

Review

Stomaching the Possibility of a Pathogenic Role for *Helicobacter pylori* in Parkinson's Disease

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Abstract. While a small subset of Parkinson's disease cases have genetic causes, most cases are sporadic and may have an environmental contributor that has largely remained enigmatic. Remarkably, gastrointestinal symptoms in PD patients serve as a prodrome for the eventual motor dysfunctions. Herein, we review studies exploring a possible link between the gastric human pathogen *Helicobacter pylori* and PD. We provide plausible and testable hypotheses for how this organism might contribute to PD: 1) a toxin(s) produced by the bacteria; 2) disruption of the intestinal microbiome; 3) local inflammation that crosses the gut-brain axis, leading to neuroinflammation; and 4) manipulation of the pharmacokinetics of the PD drug levodopa by *H. pylori*, even in those not receiving exogenous levodopa. Key findings are: 1) people with PD are 1.5-3-fold more likely to be infected with *H. pylori* than people without PD; 2) *H. pylori*-infected PD patients display worse motor functions than *H. pylori*-negative PD patients; 3) eradication of *H. pylori* improves motor function in PD patients over PD patients whose *H. pylori* was not eradicated; and 4) eradication of *H. pylori* improves levodopa absorption in PD patients compared to that of PD patients whose *H. pylori* was not eradicated. Evidence is accumulating that *H. pylori* has a link with PD, but the mechanism is unclear. Future work should explore the effects of *H. pylori* on development of PD in defined PD animal models, focusing on the roles of *H. pylori* toxins, inflammation, levodopa absorption, and microbiome dysbiosis.

Keywords: Parkinson's disease, *Helicobacter pylori*, inflammation, microbiome, levodopa

Parkinson's disease (PD) is the second most common neurodegenerative disease in the world and causes motor dysfunction symptoms such as tremor, posture instability, rigidity, and bradykinesia. This neurodegenerative disease is characterized by loss of dopaminergic neurons in the brain and accumulation of neuronal cytoplasmic inclusions, Lewy bodies, composed of aggregated alpha-synuclein. A pro-

drome of PD is pathophysiology of the gut manifested by GI symptoms such as dyspepsia, hypersalivation from decreased swallowing, constipation, defecatory dysfunction, fecal incontinence, nausea, and abdominal pain [1–4]. Of these, constipation and defecatory dysfunction precede motor manifestations in PD patients [3], suggesting that the disease may initiate in the gut and subsequently spreads to the brain along the brain-gut axis. This progression was recently documented in rats, where injection of alpha-synuclein fibrils into the gut of healthy rats triggered pathology within the vagus nerve and brainstem [1, 5]. The loss

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in PD of myenteric dopaminergic neurons with presence of GI alpha-synuclein deposits and Lewy bodies, and the associated constipation and other gastrointestinal symptoms, suggest that the gastrointestinal tract is a key anatomical focus in the development of PD pathology [6, 7].

While genetic factors clearly contribute to the development of PD, much of the variance is likely due to environmental factors that have remained enigmatic. One intriguing environmental factor for PD that has gained attention in recent years is the gastric pathogen *Helicobacter pylori* [8–10]. *H. pylori* chronically infects half the world's population, causing gastritis, ulcers, gastric adenocarcinoma and MALT lymphoma, accompanied by an array of gastrointestinal symptoms. The abundance of *H. pylori* in humans has prompted examination of the role of this pathogen in extra-gastric diseases [10].

Remarkably, the link between PD and ulcers predates the identification of *H. pylori* as the causative agent of gastritis and ulcer formation [11]. Schwab first described an increased occurrence of ulcers in patients with PD and suggested that these ulcer patients were more vulnerable to PD than ordinary people of the same age [12]. Since 1922 vagotomy surgeries were long of major importance in the treatment of peptic ulcer disease prior to the discovery of *H. pylori* and the advent of triple drug therapy for *H. pylori* infection [13]. Intriguingly, removal of part of the vagus nerve (truncal vagotomy) is also associated with a decreased risk for subsequent PD [13–15], suggesting a link between PD and the gut along the vagus nerve. A follow-up study demonstrated that a group of 200 consecutive PD patients had 14% higher incidence of ulcers (determined by surgery or X-ray) than 200 age and sex-matched controls (4%), and ulceration preceded parkinsonian symptoms by about 10 years [16].

In the first epidemiologic study directly looking for *H. pylori*, PD patients ($n=33$) had a three-fold elevated risk of testing seropositive for *H. pylori* versus controls ($n=78$) [17]. Intriguingly, the non-PD siblings ($n=39$) of PD patients had elevated risk for bradykinesia of gait and hands, abnormal posture and other PD-like symptoms and also had a 3-fold increased risk of *H. pylori* seropositivity [17]. The data suggest that *H. pylori* transmission within families can increase the risk of developing parkinsonian symptoms. Similarly, a larger study ($n=315$) found a two-fold increased prevalence of *H. pylori* seropositivity in PD patients [18]. An extensive meta analysis involving 33,000 patients reported a

1.5-2-fold increased risk of developing PD if infected with *H. pylori* [19]. A more recent retrospective study involving 9,105 *H. pylori* infected and 9,105 matched uninfected controls found a similar 2-3-fold increased risk of PD in the *H. pylori* infected group [20]. Thus, chronic *H. pylori* infection may predispose people to idiopathic PD [18, 21–23]. The prevalence of *H. pylori* infection in PD patients is 32–70% in different studies involving ~50–100 patients [24]. While there are clearly PD patients lacking *H. pylori* infection, it appears *H. pylori* may exacerbate PD symptoms and act as a potentiator of the disease.

The widely used PD drug levodopa (L-dopa) is converted to the neurotransmitter dopamine by dopa decarboxylase, thereby elevating dopamine levels in the brain. Dopamine has gastroprotective effects in humans and in animal models, protecting against ulcer formation [25–27]. Interestingly, *H. pylori* positive patients ($n=53$) showed poorer motor responses to levodopa treatment than *H. pylori* negative patients ($n=48$), as assessed by the Unified Parkinson's Disease Rating Scale scores (UPDRS-III) [28, 29]. Moreover, *H. pylori* eradication elevates levodopa in plasma of PD patients [30]. It was observed that *H. pylori* adhesins directly bind to levodopa, sequestering it away from human brain absorption, thereby reducing effectiveness of levodopa treatment for PD [31–34]. Remarkably, *H. pylori* uses levodopa *in vitro* as a growth supplement in an iron-restricted medium [34], suggesting *H. pylori* converts this phenylalanine/tyrosine derivative into a functional amino acid for its metabolism. Thus, the pharmacokinetics of levodopa may be altered by *H. pylori*, though the mechanism is not well understood [35].

PD patients ($n=33$) that are already infected with *H. pylori* have worse motor functions than *H. pylori* negative PD patients ($n=69$) [36]. In several clinical studies, eradication of *H. pylori* with triple drug therapy improved motor responses in PD patients [28, 29, 33, 37–41]. For example, Dobbs, et al., found that stride increased in PD patients eradicated for *H. pylori* over that of PD patients given placebo, and in routine practice [38, 39]. In double-blind trials of biopsy-proven *H. pylori* eradication [39], improved hypokinesia was independent of any (stable, long $t_{1/2}$) anti-parkinsonian medication, and receipt of levodopa was an exclusion. Antimicrobial treatment to eliminate *H. pylori* infection has also been shown to improve L-dopa absorption in the gut [29, 30, 33, 37, 40, 41]. For example, Pierantozzi, et al., found that *H. pylori* eradicated PD patients ($n=17$) showed a significant increase in gut L-dopa absorption, in

contrast with PD patients ($n=17$) given placebo, which had worsening gastritis scores and impaired L-dopa absorption [33]. When antimicrobials for non-*H. pylori* indications ($n=75$ courses) were given, PD patients failed to show motor improvements [38]. A large Danish study also found *H. pylori* eradication therapy altered PD risk but gastritis and peptic ulcers were not associated with PD [23]. In contrast with this study, a large Taiwanese study (9,105 *H. pylori* infected and 9,105 uninfected controls) found that eradication therapy for *H. pylori* did not reduce the risk of PD, despite the presence of *H. pylori* itself being found as a risk factor for PD [20]. Clearly, more epidemiology studies are warranted to unravel these discrepancies.

The mechanism of the association between *H. pylori* and PD is not well understood but is likely multifactorial. First, *H. pylori* may produce bacterial factors that are CNS toxic. For example, Altschuler speculated that *H. pylori* could be synthesizing a PD-inducing toxin, akin to methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) [8], a compound long used to induce PD in animal models. *H. pylori* is known to produce several toxins, such as the vacuolating cytotoxin, VacA and cytotoxin-associated gene encoding CagA. Indeed, Weller et al. found that the predicted probability of being labeled parkinsonian is associated with CagA immunoblot seropositivity [21]. Additionally, a 58 kDa *H. pylori* antigen is detected in cerebrospinal fluid of patients with meningitis, indicating that this antigen can cross the blood-brain barrier (BBB) [42]. Additionally, *H. pylori* glycosylation of host cholesterol could be toxic [43]. These cholesteryl glucosides bear striking resemblance to the cycad toxin from the seeds of plants from the genus *Cycas* that cause a PD-like disease in rats [44, 45]. At least three distinct cholesteryl glucosides [46] are generated by the *H. pylori* enzyme cholesteryl glycosyltransferase (Cgt) encoded by the *cgt* gene; *cgt* mutants lack all three CGs [43] but still can take up host cholesterol. *H. pylori* grown in the absence of cholesterol completely fail to colonize gerbils, emphasizing the importance of cholesterol for *H. pylori* *in vivo* [47]. These cholesteryl glucosides can be potentially detected by cholesterol re-uptake receptors in the intestine, where they can ultimately cross the BBB and cause direct neurotoxicity [48]. Indeed, similar compounds can trigger apoptosis of neurons in a dose and time-dependent fashion [49], damage mitochondria by adversely affecting reactive oxygen species levels and respiration [50], and mediate neuropathology in mice [51]. Thus, cholesteryl

glucosides may directly act as neurotoxins in PD. *H. pylori* conversion of cholesterol to cholesteryl glucosides, coupled with its ability to steal cholesterol from host cells should be explored further as a potential contributor to toxicity of PD. *H. pylori* infection could also trigger aggregation of pathological alpha-synuclein in the gastric nerve endings, leading to centripetal spreading of Lewy pathology from the gut to the brain (dual hit hypothesis) [52]. None of the *H. pylori* putative toxins have been directly assessed for contribution to PD pathology or alpha-synuclein aggregation.

The second possibility is that *H. pylori* triggers a massive inflammatory response in the stomach and this response may become systemic and cross the BBB, leading to exacerbation of PD symptoms and pathology [53]. The immune response to *H. pylori* antigens that molecularly mimic those found on host cells could lead to autoantibody responses [53, 54]. For example, *H. pylori* lipopolysaccharide contains fucosylated Lewis antigens that molecularly mimic human cell surface glycoconjugates, and these bacterial antigen levels change in response to culturing the organism in cholesterol [47]. Indeed, a recent study demonstrated that *H. pylori* positive PD patients ($n=30$) contained 13 autoantibodies (eight up-regulated, five down-regulated) lacking in age and gender-matched *H. pylori* negative PD controls ($n=30$) [55]. *H. pylori* chronic infection thus may lead to bacterial antigens that trigger an autoimmune response that ultimately signals to the brain immune system; alternatively, antigens may gain direct access to the brain, causing direct neuronal damage [6].

First reported by James Parkinson in the early nineteenth century, post-mortem and neuroimaging studies have collectively suggested that chronic neuroinflammation characterized by microglial activation and pro-inflammatory mediators (cytokines and chemokines) is associated with the pathophysiology of PD [56]. Furthermore, microglial activation is always tightly related to alpha-synuclein pathology [57, 58], even in the absence of neurodegeneration. In multiple genetic animal models of PD, early microglial activation has been consistently observed, accompanied by progression of neuronal dysfunction and non-motor symptoms, and always precedes motor deficits and nigra dopaminergic neuron degeneration [59–61]. It has been proposed that systemic inflammation can cause the activation of the local neuroimmune system in the diseased brain. However, its impact on disease progression remains controversial. Recently, there is accumulating evidence that

BBB dysfunction is involved in the course of PD [62, 63]. During systemic inflammation, inflammatory cytokines and chemokines are quickly secreted from blood monocytes and macrophages. These proinflammatory cytokines and neurotoxic substances could enter the brain and stimulate microglia to initiate neuroinflammatory reactions. Therefore, it is possible that *H. pylori* chronic infection may lead to a “leaky” BBB that stimulates microglia activation, contributing to PD disease progression.

The third possibility is that *H. pylori* occasionally can reside intracellularly [64] and may hitch a ride inside monocytes or other cell types that cross the BBB, directly seeding the bacteria into the brain. However, thus far, no evidence exists that *H. pylori* is found in the brain, as the bacteria rarely are found in the bloodstream, and only scant evidence links the bacterium with meningitis [42].

The fourth possibility is that *H. pylori* infection causes dysregulation of the gut microbiota [65], thereby changing the microbiome signature, which in turn affects the gut metabolism, neuroendocrinology, and immune responses [1, 53]. This dysregulated gut microbiome may lead to altered inflammatory mediators that predispose to PD as part of the brain-gut axis interactions [1, 21, 22, 53, 66]. This dysregulation could occur through over-stimulation of innate immunity from gut microbe dysbiosis, changes in blood leukocyte subtype counts, or systemic inflammation mediated by small intestinal bacterial overgrowth, or activation of enteric neurons that contribute to alpha-synuclein aggregation and misfolding [1, 53, 67]. For example, brady/hypokinesia and flexor rigidity are worse the higher the natural killer (NK) cell count. Increased brady/hypokinesia was noted with *Helicobacter*-positivity, over and above that explained by NK count; tremor is worse with lower neutrophil counts [67].

The gut microbiota are known to affect BBB permeability [68]. A progressive mouse model of PD over-expressing full-length, human wild-type alpha-synuclein under the Thy-1 promoter [69] demonstrated that gut microbiota are required for alpha-synuclein pathology, GI pathology and motor dysfunction, while antibiotic treatment reversed these deficits [70]. Moreover, transplantation of gut flora from PD humans into mice enhances motor deficits not observed when gut flora from healthy controls is transplanted [70]. Others have also suggested gut microbiota dysfunctions in PD patients and a role of the gut microbiota in the brain-gut axis [4, 71–75], but the various studies do not reach a common consensus

on what constitutes the PD gut microbiota signature. Many variables, such as diet, smoking, race, gender, and age influence the gut microbiome, complicating interpretation of the data. Additionally, specific gut species other than *H. pylori* have yet to be rigorously assessed for association with PD.

In a large metagenomic study involving 72 PD patients and 72 healthy controls, clear differences in gut microbiota were identified and associated with PD patients [76]. Indeed Dobbs, et al., found hydrogen-breath-test-positivity in PD to be inversely associated with *Helicobacter* positivity, and have reported incident overgrowth following *H. pylori* eradication [39, 67]. Additionally, the gut metabolome in PD patients, featuring changes in metabolites such as short-chain fatty acids, folate and vitamin B12, may alter the gut inflammatory milieu in favor of PD [53]. Taken together, strong data are emerging that the wrong gut microbiota can contribute to neurological disorders such as PD; *H. pylori* may be a major contributor of gut dysbiosis, but more evidence is needed.

CONCLUSION

Evidence for a strong association among *H. pylori* chronic infection, peptic ulceration and exacerbation of PD symptoms is accumulating. However, the hypotheses that *H. pylori* infection is a predisposing factor, disease progression modifier, or even a direct cause of PD remain largely unexplored. The key double-blind study of *H. pylori* eradication [39] does provide Grade 1b evidence of a causative link. Additional experimentation is clearly warranted to address these possibilities. *H. pylori* is a highly variable organism with numerous genotypic differences, and it is likely that only certain genotypes are associated with PD in humans, but this area remains almost entirely unexplored. Key experiments need to be conducted to examine: 1) the interaction of *H. pylori* with human neurons; 2) the role of *H. pylori* toxins such as VacA, CagA and cholesteryl glucosides in PD readouts in mice and human neurons; 3) the mechanisms underlying the interaction of *H. pylori* with L-dopa; 4) how the gut and systemic inflammatory responses to *H. pylori* infection contribute to PD in neurons and mouse models; 5) how *H. pylori* affects motor functions in established mouse models of PD; 6) the role of *H. pylori* in altering the gut microbiota towards a PD-like microbiota; and 7) the role of *H. pylori* in human or mouse parkinsonian motor

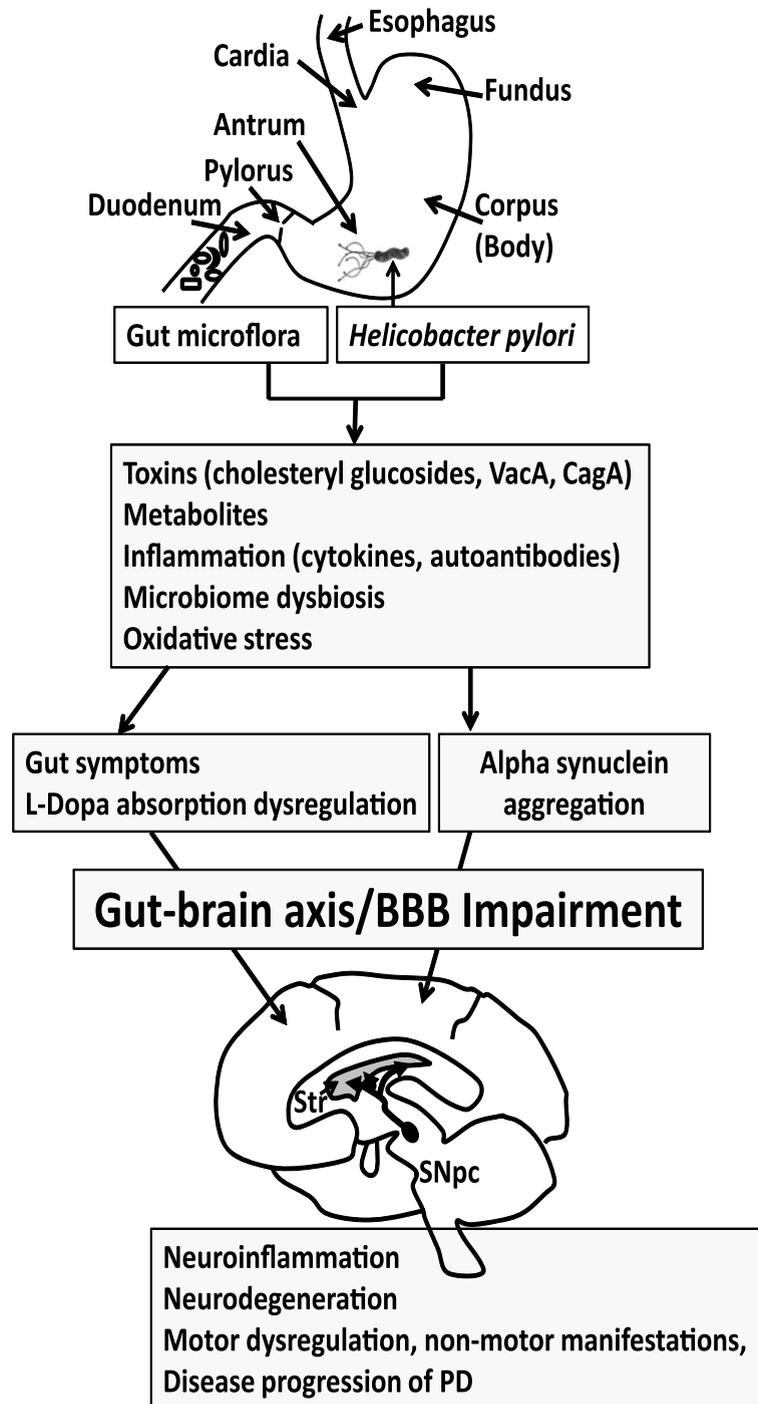


Fig. 1. Progression of Parkinson's disease (PD). Gut microflora and *Helicobacter pylori* may produce toxins and metabolites and trigger an immune response that features cytokines and autoantibodies. The gut microbiome may become dysregulated. Reactive oxygen species may also contribute to the pathogenesis of PD. Collectively this may lead to GI symptoms and altered L-dopa absorption in PD patients taking L-dopa medication, reducing effectiveness of the medication. The GI symptoms and inflammatory process may become systemic to compromise the BBB, eliciting neuroinflammation in the brain. Alpha synuclein aggregation, which is observed both in the gut and brain, leads to the spreading of neuropathology. The loss of dopaminergic neurons in the substantia nigra pars compacta (SNpc) manifests as motor symptoms observed in PD patients. Thus, eradication of *H. pylori* or return of the gut microflora to the proper balance may ameliorate gut symptoms, L-dopa absorption and motor functions in PD patients, which could delay PD disease progression. Str, Striatum.

functions, L-dopa absorption and reversal upon *H. pylori* eradication.

The gut is being increasingly considered as a critical focal point in the pathology of PD. This gut pathology may be multifactorial, involving *H. pylori*, intestinal microflora, inflammation, misfolding of alpha-synuclein in the gut and brain, cholesterol and other metabolites, and potential neurotoxins from bacteria or dietary sources (Fig. 1). Eradication of *H. pylori* or return of the gut microflora to the proper balance in PD patients may ameliorate gut symptoms, L-dopa absorption and motor functions.

CONFLICT OF INTEREST

The authors have no conflict of interest to report.

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