

Effects of therapeutic instrumental music performance and motor imagery on chronic post-stroke cognition and affect: A randomized controlled trial

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Abstract.

BACKGROUND: The burden of post-stroke cognitive impairment, as well as affective disorders, remains persistently high. With improved stroke survival rates and increasing life expectancy, there is a need for effective interventions to facilitate remediation of neurocognitive impairments and post-stroke mood disorders.

OBJECTIVE: To investigate the effects of Therapeutic Instrumental Music Performance (TIMP) training with and without Motor Imagery on cognitive functioning and affective responding in chronic post-stroke individuals.

METHODS: Thirty chronic post-stroke, community-dwelling participants were randomized to one of three experimental arms: (1) 45 minutes of active TIMP, (2) 30 minutes of active TIMP followed by 15 minutes of metronome-cued motor imagery (TIMP+cMI), (3) 30 minutes of active TIMP followed by 15 minutes of motor imagery without cues (TIMP+MI). Training took place three times a week for three weeks, using a selection of acoustic and electronic instruments. Assessments, administered at two baselines and post-training, included the Trail Making Test (TMT) - Part B to assess mental flexibility, the Digit Span Test (DST) to determine short-term memory capacity, the Multiple Affect Adjective Checklist - Revised (MAACL-R) to ascertain current affective state, and the General Self-Efficacy Scale (GSE) to assess perceived self-efficacy. The Self-Assessment Manikin (SAM) was also administered prior to and following each training session.

RESULTS: Thirty participants completed the protocol, ten per arm [14 women; mean age = 55.9; mean time post-stroke = 66.9 months]. There were no statistically significant differences between pooled group baseline measures. The TIMP+MI group showed a statistically significant decrease in time from pre-test 2 to post-test on the TMT. The TIMP group showed a significant increase on MAACL sensation seeking scores, as well as on the Valence and Dominance portions of the SAM; TIMP+cMI showed respective increases and decreases in positive and negative affect on the MAACL, and increases on the Valence, Dominance, and Arousal portions of the SAM. No statistically significant association between cognitive and affective measures was obtained.

CONCLUSIONS: The mental flexibility aspect of executive functioning appears to be enhanced by therapeutic instrumental music training in conjunction with motor imagery, possibly due to multisensory integration and consolidation of representations through motor imagery rehearsal following active practice. Active training using musical instruments appears to have a positive impact on affective responding; however, these changes occurred independently of improvements to cognition.

Keywords: Stroke, affective responding, cognition, executive functioning, music, motor imagery

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1. Introduction

Stroke is a global health concern and a leading cause of disability (Johnson et al., 2019). Amongst all neurological disorders, it contributes the largest proportion of disability-adjusted life-years (Feigin et al., 2019). Life expectancies are increasing in most regions (Kyu et al., 2018), and as the prevalence of neurological disorders rises with age, there will be an ongoing need for prevention and rehabilitation services to support affected individuals (Feigin et al., 2019).

Post-stroke sequelae may include cognitive and emotional, as well as motor, visual spatial perceptual and communication disorders (Teasell, Hussein, Viana, Donaldson, & Madady, 2020). Data from an inner-city multiethnic population revealed a cognitive impairment prevalence rate of 22%, which remained relatively unchanged from three months to 5 years post-stroke (Douiri, Rudd, & Wolfe, 2013). Using multiple contact strategies to reach persons lost to clinic follow-up, a five-year cumulative incidence of 29% was found for post-stroke dementia (Pendlebury et al., 2015). A systematic review and meta-analysis revealed that four in ten patients exhibited levels of impairment that did not meet dementia criteria within one year post-stroke (Sexton et al., 2019). In addition, a pooled frequency estimate indicated 31% of individuals experience depression at any time point up to five years following a stroke (Hackett & Pickles, 2014), while anxiety disorders and symptoms occur in 24% of chronic post-stroke individuals (Burton et al., 2012). A study comparing point prevalence of anxiety in persons 20-months post-stroke with control participants of similar age and sex found a higher burden of anxiety in the stroke survivors (Cumming, Blomstrand, Skoog, & Linden, 2016).

Vascular cognitive impairment, a term used to describe persons with cognitive difficulties induced by vascular etiologies (Hachinski, 1994), encompasses a spectrum from normal functioning to dementia. The categories *Mild Vascular Cognitive Disorder* and *Vascular Dementia or Major Vascular Cognitive Disorder*, with diagnostic thresholds, have been proposed (Sachdev et al., 2014). Cognitive domains assessed in vascular cognitive disorders include attention and processing speed, frontal-executive function (including mental flexibility, working memory, planning, decision making, and response to feedback), and learning and memory (Sachdev et al., 2014). Deficits may encompass any cognitive domain; however, frontal executive function, attention and processing

speed are most commonly impacted (Lanctôt et al., 2019). Evidence suggests mental flexibility and immediate verbal retrieval may be disproportionately affected in persons with mild vascular cognitive impairment (Garrett et al., 2004). Higher levels of frontal executive functioning impairment were found in patients with vascular dementia relative to patients with Alzheimer's Disease matched for age, education and severity (Looi & Sachdev, 1999). Post-stroke depression may also negatively impact functional outcomes, including cognition (Salter et al., 2018), and may impede conscious attentional processing (Maier et al., 2019). While moderate levels of depression appear to decrease with time, levels of anxiety seem to be more persistent and stable (Morrison, Pollard, Johnston, & MacWalter, 2005), and may be a stronger predictor than depression of overall health related quality of life (HRQoL) (Morris, van Wijck, Joice, & Donaghy, 2013).

Cognitive impairment and affective disorders have direct effects on quality of life and independence (Cumming, Marshall, & Lazar, 2013; Raju, Sarma, & Pandian, 2010). Associations have been found between cognitive impairment, depression and anxiety, and greater dependence in activities of daily living (ADL) (Claesson, Lindén, Skoog, & Blomstrand, 2005; Sturm et al., 2004), leading to higher health care costs (Claesson et al., 2005; Husaini et al., 2013). Functional recovery may be indirectly impacted (Cumming et al., 2013). Post-stroke depression appears to increase disability (Paolucci et al., 2019), and executive dysfunction has been found to negatively impact physiotherapy rehabilitation (Hayes, Donnellan, & Stokes, 2015). However, vascular cognitive impairment manifestations that are mild or slow and progressive may not be apparent to the person affected, their healthcare provider, or to informal caregivers (Lanctôt et al., 2019). While asymptomatic individuals may not demonstrate cognitive impairment, they may be at increased risk for decline and therefore merit preventative measures (Sachdev et al., 2014).

Interventions to address post-stroke vascular cognitive impairment and affective disorders have met with modest success. A systematic review found limited evidence to support use of remedial training and compensatory interventions to reduce the effects of executive impairments post-stroke (Poulin, Korner-Bitensky, Dawson, & Bherer, 2012). A Cochrane Review (Chung et al., 2013) found insufficient high-quality evidence to support generalized conclusions regarding efficacy of executive dysfunction

141 rehabilitation following stroke. A further review of
142 post-stroke memory rehabilitation approaches re-
143 ported short term improvements in subjective mem-
144 ory functioning but no clear long-term effects (das
145 Nair, Cogger, Worthington, & Lincoln, 2017). Fur-
146 thermore, a systematic review of non-randomized
147 controlled studies of psychological interventions to
148 address post-stroke cognitive impairment obtained
149 non-significant results for executive function, mem-
150 ory, processing speed and IQ (Merriman et al., 2019).
151 Psychological therapy was found to have a small but
152 significant effect in improving mood and prevent-
153 ing depression (Hackett, Anderson, House, & Halteh,
154 2008). However, results did not support its effecti-
155 veness in treating post-stroke depression (Hackett,
156 Anderson, House, & Xia, 2008); researchers found
157 that the proportion of individuals experiencing dep-
158 pression following a stroke has remained relatively sta-
159 ble (Hackett & Pickles, 2014). In addition, a Cochrane
160 Review found only limited, low-quality evidence for
161 psychological and/or pharmacological treatment of
162 post-stroke anxiety disorders or symptoms (Knapp,
163 2019).

164 Studies using auditory stimuli targeting motor re-
165 habilitation in post-stroke participants have had some
166 secondary effects on cognition and affect. Significant
167 improvements on neuropsychological evaluations of
168 visual attention, speed of processing, and rate of lea-
169 rning were obtained following four weeks of music-
170 supported therapy training (Ripollés et al., 2015).
171 Significant changes to positive affect on the Posi-
172 tive and Negative Affect Schedule, and to the valence
173 and arousal portions of the Self-Assessment Maniqin
174 (SAM) were also obtained. Using the Trail-Making
175 Test (TMT) -Part B, researchers found significant
176 improvements in music-group participants following
177 five weeks of music-supported therapy interventions,
178 as well as significant reductions on the negative affect
179 portion of the Positive and Negative Affect Sched-
180 ule following five and ten weeks of music-supported
181 therapy training (Fujioka et al., 2018).

182 Therapeutic Instrumental Music Performance
183 (TIMP) is a neurologic music therapy (NMT) tech-
184 nique which uses carefully selected and positioned
185 acoustic and electronic instruments in upper extrem-
186 ity rehabilitation (M.H. Thaut, 2005). Previous
187 research has demonstrated a significant effect of
188 neurologic music therapy interventions directly
189 targeting executive functioning in individuals with
190 traumatic brain injury (M.H. Thaut et al., 2009). The
191 current study investigates the hypothesis that TIMP
192 interventions, with and without motor imagery, may

193 improve cognitive and affective outcomes relative to
194 baseline performances in individuals at the chronic
195 post-stroke stage.

2. Materials and methods 196

2.1. Participants 197

198 Thirty community-dwelling volunteers (14 fem-
199 ales, mean age 55.9) began and completed the pro-
200 tocol (Fig. 1). Inclusion criteria were hemiparesis
201 following a unilateral stroke sustained >6 months
202 prior to enrollment, at least minimal volitional move-
203 ment of the affected limb, age ranging from 30–79
204 years, as well as ability to understand and follow
205 simple instructions. Participants who had a comorbid
206 neurological disorder or who were currently partici-
207 pating in an upper extremity rehabilitation program
208 were excluded. Demographic characteristics of par-
209 ticipants are indicated in Table 1. Ethics approval
210 was provided by the Social Sciences, Humanities
211 and Education Research Ethics Board of the Uni-
212 versity of Toronto, and by the University Health
213 Network Research Ethics Board. All procedures were
214 conducted in accordance with the Declaration of
215 Helsinki. Volunteers were recruited through posters
216 and by word of mouth. The study was also registered
217 at ClinicalTrials.gov (ID# NCT03246217).

2.2. Procedure 218

219 Participants were randomly assigned to one of
220 three experimental arms by a blinded independent
221 allocator, using blocked randomization to ensure
222 groups were of equal size. The investigator who obt-
223 ained informed consent and the assessors were bl-
224 inded to group assignment. Two baseline assessments
225 were administered a minimum of one week apart, and
226 there was one final post-training assessment. Train-
227 ing, which took place three times a week for three
228 weeks, was conducted by qualified Neurologic Music
229 Therapists. Group 1 participants received 45 min-
230 utes of active TIMP training; Group 2 participants
231 received 30 minutes of TIMP followed by 15 minutes
232 of cued motor imagery (TIMP+cMI), which involved
233 listening to a metronome beat set to the participant's
234 preferred tempo for each exercise while engaging
235 in motor imagery; Group 3 participants received 30
236 minutes of TIMP followed by 15 minutes of motor
237 imagery without external cues (TIMP+MI). Exer-
238 cises were designed to train gross and fine motor

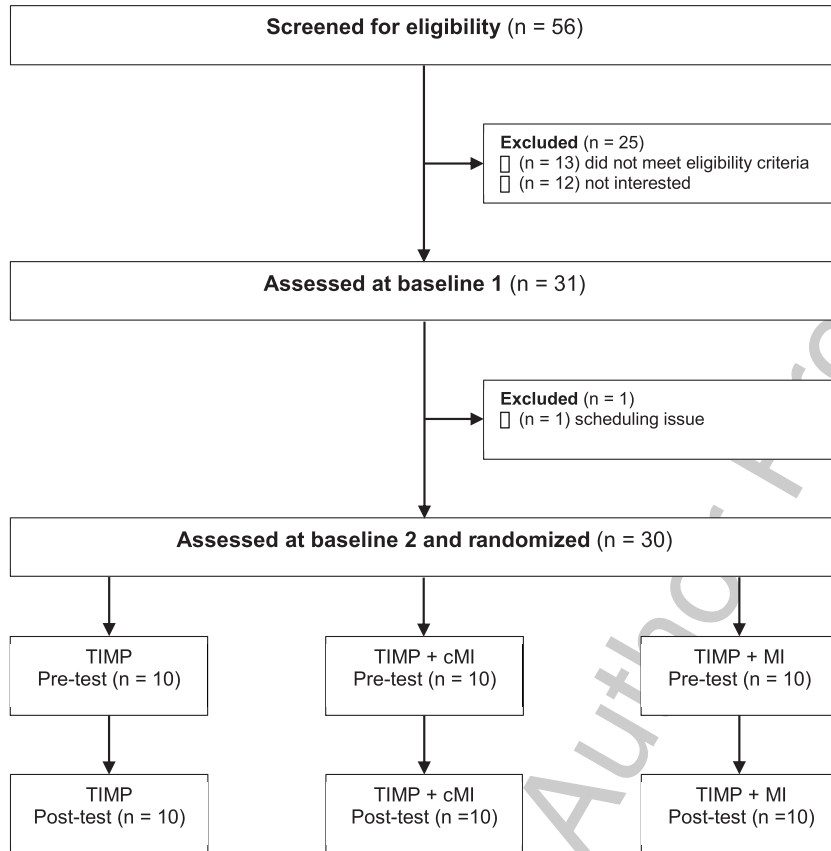


Fig. 1. Consort diagram for participant screening and enrollment.

Table 1
Demographic characteristics

	TIMP (n = 10)	TIMP + cMI (n = 10)	TIMP + MI (n = 10)
Age, y ^a	54.7 (10.76)	55.5 (15.01)	57.6 (11.14)
Males/Females	M: 5, F: 5	M: 5, F: 5	M: 6, F: 4
Years of education ^a	15.4 (3.24)	16 (1.83)	17.4 (2.68)
MoCA score ^a	26.8 (3.74)	26.8 (2.90)	26.8 (3.01)
Music training ^b	1:6, 2:2, 3:2	1:6, 2:2, 3:2	1:1, 2:5, 3:4
Months since stroke onset ^d	71.7 (69.13)	50.7 (45.53)	78.3 (67.46)
Type of stroke, ischemic/hemorrhagic	H: 3, I: 7	H: 3, I: 7	H: 5, I: 5
Side of lesion, right/left	R: 7, L: 3	R: 7, L: 3	R: 3, L: 7
Location of lesion	Frontal lobe: 3 Brainstem: 2 MCA: 4 Basal ganglia: 1	Frontal lobe: 2 Brainstem: 0 MCA: 4 Basal ganglia: 2 Left thalamus, left occipital, right cerebellum: 1 Lacunar stroke in right corona radiata: 1	Frontal lobe: 2 Brainstem: 4 MCA: 2 Basal ganglia: 1 M1, PMC, IFG: 1

^aData represented as mean (SD). ^bMusical background: 1 = None; 2 = Limited, informal; 3 = Some formal training (>1 yr). MoCA (Montreal Cognitive Assessment); MCA (middle cerebral artery); M1 (primary motor cortex); PMC (premotor cortex); IFG (inferior frontal gyrus).

control using acoustic and electronic instruments which were selected and positioned to meet individual needs. The participant's preferred tempo for each activity was determined using a metronome with a tap feature; slow tempi were subdivided to facilitate entrainment. The metronome cue provided a stable temporal reference point throughout training in addition to the therapist's musical support.

The mental flexibility component of executive functioning was assessed using the Trail Making Test (TMT) -Part B (Lezak, Howieson, & Loring, 2004). The TMT-Part B has been shown to have excellent test-retest reliability for persons with stroke (Goldstein & Watson, 1989). This is a timed test and the score was based on how long it took for the person to accomplish the task. Results are reported in seconds, with lower scores indicative of less impairment. Participants completed the "trail" by drawing a line alternating between letters and numbers in ascending order. Errors were pointed out and affected the score by adding to completion time. The forward Digit Span Test (DST), a subtest from the Wechsler Adult Intelligence Scale III (Wechsler, 1997), was used to assess short-term memory capacity. A score was assigned based on the longest span of numbers the participant was able to repeat from memory in sequential order, with a maximum score of 16. In addition, perceived self-efficacy was assessed using the General Self-Efficacy Scale (GSE), which measures sense of competence in managing new and challenging situations. Internal consistency for the scale has been reported ranging from 0.75 to 0.91 (Scholz, Doña, Sud, & Schwarzer, 2002). Current affect was assessed using the state form of the Multiple Affect Adjective Check List-Revised (MAACL-R) (Zuckerman et al., 1983). A positive affect composite score is generated from combined positive affect and sensation seeking subscales, while anxiety, depression, and hostility subscales are combined into a summary score for dysphoria. Adequate internal reliability has been shown for all but the sensation seeking subscale (Lubin et al., 1986). Valence, arousal and dominance were assessed using the Self-Assessment Manikin (SAM). The instrument was designed to provide an accessible, non-verbal affective measure, and has been found to correlate highly with a verbal semantic differential scale (Bradley & Lang, 1994).

2.3. Statistical analysis

The Statistical Package for Social Sciences (SPSS) software, version 26, was used for all analyses. As the

data did not meet assumptions for parametric analyses, non-parametric equivalents were used instead. For the TMT, the distribution of differences was not symmetrical and the Sign Test was used. The Wilcoxon signed-rank test was used to analyze the DST, GSE scale, MAACL-R, and SAM data. The Kruskal-Wallis test was run to assess between-group differences. In addition, correlation analyses were run on pooled group data using Kendall's tau-b to compare cognitive and affective change scores. Significance was assessed at the 0.05 level.

3. Results

There were no statistically significant between-group differences on any demographic variables. Pooled baseline measures did not significantly differ (Table 2).

The Trail Making Test - Part B (TMT) was administered to assess effects of interventions on cognitive flexibility. TIMP participants had no statistically significant decrease in time taken to complete the TMT from pretest 2 ($Mdn = 84.50$) to post-test ($Mdn = 77.50$), median difference (0.50), $z = 0.00$, $p = 1.00$. Similarly, there was a non-significant decrease in time taken for participants in TIMP+cMI: pretest 2 ($Mdn = 88.50$), post-test ($Mdn = 72.00$), median difference (3.00), $z = 1.51$, $p = 0.125$. However, there was a statistically significant decrease in time for TIMP+MI participants from pretest 2 ($Mdn = 87.50$) to post-test ($Mdn = 71.00$), median difference (11.00) $z = 2.00$, $p = 0.039^*$, $r = 0.47$. Eight participants in this group completed the test in less time, one took longer, and one stayed the same. Table 3 provides group means and standard deviations, while Fig. 2 shows individual change scores and group medians. There was no statistically significant difference between the three groups as assessed by an independent-samples Kruskal-Wallis test of percent change between pre-test 2 and post-test, $H(2) = 2.621$, $p = 0.270$.

The Digit Span Test (DST) was administered to understand the effects of interventions on short-term memory capacity. There was no significant difference between pretest 2 and post-test for any of the three groups: TIMP pretest 2 ($Mdn = 10.00$), post-test ($Mdn = 12.00$), $z = 0.74$, $p = 0.459$; TIMP + cMI pretest 2 ($Mdn = 10.00$), post-test ($Mdn = 10.50$), $z = 0.17$, $p = 0.865$; TIMP+MI pretest 2 ($Mdn = 11.50$), post-test ($Mdn = 10.00$), $z = 0.43$, $p = 0.669$. A Kruskal-Wallis H test of median post-test scores

Table 2
Comparison of baseline measures

	Pre-test 1	Pre-test 2	P Value
Trail Making Test - Part B ^a	109.87 (48.86)	99.03 (48.22)	0.066
Digit Span Test	9.9 (3.06)	10.2 (3.43)	0.402
General Self Efficacy Scale	32.17 (4.46)	32.70 (4.76)	0.193
Multiple Affect Adjective Checklist (MAACL)	7.60 (6.51)	8.47 (6.47)	0.252
MAACL - Positive affect			
MAACL Sensation seeking	5.07 (1.64)	5.20 (1.85)	0.846
MAACL Positive affect composite	12.67 (7.50)	13.67 (7.78)	0.154
MAACL - Anxiety	1.03 (2.08)	1.03 (1.45)	0.888
MAACL Depression	1.33 (2.15)	0.90 (1.54)	0.456
MAACL Hostility	0.53 (1.20)	0.59 (1.05)	0.662
MAACL Dysphoria	2.90 (4.71)	2.50 (3.01)	0.920

Wilcoxon signed-rank test using pooled group of 30 participants. Means (standard deviation), 2-sided asymptotic probability. ^aSign test.

Table 3
Changes in cognition and state affect

	Treatment condition	Pre-test 2	Post-test	P value
Trail Making Test - Part B ^a	TIMP	90.70 (37.43)	86.00 (37.88)	1.000
	TIMP + cMI	106.80 (62.47)	93.30 (56.55)	0.125
	TIMP + MI	99.60 (45.20)	86.50 (51.22)	0.039*
Digit Span Test - Forward	TIMP	10.30 (4.35)	10.70 (4.37)	0.459
	TIMP + cMI	10.20 (3.01)	10.20 (3.23)	0.865
	TIMP + MI	10.00 (3.16)	9.70 (2.83)	0.669
General Self-Efficacy Scale	TIMP	33.60 (3.31)	34.30 (3.27)	0.202
	TIMP + cMI	32.60 (6.35)	34.50 (4.50)	0.098
	TIMP + MI	31.90 (4.51)	32.00 (4.85)	1.000
MAACL - Positive affect	TIMP	7.00 (7.75)	8.90 (7.78)	0.261
	TIMP + cMI	11.50 (6.11)	14.20 (6.44)	0.102
	TIMP + MI	6.90 (4.72)	5.20 (3.39)	0.235
MAACL - Sensation seeking	TIMP	4.50 (1.90)	5.80 (1.93)	0.042*
	TIMP + cMI	5.70 (2.00)	6.10 (2.08)	0.364
	TIMP + MI	5.40 (1.58)	4.80 (1.55)	0.323
MAACL - Positive affect composite	TIMP	11.50 (9.44)	14.70 (9.15)	0.105
	TIMP + cMI	17.20 (7.30)	20.30 (8.11)	0.045*
	TIMP + MI	12.30 (5.59)	10.00 (4.16)	0.261
MAACL - Anxiety ^a	TIMP	1.10 (1.85)	1.50 (1.72)	0.336
	TIMP + cMI	1.30 (1.42)	0.40 (0.70)	0.041*
	TIMP + MI	0.70 (1.06)	0.80 (1.32)	0.785
MAACL - Depression ^a	TIMP	1.20 (2.04)	2.10 (3.21)	0.144
	TIMP + cMI	1.10 (1.60)	0.40 (0.84)	0.223
	TIMP + MI	0.40 (0.70)	0.80 (1.87)	1.000
MAACL - Hostility ^a	TIMP	0.50 (1.08)	0.70 (1.57)	1.000
	TIMP + cMI	0.33 (0.71)	0.30 (0.67)	1.000
	TIMP + MI	0.90 (1.29)	0.60 (1.58)	0.498
MAACL - Dysphoria ^a	TIMP	2.80 (4.18)	4.30 (6.17)	0.147
	TIMP + cMI	2.70 (2.36)	1.10 (0.88)	0.041*
	TIMP + MI	2.00 (2.40)	2.20 (4.57)	0.492

Wilcoxon signed-rank test, means (standard deviation), 2-sided asymptotic probability. ^aLower scores on these measures indicate improved functioning. MAACL (Multiple Affect Adjective Checklist). * $p < 0.05$.

338 across groups yielded a non-significant difference,
339 $H(2) = 0.83, p = 0.662$.

340 The General Self-Efficacy scale (GSE) provided
341 a measure of participants' perceived sense of self-
342 efficacy. There was a nonsignificant difference in

343 medians from pretest 2 to post-test for each of the
344 groups: TIMP pretest 2 (34.00), post-test (34.00),
345 median difference (0.50), $z = 1.28, p = 0.202$; TIMP+
346 cMI pretest 2 (35.00), post-test (35.00), median dif-
347 ference (1.50), $z = 1.65, p = 0.098$; TIMP+MI pretest

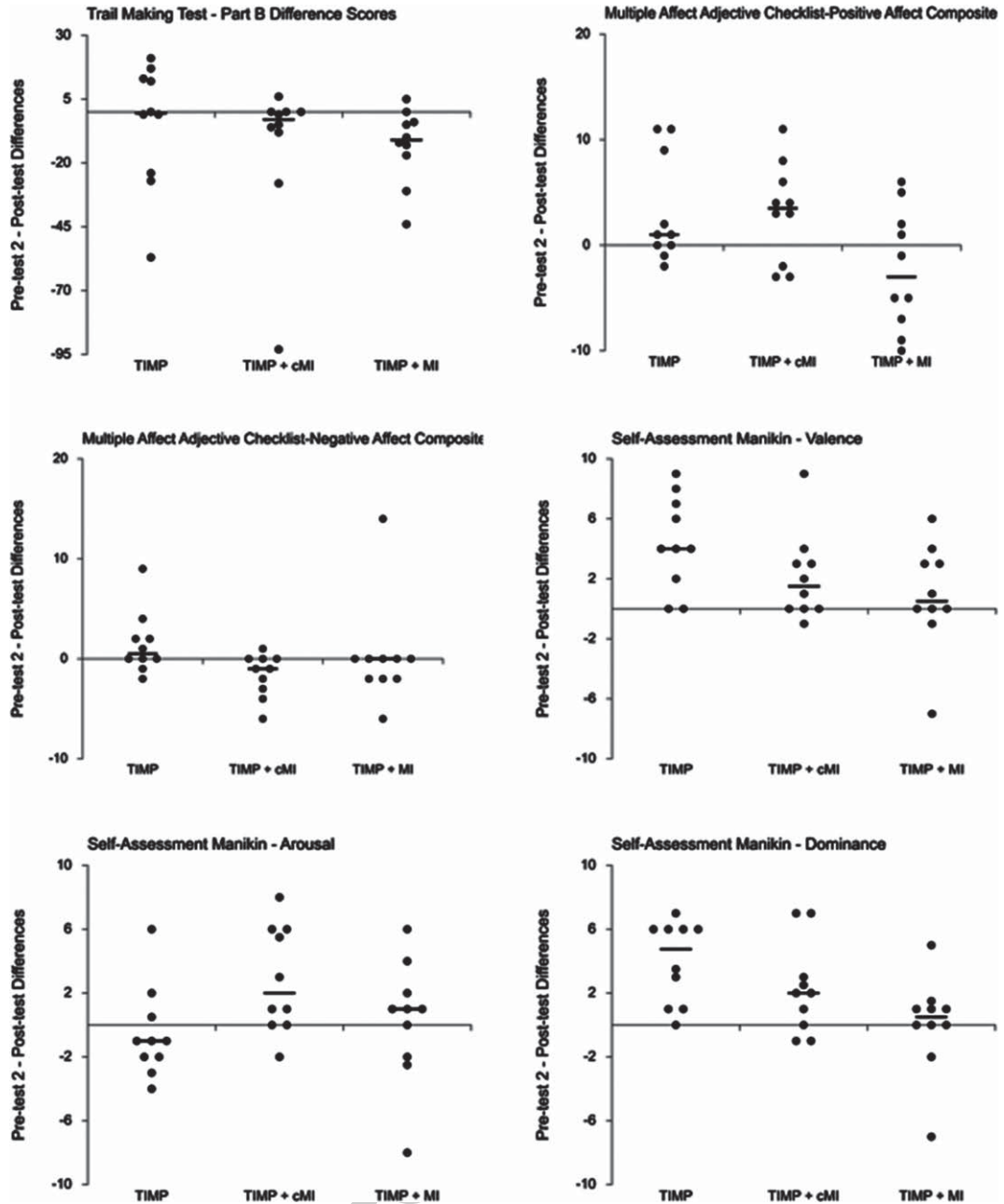


Fig. 2. Cognitive and affective change scores and group medians (Weissgerber, Garovic, Savic, Winham, & Milic, 2016).

348 2 ((30.00), post-test (30.50), median difference (0),
 349 $z=0.00$, $p=1.00$. An independent-samples Kruskal-
 350 Wallis test of percent change between pre-test 2 and
 351 post-test, was non-significant, $H(2) = 1.244$, $p =$
 352 0.537 .

353 The state form of the MAACL-R, used to
 354 assess current affect, yielded mixed results. For the
 355 positive affect composite score, TIMP + cMI partici-
 356 pants had a statistically significant change from

pretest 2 ($Mdn = 16.00$) to post-test ($Mdn = 24.50$),
 $z=2.00$, $p=0.045^*$, $r=0.45$, while TIMP partici-
 pants had a non-significant increase from pretest
 2 ($Mdn = 8.50$) to post-test ($Mdn = 12.00$), $z=1.62$,
 $p=0.105$, and TIMP+MI participants had a non-
 significant decrease from pretest 2 ($Mdn = 13.50$)
 to post-test ($Mdn = 9.50$), $z=1.13$, $p=0.261$. A
 Kruskal-Wallis test found a significant between-
 group difference at post-test, $H(2) = 6.44$, $p = 0.040^*$.

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Table 4
Valence, arousal, and dominance changes pre- and post-training sessions

	Treatment condition	Pre-training	Post-training	P - Value
SAM - Valence	TIMP	32.60 (8.07)	37.00 (6.90)	0.011*
	TIMP + cMI	34.85 (7.17)	36.95 (5.98)	0.034*
	TIMP + MI	34.80 (6.30)	35.70 (5.25)	0.351
SAM - Arousal	TIMP	26.90 (8.60)	26.35 (6.92)	0.282
	TIMP + cMI	37.45 (6.76)	40.30 (4.03)	0.035*
	TIMP + MI	31.60 (6.17)	31.85 (6.84)	0.721
SAM - Dominance	TIMP	29.75 (7.55)	33.70 (7.35)	0.007*
	TIMP + cMI	33.65 (8.49)	35.90 (8.20)	0.028*
	TIMP + MI	29.55 (6.18)	29.60 (5.30)	0.733

* $p < 0.05$. SAM (Self-Assessment Manikin).

Pairwise comparisons were performed with a Bonferroni correction for multiple comparisons. Adjusted p -values are presented. Post hoc analysis revealed statistically significant differences in mean rank scores between TIMP+cMI (20.80) and TIMP+MI (10.90), $p = 0.035^*$. There were no statistically significant differences involving TIMP (mean rank = 14.80). A Kruskal-Wallis test conducted on between-group percent change scores, however, yielded no significant differences, $H(2) = 3.19$, $p = 0.203$. On the sensation seeking subscale, the TIMP group had a statistically significant change from pretest 2 ($Mdn = 4.00$) to post-test ($Mdn = 5.50$), $z = 2.03$, $p = 0.042^*$, $r = 0.64$, while results were non-significant for TIMP+cMI ($p = 0.364$) and for TIMP+MI ($p = 0.323$).

On the MAACL-R negative affect composite scale, there was a significant decrease in dysphoria for participants in TIMP+cMI (pretest 2 $Mdn = 2.50$, post-test $Mdn = 1.00$, $z = 2.04$, $p = 0.041^*$, $r = 0.55$). TIMP participants reported a non-significant change from pretest 2 ($Mdn = 1.00$) to post-test ($Mdn = 2.00$), $z = 1.45$, $p = 0.147$, while TIMP+MI had a non-significant decrease from pretest 2 ($Mdn = 1.50$) to post-test ($Mdn = 1.00$), $z = 0.687$, $p = 0.492$. Median post-test scores were not statistically significantly different between groups, as assessed by a Kruskal-Wallis test, $H(2) = 2.341$, $p = 0.310$. On the anxiety subscale, there was a statistically significant decrease for TIMP+cMI participants from pretest 2 ($Mdn = 1.00$) to post-test ($Mdn = 0.00$), $z = 2.04$, $p = 0.041^*$, $r = 0.65$, while changes for TIMP ($p = 0.336$) and TIMP+MI ($p = 0.785$) were non-significant. No significant changes were obtained for any of the groups on subscales for depression and hostility.

The Self-Assessment Manikin (SAM) provided a subjective measure of changes in valence, arousal, and dominance before and after each of the nine intervention sessions. There was a statistically significant improvement in valence for participants in

TIMP and TIMP+cMI: TIMP pre-test ($Mdn = 30.50$), post-test ($Mdn = 37.50$), $z = 2.53$, $p = 0.011^*$, $r = 0.90$; TIMP+cMI pre-test ($Mdn = 33.50$), post-test ($Mdn = 35.00$), $z = 2.12$, $p = 0.034^*$, $r = 0.80$. There was a non-significant change for participants in TIMP+MI: pre-test ($Mdn = 36.50$), post-test ($Mdn = 36.50$), $z = 0.93$, $p = 0.351$, $r = 0.35$, and a non-statistically significant percent change between groups as determined by a Kruskal-Wallis test, $H(2) = 5.481$, $p = 0.065$. Table 4 indicates pre- and post-training group means and standard deviations. Figure 2 shows individual change scores and group medians.

There was a statistically significant change in arousal for participants in TIMP+cMI from pre-test ($Mdn = 38.00$) to post-test ($Mdn = 41.00$), $z = 2.11$, $p = 0.035^*$, $r = 0.74$. TIMP and TIMP+MI results were non-significant: TIMP pre-test ($Mdn = 26.50$), post-test ($Mdn = 26.00$), $z = -1.08$, $p = 0.282$, $r = -0.34$; TIMP+MI pre-test ($Mdn = 30.00$), post-test ($Mdn = 30.50$), $z = 0.357$, $p = 0.721$, $r = 0.12$. There was a non-statistically significant percent change between groups, $H(2) = 4.480$, $p = 0.106$.

There was a statistically significant change in dominance for participants in TIMP and TIMP+cMI: TIMP pre-test ($Mdn = 27.00$), post-test ($Mdn = 31.00$), $z = 2.70$, $p = 0.007^*$, $r = 0.90$; TIMP+cMI pre-test ($Mdn = 32.50$), post-test ($Mdn = 38.50$), $z = 2.20$, $p = 0.028^*$, $r = 0.73$. There was a non-significant change for TIMP+MI: pre-test ($Mdn = 28.00$), post-test ($Mdn = 28.50$), $z = 0.341$, $p = 0.733$, $r = 0.13$. A Kruskal-Wallis H test was run to determine if there were differences between groups. Differences in percent change scores were statistically significant, $H(2) = 7.359$, $p = 0.025$. Pairwise comparisons were performed with a Bonferroni correction for multiple comparisons, with adjusted p -values presented. Post hoc analysis revealed statistically significant differences between the median percent change for TIMP (0.14) and TIMP+MI (0.02), $p = 0.020$, but there

Table 5
Correlation analyses using pooled group data

	Kendall's tau-b	P value
TMT - Part B; MAACL Positive affect composite	$\tau = 0.105$	$p = 0.430$
TMT Part B; MAACL Dysphoria	$\tau = -0.023$	$p = 0.868$
TMT Part B; SAM - Valence	$\tau = 0.069$	$p = 0.611$
TMT Part B, SAM - Arousal	$\tau = -0.089$	$p = 0.505$
MAACL - Positive affect composite; SAM - Valence	$\tau = 0.022$	$p = 0.870$
SAM - Valence; SAM - Arousal	$\tau = 0.066$	$p = 0.635$
SAM - Valence; SAM - Dominance	$\tau = 0.390$	$p = 0.005^*$
SAM - Arousal; SAM - Dominance	$\tau = 0.122$	$p = 0.374$

Paired difference scores, comparing pre-test 2 – post-test change scores between cognitive and affective measures, and within affective measures. SAM (Self-Assessment Manikin); TMT (Trail Making Test); MAACL (Multiple affect adjective Checklist)* $p < 0.05$.

were no statistically significant differences between TIMP+cMI ($Mdn = 0.06$) and any other group.

Analyses using pooled group data found no correlations between cognitive and affective change scores (Table 5). However, a strong, positive correlation was found between valence and dominance change scores on the SAM.

4. Discussion

This study examined the effects of TIMP training, with and without motor imagery, on cognitive and affective outcomes in persons at the chronic post-stroke stage. Results indicated improvements on the TMT-Part B measure of mental flexibility. There was a statistically significant reduction in completion time for the TIMP+MI group. The TIMP and TIMP+cMI groups had non-significant changes. There were no statistically significant between-group differences. All results obtained on the DST, a measure of short-term memory capacity, were non-significant. Results also indicated statistically significant improvements in positive affect in the TIMP and TIMP+cMI groups, as measured by the MAACL-R and SAM. Furthermore, TIMP+cMI showed some significant decreases in negative affect on the MAACL-R. No association was found between changes in cognition and affective responding.

Participants in the TIMP+MI condition received 30 minutes of active TIMP training followed by motor imagery without any external cues. In contrast, participants in the TIMP+cMI condition listened to a metronome cue during motor imagery that was set to their preferred tempo for executing a particular activity. In the emulation theory of motor imagery (Grush, 2004), efferent motor centres of the brain drive a body emulator which maps efference copy to probable proprioceptive and kinesthetic

signals to produce motor imagery. The emulator is a flexible system that evolves according to its own internal dynamic without external sensory feedback correction. The external cue provided during the TIMP+cMI condition may have reduced the flexibility and responsiveness of the emulator, possibly hindering formation of adaptive representations. In the TIMP+MI condition the emulator operated without external input, providing maximal flexibility for formation of representations. Meta-analyses of the neural correlates of motor imagery, action execution, and action observation revealed consistent recruitment of the dorsolateral prefrontal cortex only during motor imagery (Hardwick, Caspers, Eickhoff, & Swinnen, 2018). Research suggests that the dorsolateral prefrontal cortex supports cognitive flexibility by selecting relevant abstract rules in a changing environment (Mansouri, Matsumoto, & Tanaka, 2006). The TIMP+MI condition may have enabled participants to form and reform novel motor representations without input and restrictions from external stimuli. Nevertheless, the TIMP+cMI group had the highest initial mean score on the TMT, indicating greater challenges with executive functioning, and in the absence of an assessment to determine motor imagery ability, outcomes may also have been affected by group composition and heterogeneity. Active rehabilitative practice without subsequent motor imagery rehearsal to consolidate representations did not appear to enhance cognitive flexibility.

The DST measure of auditory short-term memory capacity yielded no significant between-group or timepoint changes. Researchers using the MST protocol found small, non-significant post-treatment improvements on the DST (Grau-Sánchez et al., 2018; Ripollés et al., 2015). Serrien and colleagues (2008), using a synchronization-continuation paradigm, found increased involvement of mesial-central regions, irrespective of task complexity,

519 during unpaced relative to paced performance, sug-
520 gestive of increased abstract processing of temporal
521 information. Researchers found that inserting a de-
522 lay interval between pacing and continuation tasks
523 activated the prefrontal-parietal-temporal network,
524 associated with working memory, during the contin-
525 uation phase (Jantzen, Oullier, Marshall, Steinberg,
526 & Kelso, 2007). This may reflect recruitment of
527 working memory during the delay period, provid-
528 ing explicit mnemonic support in the absence of an
529 external cue, which may otherwise drive implicit rep-
530 resentations of the temporal interval. Evidence of
531 subliminal entrainment has been found that dynam-
532 ically replicates changing periodic structures during
533 experimentally-manipulated rhythmic sensorimotor
534 synchronization tasks, suggesting the presence of
535 subconscious physiological auditory-motor entrain-
536 ment mechanisms (M.H. Thaut, Kenyon, Schauer,
537 & McIntosh, 1999; M.H. Thaut, Miller, & Schauer,
538 1998; M.H. Thaut, Tian, & Azimi-Sadjadi, 1998).
539 TIMP study interventions involved auditory-motor
540 coupling to an isochronous beat set to participants'
541 preferred tempi for each exercise, which may have
542 contributed to subliminal entrainment. MST exerci-
543 ses, on the other hand, are modelled for partici-
544 pants; however, rhythmic facilitation is not provided
545 throughout (Schneider, Schönle, Altenmüller, &
546 Münte, 2007), potentially increasing working mem-
547 ory requirements. Using functional magnetic reso-
548 nance imaging (fMRI), Chen and colleagues (2008)
549 noted greater activation of working memory regions
550 in musicians presented with progressively more com-
551 plex rhythms, reflecting increased reliance on top-
552 down processing in more challenging synchroniza-
553 tion tasks. Use of a readily discernible, predictable
554 beat for entrainment purposes in the TIMP study, in
555 contrast, may have reduced the need to recruit addi-
556 tional neural resources subserving working memory
557 networks.

558 Current measures of well-being (positive affect
559 and sensation seeking) as well as dysphoria (anxi-
560 ety, depression, and hostility) were obtained using
561 the state form of the MAACL-R. The positive affect
562 subscale assessed passive aspects of well-being,
563 while the sensation seeking subscale evaluated active,
564 energetic aspects. Participants in the TIMP group,
565 who had 45-minute active training sessions with-
566 out motor imagery, scored significant gains on the
567 sensation seeking scale. TIMP group participants
568 also had significant gains on the valence and domi-
569 nance portions of the SAM, completed before and
570 after each training session. Active practice using

571 individually-tailored TIMP interventions appears to
572 enhance positive affective responding. Ripolles and
573 colleagues (2015) found twenty sessions of active
574 music training led to significant improvements on
575 the positive portion of the Positive and Negative
576 Affect Scale as well as on the valence and arousal
577 portions of the SAM. Self-ratings of mood using a
578 Faces Scale showed significant improvements during
579 the course of music-supported training (Van Vugt et
580 al., 2016; Van Vugt, Ritter, Rollnik, & Altenmüller,
581 2014). An eight-week community-based rehabilita-
582 tion program using rhythmic auditory stimulation and
583 incorporating percussion instrument playing resulted
584 in significant improvements in mood states as mea-
585 sured by a Korean version of the Profile of Mood
586 States (Jeong & Kim, 2007).

587 Participants in the TIMP + cMI group, who had
588 30 minutes of active practice followed by 15 min-
589 utes of metronome-cued motor imagery, scored signi-
590 ficant gains on the positive affect composite scale
591 of the MAACL-R, as well as significant increases
592 in valence, arousal, and dominance on the SAM. In
593 addition, there were significant decreases on the MA
594 ACL-R negative affect composite scale and the anxi-
595 ety subscale. Other studies have reported decreases in
596 negative affect following music-based rehabilitative
597 interventions. Following music-supported therapy
598 training, significant reductions in negative affect were
599 found on the short form of the Profile of Mood States
600 (Van Vugt et al., 2016; Van Vugt et al., 2014), on the
601 Beck Depression Inventory (Ripollés et al., 2015),
602 and on the negative affect portion of the Positive and
603 Negative Affect Schedule in the music group after
604 five and ten weeks of training (Fujioka et al., 2018).
605 In a systematic review of motor imagery in upper limb
606 rehabilitation, using the PETTLEP model (Physical,
607 Environment, Task, Timing, Learning, Emotion, and
608 Perspective), the authors noted that the emotion cat-
609 egory has received little attention (Harris & Hebert,
610 2015). Participants in TIMP+cMI condition listened
611 to a metronome cue set to their preferred pace for
612 executing an action while practicing motor imagery;
613 hence, there was no external input during the cued
614 motor imagery condition that would have enhanced
615 affective responding. However, results suggest the
616 participants found the active practice interventions
617 to be emotionally meaningful. This affective engage-
618 ment may have continued during the subsequent
619 motor imagery practice.

620 While the TIMP+MI group scored a significant
621 improvement on the TMT-Part B assessment of men-
622 tal flexibility, there were no significant changes to

623 affect. In addition, no significant associations were
 624 found between pooled group cognitive and affective
 625 change scores. Depression has been found to impact
 626 top-down attentional processing, acting as an addi-
 627 tional cognitive load (Maier et al., 2019); however,
 628 all three groups scored low on the MAACL-R depres-
 629 sion subscale, suggesting depression was not a factor
 630 in cognitive performance. The TIMP+MI group had
 631 non-significant decreases from baseline 2 to post-test
 632 in positive affective responding as measured by the
 633 MAACL-R. It is difficult in the absence of formal
 634 interviews to ascertain the reasons for this. Anec-
 635 dotally, a number of participants expressed regret
 636 that the intervention sessions were ending. TIMP+MI
 637 participants scored particularly strong gains on func-
 638 tional assessments (data to be reported in a future
 639 publication), and this may have contributed to a sense
 640 of regret, manifested in the current state affect mea-
 641 sures, that sessions were ending.

642 While pre-test 2 - post-test results on the GSE were
 643 non-significant, effect sizes for TIMP and TIMP+cMI
 644 were in the small-medium range ($r=0.37$). Fur-
 645 thermore, a Friedman test using pooled group data
 646 indicated a significant effect of time, $\chi^2(2)=11.77$,
 647 $p=0.003$. Post hoc analysis revealed a statistically
 648 significant increase from pre-test 1 ($Mdn=32.00$) to
 649 post-test ($Mdn=34.00$), $p=0.007$, $r=0.46$, showing
 650 a positive trend in perceived self-efficacy over the
 651 duration of the study. Scores on the four-point scale
 652 tended to be confirmatory, in the “moderately true”
 653 to “exactly true” range. A sample of 367 Canadi-
 654 ans had a mean GSE score of 3.12 (Scholz et al.,
 655 2002), whereas the means for this study sample were
 656 consistently higher (pre-test 1, $M=3.22$; pre-test 2,
 657 $M=3.27$; post-test, $M=3.36$), indicating a gener-
 658 ally strong sense of self-efficacy. The mean age (56
 659 years) for participants in this study was younger
 660 than the average age identified for participants in
 661 stroke rehabilitation studies ($M=64$ years) (Gaynor,
 662 Geoghegan, & O’Neill, 2014). Wulf and colleagues
 663 (2012) noted that older adults may be negatively
 664 impacted by beliefs in reduced ability due to age,
 665 limiting their performance and learning. A system-
 666 atic review found a negative association for persons
 667 with stroke between self-efficacy and depression, and
 668 a positive association with activities of daily living
 669 and health-related quality of life (Korpershoek, van
 670 der Bijl, & Hafsteinsdóttir, 2011). TIMP study inter-
 671 ventions, targeting both impairment and simulation
 672 of functional movements, were individually tailored,
 673 designed to consolidate and build on existing capac-
 674 ities as well as provide participants with sufficient

675 challenge to cultivate and maintain a sense of per-
 676 sonal accomplishment. Tasks viewed as acquirable
 677 skills have been shown to strengthen self-efficacy and
 678 a sense of personal attainment, leading to heightened
 679 performance levels (Jourden, Bandura, & Banfield,
 680 1991).

681 5. Conclusion

682 While results of the current study appear to indicate
 683 positive effects of TIMP interventions on cognition
 684 and affect, this study had a number of limitations. It
 685 was a relatively small clinical trial, with heteroge-
 686 neous group compositions, and there were only nine
 687 intervention sessions over a three-week period. Ori-
 688 ginally the plan was to include 12 training sessions over
 689 four weeks; however, due to slow initial recruitment
 690 and logistical issues the time frame had to be abbrevi-
 691 ated. In addition, a planned assessment to determine
 692 motor imagery ability had to be discontinued due to
 693 time constraints, and there was no provision for reten-
 694 tion assessments. Nevertheless, significant cognitive
 695 and affective gains were made in a relatively short
 696 period of time. TIMP+MI appears to enhance mental
 697 flexibility in chronic post-stroke participants, possi-
 698 bly due to consolidation of representations through
 699 motor imagery following active practice. In addition,
 700 active training using musical instruments appears
 701 to have a positive impact on affective responding,
 702 although these changes occurred independently of
 703 changes to cognition. Further trials with larger sample
 704 sizes, more extensive neuropsychological evalua-
 705 tions, and retention assessments will be required to
 706 confirm these preliminary results.

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713 Conflict of interest

None to report.

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