1.

Binding characteristics

AZD3293 acts as a full inhibitor of BACE1 *in vitro*, with a competitive and reversible mechanism of action towards the hBACE1 active site. The mode of action for AZD3293 was determined by characterizing the binding properties in three different Biacore assays (direct binding, single cycle kinetics, and displacement). AZD3293 displayed a very high target affinity and a markedly slow target off-rate, also relative to the potent BACE inhibitor AZD3839 (described in Jeppsson et al. [17]). The off-rate of AZD3293 had an estimated $t_{1/2}$ of approximately 9 h (Fig. 1A, C). The reversibility of AZD3293 binding was confirmed in a displacement experiment with AZD3839 (Fig. 1B); the time to complete displacement was estimated to be approximately 15 h.

DMPK

AZD3293 is a compound with high permeability, a high apical-to-basolateral apparent permeability coefficient in Caco-2 cells, and medium solubility (Table 1). The *in vitro* plasma protein binding of AZD3293 was determined by equilibrium dialysis using mouse, rat, guinea pig, dog, and human plasma. The compound was stable in the plasma of these species for at least the duration of the *in vitro* incubation period. The unbound fractions were 1.3%

Table 1 AZD3293 *in vitro* BACE1 potency, selectivity, plasma protein and blood cell binding, solubility, and permeability

Study	Results
Target potency, nM ± SEM	
hBACE1 affinity, TR-FRET (K _i / IC ₅₀)	$0.4 \pm 0.03 / 0.6 \pm 0.04 (n = 4)$
SH-SY5Y/AβPP, Aβ ₄₀ readout (IC ₅₀)	$0.08 \pm 0.004 (n = 12)$
SH-SY5Y, sAβPPβ readout (IC ₅₀)	$0.1 \pm 0.01 \ (n=6)$
HEK293/AβPPswe, Aβ ₄₂ readout (IC ₅₀)	$0.37 \pm 0.04 (n=5)$
N2A, $A\beta_{40}$ readout (IC ₅₀)	$0.29 \pm 0.02 (n = 4)$
Mouse primary neurons, $A\beta_{40}$ readout (IC ₅₀)	$0.61 \pm 0.09 \ (n=6)$
Tg2576 primary neurons, hAβ ₄₀ readout (IC ₅₀)	$78.0 \pm 3.2 (n=3)$
Guinea pig primary neurons, Aβ ₄₀ readout (IC ₅₀)	$0.31 \pm 0.03 \ (n=9)$
Inhibition mechanism	Competitive & Reversible (Off-rate, $t_{1/2} \sim 9h$)
sAβPPα/β profile	sAβPPα increase, sAβPPβ decrease
Selectivity, $nM \pm SEM$	
hBACE2 affinity, TR-FRET (K _i / IC ₅₀)	0.8 / 0.9 (n = 2)
hCathepsin D affinity, TR-FRET (K _i / IC ₅₀)	$3797 \pm 17 / 16100 \pm 767 (n = 10)$
γ -secretase Notch cleavage (IC ₅₀)	>25,000 (n=2)
hERG (IC ₅₀)	$4700 \pm 0.25 \ (n=4)$
Plasma protein and blood cell binding	
Mouse, % free	$1.6 \pm 0.1 \ (n=9)$
Rat, % free	$5.0 \pm 0.3 \ (n=9)$
Guinea pig, % free	$9.2 \pm 0.3 \ (n=9)$
Dog, % free	$9.9 \pm 0.2 \ (n=8)$
Human, % free	$8.7 \pm 0.3 \ (n=9)$
Plasma/blood cell partitioning, ratio in human	0.7 (n=9)
Solubility, μM	$35.3 \pm 6.2 \ (n=3)$
Permeability	
Caco-2, apical-to-basolateral P _{app} (10 ⁻⁶ cm/s)	$34.8 \pm 6.8 \ (n=6)$
Caco-2, efflux ratio	0.7 (n=2)
MDCK, efflux ratio	10 (n = 1)

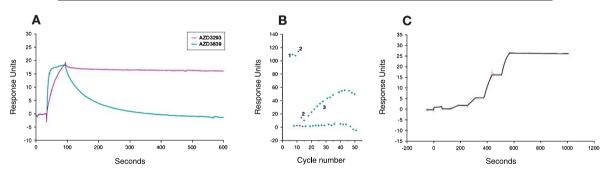


Fig. 1. hBACE1 binding kinetics of AZD3293. The binding of AZD3293 was evaluated in a direct binding assay (A) and in a displacement assay (B) in a Biacore 4000. AZD3293 was further characterized with single-cycle kinetics in a Biacore T200 (C). AZD3293 dissociated significantly slower than AZD3839 (A). The reversibility of AZD3293 binding was confirmed in the displacement experiment with AZD3839 (B). After 6 start-up cycles (not shown), AZD3839 was injected twice to determine the initial binding capacity of the surface (1) followed by AZD3293 which was injected twice to fully occupy the binding pocket (2). AZD3839 was then injected every 60 min over a 20 h period to gradually replace AZD3293 (3). Each injection of compound (cycle time 30 min) was followed by an injection of running buffer as negative control (cycle time 30min). The time to displace AZD3293, monitored as the time for AZD3839 to regain a stable binding level, was estimated to be approximately 15 h (30 cycles).

to 1.8% for mice, 4.2% to 5.9% for rats, 8.3% to 10.3% for guinea pigs, 9.4% to 10.3% for dogs, and 7.7% to 9.4% for human plasma (Table 1). The mean blood:plasma ratio of 0.7 in human blood indicated no significant association with red blood cells. The free fraction in the brain tissue binding assay was 4.5%.

In vitro in hepatocytes and in vivo in mice and dogs, formation of an active metabolite (M1) was observed. While the metabolite M1 was 10-fold less potent than the parent compound in human in vitro cellular systems, in mouse primary neurons, the metabolite was equipotent relative to the parent compound (Tables 1 and 2).

Table 2
AZD3293 metabolite M1 potency, plasma protein binding, and permeability in a human and mouse cellular AβPP processing assay

Study	Results
Target potency, nM	
SH-SY5Y, sAβPPβ readout (IC ₅₀)	1.0 (n=2)
Mouse primary neurons, Aβ ₄₀ readout (IC ₅₀)	0.8 (n=2)
Selectivity, nM	
hERG	>33,000 (n=1)
Plasma protein binding, % free	
Mouse	34 (n=1)
Rat	19 (n = 1)
Guinea pig	40 (n=1)
Dog	30 (n=1)
Human	37 (n=2)
Permeability	
CaCo-2, efflux ratio	7.9 (n=1)
MDCK, efflux ratio	32 (n=2)

In C57BL/6 mice, from 45 min until 24 h after a 100 µmol/kg oral dose of AZD3293, the ratio between total parent and metabolite plasma concentrations was relatively constant, with the parent concentration approximately 13-fold higher than the metabolite concentration. When brain exposure of parent and compound was tested at 0.5, 1, and 2 h after dosing, the minimum unbound brain: plasma ratio was approximately 30-fold lower for the metabolite relative to the parent compound, and *in vitro* cellular systems showed higher efflux ratios for the metabolite than for the parent (Tables 1 and 2).

In the dog PK study, the bioavailability of AZD3293 was determined to be 80% (F=0.8). The time-concentration relationships of AZD3293 and its metabolite M1 were studied *in vivo* in a repeated daily oral-dose regimen. On Day 1 and Day 91, blood (plasma) samples were obtained before dosing and after dosing at 30 min and 1, 2, 4, 8, 12, and 24 h at doses ranging from 2.4 to 36 µmol/kg. The area under the plasma concentration-time curve (AUC) of the parent compound was approximately 8-fold higher than the AUC of the metabolite M1.

AZD3293 concentration-time-effect relationships in mice

When C57BL/6 mice were treated with a single oral administration of AZD3293 (50, 100, or 200 μ mol/kg), plasma A β 40 concentration was reduced (88%) down to the LLOQ of the assay and was still significantly reduced (20% to 25%) at 16 h after the dose (Fig. 2C). The concentrations of brain A β 40 and A β 42 displayed similar patterns, with statis-

tically significant reductions (20% to 40%) between 1.5 and 8 h after the dose and a return to baseline 16 h after AZD3293 administration (Fig. 2E, F). For these peptides the maximum effect level in brain was already achieved at 3h after the dose. The maximum effect on brain sABPPB (21%) was observed 8h after AZD3293 administration (Fig. 2D). Free plasma (Fig. 2A) and brain (Fig. 2B) AZD3293 maximum concentrations were reached at 1.5 and 1.5 to 3 h, respectively. The inhibitory effect of AZD3293 on AB generation was also investigated in C57BL/6 mice after 7 days of twice-daily administration (100 \(\mu\)mol/kg) and found to be equipotent to a single administration of AZD3293, with no accumulation of compound. In mice, the IC₅₀ for brain $A\beta_{40}$ reduction was estimated to be 0.6 nM (CV 8%) based on free AZD3293 concentration in brain, with an apparent $A\beta_{40}$ turnover rate of approximately 2.3 h (CV 9%), similar to the rate for A β_{42} . In contrast, the turnover rate for sABPPB was determined to be 2-fold slower.

AZD3293 concentration-time-effect relationships in guinea pigs

In guinea pigs treated with AZD3293 as a single oral administration (10, 30, or 100, µmol/kg), plasma $A\beta_{40}$ appeared to reach a plateau reduction of 50% to 56% relative to vehicle-treated animals, regardless of dose, at 2 h after administration (Fig. 3B). This phenomenon has been described previously for BACE inhibitors [18]. In contrast, plasma Aβ₄₂ was reduced (>90%) down to the LLOQ of the assay (Fig. 3C). Plasma concentrations of sA β PP β , A β 40, and A β 42 were profoundly and significantly reduced over time and only returned to baseline concentrations 48 h after AZD3293 administration (Fig. 3D-F). Brain $A\beta_{40}$ and $A\beta_{42}$ were reduced in dose-dependent fashion 2 h after administration (Fig. 4B, C) and displayed maximum reductions (70% to 90%) at about 4h, with concentrations returning toward baseline 24 h after dosing. Brain sAβPPβ concentrations showed time-dependent reductions after AZD3293 treatment, with maximum effect (59%) 8 h after administration (Fig. 4D). Interestingly, the brain sAβPPβ concentration was still significantly reduced (>25%) 24 h after the oral dose. In CSF, $A\beta_{40}$ concentration demonstrated a dose-dependent reduction at 2 h after dosing (Fig. 5B), and the maximum effects on sAβPPβ (65%), A β_{40} (88%), and A β_{42} (90%) concentrations appeared 8h after AZD3293 administration (Fig. 5C-E). Plasma, brain, and CSF AZD3293

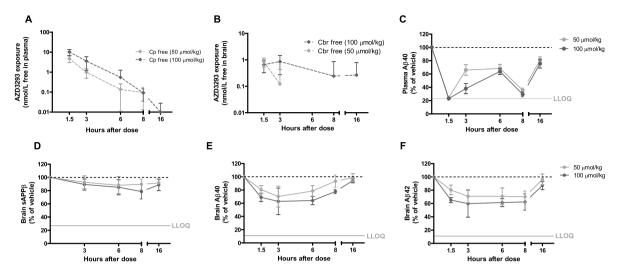


Fig. 2. Time- and dose-dependent inhibition of A β generation in C57BL/6 mice. After a single oral administration of AZD3293, free plasma AZD3293 concentration (Cp free) peaked around 1.5 h after dosing (A). Free brain AZD3293 concentrations (Cbr free) peaked between 1.5 and 3 h after administration; all samples were above the lower limit of quantification (LLOQ) of the assay only at 1.5 h after the highest dose (100 μ mol/kg; B). All doses tested (50, 100, and 200 μ mol/kg [not shown]) reduced plasma A β_{40} to the LLOQ of the assay at 1.5 h after administration. Time-response data showed that the plasma A β_{40} concentrations were reduced \geq 40% 1.5 to 8 h after AZD3293 administration. At 16 h after the dose, concentrations were returning to baseline but were still significantly reduced (C). At the high dose (100 μ mol/kg), brain sA β PP β was significantly reduced from 3 to 8 h after administration (D). Brain A β_{40} and A β_{42} displayed dose-dependent (50, 100, and 200 μ mol/kg [not shown]) reductions 1.5 h after dosing (E and F). Brain A β_{40} and A β_{42} concentrations were significantly reduced at all timepoints studied, except at 16 h where the concentrations had returned to baseline (E and F). Data are presented as Mean \pm SEM.

concentrations were quantified at all doses and timepoints (Figs. 3A, 4A, 5A), and the mean maximum AZD3293 concentrations were reached 2 h after dosing in plasma and CSF and 2 to 4 h after dosing in the brain. The IC₅₀ for brain A β ₄₀ reduction was calculated to be 0.9 nmol/L (CV 4%) in guinea pigs, based on the free AZD3293 concentration and an apparent A β ₄₀ turnover rate of approximately 50 min (CV 51%).

AZD3293 concentration-time-effect relationships in dogs

In dogs, after 2 weeks of repeated oral doses of 0.48, 3.4, or $24 \,\mu \text{mol/kg}$, maximum plasma AZD3293 concentrations were observed 2 h after dosing (Fig. 6A). Dose-dependent reductions in plasma A β_{40} concentrations were observed, with the highest dose showing a reduction of >50% (from predose) at 2 h after dosing and a reduction of >50% observed at 4 h after dosing for all doses tested. No return to baseline plasma concentration had occurred at 24 h after dosing (Fig. 6B). At 24 h after the last dose, there was a dose-dependent difference in brain (Fig. 6C) and CSF (Fig. 6E) AZD3293 concentrations. The daily-dose regimen resulted in

dose-dependent reductions in $A\beta_{40}$ concentrations in brain (Fig. 6D) and CSF (Fig. 6F) at 24 h after the last oral AZD3293 administration. The IC₅₀ for $A\beta_{40}$ reduction treated as direct effect was estimated to be 0.8 nmol/L (CV 9%) in the brain, based on free AZD3293 concentration in that compartment, and 3.8 nmol/L (CV 31%) in CSF.

To explore the maximum effect of AZD3293 on plasma $A\beta_{40}$ concentrations and the return of this biomarker to baseline, a time-response study at doses of 12, 36, and 60 μ mol/kg was performed. At 2 weeks after the single dose, but not at 1 week, $A\beta_{40}$ concentrations had returned to pre-dose levels (Fig. 6H). Maximum effect was observed 24 to 72 h after the dose, and the maximum reduction was irrespective of dose, indicating that the maximum effect was reached already at the lowest dose. An apparent turnover half-life of $A\beta_{40}$ in plasma was estimated to be approximately 8 h (CV 13%). The free plasma AZD3293 IC₅₀ was estimated to be approximately 0.1 nmol/L.

DISCUSSION

The *in vitro* and *in vivo* data available to date show that AZD3293 is a potent BACE1 inhibitor, with an

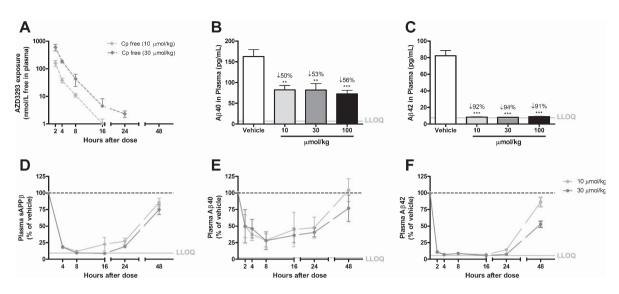


Fig. 3. Time- and dose-dependent inhibition of A β generation in guinea pig plasma after a single oral AZD3293 dose. Plasma AZD3293 concentrations (Cp) peaked around 2 h after administration (A), and all doses tested (10, 30, and 100 μ mol/kg) significantly reduced both A β 40 and A β 42, with the A β 42 concentrations diminished below the lower limit of quantification (LLOQ) of the assay (B and C). The maximum effects on plasma sA β PP β (D), A β 40 (E), and A β 42 (F) were observed at around 8 h after dosing. The effects were sustained, with concentrations not returning to baseline until more than 48 h after dosing. Data are presented as Mean \pm SEM (*p<0.05; **p<0.01, ***p<0.001, compared with vehicle).

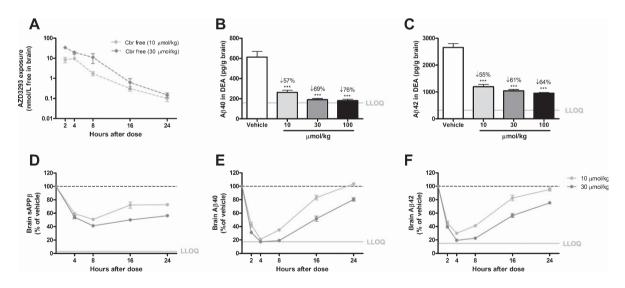


Fig. 4. Time- and dose-dependent inhibition of A β generation in guinea pig brain after a single oral AZD3293 dose. Free brain AZD3293 concentration (Cbr free) peaked around 2 h after a single administration of AZD3293 (A). Dose-dependent reductions in A β 40 and A β 42 were observed at 2 h after administration (10, 30, or 100 μ mol/kg) (B and C for A β 40 and A β 42, respectively). The time-dependent reduction in brain sA β PP β reached maximum effect at around 8 h after dosing and, after a small increase, appeared to be almost stable for up to 24 h after the single administration (D). The time-dependent reductions in A β 40 (E) and A β 42 (F) reached maximum effect between 4 and 8 h after dosing, and concentrations appeared to have returned to baseline at 24 h for the lower dose. Data are presented as Mean \pm SEM (*p<0.05; **p<0.01, ***p<0.001, compared with vehicle). Lower limit of quantification (LLOQ).

extremely slow off-rate from hBACE1. AZD3293 has displayed time- and dose-dependent reductions of brain $A\beta_{40}$ in mice, guinea pigs, and dogs as

well as in other tissue types and for other markers in the A β pathway, i.e., plasma, brain, and CSF sA β PP β and A β 42. The impact of the slow off-rate

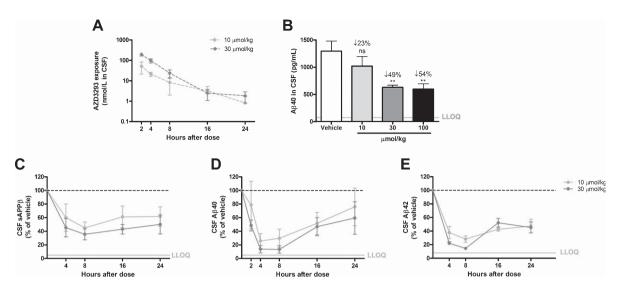


Fig. 5. Time- and dose-dependent inhibition of CSF A β in guinea pigs after a single administration of AZD3293. Maximum CSF AZD3293 concentration was observed at 2 h after dosing (A). Dose-dependent reduction of A β 40 was studied at 2 h after a single administration of AZD3293, with the 2 highest doses (30 and 100 μ mol/kg) resulting in significant effect (B). Maximum effect on CSF sA β PP β was observed at around 8 h after the dose, and the effect was sustained for up to 24 h (C). CSF A β 40 (D) and A β 42 (E) displayed maximum concentrations between 4 and 8 h after AZD3293 administration. CSF A β 40 appeared to have returned to baseline at 24 h. Data are presented as Mean \pm SEM (*p<0.05; **p<0.01, ***p<0.001, compared with vehicle). Lower limit of quantification (LLOQ).

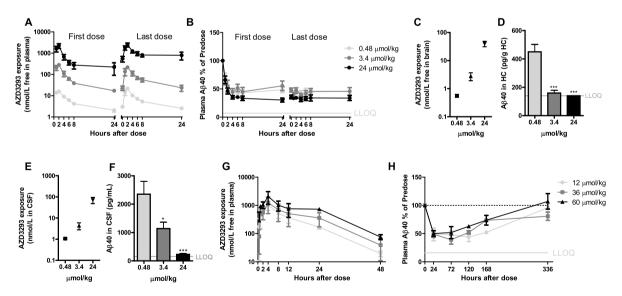


Fig. 6. Time- and dose-dependent inhibition of plasma, CSF, and brain $A\beta$ in dogs after oral administration of AZD3293. The dose regimens included 0.48, 3.4, or 24 μ mol/kg (0.2, 1.4, or 10 mg/kg) daily for 2 weeks (A–F) and a single dose of 12, 36, or 60 μ mol/kg (5, 15, or 25 mg/kg) (G, H). Maximum plasma AZD3293 concentration was observed at 2 h after dosing (A). AZD3293 resulted in time- and dose-dependent effects on plasma $A\beta_{40}$ (B). A dose-dependent increase in free brain AZD3293 concentration (hippocampus, C) and reduction in hippocampus $A\beta_{40}$ (D) were observed at 24 h after the last dose. A dose-dependent increase in CSF exposure (E) and a reduction in brain $A\beta_{40}$ (G) at 24 h after the last dose were established. At doses of 12, 36, or 60 μ mol/kg, plasma AZD3293 concentrations increased with dose (G), while the increase in dose did not result in increased effect with $A\beta_{40}$ concentrations in plasma as read-out (H). Data are presented as Mean \pm SEM (*p<0.05; **p<0.01, ***p<0.001, compared with low dose). Lower limit of quantification (LLOQ).

from BACE1 *in vitro* was further studied *in vivo* by a prolongation of AZD3293 effect beyond its plasma and CSF exposure as well as the turnover rate of Aβ. This high-potency compound also displayed high gastrointestinal permeability, resulting in a favorable total bioavailability in dogs. These, and other desirable properties, have supported the progression of AZD3293 into a Phase 2/3 clinical study as a potential disease-modifying treatment for AD.

The very slow off-rate of AZD3293 from hBACE1 (estimated $t_{1/2}$ of 9h) may have had an impact on the observed duration of effect in vivo. In theory, a slow off-rate could result in a prolonged reduction of AB-pathway biomarker concentrations, now mainly driven by the turnover rate of the BACE1 protein in addition to the turnover rates of sABPPB, $A\beta_{40}$, and $A\beta_{42}$ alone. As could be estimated from the time- and dose-response studies in vivo, this phenomenon resulted in slower apparent turnover rates and slower return to baseline of sAβPPβ, Aβ₄₀, or $A\beta_{42}$ as would be predicted by the known turnover rate of these peptides. In mice, the apparent turnover rate of brain Aβ₄₀ as determined in the time- and dose-response studies with AZD3293, was considerably longer than the turnover rate previously reported using a population modeling approach on a collection of BACE1 inhibitors [25]; 2.3 h versus 0.5 h, respectively. However, brain Aβ₄₀ in guinea pigs had an apparent turnover rate similar to that established with AZD3293, and in the population modeling approach, approximately 50 min versus 60 min, respectively. The population modeling approach reported by Janson et al. [25] was applied to reduce the impact of individual compounds on the turnover rate estimates of the biomarkers. The estimated turnover rate in the current report is based on a single compound, and the deviation in $A\beta_{40}$ turnover rate in mice but not in guinea pigs might be due to individual variation in this parameter estimate. However, in dogs, the prolonged AB lowering effect beyond the turnover rate of plasma Aβ₄₀ strongly suggest additional contribution of the BACE1 enzyme turnover rate to duration of the overall Aβ suppression effect; the apparent turnover half-life of Aβ₄₀ in plasma was estimated to be approximately 8 h, while a reduction in $A\beta_{40}$ in plasma of >50% was already observed at 2 h after administration of the highest dose. May et al. [24] also reported a rapid decline in plasma Aβ concentration in dogs following oral administration of a BACE1 inhibitor, but, unfortunately, no turnover rate for AB in dog plasma was reported. In cellular systems, the turnover half-life of BACE1 has been determined to be >9 h [36, 37], which is longer than observed in any of the preclinical species studied in this current report. However, species differences in the off-rates of compounds from BACE1 and turnover rates of BACE1 might explain the deviations from previously estimated turnover rates of AB40 and AB42 in mice and guinea pigs. Thus, in mice and dogs, BACE1 turnover rate might contribute to the effect observed in vivo. How the very slow off-rate of AZD3293 from BACE1 affects the apparent turnover rate of Aβ and sABPPB in man remains to be assessed, but it is considered likely that the prolonged effect seen with AZD3293 in mice and dogs will translate to humans. One could thus speculate that, with a once-daily dosing regimen, a single missed dose in man would have a negligible effect on maintaining the reduction of AB concentration in the brain, which might prove important in a population of cognitively impaired patients with potential unintentional compliance issues. However, it remains to be elucidated if the magnitude of reduction in brain Aβ concentration will translate to humans and if this will result in improved cognitive function in AD patients.

In dogs, AZD3293 administration produced dosedependent reductions in plasma, CSF, and (reported for the first time) brain $A\beta_{40}$ concentrations. Due to the absence of time-resolved data in brain and CSF, only direct effects could be calculated. The resulting IC₅₀ estimates for inhibition of Aβ production matched reasonably well between the free brain and CSF concentrations, supporting the translational value of CSF for brain Aβ₄₀ in dogs. BACE1 inhibitors have previously been shown to reduce CSF Aβ in dogs in a time- and dose-dependent manner [24, 38, 39]. The independent reports of an effect of BACE1 inhibitors on CSF Aβ concentrations generated against human BACE1 enzyme are consistent with the highly conserved BACE1 protein homology between humans and dogs, evident from amino acid sequence alignment (not shown).

In mice and dogs, the concentrations of the main AZD3293 metabolite M1 were studied alongside those of the parent compound. In mouse plasma, the parent concentration was approximately 13-fold higher than the metabolite concentration. In dog plasma, the AUC of the parent was 8-fold higher than the AUC of the metabolite. *In vitro* data indicated that, in human cells, M1 was 10-fold less potent than AZD3293, but in mouse primary neurons the M1 metabolite and AZD3293 appeared equipotent. It has previously been shown that *in vivo* potency correlates well with *in vitro* potency as determined in primary

neuron cells [25]. Indeed, in mice and guinea pigs, the potency estimated relative to the parent concentration in vivo (IC₅₀ 0.6 in mice and 0.9 nM in guinea pigs) correlated well with the potency determined in vitro (IC₅₀ 0.6 and 0.3 nM, respectively). Taken together, the possible involvement of the metabolite on the in vivo $\Delta\beta$ reduction was considered to be of only minor impact.

In addition to the drugability and slow off-rate of AZD3293, sAβPPβ was explored as an early biomarker of BACE1 inhibition by establishing time- and dose-dependent reduction of sABPPB after treatment with AZD3293. In the amyloid pathway, sABPPB is the direct product of BACE1 activity, upstream of AB; thus, given the technical challenges of determining AB concentration, sABPPB could be an attractive biomarker in the clinical setting. Although sABPPB is the direct product of BACE1 activity, in mouse and guinea pig brains, the maximum effect of AZD3293 on the biomarkers $A\beta_{40}$ and $A\beta_{42}$ occurred before the maximum effect on sABPPB, which has not been reported previously. This delay in effect relative to AZD3293 concentration was also evident from the estimated apparent turnover rates of A\u03b340 and A\u03b342 versus sAβPPβ, respectively. Time-response studies of CSF sAβPPβ concentrations in non-human primates [17, 40] support, in part, the concept that reduction in Aβ precedes the effect on sAβPPβ. This delay in effect on sAβPPβ relative to Aβ has an impact on future clinical study design, if sABPPB is to be used as a biomarker; target engagement of a BACE inhibitor on a biomarker in the AB pathway can only be observed when time is allowed for its degradation or secretion. However, a decreased turnover rate also results in a reduction of maximum observed effect at the same dose. Indeed, the effect on sABPPB is of smaller amplitude relative to the effect on brain $A\beta_{40}$ and $A\beta_{42}$ in mice and guinea pigs and on CSF $A\beta_{40}$ and $A\beta_{42}$ in guinea pigs. This phenomenon was also evident in non-human primate CSF [40]. Thus, both sampling time and dose may need to be adjusted when sAβPPβ, rather than Aβ, is to be used as a study outcome. CSF sAβPPβ concentrations can still be used as a translational compartment of effect on sAβPPβ in brain, as was established for AZD3293 in guinea pigs, with a similar pattern of turnover rates for $A\beta_{40}$ and $A\beta_{42}$ versus $sA\beta PP\beta$ in brain and CSF.

In conclusion, AZD3293 is a novel, very potent, highly permeable, orally active BACE1 inhibitor with good BBB penetration and a markedly slow off-rate from hBACE1. The slow off-rate may have had an

impact on the duration of effect observed on brain sA β PP β , A β ₄₀, and A β ₄₂ concentrations in mice and on plasma Aβ₄₀ concentration in dogs. Although sAβPPβ is upstream of Aβ in the amyloid pathway, the effect of AZD3293 on sABPPB was delayed relative to the effects on $A\beta_{40}$ and $A\beta_{42}$ in guinea pig plasma, brain, and CSF and in mouse brain. Translation of effect from CSF to brain was further confirmed for Aβ₄₀ and Aβ₄₂ in guinea pigs and for sAβPPβ and AB40 in dogs. The in vitro and in vivo data for AZD3293 reported here increase our knowledge of both the potency and the duration of effect of this novel compound, enhance our understanding of target engagement and biomarker changes, and aid study design as AZD3293 progresses in its clinical development as a potential disease-modifying treatment for AD.

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