

## Systematic Review

# PD-L1 Expression and Treatment Implications in Metastatic Clear Cell Renal Cell Carcinoma: A Systematic Review

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### Abstract.

**Background:** Over the past decade, immune checkpoint inhibitors (ICIs) have increasingly become the standard of care for various advanced malignancies, including metastatic clear cell renal cell carcinoma (mccRCC). Most ICIs currently used in clinical practice inhibit the interaction between the programmed cell death protein-1 (PD-1) and programmed death ligand-1 (PD-L1) complex. A deeper understanding of this interaction and PD-L1 expression in tumors has led to more effective therapies in the treatment of advanced cancers, but the debate regarding the utility of PD-L1 as a biomarker continues.

**Objective:** We aimed to systematically evaluate the role of PD-L1 in mccRCC in terms of expression and treatment implications.

**Methods:** Following PRISMA guidelines, we performed a systematic literature search using PubMed and Embase through August 31, 2020. Titles and abstracts were screened to identify articles for full-text review. A hand search was also performed using Google Scholar and the bibliography to relevant studies.

**Results:** A total of 26 articles were identified, and relevant data were extracted and organized. The available information regarding PD-L1 expression in mccRCC from both prospective clinical trials and retrospective studies were summarized. We discussed the utility of PD-L1 as a predictive and prognostic biomarker in mccRCC, its association with other potential biomarkers, and the pattern and level of expression of PD-L1 in primary versus metastatic tumors.

**Conclusions:** Although significant progress has been made, much more remains to be learned regarding the differences between PD-L1+ and PD-L1- ccRCC tumors, in terms of both the underlying biology and clinical responses to immunotherapy and other agents.

Keywords: PD-L1, programmed death ligand 1, PD-1, metastatic clear cell renal cell carcinoma

## INTRODUCTION

Kidney cancer ranks among the top 10 most common cancer diagnoses in men and women worldwide [1]. Clear cell renal cell carcinoma (ccRCC) makes up about 80% of all kidney cancer cases [2]. Over the years, the pathogenesis and development of ccRCC, which involves the serine/threonine kinase

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mTOR pathway leading to angiogenesis, has become more understood [3]. This knowledge leveraged the development of several targeted therapies including anti-angiogenic therapies and mTOR inhibitors [4].

Programmed cell death protein 1 (PD-1) (CD279) and cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) (CD152) are expressed on T-cells and are negative regulators of T-cell immune function [5]. PD-1 is activated by programmed death ligand 1 (PD-L1) (also known as CD274 or B7-H1), which is expressed on antigen-presenting cells (APCs) including immune cells and on tumor cells. When PD-L1 binds to PD-1, the downstream signaling leads to apoptosis of the T-cell and consequent immune tolerance to the tumor. This interaction has been studied extensively since inhibiting it with immune checkpoint inhibitors (ICIs) upregulates the response of the immune system against cancer cells.

Over the past decade, ICI therapy has emerged as a very important treatment option in the armamentarium available against many solid malignancies including metastatic ccRCC (mccRCC) [6]. Several phase 3 clinical trials have demonstrated that ICIs alone or in combination with a tyrosine kinase inhibitor (TKI) are superior to traditional agents such as VEGF inhibitors (i.e., sunitinib) and mTOR inhibitors (i.e., everolimus) in the frontline or second-line setting [7–11].

In this systematic review, we report the assays used to determine PD-L1 status, frequency of PD-L1 expression in patients with mccRCC, its predictive and prognostic value for treatment with traditional front-line therapy as well as with ICI therapy, and the association of PD-L1 expression between matched primary and metastatic sites. We further discuss the potential use of PD-L1 as a biomarker for response to treatment and comment on other possible biomarkers for mccRCC.

## METHODS

### *Search strategy*

We conducted a systematic literature search according to the PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) guidelines [12] to identify studies reporting PD-L1 in mccRCC in PubMed and Embase databases through August 31, 2020. These two databases were searched using the following keywords and MeSH terms (if available): programmed death ligand 1, metastatic clear cell renal cell carcinoma, advanced renal cell carcinoma,

ipilimumab, nivolumab, durvalumab, avelumab, atezolizumab, tremelimumab, pembrolizumab.

The first and second authors independently conducted the selection process in two stages. The initial inclusion of titles in the first stage was performed via screening the content of the title and abstract. The second stage was done via full-text reading of the remaining articles, as well as a manual search of publications in relevant articles to avoid missing other eligible studies. The first and second authors individually performed both stages of the selection process. Afterwards, any discrepancies were resolved upon discussion and reviewed by the third author for the final decision. Finally, a hand search of articles was performed using Google Scholar, with the terms “PD-L1” and “clear cell renal cell carcinoma,” as well as a hand search of references from relevant articles to try to avoid missing any other eligible studies.

### *Exclusion criteria*

The following titles were excluded: non-English articles, non-original articles (i.e., review articles with or without systematic review or meta-analysis, editorials, opinions, commentaries, case reports, etc.), abstracts, and repeated publications on the same cohort to avoid publication bias. Articles were excluded if >25% of RCC cases were not of clear cell histology, if >50% of cases were not metastatic, or if the article did not discuss the PD-L1 gene/protein. Of note, publications describing long-term follow up from clinical trials whose original published reports were already included in the systematic review were excluded, but the data in these articles were still applied in this systematic review when appropriate.

### *Data extraction*

The following variables were extracted: type of study, number of patients, type of first-line or second-line treatment, frequency of PD-L1 expression, objective response rate (ORR) to treatment, progression-free survival (PFS), overall survival (OS), association with other putative biomarkers, and expression patterns between primary and metastatic sites.

### *Data synthesis*

The outcome measures in this systematic literature review were not combined because the final articles included in this review were very heterogeneous, including diverse patient populations, types of studies, and treatment strategies. Collected data were

organized and summarized. Clinical trials were organized by IO-IO (two immunotherapy agents), IO-TKI (immunotherapy agent with a tyrosine kinase inhibitor), and TKI only.

## RESULTS

Search results are summarized in Fig. 1. Database search yielded a total of 1057 citations, of which the title and abstract were screened for relevance. From these citations, 58 were subjected to full-text review, resulting in 16 articles that met criteria for inclusion. A manual search using references to articles of interest and Google Scholar resulted in the inclusion of 10 additional articles, for a total of 26 articles.

### Treatment lines

PD-L1 was analyzed in the first-line treatment setting in the following studies: atezolizumab with and without bevacizumab [10, 13], axitinib with

pembrolizumab [8, 14], axitinib with avelumab [9, 15], pazopanib [16], nivolumab with ipilimumab [7], cabozantinib (CABOSUN trial) [17], and sunitinib or other VEGF-TKI [18–21]. PD-L1 was analyzed in the second-line treatment setting using nivolumab [11, 22, 23], atezolizumab [24], and cabozantinib (METEOR trial) [17].

### PD-L1 assays used and frequency of PD-L1 expression

Immunohistochemistry was used to determine the status of PD-L1 expression in patient tumor samples in all the included studies. Various antibodies were used, as well as different scoring systems to account for PD-L1 positivity, including using either tumor cells (TCs), immune cells (ICs), or both, as summarized in Table 1. The frequency of PD-L1 expression varied widely based on the study and how PD-L1 positivity was determined, as above; if multiple cutoffs were noted in the study, the lowest cutoff (generally 1%) was used. For studies of frontline treatment, for

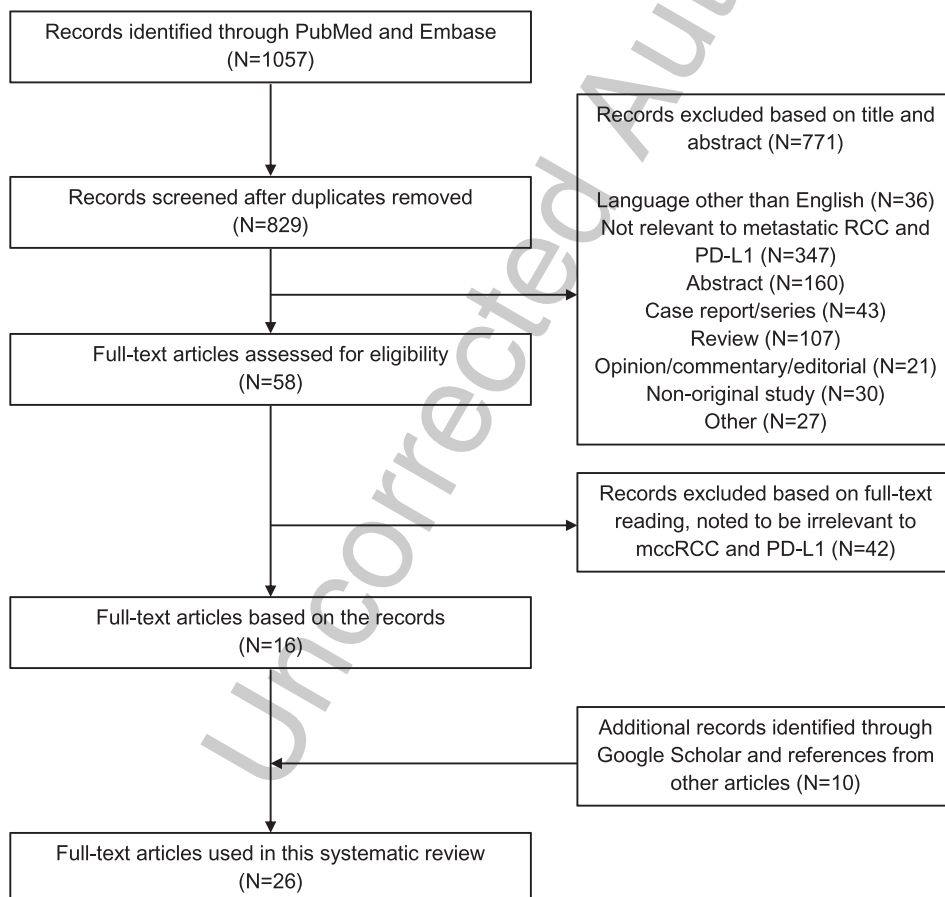


Fig. 1. PRISMA flow chart.

Table 1  
Articles used in this systematic review

Article	Clinical trial	Treatment	Line of treatment	PD-L1 assay	Cutoff used for PD-L1 positivity	Frequency of PD-L1 expression in available patient samples
Atkins et al. [14]	Phase 1	Pembrolizumab plus axitinib	First	PD-L1 mouse monoclonal 22C3 DAKO	$\geq 1\%$ of tumor cells	9/42 (21%)
Choueiri et al. [15]	Phase 1	Avelumab plus axitinib	First	Ventana PD-L1 (SP263) assay	$\geq 1\%$ , 5%, 25%, or 50% positive (combined tumor cells and/or immune cells)	41/52 (79%)
McDermott et al. [24]	Phase 1	Atezolizumab	Second	PD-L1 monoclonal antibody (Clone SP142, Spring Bioscience, Pleasanton, CA)	$\geq 1\%$ of immune cells	39/62 (63%)
Choueiri et al. [57]	Phase 1	Nivolumab	NA	Bristol-Myers Squibb/ Dako assay using the 28-8 antibody	$\geq 5\%$ of tumor cells	18/56 (32%)
McDermott et al. [13]	Phase 2	Atezolizumab vs. atezolizumab plus bevacizumab vs. sunitinib	First	IHC staining using the SP142. $>1\%$ on IC = PD-L1+	$\geq 1\%$ of immune cells	164/305 (54%)
Motzer et al. [22]	Phase 2	Nivolumab	Second	Rabbit antihuman PD-L1 monoclonal antibody (clone 28-8; by Dako Denmark A/S)	$\geq 1\%$ and 5% of tumor cells	43/107 (40%) had $\geq 1\%$ ; 29/107 (27%) had $\geq 5\%$
Motzer et al. [7]	Phase 3	Nivolumab plus ipilimumab vs. sunitinib	First	Dako PD-L1 IHC 28-8 PharmDx	$\geq 1\%$ of tumor cells	240/1002 (24%)
Rini et al. [8]	Phase 3	Pembrolizumab plus axitinib vs. sunitinib	First	PD-L1 IHC 22C3 PharmDx assay	PD-L1 combined positive score $\geq 1$ (combined tumor cells and/or immune cells)	497/822 (60%)
Motzer et al. [9]	Phase 3	Avelumab plus axitinib vs. sunitinib	First	Ventana PD-L1 SP263 assay	$\geq 1\%$ of immune cells staining positive within the tumor area of the tested tissue sample	560/812 (69%)
Rini et al. [10]	Phase 3	Atezolizumab plus bevacizumab vs. sunitinib	First	Ventana PD-L1 SP142 assay	$\geq 1\%$ of tumor-infiltrating immune cell	362/915 (40%)
Choueiri et al. [16]	Phase 3	Pazopanib vs. sunitinib	First	Monoclonal anti-PD-L1 mouse IgG1 antibody (clone 5H1) on the Leica automated IHC platform	Histo scores (HS) $>0$ of tumor cells	163/453 (36%)
Flaifel et al. [17]	Phase 3	Cabozantinib vs. everolimus (METEOR) and cabozantinib vs. sunitinib (CABOSUN)	Second (METEOR) and first (CABOSUN)	PD-L1 (405. 9A11 mouse monoclonal antibody, 1 : 100, 13 mg/mL, Cell Signaling Technology)	$\geq 1\%$ of tumor cells; $\geq 1\%$ and 5% of immune cells and combined scores	88/306 (29%) in METEOR, 25/110 (23%) in CABOSUN based on 1% tumor cell cutoff

Table 1  
(Continued)

Article	Clinical trial	Treatment	Line of treatment	PD-L1 assay	Cutoff used for PD-L1 positivity	Frequency of PD-L1 expression in available patient samples
Motzer et al. [11]	Phase 3	Nivolumab vs. everolimus	Second	Dako PD-L1 IHC	≥ 1% and 5% of tumor cells	181/756 (24%)
McFarlane et al. [23]	Phase 3b/4	Nivolumab	Second	Dako PD-L1 IHC 28-8 PharmDx	≥ 1% of tumor cells	14/82 (17%)
Liu et al. [20]	NA	Sunitinib	First	NA	NA	NA
Hara et al. [19]	NA	Sunitinib or sorafenib	First	Antihuman PD-L1 monoclonal antibody (R&D systems, Minneapolis, MN)	>5% in tumor cells	12/62 (19%)
Kammerer-Jacquet et al. [21]	NA	Sunitinib	First	PD-L1 (anti-PD-L1 antibody, clone 130021, dilution 1/200, RD System, Minneapolis, MN)	Moderate/strong expression	66/90 (73%)
Ascierto et al. [36]	NA	Nivolumab	NA	Murine anti-human PD-L1 mAb 5H1	≥ 5% of tumor cells	13/13 (100%)
Ueda et al. [30]	NA	Unspecified molecular targeted therapies	NA	PD-L1(x500, clone EPR1161(2), abcam, Cambridge, MA, USA)	≥ 5% of tumor cells	9/33 (27%)
Shin et al. [18]	NA	VEGF-TKI	NA	Ventana Benchmark XT anti-PD-L1 (1:100; E1L3 N; rabbit monoclonal; Cell Signaling Technology, Danvers, MA)	≥ 5% of tumor cells	16/91 (18%)
Mischinger et al. [35]	NA	Interferon therapy	First	Anti-B7-H1 rabbit antibody (Novus Biologicals, NBP1-03220; 1:200)	NA	20% median expression for 44 patient samples
Jilaveanu et al. [37]	NA	NA	NA	Mouse monoclonal anti-PD-L1 antibody (5H1 clone); measured fluorescence with Automated Quantitative Analysis (tumor cells)	NA	NA
Callea et al. [31]	NA	NA	NA	Anti-PD-L1 mouse monoclonal antibody (405.9A11)	>0% of tumor cells	17/53 (32%) in primary tumors, 12/53 (23%) in metastatic tumors
Lalani et al. [34]	NA	NA	NA	Anti-PD-L1 mouse monoclonal antibody (405.9A11)	>0% of tumor cells	13/45 (29%)
Zhang et al. [33]	NA	NA	NA	Anti-PD-L1 monoclonal antibody (Zhongshan Golden Bridge, clone number: ZM-0170)	>5% of tumor cells and “moderate” or “strong” expression	53/163 (33%)
Eckel-Passow et al. [32]	NA	NA	NA	Mouse anti-human PD-L1	>0% positive (either immune cells or tumor cells)	25% of 97 primary tumors; 18/140 (13%) metastatic tumors

the one IO-IO study the frequency of PD-L1 positivity was 24% [7], for the IO-TKI studies the range was 21-79% [8–10, 13–15] and for TKI only studies the range was 19–73% [16, 17, 19, 21]. For second line treatment using IO, the range was 17% to 63% [11, 22–24], and using TKI was 29% [17].

### Predictive value of PD-L1

Available median PFS, median OS, and ORR for PD-L1+, PD-L1-, and intention to treat (ITT) groups from the clinical trials containing ICIs are summarized and reported in Table 2. Outcomes for single agent TKIs and smaller clinical trials are summarized and reported in Table 3.

#### Frontline IO-IO

CheckMate 214 followed mcrRCC patients treated with either the combination of nivolumab plus ipilimumab or sunitinib [7]. In the extended four-year follow-up, for the ITT group encompassing all IMDC risk categories, there was no significant difference in median PFS (12.2 vs. 12.3 months, HR 0.89; 95% CI 0.76–1.05) between treatment arms [25]. However, patients did have significantly better ORR (39 vs. 32%,  $p=0.0134$ ) and OS (NR vs. 38.4 months, HR 0.69; 95% CI 0.59–0.81) when treated with nivolumab plus ipilimumab compared with sunitinib. Patients with IMDC intermediate/poor-risk disease had significantly better median PFS (11.2 vs. 8.3 months, HR 0.74; 95% CI 0.62–0.88), ORR (42 vs. 27%,  $p<0.001$ ), and median OS (48.1 vs. 26.6 months, HR 0.65; 95% CI 0.54–0.78) when treated with nivolumab plus ipilimumab compared with sunitinib. In the original analysis, the effect of PD-L1 expression on differential response to these therapies among the IMDC intermediate/poor-risk group was reported. PD-L1+ patients had significantly better median PFS (22.8 vs. 5.9 months, HR 0.46; 95% CI 0.31–0.67) and ORR (58 vs. 22%,  $p<0.001$ ) when treated with nivolumab plus ipilimumab compared with sunitinib [7]. For PD-L1- patients, there was no significant difference in median PFS (11.0 vs. 10.4 months, HR 1.00; 95% CI 0.80–1.26) or ORR (37 vs. 28%,  $p=0.025$ ) between treatment arms, as the authors had a pre-specified threshold for significance of alpha level 0.001. Regarding OS in the PD-L1+ cohort, the rate of death over a median follow-up of 25.2 months in patients receiving nivolumab and ipilimumab was 28/100 (28%) as compared to 57/114 (50%) in patients receiving sunitinib (HR 0.45; 95% CI 0.29–0.71). In the PD-L1- cohort, the deaths

were 93/284 (33%) versus 114/278 (41%) (HR 0.73; 95% CI 0.56–0.96), respectively. Importantly, in the *post-hoc* multivariable model on extended follow-up of at least 30 months, baseline tumor PD-L1 expression  $\geq 1\%$  was associated with inferior OS for patients treated with sunitinib but not for patients treated with nivolumab with ipilimumab [26].

#### Frontline IO-TKI

Several studies have evaluated PD-L1 as a predictive marker for differential response to frontline therapy with ICIs plus TKIs versus sunitinib in patients with previously untreated mcrRCC. In IMmotion 150, though not statistically significant, median PFS was longer for PD-L1+ patients treated with the combination of atezolizumab plus bevacizumab compared with sunitinib (14.7 vs. 7.8 months, HR 0.64; 95% CI 0.38–1.08,  $p=0.095$ ) [13]. No difference in median PFS was observed between treatment arms for the overall ITT group (11.7 vs. 8.4 months; HR 1.00; 95% CI 0.69–1.45,  $p=0.982$ ). No subgroup analysis of PD-L1- patients was performed.

Expanding upon these results, IMmotion151 included a much larger sample and found that PD-L1+ patients had significantly improved median PFS when treated with atezolizumab plus bevacizumab compared with sunitinib (11.2 vs. 7.7 months, HR 0.74; 95% CI 0.57–0.96,  $p=0.0217$ ) [10]. This association persisted for the overall ITT group (11.2 vs. 8.4 months, HR 0.83; 95% CI 0.70–0.97,  $p=0.0219$ ). There was no significant difference in median PFS between treatment arms for PD-L1- patients (11.2 vs. 9.5 months, HR 0.89; 95% CI 0.72–1.10). PD-L1+ patients also had better, though not statistically significant, ORR when treated with atezolizumab plus bevacizumab compared with sunitinib (43 vs. 35%,  $p=0.122$ ). There was likewise no difference in ORR for the ITT group (37 vs. 33%,  $p=0.295$ ) or for PD-L1- patients (33 vs. 32%,  $p=0.928$ ). There was no significant difference in median OS for PD-L1+ patients receiving atezolizumab plus bevacizumab compared to sunitinib (34.0 vs. 32.7 months, HR 0.84; 95% CI 0.62–1.15). There was also no significant difference in median OS between treatment arms in the ITT group (33.6 vs. 34.9 months, HR 0.93; 95% CI 0.76–1.14). There were no OS data reported for the PD-L1- population.

In JAVELIN Renal 101, median PFS was significantly longer for PD-L1+ patients treated with avelumab plus axitinib compared with sunitinib (13.8 vs. 7.0 months, HR 0.62; 95% CI 0.49–0.78,  $p<0.001$ ) [9, 27]. This association persisted for the ITT group

Table 2  
Outcomes for trials comparing ICI (immune checkpoint inhibitor) or ICI+TKI (tyrosine kinase inhibitor) therapy to traditional TKI therapy

Clinical Trial	Treatment + Arms	mPFS PD-L1+	mPFS ITT	mPFS PD-L1-	ORR PD-L1+	ORR ITT	ORR PD-L1-	mOS PD-L1+	mOS ITT	mOS PD-L1-
CheckMate 214 (IMDC high/intermediate) [7, 25, 26]	Nivolumab plus ipilimumab vs. sunitinib	22.8 vs. 5.9 months, HR 0.46*	11.2 vs. 8.3 months, HR 0.74	11.0 vs. 10.4 months, HR 1.00	58 vs. 22%, $p < 0.001^*$	42 vs. 27%, $p < 0.001^*$	37 vs. 28%, $p = 0.025$	NR vs. 19.6 months (14.8-NE), HR 0.45*	48.1 vs. 26.6 months, HR 0.65*	NR (28.2-NE) vs. NR (24.0-NE), HR 0.73*
IMmotion 150 [13]	Atezolizumab plus bevacizumab vs. sunitinib	14.7 vs. 7.8 months, HR 0.64	11.7 vs. 8.4 months, HR 1.00	–	–	–	–	–	–	–
IMmotion 151 [10]	Atezolizumab plus bevacizumab vs. sunitinib	11.2 vs. 7.7 months, HR 0.74*	11.2 vs. 8.4 months, HR 0.83*	11.2 vs. 9.5 months, HR 0.89	43 vs. 35%, $p = 0.122$	37 vs. 33%, $p = 0.295$	33 vs. 32%, $p = 0.928$	34.0 vs. 32.7 months, HR 0.84	33.6 vs. 34.9 months, HR 0.93	–
JAVELIN Renal 101 [9, 27]	Avelumab plus axitinib vs. sunitinib	13.8 vs. 7.0 months, HR 0.62*	13.3 vs. 8.0 months, HR 0.69*	HR 0.84 (mPFS values not listed)	55.9 vs. 27.2%, OR 3.39*	52.5 vs. 27.3%, OR 3.00*	49.2 vs. 29.2%, OR 2.36*	NE (NE-NE) vs. 28.6 (27.4-NE) months, HR 0.83	NE (30.0-NE) vs. NE (27.4-NE) months, HR 0.80	HR 0.73 (mOS values not listed)
KEYNOTE-426 [8, 28]	Pembrolizumab plus axitinib vs. sunitinib	PFS values not listed, HR 0.66*	15.4 vs. 11.1 months, HR 0.71*	PFS values not listed, HR 0.86	62 vs. 40%, $p < 0.001$	60 vs. 40%, $p < 0.001^*$	58 vs. 42.3% †	OS values not listed, HR 0.68*	NR vs. 35.7 months, HR 0.68*	OS values not listed, HR 0.77
CheckMate 025 [11, 29]	Nivolumab vs. everolimus (second-line therapy)	–	4.2 vs. 4.5 months, HR 0.84*	–	–	22.9 vs. 4.1%, OR 6.86*	–	21.8 vs. 18.8 months, HR 0.79	25.8 vs. 19.7 months, HR 0.73*	27.4 vs. 21.2 months, HR 0.77*

mPFS – median progression free survival; ITT – intention to treat; ORR – objective response rate; mOS – median overall survival; NR – not reached; NE – not estimable. \*Denotes statistical significance. †Denotes no significance data reported from sourced article.

Table 3  
Outcomes for trials comparing PD-L1+ with PD-L1- disease with single therapy

Article	Treatment	mPFS (PD-L1+ vs. PD-L1-)	OS (PD-L1+ vs. PD-L1-)	ORR (PD-L1+ vs. PD-L1-)
Choueiri et al. (COMPARZ) [16]	Sunitinib or pazopanib	Sunitinib – 4.0 vs. 8.4 months; Pazopanib – 3.1 vs. 10.2 months, overall $p=0.017^*$	Sunitinib – 15.3 vs. 27.8 months; Pazopanib – 15.1 vs. 35.6 months; overall $p=0.03^*$	–
Flaifel et al. (CABOSUN) [17]	Sunitinib or cabozantinib	5.5 vs. 8.3 months, $p=0.051$ on univariate analysis; $p=0.419$ after adjustment	20.8 vs. 28.1 months, $p=0.047$ on univariate analysis; $p=0.209$ after adjustment	–
Shin et al. [18]	Unspecified VEGF-TKI	$p=0.013^*$ (significantly worse for PD-L1+, values not reported)	$p=0.038^*$ (significantly worse for PD-L1+, values not reported)	12.5% vs 46.7%, $p=0.012^*$
Hara et al. [19]	Sunitinib or sorafenib	$p<0.001^*$ (significantly worse for PD-L1+, values not reported)	$p=0.0012^*$ (significantly worse for PD-L1+, values not reported)	–
Ueda et al. [30]	Unspecified molecular targeted therapies	6.6 vs. 7.8 months, $p=0.5919$	20.1 vs. 27.7 months, $p=0.1542$	–
Flaifel et al. (METEOR) [17]	Cabozantinib or everolimus (second-line therapy, VEGFR-refractory patients)	5.3 vs. 7.2 months, $p=0.027$ on univariate analysis; $p=0.301$ after adjustment	15.1 vs. 21.3 months, $p=0.003$ on univariate analysis; $p=0.078$ after adjustment	–
Motzer et al. [22]	Second-line nivolumab	4.9 vs. 2.9 months <sup>†</sup>	NR (95% CI 13.4 months-NR) vs. 18.2 months (95% CI 12.7–26.0) <sup>†</sup>	31 vs. 18% <sup>†</sup>
McFarlane et al. [23]	Second-line nivolumab	–	NR (95% CI 5.7-NE) vs. NR (95% CI 15.7-NE)	–
McDermott et al. [24]	Second-line atezolizumab	5.6 vs. 4.5 months <sup>†</sup>	1-year survival: 81% vs. 80% 2-year survival: 65% vs. 51% <sup>†</sup>	18 vs. 9% <sup>†</sup>

\*Denotes statistical significance. †Denotes no significance data reported from sourced article.

(13.3 vs. 8.0 months, HR 0.69; 95% CI 0.57–0.83,  $p<0.001$ ). There was no significant difference in median PFS between treatment arms for PD-L1- patients (HR 0.84, 95% CI 0.60–1.17). PD-L1+ patients also had significantly better ORR when treated with the combination of avelumab plus axitinib compared with sunitinib (55.9 vs. 27.2%, OR 3.39; 95% CI 2.35–4.90,  $p<0.001$ ). This association persisted for the ITT group (52.5 vs. 27.3%, OR 3.00; 95% CI 2.23–4.00,  $p<0.001$ ). Patients with PD-L1- disease also had significantly better ORR when treated with avelumab plus axitinib compared with sunitinib (49.2 vs. 29.2%, OR 2.36; 95% CI 1.36–4.11). Regarding OS, there was no significant difference between treatment arms for PD-L1+ (HR 0.83; 95% CI 0.60–1.15), ITT (HR 0.80; 95% CI 0.62–1.03), or PD-L1- (HR 0.73; 95% CI 0.45–1.17) disease.

In KEYNOTE-426, median PFS was significantly longer for PD-L1+ patients treated with pembrolizumab plus axitinib compared with sunitinib (PFS

values not listed, HR 0.66; 95% CI 0.52–0.82,  $p<0.001$ ) [8]. This association persisted for the ITT group (15.4 vs. 11.1 months, HR 0.71; 95% CI 0.60–0.84,  $p<0.001$ ). There was no significant difference in median PFS between treatment arms for PD-L1- patients (PFS values not listed, HR 0.86; 95% CI 0.64–1.15). PD-L1+ patients also had significantly better ORR when treated with pembrolizumab plus axitinib compared with sunitinib (62 vs. 40%,  $p<0.001$ ). This association persisted for the ITT group (60 vs. 40%,  $p<0.001$ ). Patients with PD-L1- disease also had better ORR (58 vs. 42.3%) with pembrolizumab plus axitinib, although no statistical comparison was performed. Regarding OS, there was a benefit with pembrolizumab plus axitinib for both PD-L1+ (OS values not listed, HR 0.68; 95% CI 0.51–0.90) and the ITT group (NR vs. 35.7 months, HR 0.68; 95% CI 0.55–0.85,  $p=0.0003$ ). However, there was no significant difference between treatment arms for PD-L1- patients on extended follow-up of

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312 median 30.6 months (OS values not listed, HR 0.77;  
313 95% CI 0.52–1.16) [28].

#### 314 Frontline TKI

315 In the COMPARZ trial comparing frontline sunitinib and pazopanib, patients with PD-L1 HS > 55  
316 had significantly decreased median PFS compared to  
317 patients with PD-L1 HS ≤ 55 regardless of treatment  
318 with pazopanib (3.1 vs. 10.2 months) or sunitinib (4.0  
319 vs. 8.4 months) ( $p=0.017$ ) [16]. Additionally, PD-  
320 L1 patients with HS > 55 had significantly decreased  
321 OS compared to HS ≤ 55 regardless of receiving  
322 pazopanib (15.1 vs. 35.6 months) or sunitinib (15.3  
323 vs. 27.8 months) ( $p=0.03$ ); higher HS scores were  
324 correlated with decreased OS.

325  
326 In the CABOSUN clinical trial, median PFS was  
327 significantly shorter for PD-L1+ patients than for  
328 PD-L1- patients on univariate analysis (5.5 vs. 8.3  
329 months,  $p=0.051$ ) [17]. However, this association did  
330 not persist with multivariable analysis. Median OS  
331 in CABOSUN for PD-L1+ versus PD-L1- patients  
332 was 20.8 versus 28.1 months ( $p=0.05$ ), respectively.  
333 A comparison of clinical outcomes in patients with  
334 mccRCC treated with vascular endothelial growth  
335 factor-targeted therapy also suggested that PD-L1+  
336 patients had significantly inferior PFS compared to  
337 PD-L1- patients ( $p=0.013$ ) [18]. In the same study,  
338 PD-L1+ patients had an ORR of 12.5% to VEGF-TKI  
339 therapy while PD-L1- patients had an ORR of 46.7%  
340 ( $p=0.012$ ), and increased PD-L1 expression corre-  
341 lated negatively with ORR. OS for patients receiving  
342 VEGF-TKI was also impacted by PD-L1 status, as  
343 16 PD-L1+ patients had decreased OS compared to  
344 75 PD-L1- patients based on the Kaplan-Meier curve  
345 ( $p=0.04$ ).

346 Hara et al. [19] showed PD-L1- patients receiv-  
347 ing frontline TKI therapy had significantly longer  
348 median PFS compared to PD-L1+ patients (HR 7.80,  
349  $p<0.001$ ). OS was also significantly worse in PD-  
350 L1+ patients based on the Kaplan-Meier curve  
351 ( $p<0.002$ ). In a study of 33 patients who received  
352 unspecified molecular targeted therapies, Ueda et al.  
353 [23] found no significant difference in median PFS  
354 between PD-L1+ and PD-L1- patients, although the  
355 sample size was very small (6.6 vs. 7.8 months,  $p=$   
356 0.5919). PD-L1 trended toward worse OS but was  
357 not statistically significant (20.1 vs. 27.7 months,  $p=$   
358 0.15). Finally, Kammerer-Jacquet et al. [21] exam-  
359 ined the association between PD-L1 positivity and  
360 long-term response (LTR) to sunitinib and found that  
361 PD-L1 positivity was significantly associated with  
362 non-LTR ( $p=0.02$ ); 16 of 28 patients with LTR were

363 positive for PD-L1, while 50 of 62 patients with-  
364 out LTR were positive for PD-L1. No comparisons  
365 between PFS, ORR, or OS in PD-L1+ versus PD-L1-  
366 patients were performed.

#### 367 Second-line

368 Fewer studies examined the predictive value of PD-  
369 L1 in response to second-line therapy. In the MET  
370 EOR clinical trial comparing cabozantinib to evero-  
371 limus in VEGFR-refractory patients, PD-L1+ pati-  
372 ents also had significantly shorter PFS relative to  
373 PD-L1- patients on univariate analysis (5.3 vs. 7.2  
374 months,  $p=0.027$ ), but like CABOSUN, this associ-  
375 ation did not persist with multivariate analysis [17].  
376 For PD-L1+ versus PD-L1- patients in METEOR,  
377 median OS was 15.1 versus 21.3 months ( $p=0.003$ ).  
378 Notably, with METEOR and CABOSUN trials  
379 pooled together (416 patients total, and 211 received  
380 cabozantinib), for PD-L1+ versus PD-L1-, overall  
381 survival adjusted HR was 1.39 (95% CI 1.03–1.87,  
382  $p=0.03$ ), and for cabozantinib-only patients, it was  
383 1.63 (95% CI 1.03–2.60,  $p=0.04$ ) [17].

384 A dose-finding trial for nivolumab in mccRCC  
385 examined response to second-line ICI and found that  
386 PD-L1+ patients had better PFS compared to PD-  
387 L1- patients (4.9 vs. 2.9 months). PD-L1+ patients  
388 also had better ORR compared to PD-L1- patients  
389 (31 vs. 18%) [22]. OS for PD-L1+ patients (>5%  
390 expression) was NR (95% CI, 13.4 months-NR) while  
391 median OS for PD-L1- patients <5% PD-L1 group  
392 was 18.2 months (95% CI, 12.7–26.0). No statisti-  
393 cal significance determinations were presented in  
394 this article. McDermott et al. [24] followed patients  
395 treated with second-line atezolizumab and found that  
396 PD-L1+ patients had a median PFS of 5.6 versus  
397 4.5 months compared to PD-L1- patients. PD-L1+  
398 patients also had better ORR compared to PD-L1-  
399 patients (18 vs. 9%). OS was 81% after one year  
400 for PD-L1+ patients versus 80% for PD-L1- patients,  
401 and 65% after two years for PD-L1+ patients versus  
402 51% for PD-L1- patients. No statistical significance  
403 determinations were presented in this article.

404 In CheckMate 025, a phase 3 trial comparing nivo-  
405 lumab to everolimus in treatment-refractory mcc  
406 RCC patients, the ITT group had significantly bet-  
407 ter PFS probabilities with nivolumab compared to  
408 everolimus after an extended follow-up of minimum  
409 64 months, although median PFS between the two  
410 groups were similar (4.2 vs. 4.5 months, HR 0.84;  
411 95% CI 0.72–0.99,  $p=0.0331$ ) [29]. No analysis of  
412 PFS by PD-L1 expression was performed. The ITT  
413 group had significantly better ORR with nivolumab

414 compared to everolimus (22.9 vs. 4.1%, OR 6.86;  
 415 95% CI 4.01–11.74,  $p < 0.001$ ). No analysis of  
 416 ORR by PD-L1 expression was performed. The ITT  
 417 group also had significantly better median OS with  
 418 nivolumab (25.8 vs. 19.7 months, HR 0.73; 95% CI  
 419 0.62–0.85,  $p < 0.001$ ). In the initial analysis published  
 420 in 2015, there was no significant difference in median  
 421 OS between treatment arms for PD-L1+ patients  
 422 (21.8 vs. 18.8 months, HR 0.79; 95% CI 0.53–  
 423 1.17); however, PD-L1- patients had significantly  
 424 better median OS with nivolumab compared to eve-  
 425 rolimus (27.4 vs. 21.2 months, HR 0.77; 95% CI  
 426 0.60–0.97) [11]. No data was published comparing  
 427 median OS between treatment arms by PD-L1 expres-  
 428 sion in the most recent updated results, although it  
 429 was noted that tumor PD-L1 expression was not an  
 430 independent prognostic factor for OS on univariate  
 431 analysis with either nivolumab ( $p = 0.8554$ ) or eve-  
 432 rolimus ( $p = 0.266$ ) separately [29].

433 A phase 3b/4 study, Checkmate 374, validated the  
 434 safety and efficacy of nivolumab in patients with pre-  
 435 viously treated, advanced/metastatic RCC [23]. Ninety-  
 436 seven of 150 patients had clear cell pathology, and  
 437 these patients were analyzed independently. There  
 438 was a confirmed 22.7% ORR (95% CI 14.8–32.3%)  
 439 and median of PFS 3.6 months (95% CI 2.0–5.5  
 440 months). No direct comparisons were made between  
 441 the 68 PD-L1+ versus 14 PD-L1- patients besides  
 442 median OS, which were not reached for either  
 443 group.

#### 444 *Prognostic value of PD-L1 and association with* 445 *adverse pathologic features*

446 PD-L1 expression is associated with several ag-  
 447 gressive clinicopathological features in mcrRCC.  
 448 Ueda et al. [30] noted that PD-L1 positivity was sig-  
 449 nificantly associated with increased primary tumor  
 450 size ( $p = 0.0055$ ), sarcomatoid features ( $p = 0.0065$ ),  
 451 and higher Fuhrman Nuclear Grade ( $p = 0.0105$ ).  
 452 Shin et al. [18] noted that PD-L1 positivity was  
 453 significantly associated with sarcomatoid features  
 454 ( $p = 0.014$ ) and International Society of Urological  
 455 Pathology (ISUP) grade 3 or 4 ( $p = 0.031$ ). Callea  
 456 et al. [31] determined that TC PD-L1 positivity was sig-  
 457 nificantly associated with advanced T stage ( $p = 0.03$ )  
 458 and higher Fuhrman Nuclear Grade ( $p < 0.01$ ). Hara  
 459 et al. [19] demonstrated that TC PD-L1 positivity  
 460 was significantly associated with multiple metas-  
 461 tases ( $p = 0.022$ ), but there was no association with  
 462 MSKCC or IMDC risk classification ( $p = 0.51$  and

463  $p = 0.79$ , respectively), or with sarcomatoid features  
 464 ( $p = 0.18$ ).

465 Flaifel et al. [17] found that PD-L1 positivity was  
 466 significantly associated with elevated IMDC risk in  
 467 both the METEOR and CABOSUN trials. In MET  
 468 EOR, patients in the IMDC poor risk group were  
 469 more likely to express PD-L1 on TC and IC than  
 470 patients in the IMDC favorable or intermediate risk  
 471 groups ( $p = 0.013$  for TC and  $p = 0.019$  for IC). In  
 472 CABOSUN, patients in the IMDC poor risk group  
 473 were more likely to express PD-L1 on TC than  
 474 patients in the IMDC intermediate risk group ( $p =$   
 475 0.009), and there was a trend towards increased PD-  
 476 L1 expression on IC for patients in the IMDC poor  
 477 risk group ( $p = 0.092$ ). Likewise, in CheckMate 214,  
 478 patients with IMDC intermediate or poor risk were  
 479 significantly more likely to express PD-L1 on TC than  
 480 patients with IMDC favorable risk ( $p < 0.001$ ) [7].

481 In studies that compared primary and metastatic  
 482 ccRCC, Eckow-Passow et al. [32] did not observe a  
 483 statistically significant association between metas-  
 484 tatic tumor expression of PD-L1 and ccRCC-speci-  
 485 fic survival (HR 1.37; 95% CI 0.75–2.53,  $p = 0.31$ );  
 486 no similar comparison for PD-L1 expression on pri-  
 487 mary tumor and ccRCC-specific survival was re-  
 488 ported. Similarly, Zhang et al. [33] did not observe a  
 489 significant association between OS and PD-L1 exp-  
 490 ression in tumor metastases, but there was a signif-  
 491 icantly shorter OS associated with increased PD-L1  
 492 expression in the primary tumor (HR 2.55; 95% CI  
 493 1.06–6.15,  $p = 0.04$ ).

494 Several studies additionally explored the associa-  
 495 tion between PD-L1 expression and the extent and  
 496 site of metastases. Hara et al. [19] found PD-L1  
 497 positivity was significantly associated with increased  
 498 brain and lymph node metastases ( $p = 0.030$  and  $p =$   
 499 0.016, respectively). Zhang et al. [33] determined  
 500 PD-L1 positivity was significantly associated with  
 501 increased bone and lymph node metastases ( $p = 0.002$   
 502 and  $p = 0.02$ , respectively). On the other hand, Eck-  
 503 ow-Passow et al. [32] found no correlation between  
 504 PD-L1 expression and site of metastasis.

#### 505 *PD-L1 association with other putative* 506 *biomarkers*

507 PD-L1 expression is associated with expression  
 508 of several other biomarkers in mcrRCC. Eckow-  
 509 Passow et al. [32] found that all PD-L1+ primary  
 510 tumors ( $n = 22$ ) in their analysis also expressed PD-1,  
 511 and the overall association between PD-1 and PD-  
 512 L1 in primary tumors was significant ( $p = 0.042$ ).

513 Similarly, 18 of 19 PD-L1+ metastatic tumors also  
514 expressed PD-1, and the overall association between  
515 PD-1 and PD-L1 in metastatic tumors was significant  
516 ( $p < 0.0001$ ). Ueda et al. [30] determined significant  
517 positive associations between PD-L1 positivity and  
518 CD4+ tumor infiltrating lymphocytes (TILs) ( $p <$   
519  $0.0001$ ), CD8+ TILs ( $p = 0.0328$ ), and FOXP3+ TILs  
520 ( $p = 0.0033$ ), but no association with CD20+ TILs  
521 ( $p = 0.5628$ ). Notably, Choueiri et al. [16] found that  
522 patients who were both PD-L1+ and had high intra-  
523 tumor CD8+ cell counts had the worst OS with either  
524 pazopanib or sunitinib compared to any other  
525 combination of PD-L1 and CD8 status. Addition-  
526 ally, Flaifel et al. [17] found that PD-L1 expression  
527 was greater in MET-positive tumors compared to  
528 MET-negative tumors ( $p = 0.0003$ ). Patients express-  
529 ing either MET, PD-L1, or both had significantly  
530 shorter OS after multivariable analysis (HR 1.35;  
531 95% CI 1.02–1.80,  $p = 0.039$ ) but only a trend towards  
532 decreased PFS (adjusted HR 1.27; 95% CI 0.97–1.65,  
533  $p = 0.078$ ) when compared to patients with no expres-  
534 sion of either protein. Lalani et al. [34] suggested  
535 that MET expression was greater in PD-L1+ tumors  
536 compared to PD-L1- tumors for both primary and  
537 metastatic sites ( $p = 0.34$  and  $p = 0.45$ , respectively),  
538 although these differences were not statistically sig-  
539 nificant. Mischinger et al. [35] found a significant  
540 correlation with increased PD-L1 expression with  
541 metastasectomy ( $p = 0.02$ ) but did not find its expres-  
542 sion level correlating with survival of 44 mcrRCC  
543 patients during long term follow-up. Liu et al. [20]  
544 studied outcomes in mcrRCC patients with various  
545 genetic polymorphisms in PD-L1 and failed to iden-  
546 tify a variant allele significantly associated with PFS  
547 or OS; however, a variant in CTLA-4, CTLA-4  
548 rs231775, showed a significant association with OS  
549 (HR 0.83; 95% CI 0.72–0.95,  $p = 0.008$ ). Ascierto  
550 et al. [36] reported that PD-L1+ tumors expressing  
551 genes involved in metabolic and solute transport func-  
552 tions, such as UGT1A family members, were more  
553 likely to fail treatment with ICIs ( $p = 0.007$ ), while  
554 tumors overexpressing immune markers such as BA  
555 CH2 ( $p = 0.027$ ) and CCL3 ( $p = 0.038$ ) were more  
556 likely to have responses to ICI treatments.

#### 557 *PD-L1 expression patterns between primary and* 558 *metastatic sites*

559 Multiple studies have correlated primary tumor  
560 PD-L1 expression with expression in metastatic  
561 sites. Eckow-Passow et al. [32] determined 78%

562 concordance of PD-L1 expression between patient-  
563 matched primary and metastatic tumors (Kappa=  
564 0.27; 95% CI 0.09–0.46). Greater expression was  
565 demonstrated in the primary tumors, and most of the  
566 discordance was related to PD-L1+ primary  
567 tumors with corresponding PD-L1- metastases. Cal-  
568 lea et al. [31] found 79% concordance of PD-L1  
569 expression between patient-matched primary and  
570 metastatic tumors when PD-L1 positivity was defined  
571 as  $> 0\%$  (Kappa = 0.48; 95% CI 0.23–0.74). Concor-  
572 dance was 89% when PD-L1 positivity was defined  
573 as  $\geq 5\%$ . Again, primary tumors had greater PD-L1  
574 expression than their corresponding metastases, and  
575 most of the discordance was related to PD-L1+ pri-  
576 mary tumors with corresponding PD-L1- metastases.  
577 Jilaveanu et al. [37], using Automated Quantitative  
578 Analysis (AQUA) scores to quantify PD-L1 expres-  
579 sion, calculated only a weak correlation ( $R = 0.24$ )  
580 between PD-L1 expression in matched primary and  
581 metastatic specimens. However, in this study, PD-  
582 L1 expression was greater in metastatic tumors than  
583 the corresponding primary tumor, and discordance  
584 was largely due to PD-L1- primary tumors giving  
585 rise to PD-L1+ metastases. Finally, Zhang et al. [33],  
586 in agreement with Jilaveanu et al., determined 67%  
587 concordance between patient-matched primary and  
588 metastatic tumors with greater PD-L1 expression in  
589 metastatic tumors than the corresponding primary  
590 tumor ( $\chi^2 = 4.66$ ,  $p = 0.03$ ).

591 Intra-tumor heterogeneity of PD-L1 expression  
592 was evaluated in several studies. Jilaveanu et al.  
593 [37] calculated composite median absolute deviation  
594 (MAD) scores for individual tumors by utilizing tis-  
595 sue microarray (TMA) cores as surrogates for core  
596 biopsy specimens. MAD scores varied widely, with  
597 some tumors showing almost no heterogeneity to  
598 others with a high degree of heterogeneity. Overall,  
599 there was no difference in heterogeneity between pri-  
600 mary and metastatic tumors ( $p = 0.48$ ). Callea et al.  
601 [31] found that both primary and metastatic tumors  
602 had high degrees of heterogeneity for PD-L1 posi-  
603 tivity. Additionally, PD-L1 expression was found to  
604 be significantly positively associated with areas of  
605 Fuhrman nuclear grade 3 or 4 versus areas of grade 1  
606 or 2 ( $p < 0.001$ ). Primary tumors were more likely  
607 than metastatic tumors to have both areas of low  
608 nuclear grade and high nuclear grade; therefore, PD-  
609 L1 heterogeneity was greater in primary tumors than  
610 metastatic tumors.

611 There is limited data for inter-tumor heterogene-  
612 ity of PD-L1 expression for patients with multiple  
613 metastatic sites. Eckow-Passow et al. [32] found that

614 seven of 36 patients with multiple metastatic sites  
615 had discordant PD-L1 expression between tumors.  
616 Callea et al. [31] found that only one of 14 patients  
617 with multiple metastatic sites had discordant PD-L1  
618 expression between metastases.

## 619 DISCUSSION

620 In this systematic review, we summarize the stud-  
621 ies reporting on PD-L1 expression in mcrRCC, its  
622 utility as a prognostic and predictive marker with  
623 various treatments including ICIs and its associa-  
624 tion with pathologic features and other putative  
625 biomarkers. In all trials included in this review, PD-  
626 L1+ patients treated with ICIs or ICI combinations  
627 with TKIs had significantly improved ORR and PFS  
628 compared to those treated with sunitinib (Table 2).  
629 However, among patients known to be PD-L1-, there  
630 was no significant difference in PFS between treat-  
631 ment arms in any study, but there remained a positive  
632 correlation between ICI therapy and improved PFS  
633 (Table 2). This suggests that all patients may poten-  
634 tially benefit from ICIs, but PD-L1+ patients may  
635 derive the greatest benefit, particularly in terms of  
636 PFS. Differences in ORR for PD-L1- patients were  
637 more variable. JAVELIN Renal 101 [9] reported  
638 significantly improved ORR with ICI plus TKI ver-  
639 sus sunitinib in patients with PD-L1- disease, while  
640 CheckMate 214 [7] reported a statistically nonsignifi-  
641 cant improvement in ORR with ICIs versus sunitinib.  
642 In contrast, IMmotion 151 found no significant differ-  
643 ence in ORR between treatment arms in this subgroup  
644 [10]. Notably, we also report several studies which  
645 evaluated predictive value of PD-L1 expression for  
646 treatment with frontline VEGF-TKI. For all trials  
647 included in this analysis, PD-L1 positivity was a reli-  
648 able predictor of worse PFS and/or ORR when treated  
649 with traditional VEGF-TKI therapy.

650 The prognostic value of PD-L1 is more difficult  
651 to assess based on results from these clinical trials  
652 as the reported survival outcomes were treatment-  
653 specific and did not strictly compare outcomes in  
654 PD-L1+ and PD-L1- patients. The included phase 2  
655 and phase 3 trials showed that mcrRCC patients had  
656 improved OS when receiving immunotherapy combi-  
657 nations versus sunitinib, but this benefit was generally  
658 maintained in both PD-L1+ and PD-L1- patients.  
659 In studies of VEGF-TKIs, PD-L1 positivity was a  
660 reliable poor predictor of outcomes, with OS consis-  
661 tently being shorter for PD-L1+ patients compared  
662 to PD-L1- patients. Thus, there is some evidence

663 suggesting that PD-L1 expression may be a poor  
664 prognostic marker in ccRCC tumors treated with non-  
665 ICI therapies since intact PD-L1 expression allows  
666 them to escape immune surveillance [38]. There-  
667 fore, the currently available data does not allow us to  
668 reliably select mcrRCC patients for immunotherapy  
669 treatment based on solely their PD-L1 status, or to  
670 withhold this treatment from patients whose tumors  
671 do not express PD-L1.

672 In a recent meta-analysis of five phase 3 trials and  
673 one phase 2 trial, which are all included in this sys-  
674 tematic review, differential expression of PD-L1 on  
675 tumor samples could be used to select a subset of  
676 patients who would derive a PFS benefit from admin-  
677 istered treatments [39]. However, a similar subset of  
678 patients who would derive an OS benefit could not be  
679 identified, as both PD-L1+ and PD-L1- patients had  
680 superior outcomes with immunotherapy treatments  
681 and there was not a statistically significant differ-  
682 ence in OS between these two groups. The search  
683 for other potential biomarkers continues [40], but  
684 efforts to find biomarkers predicting efficacy with  
685 anti-angiogenic therapy have overall yielded mixed  
686 results [41]. Various circulating biomarkers may have  
687 the potential to guide therapies in mcrRCC [42].  
688 One notable phase 2 trial, BIONIKK, is an active  
689 biomarker-driven trial in mcrRCC patients receiving  
690 either nivolumab, nivolumab with ipilimumab, or a  
691 TKI [43]. In this trial, patients were divided into four  
692 molecular subgroups of mcrRCC based on a gene  
693 signature and were randomized to receive nivolumab  
694 versus nivolumab with ipilimumab versus sunitinib  
695 or pazopanib, and promising preliminary results pre-  
696 sented at the 2020 European Society for Medical  
697 Oncology Virtual Congress suggested molecular pro-  
698 filing of mcrRCC to guide treatment is plausible and  
699 feasible [44].

700 The following studies have suggested that inc-  
701 creased PD-L1 expression in primary ccRCC tumors  
702 is associated with increased aggressiveness and poor  
703 prognosis. Thompson et al. [45] were among the first  
704 to demonstrate that PD-L1 expression was an indic-  
705 ator for tumor aggressiveness and an important poten-  
706 tial treatment target. Among 196 primary ccRCC  
707 specimens, PD-L1 expression was measured in TCs  
708 and/or ICs, and patients with high tumor and/or lym-  
709 phocyte expression of PD-L1 were 4.5 times more  
710 likely to die. PD-L1 expression was also associated  
711 with larger primary tumor size and nuclear grade  
712 ( $p < 0.01$ ). The authors later supported their findings  
713 with a larger study that used 306 patient specimens  
714 with a longer median follow-up [46]. Leite et al.

[47] concluded from 115 primary ccRCC specimens that PD-L1 expression was significantly correlated with a higher nuclear Fuhrman grade ( $p=0.021$ ) and microvascular invasion ( $p=0.039$ ). Similarly, Abbas et al. [48] analyzed 177 primary ccRCC samples and determined that PD-L1 expression was associated with lymph node metastasis ( $p=0.004$ ) and distant metastasis ( $p=0.002$ ), higher stage ( $p=0.004$ ) and advanced disease ( $p<0.001$ ). Our literature review of PD-L1 expression and aggressive features in metastatic disease largely agreed with the above for localized disease. PD-L1 expression was significantly associated with increased Fuhrman or ISUP nuclear grade. Additionally, PD-L1 expression in mcrRCC may be positively associated with increased sarcomatoid features, advanced T stage, primary tumor size, IMDC or MSKCC risk classification, and the presence of multiple metastases, although these associations are less clear [18, 19, 21, 30–34, 45–48].

We additionally discussed studies revealing associations between PD-L1 expression and expression of other biomarkers in mcrRCC patients. Eckow-Passow et al. [32] determined that nearly all PD-L1+ tumors, both primary and metastatic, concurrently expressed PD-1 ( $n=22$ ). Additionally, several studies have determined that tumors expressing c-Met, a pro-oncogenic tyrosine-protein kinase, express PD-L1 to a greater degree than do c-Met negative tumors. This would suggest that c-Met positive patients may benefit from ICI therapy, and potentially from combinations of ICI and c-Met inhibitors like cabozantinib. The combination was recently investigated in the Checkmate 9ER trial showing a benefit of nivolumab/cabozantinib combination relative to sunitinib in treatment-naïve mcrRCC, although full results have not yet been reported [49].

PD-L1 expression in non-ccRCC (nccRCC) is much less studied due to lower prevalence compared to ccRCC. Choueiri et al. [50] were among the first to evaluate PD-L1 expression in tumor cell and tumor-infiltrating mononuclear cells of 110 nccRCC patients; results were limited based on several different types of nccRCC used in the study, and PD-L1 expression varied by tumor type, but PD-L1+ nccRCC had a trend towards higher tumor stage and grade and worse clinical outcomes. On the other hand, Abbas et al. [51] concluded from 64 nccRCC cases that PD-L1+ tumors had a trend for increased overall survival, and in general PD-L1 positivity did not affect tumor aggressiveness or clinical impact. Larger studies on nccRCC are needed to make stronger conclusions.

No standard exists for the optimal PD-L1 assay, the optimal cutoff for determining positivity of PD-L1 status, and whether TCs, ICs, or both should be used in determining PD-L1 expression. This pattern is seen across clinical trials presented in our review, and in other malignancies like lung and bladder cancers where PD-1/PD-L1 is also an established target. In a meta-analysis of diagnostic accuracy, in which most studies evaluated were for non-small cell lung cancer, laboratory-developed assays were comparable to the original Food and Drug Administration-approved assay [52]. In another study of four PD-L1 assays (VENTANA SP142 and SP263, and DAKO 22C3 and 28-8) with whole tissue section slides in ccRCC, there was reproducibility of IC positivity results among all four assays and TC positivity in three of the four assays [53]. Still despite the similarities, such comparisons must be interpreted with caution given the different scoring systems and cutoffs used to determine PD-L1 positivity.

It is important to point out there are different methods to prepare tumor specimens for PD-L1 staining, which include TMAs and whole tissue section. TMAs are produced with small punches from different tissue blocks, and therefore tissue from multiple blocks or patients can be studied with the same slide [54]. The heterogeneity of PD-L1 expression in malignancy and staining results produced by TMAs may produce misleading false positive or negative results [55, 56]. In this systematic review, four articles explicitly stated the use of TMAs to determine PD-L1 status [18, 31, 32, 34].

There are numerous limitations in this systematic review. While performing the search, it was evident that there were not enough studies involving only metastatic RCC or clear cell RCC to obtain meaningful data. Inclusion cutoffs with minimum 75% ccRCC and 50% metastatic cases were then applied. Therefore, some studies presented included non-metastatic cases and/or cases with non-clear cell RCC subtypes. Additionally, several clinical trials are currently ongoing, and preliminary results have been published in abstracts which were excluded from our analysis. As discussed above, there was also considerable inter-study heterogeneity for both the definition of PD-L1+ as well as the test used to determine PD-L1 expression.

In summary, despite the different methods used to assess PD-L1 status, multiple studies have demonstrated that PD-L1 positivity is associated with a more aggressive disease course in mcrRCC. PD-L1+ patients are likely to respond poorly to VEGF-TKIs

819 compared to PD-L1- patients. On the other hand,  
 820 PD-L1+ patients appear to respond better to anti-  
 821 PD-1/PD-L1 agents compared to PD-L1- patients,  
 822 although both groups benefit significantly with ICI  
 823 combination treatments over sunitinib. Although inc-  
 824 creased PD-L1 expression appears predictive of res-  
 825 ponse to checkpoint inhibitors, the use of PD-L1 as a  
 826 prognostic marker for mcrRCC remains unresolved.  
 827 More studies of this complex but highly relevant  
 828 clinical topic are needed as the search for optimal  
 829 biomarkers continues.

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### 837 CONFLICT OF INTEREST

838 PCB has served in a consulting or advisory role for  
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