

## Review

# Broader Insights into Understanding Tumor Necrosis Factor and Neurodegenerative Disease Pathogenesis Infer New Therapeutic Approaches

Ian Albert Clark<sup>a,\*</sup> and Bryce Vissel<sup>b,c</sup>

<sup>a</sup>*Research School of Biology, Australian National University, Canberra, Australia*

<sup>b</sup>*Centre for Neuroscience and Regenerative Medicine, Faculty of Science, University of Technology, Sydney, Australia*

<sup>c</sup>*St. Vincent's Centre for Applied Medical Research 405 Liverpool St, Sydney, Australia*

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### Abstract.

Proinflammatory cytokines such as tumor necrosis factor (TNF), with its now appreciated key roles in neurophysiology as well as neuropathophysiology, are sufficiently well-documented to be useful tools for enquiry into the natural history of neurodegenerative diseases. We review the broader literature on TNF to rationalize why abruptly-acquired neurodegenerative states do not exhibit the remorseless clinical progression seen in those states with gradual onsets. We propose that the three typically non-worsening neurodegenerative syndromes, post-stroke, post-traumatic brain injury (TBI), and post cardiac arrest, usually become and remain static because of excess cerebral TNF induced by the initial dramatic peak keeping microglia chronically activated through an autocrine loop of microglial activation through excess cerebral TNF. The existence of this autocrine loop rationalizes post-damage repair with perispinal etanercept and proposes a treatment for cerebral aspects of COVID-19 chronicity. Another insufficiently considered aspect of cerebral proinflammatory cytokines is the fitness of the endogenous cerebral anti-TNF system provided by norepinephrine (NE), generated and distributed throughout the brain from the locus coeruleus (LC). We propose that an intact LC, and therefore an intact NE-mediated endogenous anti-cerebral TNF system, plus the DAMP (damage or danger-associated molecular pattern) input having diminished, is what allows post-stroke, post-TBI, and post cardiac arrest patients a strong long-term survival advantage over Alzheimer's disease and Parkinson's disease sufferers. In contrast, Alzheimer's disease and Parkinson's disease patients remorselessly worsen, being handicapped by sustained, accumulating, DAMP and PAMP (pathogen-associated molecular patterns) input, as well as loss of the LC-origin, NE-mediated, endogenous anti-cerebral TNF system. Adrenergic receptor agonists may counter this.

Keywords: Alzheimer's disease, cardiac arrest survival, locus coeruleus, neurological COVID-19, norepinephrine, parkinson's disease, stroke, traumatic brain injury, tumor necrosis factor

## INTRODUCTION

Despite possessing a predisposition for developing Alzheimer's disease (AD) or Parkinson's disease (PD), as discussed later, survivors of stroke, traumatic brain injury (TBI), and cardiac arrest have a much

\*Correspondence to: Ian Clark, Research School of Biology, Australian National University, Canberra, Australia. Tel.: +61 261254363; E-mail: ian.clark@anu.edu.au.

35 better long-term prognosis than do individuals pri- 86  
36 marily developing one of this pair of gradual onset 87  
37 states. Nevertheless all five conditions have much 88  
38 in common, including certain symptoms and signs,  
39 changes in laboratory or tissue markers, and ther- 89  
40 apeutic response, in either experimental models or 90  
41 patients, to anti-tumor necrosis factor (TNF) biolog- 91  
42 icals [1]. What they do not share are their speed of 92  
43 onset, this being abrupt in stroke, TBI, and cardiac 93  
44 arrest—albeit stepwise with the accumulating conse- 94  
45 quences of chronic traumatic encephalopathy arising 95  
46 from repeated head injuries in contact sports—while 96  
47 profoundly gradual in AD and PD. The onset in 97  
48 the former group is self-evidently a consequence of 98  
49 an abruptly-acquired brain catastrophe arising from 99  
50 some sudden combination of hypoxia, ischemia, and 100  
51 tissue injury in a hitherto normal brain, implying 101  
52 a normally functional locus coeruleus (LC), and 102  
53 thus, as discussed later, an intact norepinephrine 103  
54 (NE)-mediated endogenous anti-cerebral TNF. This 104  
55 rapidity is clearly very different from the pernicious 105  
56 onset of AD and PD, in which cerebral change may 106  
57 well have been present long before its clinical mani- 107  
58 festation. The other shared distinctive feature of this 108  
59 abrupt-onset trio is their consequences for the rest 109  
60 of the patient’s life. Typically, should the initial few 110  
61 weeks severe of illness be weathered, the degree of 111  
62 clinical severity lessens and becomes relatively stable, 112  
63 albeit often very limiting, for many years. This is 113  
64 quite distinct from the gradual but remorseless wors- 114  
65 ening that characterizes AD and PD, as well as other, 115  
66 rarer, neurodegenerative conditions. These group dis- 116  
67 tinctions are accepted as common knowledge, but, so 117  
68 far as we are aware, their origin has not been formally 118  
69 addressed. Here we propose that the logic of the 119  
70 inflammatory theory of the pathogenesis of these 120  
71 disease states now makes it possible to compare them 121  
72 more accurately than was possible before. 122

73 Moreover, we argue that understanding the patho- 123  
74 genesis of post-stroke, TBI, and cardiac arrest 124  
75 syndromes in these terms has wide ramifications. For 125  
76 example, sufficient time has now passed since the 126  
77 onset of the COVID-19 pandemic for several long- 127  
78 term debilitating cerebral effects of the virus to have 128  
79 been clearly identified and described. On the basis 129  
80 of the reasoning put forward in the present text, we 130  
81 propose below the case for etanercept, the specific 131  
82 anti-TNF biological, administered via the perispinal 132  
83 route, a method to get large molecules around the 133  
84 blood-brain barrier, [2–4] being a logical treatment 134  
85 for neurological aspects of COVID-19, as it is proving 135  
to be for post-stroke syndromes. 136

## 86 **RAPID-ONSET DISEASE STATES** 87 **PREDISPOSE TO THOSE WITH** 88 **GRADUAL ONSETS**

89 The shared basic biology across these neurode- 90  
91 generative conditions can be inferred from reports 92  
93 that affliction by one of these abrupt-onset states 94  
95 predispose to subsequently developing other from 96  
97 this group with a slow onset. Examples include 98  
99 TBI making patients more likely to be subsequently 100  
101 diagnosed with AD [5–7] or with PD [7–9]. Stroke 102  
103 predisposing to AD [10], and PD to stroke [11], are 104  
105 also in the literature. Despite previous arguments 106  
107 to the contrary, the point has been recently made, 108  
109 from two independent sources [12, 13], that chronic 110  
111 traumatic encephalopathy may, rather than inevitably 112  
113 will, lead to one of the terminal neurodegenerative 114  
115 states. The case has also been made that cardiac 116  
117 arrest predisposes to subsequent onset of AD [14]. 118  
119 These observations are consistent with successful 120  
121 experimental therapeutic outcomes on each side of 122  
123 this rapid/gradual onset rate divide, with anti-TNF 124  
125 agents successfully treating experimental stroke 126  
127 [15, 16] and TBI [17] as well as reducing the 128  
129 burden in AD [18] and PD [19] models. This adds 129  
130 credence to earlier post-stroke perispinal etaner- 131  
132 cept case reports [20–22]. The mouse AD data [18] 132  
133 is consistent with earlier etanercept reports in the 133  
134 form of a six months open trial [23], and long-term 134  
135 subcutaneous use for rheumatoid arthritis improving 135  
136 cognition in older patients [24] and reducing AD inci- 136  
137 dence ([25], and Pfizer (unpublished, [https://www.washingtonpost.com/business/economy/pfizer-had-clues-its-blockbuster-drug-could-prevent-alzheimer-s-why-didnt-it-tell-the-world/2019/06/04/9092e08a-7a61-11e9-8bb7-0fc796cf2ec0\\_story.html](https://www.washingtonpost.com/business/economy/pfizer-had-clues-its-blockbuster-drug-could-prevent-alzheimer-s-why-didnt-it-tell-the-world/2019/06/04/9092e08a-7a61-11e9-8bb7-0fc796cf2ec0_story.html))). 137  
138 Similarly, the anti-TNF effects of XPro1595 noted 138  
139 above in a mouse PD model [19] is consistent with a 139  
140 report of anti-TNF treatment for inflammatory bowel 140  
141 disease patients reducing their incidence of PD [26]. 141  
142 One obvious interpretation of these data is, as dis- 142  
143 cussed later, that essentially the same pathophysio- 143  
144 logic is at work in both the rapid and slow onset 144  
145 groups, despite their superficial differences in out- 145  
146 come. Indeed, a TBI/AD sequence of drug develop- 146  
147 ment has been proposed [27, 28] on the argument 147  
148 that any agent that ameliorates TBI is very likely to 148  
149 improve AD. 149

150 Even so, none of the above, or anything else so far 150  
151 as we are aware, addresses why, once afflicted, most 151  
152 patients who survive any of these three acquired brain 152  
153 injuries should, after a degree of improvement over 153  
154 154  
155 155  
156 156

137 the first months, remain stable, whereas those suffering  
 138 from AD or PD remorselessly worsen. Although  
 139 this pair of conditions is evidently more complex  
 140 in their pathophysiology than that of the others,  
 141 there are, as summarized above, similarities in patho-  
 142 physiology as well as shared responses to anti-TNF  
 143 biologicals improving AD [18] and PD [19] mod-  
 144 els. The large number of anti-TNF agents and intense  
 145 competition between patent extension attempts and  
 146 approved biosimilars [29] provides evidence of the  
 147 widescale awareness and perceived importance of  
 148 this cytokine in the pathogenesis of neurodegener-  
 149 ative diseases.

## 150 THE KEY RELEVANCE OF TNF IN ALL 151 THESE CONDITIONS

152 Despite their striking differences in onset and  
 153 course, many characteristics of these two distinctive  
 154 groups of neurodegenerative diseases overlap closely.  
 155 Inflammation involving activated microglia driving  
 156 chronic disease progression is a common theme [30].  
 157 We and others had already reviewed how much effort  
 158 had already been put into understanding the roles of  
 159 TNF in the physiological, and, should homeostatic  
 160 levels be exceeded, pathophysiological cerebral con-  
 161 sequences in the brain [31]. Similarly broad set of  
 162 roles for this cytokine has long been acknowledged  
 163 outside the blood-brain barrier [32]. More recently,  
 164 the first double-blind randomized controlled clinical  
 165 trial of perispinal etanercept for chronic stroke has  
 166 been published [33]. We have also discussed what  
 167 we regard as the unusual phenomenon of agents,  
 168 well-represented in the literature as possessing anti-  
 169 TNF activity, having this attribute ignored in new  
 170 therapeutic research directed towards mouse mod-  
 171 els of (slow-onset) PD [34] and (abrupt-onset) stroke  
 172 and TBI [35]. Specifically, given the extensive links  
 173 in the MCC950 and maroviroc literatures to their  
 174 anti-TNF activity (see [1]), although perhaps advan-  
 175 tageous from a patent-holder perspective, it seems  
 176 scientifically indefensible not to have used specific  
 177 anti-TNF biologicals such as etanercept as a positive  
 178 control in these studies.

179 Studies on the roles of the delta family of phospho-  
 180 inositol 3-kinases (PI3Ks) of cytokine signaling  
 181 pathways independently demonstrate the centrality  
 182 of TNF in models of stroke [36] and AD [37], the  
 183 most common members of the rapid and slow onset  
 184 groups respectively. This has been achieved in a  
 185 stroke model by inhibiting one of this family of

186 kinases, which reduced TNF trafficking and secretion  
 187 of lipopolysaccharide-induced TNF in macrophages  
 188 [38]. The same researchers subsequently showed,  
 189 through a series of *in vitro* approaches using the  
 190 PI3K $\delta$  inhibitor CAL-101 [39], that secretion of TNF  
 191 and other biomarkers of neuroinflammation could  
 192 be reduced in a mouse cerebral stroke model [36].  
 193 Although essentially unable to cross the blood-brain  
 194 barrier [36], this inhibitor nevertheless exhibited *in*  
 195 *vitro* outcomes consistent with the ability, should  
 196 it be administered perispinally to ensure it reaches  
 197 the brain [3] in order to reduce TNF and thus neu-  
 198 roinflammation in a mouse model of AD. As might  
 199 be expected, genetically inactivating this kinase  
 200 produced the same TNF and neuroinflammation out-  
 201 comes *in vivo* as the inhibitor did *in vitro*, as well  
 202 as reducing cognitive decline [37]. Notably, a range  
 203 of other anti- or proinflammatory cytokines were  
 204 unaffected. This is consistent with a new study  
 205 that demonstrates that TNF, but not interleukin-1 $\beta$ ,  
 206 entirely controls metaplastic inhibition of long-term  
 207 potentiation within the CA-1 area of the hippocampus  
 208 in an AD model [40].

209 Changes in Triggering Receptor Expressed on  
 210 Myeloid cells 2 (TREM2) and Apolipoprotein  
 211 epsilon 4 (*APOE4*) positivity, both consistent with  
 212 higher levels of TNF, are associated with both the  
 213 rapid and slow onset conditions discussed here. At  
 214 least one from each onset rate category, stroke and  
 215 AD, are exacerbated when TREM2 is low [41, 42].  
 216 Similarly, stroke [43], TBI [44], AD [45], and PD  
 217 [46] have the same association with *APOE4* sta-  
 218 tus, and the same changes in cerebral biomarkers,  
 219 including increased proinflammatory cytokines lev-  
 220 els, are present. *APOE4* individuals have an enhanced  
 221 innate immunity response by various criteria, includ-  
 222 ing increased TNF generation, after intravenous  
 223 infusion with a TLR4 ligand, bacterial lipopolysac-  
 224 charide (LPS) [47]. Other elements of this cytokine  
 225 framework, such as those entailing Interleukin-1 $\beta$ ,  
 226 Interleukin-17, Interleukin-23, and inflammasomes,  
 227 which can be activated by TNF [48], are omitted here  
 228 for brevity.

## 229 PAMPs, DAMPs, AND THE TNF CASCADE 230 RESPONSE IN INNATE IMMUNITY AND 231 DISEASE

232 What leads to the same array of functionally  
 233 related primitive cytokines, dominated by TNF,  
 234 being generated in strikingly different circumstances,

and inducing surprisingly similar disease outcomes? Since this question is central to this article, the sense of the terms PAMP (pathogen-associated molecular patterns) and DAMP (damage or danger-associated molecular pattern) warrant summarizing here. These molecular patterns are on the surface of the molecules that trigger the inflammatory signaling pathways that are implicated. Others [49] have coined the overarching term alarmins for these two classes of receptor ligands to highlight their essentially similar consequences. In brief, the concept began with PAMPs being an explanation of broad-band pathogen recognition that triggers innate immunity against infectious organisms [50]. The term has evolved to encompass the pathogenesis, through excess TNF, of the infectious disease caused by the pathogen involved [51, 52]. The concept of DAMPs arose through others [53–55] proposing a novel rationalization of the danger that arises from destructive consequences of a self/non-self immunological interaction. With these ideas expanding beyond infectious disease, the implications of tissue damage generating functionally identical triggers for the TNF cascade through the effects of trauma or hypoxia has led to the D in DAMP standing, nowadays, for damage more frequently than for danger. In practice, the two are interchangeable. Activation of TNF-producing cells (mostly microglia and astrocytes in a cerebral context) occurs when DAMPs and PAMPs are recognized by, and activate, pattern recognition receptors (PRRs) [50]. The toll-like receptors (TLRs) [56] discussed here are one of the best described families of PRRs.

Driven by hypoxia and tissue damage, the three abruptly-acquired brain injuries we have been discussing cause release of DAMPs. Mitochondrial DNA (mtDNA) and high mobility group box B-1 (HMGB1) protein escaping from the mitochondria [57] and cell nuclei [58] respectively, of damaged cells, are the dominant known DAMPs that trigger functional and cellular loss after tissue injury and hypoxia, in this case in the brain. Persistent HMGB1 release has been confirmed in patients post-stroke [59], post-TBI [60], and after cardiac arrest [61].

#### AMYLOID, SYNUCLEIN, AND TAU ASSOCIATIONS ACROSS THE BOARD

Recalling that amyloid- $\beta$  (A $\beta$ ), alpha-synuclein ( $\alpha$ -Syn), and tau (i.e., hyperphosphorylated tau, or p-tau) are the stalwarts of the AD and PD literatures, we note here that the promotor region of the amyloid

precursor protein gene is controlled by TNF [62], and currently emerging work links them more closely [63] [64]. Moreover, as discussed earlier, both mtDNA and HMGB1 are the DAMPs most likely to cause enhanced TNF production in TBI and stroke [65, 66]. A $\beta$  [67] and p-tau [68] are DAMPs, as is  $\alpha$ -Syn [69, 70]. This is consistent with increases in p-tau being induced by microglial proinflammatory cytokines [71] in stroke [72, 73], as are  $\alpha$ -Syn [74, 75] and A $\beta$  [76, 77]. Indeed, one commentator [27] refers to some 70% of 55 patients who died within 24 hours of head injury already exhibiting increased amyloid- $\beta$  protein precursor (A $\beta$ PP) in brain sections, with the earliest seen after two hours of survival. In addition, inflammatory activation occurs in all three of these conditions [78–80]. This can be expected to have induced the IL-1 $\beta$ , via caspase-1 activation, generated downstream from TNF.

Brain injury observed after cardiac arrest, the third of these abrupt-onset neurodegenerative states, is clearly a consequence of a rapid-onset generalized cerebral ischemic hypoxia. As with stroke and TBI survival, it exhibits histologically detectable rapid increases in A $\beta$  [81] and p-tau [82, 83]. The cerebral effects of this hypoxia can be expected to be diffuse rather than focused on some particular brain region as in stroke and TBI, but the fundamentals can be expected to be the same. Survivable cardiac arrest causes a rapid increase in brain TNF in a rat model [84], a finding consistent with hypoxia, the hallmark of cardiac arrest, inducing release of the DAMP, HMGB1 [85]. Raised transactive response DNA-binding protein with a molecular weight of 43 kDa (TDP-43), a DAMP first identified in frontotemporal dementia [86], has recently been recorded in TBI, but as yet only in a single case [87]. Increased levels of HMGB1 and S100B, known biomarkers for slow-onset neurodegenerative states such as AD and PD [88, 89], are also documented after cardiac arrest [90–92]. In a model of post-ischemic brain damage, brain microinjection of HMGB1 increased the transcription levels of pro-inflammatory mediators [93]. This is consistent with brain injury in cardiac arrest rats being attenuated by blocking HMGB1 activity [91]. These associations of biomarkers and mediators in AD and PD are consistent with the therapeutic implications of recent detailed analyses of global inflammation in experimental stroke [94], intracerebral hemorrhage [95], and TBI [96]. The relevant biomarkers are also present in cardiac arrest survivors and animal models of this condition [83, 90, 97, 98].

### **DAMPs AND PAMPs THAT CAN CONTRIBUTE TO THE GRADUAL ONSET CEREBRAL SYNDROMES**

From the above evidence AD and PD can, in essence, be viewed as infinitely less acute, but much more insidious, versions of the altered physiology and subsequent syndromes seen in survivors of stroke, TBI, or cardiac arrest. Most importantly in generating the syndromes that ensue, the DAMPs and PAMPs that instigate AD and PD, unnoticed in minimal quantities, demonstrate their effects slowly and gradually, implying their correspondingly gradual appearance. This is a far cry from the rapid rise, but non-persistence, seen with mtDNA and HMGB1, the DAMPs acutely released after trauma and acute hypoxia. Moreover, gradual onset DAMPs are typically persistent, and often act cumulatively. We use as examples a DAMP generator, lead (Pb), and air pollutant particles that function as DAMPs directly, and PAMPs arising from pathogens chronically activating TLRs on or in brain cells. As we have reviewed in a brain context previously [99], in practice both intracerebral DAMPs and PAMPs thereby chronically generate a cascade of excess proinflammatory cytokines, the functionally dominant one being TNF.

### **LEAD (Pb) AS A GRADUAL AND CUMULATIVE DAMP GENERATOR**

Ingested lead (Pb), long appreciated to be toxic, has a half-life of decades in bone. Its mining, smelting, and presence in the human environment, mainly from old paint, has been recognized for nearly 50 years to lead to an AD-like syndrome [100]. In our view it deserves a wider press in the neurodegenerative disease literature than it currently receives. The harmful effects of Pb on intelligence, learning, memory, executive function, processing speed, language, visuospatial skills and affect, glutamate release, long-term potentiation and synaptic plasticity, increased generation of A $\beta$ PP, and increased A $\beta$  deposition [101–103] are now documented in some detail. So too are Pb-induced  $\alpha$ -Syn accumulation [104] and increased tau phosphorylation [104–106]. Pb has also been linked to increased TNF generation, including by microglia [107–109]. As we have previously summarized [110], the DNA hypomethylation literature on Pb toxicity documents how this metal generates DAMP activity. Epigenetic changes in the gene for Dnmt3a2, a DNA methyltransferase, occur in the

hippocampus of young animals exposed to lead [111, 112], rationalizing the epigenetic changes seen in the aging primate brain developing AD after exposure to Pb when young [113]. The key concept here is that hypomethylated DNA, whether in lead-poisoned brains or innately in bacterial DNA [114] or mtDNA [115], is a DAMP, i.e., an agonist for TLR9, which generates a TNF-initiated proinflammatory cytokine cascade. When generated by lead poisoning [111, 112, 116], it does so cumulatively and chronically because of the long half-life of this element in bone, as noted earlier. Tellingly, chronic Pb toxicity is also associated with PD [117–119].

### **AIR POLLUTION PARTICLES AS GRADUAL AND CUMULATIVE DAMPs**

An interest in the harmful effects of air pollution on health began some 90 years ago, with a focus on excess production of cytokines such as TNF for the past 20 years, with tobacco smoke and airborne bacteria initially falling under suspicion. Curiously, actual studies of small particles in this context began with work on fine material worn from hip prostheses harming joint tissue by causing macrophages to generate this cytokine [120]. By this time air pollution had already been linked, in a histological study comparing street dogs in Mexico City with controls from less polluted locations, with AD-like changes in the brain [121]. This was extended to human tissues in 2008 [122], and has been much refined since by the same authors [123]. Increases in downstream DAMPs such as A $\beta$  and  $\alpha$ -Syn have been documented in these studies. Others have focused on the effects on brain histology and function through studying diesel exhaust particles specifically [124] and air pollution in general [125] on cognitive performance. Notably, air-borne fine particulate matter has been correlated with incidence of dementia [126].

### **PAMPs RELEASED FROM QUITE DIFFERENT INFECTIOUS ORGANISMS CONTRIBUTING TO GENERATING THE SAME DISEASE PROCESSES**

The concept that different triggers from widely different origins can generate essentially identical syndromes is not at all new. For example, LPS, much later shown to be the archetypal TLR4 agonist (and indeed the original TNF inducer [127]), had, as we reviewed [128], been reasoned in the 1940s to

430 generate an acute human syndrome indistinguishable  
431 from *Plasmodium falciparum* malaria. Nowadays  
432 this clinical mimicry can be rationalized as differ-  
433 ent PAMPs generating excessive output of the same  
434 inflammatory cytokines. For example, this protozoan  
435 produces a PAMP, an agonist of TLR9 [129], that,  
436 in a more recent blinded study, is agreed to induce  
437 a disease virtually indistinguishable from H1N1 pan-  
438 demic influenza [130]. This is despite PAMPs on this  
439 virus being quite unrelated to those of malaria and  
440 activating PRRs that are quite different from TLRs.

441 This principle also applies to the chronic neu-  
442 rodegenerative state, AD, for which a polymicrobial  
443 infection concept has been described in detail [131].  
444 The possibility of an infectious etiology for AD has  
445 repeatedly been proposed for decades, as reviewed  
446 in 2014 [132] and 2016 [133] editorials. Construct-  
447 ing a model has been a challenge, with competing  
448 cases having been made for chronic cerebral infec-  
449 tions with viruses, bacteria, spirochetes, chlamydias,  
450 fungi, and periodontal disease initiated by any  
451 pathogen. Notably, within the bacterial perspective,  
452 LPS, clearly not a component of viruses, has recently  
453 been considered in detail across the pathogenesis  
454 of neurodegenerative diseases in general [134]. Its  
455 capacity to induce TNF is mentioned, but unfor-  
456 tunately the pivotal roles of this cytokine, and the  
457 importance of keeping it in homeostasis in these con-  
458 ditions, were omitted. Nevertheless, an earlier report  
459 that etanercept attenuates such changes [135] leaves  
460 us with negligible doubt that the harmful effects of  
461 effects of LPS on brain are mediated by TNF.

462 Perhaps the strongest single-organism argument  
463 has been made for Herpes Simplex Virus 1 infec-  
464 tion, with recently reviewed [136] population-based  
465 evidence for a causal link to AD [137]. Studies on  
466 *Helicobacter pylori* [138], including the reported  
467 beneficial effects of its eradication on five year  
468 survival in AD [139], provide a parallel example.  
469 As acknowledged in most of the current literature,  
470 however, negligible evidence exists that a particular  
471 pathogen, or indeed any, is necessarily required for  
472 AD to develop. Nor, so far as we are aware, has any-  
473 one presenting the virus argument for an infectious  
474 origin for AD done so in terms of the PAMPs con-  
475 cept, or considered the possibility of the presence  
476 of chronic excessive DAMPs, or a mixture of the  
477 two, generating the same outcome. Nevertheless, a  
478 logic emerges that certain infectious agents can act  
479 alone, in that specifically treating chronic cerebral  
480 infections where possible has the potential to reduce  
481 PAMP load, and therefore dementia incidence, in

482 certain populations [137]. Even so, persistent activ-  
483 ities of DAMPs and PAMPs acting simultaneously  
484 are easily overlooked. Consider the cumulative influ-  
485 ences of living in a historic Pb mining or smelting  
486 district with thick diesel-fueled traffic and having a  
487 chronic dormant cerebral viral infection of which one  
488 is unaware. In some individuals, any one of these  
489 influences, alone, in adequate amounts, may be suffi-  
490 cient to induce enough neurodegeneration to produce  
491 functional change. Plausibly a critical mass of persis-  
492 tent DAMP(s) and often PAMP(s), particularly when  
493 influenced by a known genetic predisposition [123],  
494 may well, once the total TLR agonist load reaches a  
495 critical level, be the most frequent way that AD and  
496 PD are induced. From first principles, such a mass  
497 of persistent TLR agonist from a chronic subclinical  
498 cerebral infection could be predicted to exacerbate  
499 the influence of a given degree of hypoxia in stroke,  
500 TBI, or cardiac arrest.

## 501 EVIDENCE FOR HARMFUL EFFECT OF 502 EXCESS TNF ON THE LC

503 In simple TLR agonist terms, the fundamental dif-  
504 ference between the abrupt-onset trio and AD or  
505 PD is the rate of production, dose size, and persis-  
506 tence of a patient's cerebral exposure to DAMPs and  
507 PAMPs (Fig. 1). Arising from the abrupt onset of cat-  
508 aclysmic tissue damage and hypoxia, the immediate  
509 triggers for stroke, TBI, and the cerebral conse-  
510 quences of cardiac arrest are striking, and typically  
511 unprecedented in the prior experience of the individ-  
512 ual. DAMPs, particularly mtDNA and HMGB1, are  
513 acutely released in survivors' brains, and a locally  
514 severe cytokine storm establishes a new homeo-  
515 static baseline associated with chronically activated  
516 microglia, and consequently altered clinical func-  
517 tion, in survivors. Nevertheless, mtDNA release and  
518 hypoxia, and therefore the secondary DAMPs they  
519 have induced, are long gone. Thus therapeutically  
520 deactivating the microglia by removing the excess  
521 TNF they are generating [140] can be expected to pro-  
522 duce long-lasting clinical improvement [20, 21], as  
523 discussed earlier. This static state can be expected to  
524 be established post stroke, post TBI and post cardiac  
525 arrest, but not in AD or PD, for reasons that include  
526 the abrupt-onset trio brain usually having a healthy  
527 LC (Fig. 1). Thus, as documented and expanded upon  
528 in the next section, adequate NE, the endogenous  
529 cerebral anti-TNF agent, is available. In contrast, in  
530 the severe abrupt-onset trio, such as in TBI patients

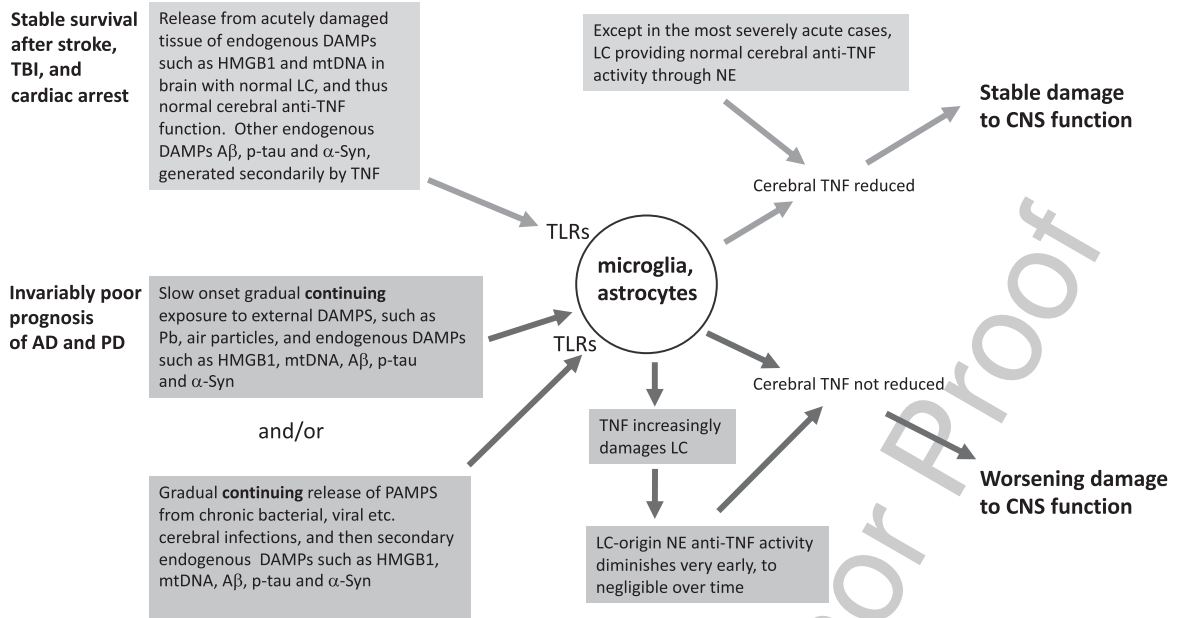


Fig. 1. A neuroinflammatory perspective of the mechanism of onset and survival in abrupt-onset compared to gradual-onset non-infectious neurodegenerative states. Contrast in rate and persistence of TLR ligands, and the health of LC, including its release of NA, the endogenous inhibitor of cerebral TNF production, are taken into account.

531 who neither regain consciousness nor survive for  
 532 long, the LC can be severely damaged, presumably  
 533 by hypoxia and/or damage-induced TNF released  
 534 soon after the acute event [141]. In milder cases,  
 535 in contrast, NE turnover, implying release, occurs  
 536 [142]. Healthy LCs, implying successful endoge-  
 537 nous anti-TNF activity, have also been documented in  
 538 those who lived out their normal lifespans with mild  
 539 cases of chronic traumatic encephalopathy [143]. In  
 540 short, survivors of this acute-onset trio, for whom the  
 541 remorseless clinical progression seen in AD and PD is  
 542 absent, usually retain or even improve their LC activ-  
 543 ity, which generates NE, with its endogenous cerebral  
 544 anti-TNF activity. The growth of pathogen being a  
 545 much slower event than trauma or acute hypoxia,  
 546 PAMP involvement is clearly precluded from contrib-  
 547 uting to the abrupt-onset syndromes.

548 At AD onset, arguably pre-clinically, the isoden-  
 549 dritic core—a phylogenetically conserved subcorti-  
 550 cal brain region that includes the LC in the pons, the  
 551 dorsal raphe nucleus, and the substantia nigra (SN)  
 552 in the midbrain—is prone to accumulate neurofibril-  
 553 lary lesions comprising p-tau [144, 145]. Most work  
 554 focusses on the LC, the main NE-generating region  
 555 of the brain, the loss of which has been studied in  
 556 detail in the context of preclinical, and early clini-  
 557 cal, AD [146, 147]. The functional complexity of the  
 558 LC is currently under close examination and revision

559 [148]. A decade earlier it had been demonstrated  
 560 that activated microglia and the microglial-derived  
 561 proinflammatory cytokine TNF can induce accumu-  
 562 lation of the aggregation-prone tau molecules at this  
 563 site [71]. Moreover minocycline, which reduces proin-  
 564 flammatory cytokines, also reduces p-tau [149]. The  
 565 same has been reported of infliximab, the first of  
 566 the anti-TNF biologicals [18]. Since, as outlined in  
 567 the next paragraph, LC neurons generate most of the  
 568 cerebral NE, one of the early harmful effects of chron-  
 569 ically increased cerebral TNF is likely to be to deprive  
 570 the brain of its endogenous anti-TNF agent, to the fur-  
 571 ther detriment of the host. Should, on the other hand,  
 572 the initial jolt of TNF in the sudden-onset neurode-  
 573 generative states, such as stroke, be severe enough,  
 574 a fatal outcome is more likely the more the LC is  
 575 severely damaged from the onset, partially removing  
 576 the endogenous brake on cerebral TNF generation  
 577 [141]. As noted above, the opposite effect has been  
 578 noted in milder TBI, with compensatory NE release  
 579 being increased [142].

## 580 THE CEREBRAL ANTI-TNF ROLE OF NE 581 FROM THE LC

582 Why do abruptly-acquired neurodegenerative  
 583 states not exhibit the remorseless clinical progression  
 584

584 seen in those with gradual onsets? Now that A $\beta$   
 585 has been relegated from dominating the mechanistic  
 586 aspect of AD, instead being appreciated simply as  
 587 one of a team of similarly acting DAMPs [99, 150],  
 588 the harmfulness of excess cerebral levels of proinflammatory  
 589 cytokines, in particular TNF, is rapidly gaining traction as an important  
 590 mechanism of neurodegenerative disease. Five years before A $\beta$  had its  
 591 debut in the AD literature, it had already been shown  
 592 that the same basal areas of the brain of AD patients  
 593 contained subnormal levels of NE [151], and that  
 594 degeneration of LC neurons, from whence cerebral  
 595 NE largely arises, was responsible [152]. It subsequently  
 596 became appreciated, initially *in vitro* [153,  
 597 154], as well as *in vivo* in the brain [155, 156],  
 598 that this catecholamine is an endogenous anti-TNF  
 599 agent. Subsequently it was reported that this inhibition  
 600 of TNF production by NE operates, *in vivo*,  
 601 by enhancing levels of the anti-TNF cytokine, IL-10  
 602 [157]. It follows, therefore, that modifying the sensitivity  
 603 of the  $\beta$ -adrenergic receptor would modify TNF  
 604 production, as indeed was demonstrated in 1995  
 605 [158]. Since then this has been shown for a number  
 606 of synthetic agonists, isoproterenol [159], salmeterol  
 607 [160], and salbutamol [161, 162]. The implications  
 608 of these observations are expanded upon in the section  
 609 of this text dealing with therapy. It suffices here  
 610 to note that it took some years for the physiopathological  
 611 implications of these observations to be understood,  
 612 since awareness of the effects of TNF on brain function,  
 613 barely in its infancy [163], was little-appreciated.  
 614 Seven years on, a well-constructed physiological  
 615 interpretation on the implications of this interaction  
 616 between NE and TNF on phenomena such as homeostatic  
 617 synaptic scaling had been generated [164]. So too  
 618 had a series of publications on the implication of  
 619 chronically reduced noradrenergic signaling through  
 620 LC damage on the consequences of worsening  
 621 neuroinflammatory change for AD [165–167].

624 The same broad principle evidently applies to PD,  
 625 TNF having been reported for over 15 years to have  
 626 four times the level in cerebrospinal fluid and the  
 627 dopaminergic region of the brain than found in  
 628 control patients [168]. As discussed earlier, a model  
 629 of this condition is ameliorated by a novel double  
 630 negative anti-TNF agent [19] and a large population-  
 631 based study reports a much lower PD incidence in  
 632 a clinical population treated with a specific anti-  
 633 TNF biological for another purpose [26]. A cerebral  
 634 deficiency of NE is also implicated in PD [169].  
 635 Moreover, the LC is regarded, as well being as a

636 major NE source, as one of the main orchestrators  
 637 of the other major monoaminergic nuclei, such as  
 638 the SN, from whence dopamine neurons are lost  
 639 in PD [170]. Notably, the degree of disease-related  
 640 neuronal loss in this region has been reported to  
 641 be more severe in the LC than the SN in both AD  
 642 and PD, with the SN being less damaged in AD  
 643 [171]. The implications of early inflammatory change  
 644 in this brain region for understanding the clinical  
 645 similarities (executive function loss, sleep disorders,  
 646 neurogenic pain, fatigue) and differences between  
 647 AD and the non-motor aspects of PD will clearly  
 648 encourage further consideration of this aspect of its  
 649 neuropathophysiology.

650 This avenue of enquiry is consistent with both  
 651 of these slow onset states originating from excess  
 652 chronic cerebral TNF, as we have referred to earlier  
 653 in this text from fields from the *TREM2*, *APOE4*,  
 654 specific anti-TNF agent, and Pb toxicity literatures.  
 655 Targeting neuronal deficiency in the LC is under  
 656 active consideration in both AD and PD [170, 172].  
 657 For instance, reduced cerebrospinal fluid levels of  
 658 3-methoxy-4-hydroxyphenylethyleneglycol (MHPG),  
 659 a metabolite of NA, have recently been utilized to  
 660 link certain clinical aspects of AD with loss of  
 661 NA-generating neurons in the LC [173]. The practicality  
 662 of LC imaging across the range of neurodegenerative  
 663 diseases has recently been discussed in detail [174].  
 664 This comprehensive recent text covers this aspect  
 665 of a number of the slow-onset neurodegenerative  
 666 states, including PD. Clearly, through the reduced  
 667 LC activity early in AD and PD, and thus loss of  
 668 cerebral NE, the endogenous cerebral anti-TNF  
 669 activity of this catecholamine is now well recognized.  
 670 As is predictable from this reasoning, LC integrity  
 671 has recently been associated with better memory  
 672 performance in older adults [175]. Conceptually,  
 673 this is consistent with the uncontrolled and self-  
 674 amplifying inflammatory processes that Gao and  
 675 Hong proposed in their prescient 2003 and 2008  
 676 texts [30, 176], and the outcome of Tobinick's  
 677 open trial of perispinal etanercept for AD in 2006 [23].

#### 678 **LC'S ROLE IN TNF BALANCE AT** 679 **CLINICAL ONSET OF THE GRADUAL** 680 **NEURODEGENERATIVE STATES**

681 Typically, LC loss, and thus endogenous anti-TNF  
 682 activity depletion, is already underway when clinical  
 683 onset of the gradual neurodegenerative states  
 684 discussed in this text is evident. There is, for example,



685 evidence for a 30% neuronal loss before AD patients  
686 manifest even mild cognitive impairment [146].  
687 These authors significantly associated the degree of  
688 LC neuronal loss with the ebbing of a number of  
689 cognitive skills. By this time others had quantified  
690 the local accretion of p-tau [177] and documented  
691 increases in expression of *IL-1 $\beta$* , *IL-6*, and *TNF*  
692 genes [178] in the LC in early stage AD patients.  
693 Clearly, this implies the consequences of PAMPs  
694 and DAMPs acting on TLRs, generating excessive  
695 TNF and related cytokines in the brain, including the  
696 LC. Recently, the complexities of this chronic acti-  
697 vation for the subtleties of brain function, result-  
698 ing in enduring shifts in the homeostatic baseline, with  
699 long-lasting consequences for cerebral function and  
700 behavior, have been admirably discussed [179]. So  
701 too has the concept of the LC now being appreci-  
702 ated to serve a surprisingly wide array of cerebral  
703 functions [148]. This is consistent with the now well-  
704 appreciated pleiotropic cerebral functions of TNF  
705 being significantly controlled by the LC. Notably,  
706 TNF appears to be incriminated in damage within  
707 the LC in early stage AD [178]. In addition, the role  
708 of the gut microbiome in the development of AD and  
709 PD is nowadays expressed in terms of overstimula-  
710 tion of innate immunity by PAMPs of bacterial origin  
711 [180, 181].

712 Given the central roles of TNF in these events, it  
713 seems logical that a full complement of fit LC neu-  
714 rons, which generate and distribute NE, an inhibitor  
715 of this cytokine [153, 154, 156], is a key deter-  
716 minant of whether certain neurodegenerative diseases  
717 remain static or worsen. Nevertheless, the literature  
718 on survivors of the abrupt-onset trio (stroke, TBI and  
719 cardiac arrest) entails essentially the same range of  
720 cells, soluble mediators, and other biomarkers, and  
721 therefore the same basic mechanism of pathogenesis,  
722 as do publications on the gradual onset syndromes  
723 such as AD and PD. Specific anti-TNF therapies  
724 are therefore logical for each group and are indeed  
725 developing.

## 726 SYNTHESIS

727 The patterns we describe in this article are consis-  
728 tent with the proposal that all the neurodegenerative  
729 syndromes discussed here are offspring of the loss  
730 of cerebral homeostasis, brought about by the brain's  
731 basic response to an insult, whether acute or chronic.  
732 This varies much in degree, but little in princi-  
733 ple. We discuss two distinct categories. One group

734 has a dramatically sudden onset of high fluxes of  
735 DAMPs, released acutely and non-cumulatively into  
736 a brain with an intact LC, complete with its NE-  
737 mediated anti-TNF activity [153, 154, 156]. This, we  
738 suggest, explains the abrupt-onset syndrome that typi-  
739 cally does not chronically worsen, yet, as observed  
740 post-stroke, post-TBI, and post cardiac arrest, does  
741 persist. Self-perpetuating autocrine microglial acti-  
742 vation then ensues, and this can be expected to  
743 be removed through anti-TNF therapy, administered  
744 such that it gets to where it is needed [21]. The second  
745 group, syndromes with an imperceptibly slow onset  
746 but remorselessly increasing severity, as character-  
747 ized by AD, are essentially a response to long term,  
748 slow onset but persistent, fluxes of DAMPs and/or  
749 PAMPs that can act in concert, although conceivably  
750 at times alone. This is in contrast to all except the most  
751 severe of the acute onset conditions, in which the LC  
752 quickly collapses, and decline ensues through abrupt  
753 loss of endogenous anti-TNF capacity. Importantly,  
754 the net capacity of these DAMPs and PAMPs to gener-  
755 ate TNF in this second group, which includes AD,  
756 becomes increasing effective though early and wors-  
757 ening LC damage—plausibly initiated by the TNF  
758 that these DAMPs and PAMPs induce—rendering  
759 the endogenous anti-TNF activity of cerebral NE  
760 progressively powerless. Accordingly, functional and  
761 structural damage worsens, with an associated wors-  
762 ening clinical state. This said, there is always the  
763 possibility that predispositions for these two classes  
764 of neurological diseases are yet to be unearthed.  
765 Presently unsuspected classes of change, in, for  
766 example, the affinity of adrenergic receptors, is one  
767 possibility.

768 In summary, we propose that the three typi-  
769 cally non-worsening neurodegenerative syndromes  
770 under discussion, post-stroke, post-TBI, and post  
771 cardiac arrest, eventually become and remain static  
772 because of excess cerebral TNF induced by the  
773 initial dramatic peak keeping microglia chronically  
774 activated through the autocrine loop discussed ear-  
775 lier [140]. Nevertheless, possession of an intact  
776 LC, and therefore an intact NE-mediated endoge-  
777 nous anti-cerebral TNF system, combined with the  
778 DAMP input having essentially stopped, allows  
779 these patients a strong long-term survival advan-  
780 tage over AD and PD sufferers. In contrast, AD  
781 and PD patients remorselessly worsen, being hand-  
782 capped by sustained, albeit gradual, DAMP and  
783 PAMP inputs continuing to generate TNF, as well as  
784 loss of the LC-based endogenous anti-cerebral TNF  
785 system.

## 785 **A SEA CHANGE IN VIEWS ON** 786 **REVERSIBILITY OF LOSS OF BRAIN** 787 **FUNCTION?**

788 Clearly, in extreme stroke, TBI, or cardiac arrest  
789 the degree and duration of hypoxia can be fatal to  
790 cells as well as the patient, or cause permanent loss  
791 of cerebral function in survivors. Unfortunately, this  
792 concept seems to have been extended to become the  
793 logic behind a concept that remains a standard tenet  
794 of neurology: that if functional loss from acquired  
795 brain injury, be it stroke, TBI, or cardiac arrest, is  
796 still present some six months after the event, it is  
797 permanent because of cerebrocellular death from  
798 hypoxia, the histological details of the particular  
799 post-stroke brain reflecting its pattern of irreversible  
800 damage. Thus treatment would be fruitless. However,  
801 perispinal etanercept reversing functional loss of  
802 post-stroke syndromes years after the event [20, 21]  
803 shows every sign of rendering this traditional stance  
804 untenable. Even so, the American Academy of Neu-  
805 rology still officially advises clinicians against this  
806 approach on what could be called specious grounds  
807 [182]. This prediction of a sea change was reinforced  
808 by improved outcomes in a Phase I/IIa trial in SB623  
809 cell transfers into brains of 16 patients six months  
810 after their stroke [183]. This technique did not pass  
811 an initial random trial, but individual continued  
812 improvements were apparently undeniable ([https://  
813 scopeblog.stanford.edu/2016/06/02/stroke-of-luck-  
814 stem-cell-transplants-show-strong-signs-of-efficacy-  
815 in-clinical-safety-trial-for-stroke/](https://scopeblog.stanford.edu/2016/06/02/stroke-of-luck-stem-cell-transplants-show-strong-signs-of-efficacy-in-clinical-safety-trial-for-stroke/)). The author  
816 noted, as Tobinick's 2011 and 2012 case studies  
817 had implied [20, 21], that, contrary to dogma, many  
818 injured brains are not permanently damaged, but can  
819 recover function. The outcome of an independent  
820 random controlled trial of perispinal etanercept [33]  
821 early this year is surely on the way to settling the  
822 matter. In short, the novel argument is that excess  
823 hypoxia-induced TNF [184, 185] often merely  
824 makes neural circuits dormant by, for example,  
825 excessively altering synaptic scaling. Neutralizing  
826 this excess with anti-TNF evidently brings these  
827 circuits back online.

## 828 **IMPLICATIONS FOR TREATMENT OF** 829 **NEURODEGENERATIVE DISEASES**

830 As we have recently reviewed [1], there is now  
831 much interest in ensuring that anti-TNF biologi-  
832 cals, limited as they are by their molecular size, are  
833 administered so they enter the brain, where they are

needed. This route limitation does not, of course, 834  
apply with small anti-TNF molecules, such as the 835  
3,6<sup>1</sup>-dithiothalidomides [186]. Should outcomes of 836  
impending random controlled trials open interest in 837  
basic research in this area, the patterns of interac- 838  
tions between DAMPs, PAMPs, LC damage, and NE 839  
production described above will be useful to ratio- 840  
nalize the dose and frequency of treatment with this 841  
class of agent across the spectrum of these condi- 842  
tions. It could also minimize LC damage. Moreover, 843  
the effects of compensating for any diminution of NE 844  
through administering a synthetic beta2 adrenergic 845  
receptor agonist, such as isoproterenol, salmeterol, 846  
and salbutamol, as discussed earlier, could be use- 847  
fully explored here. More research is needed, but it 848  
is encouraging that two profoundly large scale sur- 849  
veys, focused respectively on analyzing for the effect 850  
on PD incidence of repeated subcutaneous anti-TNF 851  
biologicals [26] and inhaled salbutamol [187], found 852  
a significant reduction. Each agent had been admin- 853  
istered, on a grand scale, for another purpose. One 854  
implication is that beta2 adrenergic receptor agonist 855  
and anti-TNF biologicals warrant joint investigation. 856

857 More treatment vistas open as we gain more under- 858  
standing of how TNF and associated cytokines act 859  
in the normal and damaged brain. Indeed, a novel 860  
perspective has been brought about in post-stroke 861  
treatment possibilities through incorporating this 862  
understanding of brain TNF. In particular this applies 863  
to the capacity of cerebral DAMPs and PAMPs to 864  
cause self-perpetuating autocrine microglial activa- 865  
tion. Importantly, and in contrast to the behavior of 866  
macrophages outside the brain [188], once a TLR 867  
agonist induces microglia to generate TNF, its pro- 868  
duction can continue through generating an autocrine 869  
activation of the TNF/TNFR signaling pathway [140] 870  
until the excess TNF is neutralized by introducing 871  
an anti-TNF agent. This self-perpetuating microglial 872  
loop has been confirmed by others, who incriminate 873  
C1q, a component of the complement pathway [189], 874  
brain-derived neurotropic factor [190], and N- 875  
glycosylation of TFNR1 [191]. These events combine 876  
to cause an enduring downwards shift in the home- 877  
ostatic baseline state [179], manifesting, through 878  
lowered synaptic scaling under the influence of TNF 879  
[192], as aspects of well-recognized clinical entities.

## 880 **IMPLICATIONS FOR LONG-TERM** 881 **NEUROLOGICAL ASPECTS OF COVID-19**

882 Fatigue, delirium, "brain fog", poor cognition, and 883  
memory failure, all with a tendency to persist, are all

well-described in post-stroke syndromes. For some months now all have been appreciated to occur, and persist, in COVID-19, even without severe respiratory symptoms having occurred [193, 194]. Above all, finding an effective way to treat these aspects of COVID-19 requires establishing whether the causative virus itself, or the PAMP-initiated cytokines generated in response to its presence, actually brings them about [195, 196]. Regarding the examples of fatigue and delirium, one obvious approach to resolving this question is to consider whether these symptoms occur in the absence of cerebral pathogens such as SARS-Cov-2 but in the presence of DAMPs that chronically raise levels of these same cytokines. Post-stroke [197], post-TBI [198, 199], and post-surgery states [200] fit this pattern. If, in contrast, the pathogen itself is a direct cause of these neurological changes, we are required to accommodate how bacteria [201], and protozoa [202] and viruses [195], all very different organisms, generate these same changes to cerebral function in any way other than through the common array of increased inflammatory cytokines their PAMP activity generates. Most likely, therefore, perispinal delivery of etanercept, as used to treat post-stroke syndromes [4, 20, 21, 33] is presently the most plausible treatment to consider. This is surely an uncontroversial proposal, since other specific anti-TNF biologicals are, or are about to be, trialed for the systemic aspects of COVID-19 [203], (<http://www.chictr.org.cn/showprojen.aspx?proj=49889>). Moreover, the widespread availability of these agents as biosimilars is a distinct advantage in these straightened times.

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