

# Plasma Neurofilament Light: A Marker of Neurodegeneration in Mild Behavioral Impairment

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## Abstract.

**Background:** Assessing neuropsychiatric symptoms (NPS) in older adults is important for determining dementia risk. Mild behavioral impairment (MBI) is an at-risk state for cognitive decline and dementia, characterized by emergent NPS in later life. MBI has significantly higher dementia incidence than late life psychiatric conditions. However, its utility as a proxy for neurodegeneration has not been demonstrated. Plasma neurofilament light (NfL) is a validated biomarker of axonal damage, and has been shown to associate with hallmarks of neurodegeneration.

**Objective:** The purpose of this investigation was to identify associations between NfL rate of change and the presence of MBI symptomatology.

**Methods:** We evaluated the association of MBI with changes in NfL in a cohort ( $n = 584$ ; MBI+  $n = 190$ , MBI-  $n = 394$ ) of non-demented participants from the Alzheimer's Disease Neuroimaging Initiative. MBI was determined by transforming neuropsychiatric questionnaire items using a published algorithm. Change in NfL was calculated over 2 years.

**Results:** Time\*MBI status was the only significant interaction to predict change in NfL concentrations ( $F(1,574) = 4.59$ ,  $p = 0.032$ ), even after controlling for age, mild cognitive impairment, and demographics. Analyses reclassifying 64 participants with new onset MBI over 2 years similarly demonstrated greater increases in NfL ( $F(1,574) = 5.82$ ,  $p = 0.016$ ).

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<sup>1</sup>Data used in preparation of this article was obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (<http://adni.loni.usc.edu>). As such, the investigators

within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at: [http://adni.loni.usc.edu/wpcontent/uploads/how\\_to\\_apply/ADNI\\_Acknowledgement\\_List.pdf](http://adni.loni.usc.edu/wpcontent/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf)

**Conclusion:** These findings suggest MBI is a clinical proxy of early phase neurodegeneration with putative utility in identifying those at dementia risk. MBI can be used as a case ascertainment approach to capture those at high risk for cognitive decline and dementia, and is an important construct for clinicians dealing with cognitive and neuropsychiatric symptomatology in older adults.

**Keywords:** Alzheimer's disease, mild behavioral impairment, mild cognitive impairment, neurodegeneration, neurofilament light, neuropsychiatric symptoms

## INTRODUCTION

Alzheimer's disease (AD) is the most widespread form of dementia. It is a neurodegenerative disease characterized by progressive cognitive impairment and functional decline, as well as neuropsychiatric symptoms (NPS) [1]. Worldwide, approximately 47 million individuals are living with dementia with an expected 131 million projected by 2050 [2]. Functional connectivity changes and dementia proteinopathies can occur 20 years in advance of memory symptoms [3]; however, AD clinical trials have been unsuccessful in finding a disease-modifying drug, with poor recruitment and retention of participants in the early phase of disease being cited as a primary reason [4]. The initial patient with dementia described by Alois Alzheimer over 100 years ago, Auguste D, presented to hospital not with cognitive symptoms, but with NPS of emotional dysregulation and suspiciousness, followed by cognitive symptoms. Yet, this message has been lost over time, with the embrace of a cognocentric model of dementia. Incorporating a systematic approach to case ascertainment using NPS may improve clinical trial design [5], and offer more insight into the nature of later life psychiatric symptoms for appropriate risk assessment and treatment.

Although NPS have traditionally been underappreciated in advance of dementia, emerging research has consistently demonstrated the relevance of NPS for predicting future cognitive decline in pre-dementia populations [6–9]. Mild behavioral impairment (MBI) is a validated neurobehavioral syndrome that describes later-life onset of sustained NPS as an at-risk state for incident cognitive decline and dementia [10–12]. MBI may even be the earliest manifestation of a neurodegenerative disease in some, emerging before cognitive decline. Within the MBI diagnostic framework [10], relevant NPS are categorized into five domains, namely: decreased drive and motivation (apathy) [13], emotional dysregulation (mood and anxiety symptoms) [14], impulse dyscontrol (agitation, aggression, impulsivity, abnormal rein-

forcement, and reward) [15], social inappropriateness (impaired social cognition) [16], and abnormal perception or thought content (psychotic symptoms, i.e., hallucinations and delusions) [17], which are examined independently and collectively for risk assessment. MBI is relatively simple to capture using a brief informant rated scale for NPS [18]. To determine the biological correlates of this clinical syndrome, additional biomarker identification of MBI is required. Specifically, there is a need to identify minimally-invasive biomarkers that can validate MBI as a way to capture the disease early, and track progression and neurodegeneration. Cerebrospinal fluid (CSF) biomarkers, positron emission tomography (PET), and detailed neuropsychological testing are scientifically valid approaches to early detection, but are not feasible at a population level. Straightforward cost-effective approaches are urgently needed [5]. Recent investigations have revealed blood-based biomarkers as a potential target to fill this void [19–22].

Neurofilament light (NfL) is an axoskeletal protein that is involved in maintaining the shape and structure of neurons [23]. NfL is highly expressed in large-caliber myelinated axons and is released into the brain interstitial fluid, following axonal injury [24]. Historically obtained from CSF, NfL has recently been identified as a biomarker of neurodegeneration that can be obtained minimally-invasively from plasma samples [19, 25]. In a recently published study, plasma NfL measured using the ultra-sensitive single molecule array (Simoa) assay [26] was particularly high in patients with mild cognitive impairment (MCI) and patients with AD dementia with A $\beta$  pathologic features. Additionally, high plasma NfL correlated with poor cognition and AD-related atrophy (baseline and longitudinally) and brain hypometabolism (longitudinally) [19]. The objective of this study was to determine the association of baseline MBI symptoms and plasma NfL change over a period of two years. Our cohort consisted of pre-dementia participants from the Alzheimer's Disease Neuroimaging Initia-

tive (ADNI). We hypothesized that non-demented participants with MBI symptomatology at baseline (MBI+) would have increased rates of NfL change, reflecting faster NfL accumulation due to neurodegeneration, when compared to those without baseline MBI symptomatology (MBI-).

## MATERIALS AND METHODS

### *Alzheimer's disease neuroimaging initiative participants*

The ADNI is a public-private partnership launched in 2003 with the goal of testing whether biological markers, clinical, and neuropsychological assessments can be combined to monitor progression of MCI and early AD. Data from ADNI1, ADNIGO, and ADNI2 cohorts were used in this study. Quantified NfL data, diagnostic status, demographic information, and Neuropsychiatric Inventory Questionnaire (NPI-Q) scores were extracted for 584 pre-dementia participants.

Participants were between 55 and 93 years of age at their first NfL visit, English or Spanish speakers, and consented to their data being included in the study. Cognitive classification into MCI or normal cognition (NC) was based upon the ADNI criteria (found at [http://adni.loni.usc.edu/wp-content/uploads/2010/09/ADNI\\_GeneralProceduresManual.pdf](http://adni.loni.usc.edu/wp-content/uploads/2010/09/ADNI_GeneralProceduresManual.pdf)).

### *MBI status*

The MBI checklist (MBI-C) is the case ascertainment instrument developed to capture MBI [18]. However, it is a relatively new scale not yet incorporated into ADNI. Thus, MBI status was approximated by a transformation of NPI-Q scores using a published algorithm [27]. Ten NPS domains from the NPI-Q were used to operationalize the five ISTAART-AA MBI domains of decreased motivation (NPI-Q apathy/indifference); emotional/ affective dysregulation (NPI-Q depression/dysphoria, anxiety, elation/euphoria); impulse dyscontrol (NPI-Q agitation/aggression, irritability/lability, aberrant motor behavior); social inappropriateness (NPI-Q disinhibition); and abnormal perception or through content (NPI-Q delusions, hallucinations). To obtain the MBI total score, these five transformed domains were added together.

NPI-Q scores obtained within six months from the first available NfL reading were used in this approxi-

mation. An MBI score was calculated from the sum of the five transformed MBI domains. Participants with an MBI total score >1 were considered MBI+ and participants with an MBI total score of zero were considered MBI-. Participants with a baseline MBI total score of 1 were excluded from the study due to diagnostic uncertainty. Figure 1 outlines how the sample population was obtained from the ADNI longitudinal plasma NfL dataset. Participants were excluded from this analysis if: 1) the NPI-Q was not administered within six months of the baseline NfL value; 2) an NfL value was not available two years after the first visit, and; 3) there was no baseline cognitive status determined at the NPI-Q visit.

### *NfL quantification*

One board certified laboratory technician conducted ultrasensitive enzyme linked immunosorbent assays on a single molecule array (SIMOA) platform at the University of Gothenburg, Sweden, to quantify NfL levels [19]. Further details of the procedure can be found at <http://adni.loni.usc.edu>.

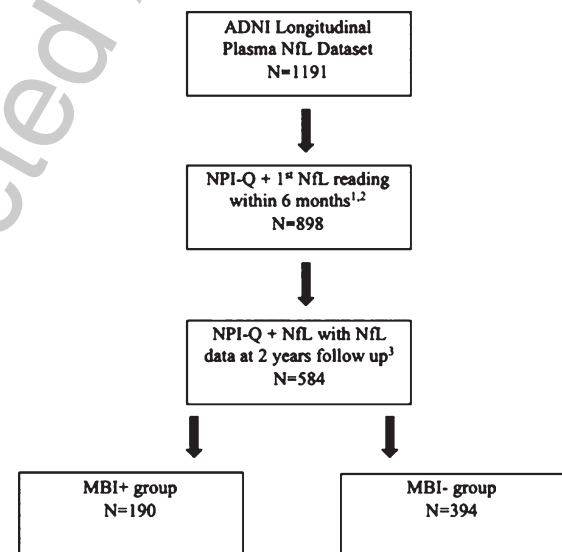


Fig. 1. Flowchart depicting how the sample population was obtained from the ADNI database, stratified by MBI status at baseline. Those with a transformed MBI score of greater than 1 were considered MBI+, whereas those with an MBI score of 0 were considered MBI-. <sup>1</sup>Participant identified as having a suspected NfL data entry error at the initial visit was removed ( $n = 1$ ). <sup>2</sup>Participants with AD at baseline were removed ( $n = 230$ ). <sup>3</sup>Participants who had an MBI score of 1 ( $n = 99$ ) were removed.

Table 1  
Baseline demographic information stratified by MBI status at baseline (N = 584)

Item	MBI- (n = 394) Mean ± SD or n (%)	MBI+ (n = 190) Mean ± SD or n (%)	Test Statistic	p
Age	74.39 ± 7.37	72.93 ± 7.37	$t(582) = 2.25$	0.025
Female, N (%)	199 (50.5)	70 (36.8)	$\chi^2 = 9.63$	0.002
Years of education	16.56 ± 2.70	16.03 ± 2.65	$t(582) = 2.23$	0.026
Mild cognitive impairment	174 (44.2)	156 (82.1)	$\chi^2 = 75.08$	<0.001
NfL concentration (ng/L)	39.05 ± 22.38	36.43 ± 18.25	$t(582) = 1.40$	0.161

### Statistical analysis

All statistical analyses were carried out in SPSS v24. Baseline continuous variables were analyzed with Student's *t*-test or Mann-Whitney U test and dichotomous variables were analyzed with chi-square tests. We utilized repeated measures ANOVA to determine whether the change in NfL concentration over two years was significantly different between MBI+ and MBI- subjects, and then repeated the analyses including statistically significant clinical and demographic differences between MBI+ and MBI- groups as covariates in the model (specifically, age, sex, education, and MCI status). Huynh-Feldt correction was applied. Alpha was set at <0.05.

### Data availability

Data used in this study is available from ADNI.

## RESULTS

Demographic and clinical variables are detailed in Table 1. The MBI+ group was significantly younger, had fewer years of education, more males, and was more likely to have a diagnosis of MCI at baseline than the MBI- group.

The repeated measures ANOVA without covariates identified statistically significant contributions from Time ( $F(1,582) = 29.66$ ,  $p < 0.001$ ) and Time\*MBI status ( $F(1,582) = 5.37$ ,  $p = 0.021$ ). A full-factorial repeated measures ANOVA was then performed including significant demographic and clinical differences as covariates. The results of these analyses are detailed in Table 2 and Fig. 2A. Main effects for age and MCI were observed, with no other main effects. Time\*MBI status was the only significant interaction associated with change in NfL concentrations ( $F(1,574) = 4.59$ ,  $p = 0.032$ ) at 2 years. This corresponds to an average increase in NfL of  $2.42 \pm 16.16$  ng/L in the MBI- group and  $6.01 \pm 20.12$  ng/L in the MBI+ group.

Table 2  
Multivariate repeated measures ANOVA model results with baseline definition of MBI

Model term	$F(1,574)$	p	Partial Eta Squared
<i>Between-Subjects Main Effects</i>			
<b>Age</b>	<b>212.75</b>	<b>&lt;0.001</b>	<b>0.103</b>
Sex	1.11	0.890	0.002
Education	0.39	0.530	0.001
MBI-Baseline	0.19	0.890	0.000
<b>MCI</b>	<b>10.11</b>	<b>0.002</b>	<b>0.017</b>
<i>Within-Subjects Contrasts</i>			
Time	0.004	0.951	0.000
Time*Age	1.52	0.217	0.003
Time*Sex	0.20	0.653	0.000
Time*Education	0.86	0.352	0.002
<b>Time*MBI-Baseline</b>	<b>4.59</b>	<b>0.032</b>	<b>0.008</b>
Time*MCI	0.00	0.958	0.000
Time*MBI-Baseline*Sex	0.26	0.610	0.000
Time*MBI-Baseline*MCI	0.09	0.762	0.000
Time*MCI*Sex	1.66	0.198	0.000
Time*Sex*MBI-Baseline*MCI	0.46	0.494	0.001

Between subjects parameters included: MBI, MCI, and sex. Covariates included: age and years of education.

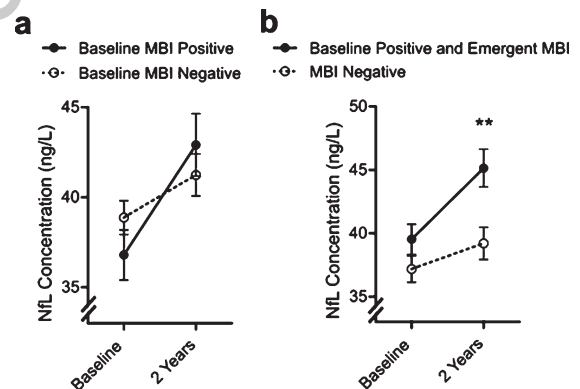


Fig. 2. Estimated marginal NfL concentration means from the full factorial model including covariates by MBI status. Error bars indicate  $\pm$  standard error of the mean. Figure 2a is stratified by MBI status at baseline, while Fig. 2b combines participants with emergent MBI + during the follow-up period with the baseline MBI positive participants.

Over the 2-year follow-up period, an additional 64 participants who were classified as MBI- at baseline went on to develop emergent MBI symptomatology (MBI score  $\geq 1$ ). Compared to individuals who were MBI- and did not develop NPS over the follow-up period, these individuals had a significantly higher NfL concentration at baseline ( $42.74 \pm 26.30$  versus  $37.92 \pm 20.28$ ; Mann-Whitney  $U = 2.23$ ,  $p = 0.025$ ). We pooled the baseline MBI+ and emergent MBI cases into a single group and repeated the full-factorial model (see Table 3 and Fig. 2B). This identified a statistically significant contribution by MBI(Baseline + Emergent) status ( $F(1,574) = 4.83$ ,  $p = 0.028$ ), Time ( $F(1,582) = 27.24$ ,  $p < 0.001$ ) Time\*MBI(Baseline + Emergent) status ( $F(1,574) = 5.82$ ,  $p = 0.016$ ), and Time\*MBI(Baseline + Emergent)\*Sex ( $F(1,574) = 4.34$ ,  $p = 0.038$ ). Tukey's *post-hoc* tests revealed separation between MBI(Baseline + Emergent) and MBI negative participants at 2 years, and while the effect of sex did not reach significance the effect appeared to be driven by increases in NfL in females.

## DISCUSSION

To the best of our knowledge, the relationship between NfL and MBI has never been longitudinally characterized in a pre-dementia sample. Our data support the hypothesis that MBI is a valid risk marker for neurodegeneration, as indicated by greater increase in NfL over two years in individuals with

MBI. By studying participants with normal cognition and MCI, this study was able to increase our understanding of the development of MCI and dementia, which will be extremely valuable in enhancing early detection.

MBI represents the neurobehavioral axis of pre-dementia risk states, as a complement to the neurocognitive axis represented by subjective cognitive decline (SCD) and MCI. Thus far, clinical and imaging/biomarker studies have validated MBI as a risk state and marker of early disease. Cross-sectional studies have associated MBI with a specific cognitive profile in pre-dementia [28] and Parkinson's disease [29], and longitudinal data have demonstrated faster cognitive decline in the presence of MBI [12, 28, 30, 31]. Neurobiological correlates of MBI are also emerging. First genetic studies suggest an association of MBI with AD risk genes [32]. Neural correlates of MBI impulse dyscontrol have been associated with micro-structural changes using diffusion tensor MRI [33]. Using the National Institute of Aging-Alzheimer's Association Amyloid Tau Neurodegeneration (ATN) research framework [34], MBI has been validated as a preclinical dementia syndrome, associated with amyloid positivity, in advance of tau and neurodegeneration in older adults with normal cognition, irrespective of the presence or absence of subjective cognitive complaints [35].

NfL has been validated as a biomarker of neurodegeneration, and change in NfL is associated with other well-established correlates of AD [19]. Indeed, NfL change is associated with low fluorodeoxyglu-

Table 3

Multivariate Repeated Measures ANOVA Model Results with Baseline and Emergent Definition of MBI			
Model term	$F(1,574)$	$p$	Partial Eta Squared
<i>Between-Subjects Main Effects</i>			
<b>Age</b>	<b>213.62</b>	<b>&lt;0.001</b>	<b>0.271</b>
Sex	2.07	0.150	0.004
Education	1.12	0.290	0.002
<b>MBI-Baseline &amp; Emergent</b>	<b>4.83</b>	<b>0.028</b>	<b>0.008</b>
<b>MCI</b>	<b>6.36</b>	<b>0.012</b>	<b>0.011</b>
<i>Within-Subjects Contrasts</i>			
Time	0.002	0.968	0.000
Time*Age	1.46	0.227	0.003
Time*Sex	0.07	0.779	0.000
Time*Education	0.98	0.322	0.002
<b>Time*MBI-Baseline &amp; Emergent</b>	<b>5.82</b>	<b>0.016</b>	<b>0.010</b>
Time*MCI	0.30	0.862	0.000
<b>Time*MBI-Baseline &amp; Emergent*Sex</b>	<b>4.34</b>	<b>0.038</b>	<b>0.008</b>
Time*MBI-Baseline*MCI	0.04	0.828	0.000
Time*MCI*Sex	0.36	0.545	0.001
Time*Sex* MBI-Baseline & Emergent*MCI	0.009	0.923	0.000

Between subjects parameters included: MBI, MCI, and sex. Covariates included: age and years of education.

279 cose PET uptake, expansion of ventricular volume,  
280 and reduction in cognitive test scores. Additionally,  
281 in the MCI population, a change in NfL has been  
282 associated with white matter lesions and CSF p/t-tau,  
283 which was not observed in cognitively normal and  
284 AD groups. Another very recent study from the Mayo  
285 Clinic Study of Aging compared CSF and plasma NfL  
286 in a sample of 79 participants with disease sever-  
287 ity not exceeding an MCI state. No cross-sectional  
288 associations between NfL and imaging or cognitive  
289 markers were found, but higher baseline plasma and  
290 CSF NfL levels were longitudinally associated with  
291 worsened outcomes for global cognition and most  
292 neuroimaging measures of neurodegeneration [36].  
293 Relevant to the importance of appropriate assessment  
294 of later life psychiatric symptoms, two recent studies  
295 have assessed differences in serum NfL in behav-  
296 ioral variant FTD (bvFTD) and primary psychiatric  
297 conditions (PPC). A German study assessed cross-  
298 sectional differences in serum NfL levels between  
299 bvFTD and PPC (including depression, bipolar dis-  
300 order, and schizophrenia). In this small-sample study,  
301 no significant changes were observed when compar-  
302 ing psychiatric patients with the control group, but  
303 elevated Simoa serum NfL levels (>23.7 pg/ml) in  
304 bvFTD had 85% sensitivity and 78% specificity in  
305 distinguishing of bvFTD from all psychiatric disor-  
306 ders as a combined group [37]. Similarly, a Finnish  
307 study comparing 91 participants with FTD and 34  
308 with PPC, demonstrated discriminative utility of the  
309 Simoa assay with 79% sensitivity and 85% specificity  
310 (AUC = 0.830) [38]. Cross sectional studies have also  
311 suggested large-scale axonal degradation to occur in  
312 pre-disease states through investigation of plasma and  
313 CSF NfL [23, 39].

314 Our data extend this body of literature suggesting  
315 that NfL is sensitive to domains beyond cognition, to  
316 include NPS, which are also associated with neurode-  
317 generation. As these can be among the first noticeable  
318 indicators of an impending neurodegenerative disor-  
319 der, our findings suggest that the NPS clinical  
320 phenotype described by MBI constitutes a subtype  
321 at risk of accelerated disease progression, captured  
322 by NfL rate of change. Using NfL, early disease  
323 sensitivity has been suggested by other studies that  
324 identified elevated serum, plasma, and CSF levels  
325 prior to cognitive symptom onset [39, 40]. Combined,  
326 the behavioral aspect communicated by MBI and bio-  
327 logical validation provided by NfL could produce a  
328 sensitive method to track early phase disease.

329 Neither age, gender, education, nor baseline cog-  
330 nitive status (NC versus MCI) were significantly

331 associated with the NfL rate of change in this dataset.  
332 Consistent with the literature [19], when stratified  
333 based on cognitive status alone (MCI versus NC),  
334 there were also no significant differences between the  
335 two groupings in NfL rate of change. This highlights  
336 the sensitivity that MBI provides in identifying non-  
337 demented populations at risk of cognitive decline,  
338 irrespective of their cognitive status (normal cog-  
339 nition, subjective cognitive decline, MCI). It also helps  
340 to confirm the validity of MBI as a marker of not only  
341 impending cognitive decline, but of neurodegenera-  
342 tion as well, reinforcing the interpretation that late life  
343 NPS are a feature of neurodegeneration. Indeed, the  
344 later in life the onset of psychiatric symptomatology,  
345 the more likely it is a manifestation of early neu-  
346 rodegenerative disease [14]. This is one of the core  
347 constructs of the MBI syndrome, which mandates  
348 symptoms be emergent in later life. Additional bio-  
349 logical studies also support the relationship between  
350 dementia proteinopathies and NPS. Striatal amyloid  
351 binding has been associated with anxiety [41], and the  
352 early neurodegeneration-associated loss of biogenic  
353 amine nuclei can potentially manifest as psychiatric  
354 symptomatology [42]. More recently, longitudinal  
355 data have demonstrated that cortical amyloid mod-  
356 erates the association between worsening depressive  
357 symptoms and declining cognition in older adults  
358 [43]. Our study adds to the evidence base that NPS  
359 can be a core symptom of neurodegenerative dis-  
360 ease, seen in advance of or in concert with cognitive  
361 decline, advancing our biological understanding of  
362 neuropsychiatric symptomatology in older adults.

363 It is unclear at this point what are the exact tempo-  
364 ral and neurobiological relationships between plasma  
365 NfL accumulation and MBI. In the MBI (emer-  
366 gent + baseline) grouping, there was an elevated rate  
367 of NfL accumulation alongside the main effect of  
368 MBI that was not present in the MBI (baseline) only  
369 group. By observing a global, MBI related eleva-  
370 tion in plasma NfL only in the group that included  
371 emergent MBI symptomatology participants, it can be  
372 speculated that the degeneration occurred prior to  
373 the onset of MBI symptoms. This is reinforced by  
374 the separation in plasma NfL concentrations pre-  
375 sented at the two-year follow-up visit. In the MBI  
376 (emergent + baseline) group, there was a significantly  
377 higher plasma NfL concentration at follow-up than  
378 the MBI- grouping, which was not observed when  
379 stratifying based only on baseline MBI symptomol-  
380 ogy. As such, the results of the present study suggest  
381 that axonal degradation indicated by NfL accumula-  
382 tion may precede the onset of MBI symptomatology

383 in time. We believe these findings with NfL add sub-  
384 substantial weight to the notion that MBI has a biological  
385 basis and is a manifestation of dementia, in contrast to  
386 the reverse causality perspective that NPS may cause  
387 cognitive decline and dementia. Alternatively or addi-  
388 tionally, there may be a third factor that contributes  
389 to both NfL accumulation and MBI symptomology  
390 (e.g., dementia proteinopathies). Further studies are  
391 required to explore these temporal and neurobiologi-  
392 cal relationships.

### 393 *Limitations and future directions*

394 There were several limitations of this study. First,  
395 ADNI protocol excludes some participants with NPS  
396 due to the assumption that these symptoms represent  
397 a psychiatric disorder because of greater symptom  
398 severity. Without ascertainment of the natural history  
399 of symptoms to determine if they are longstand-  
400 ing/recurrent (and less linked to dementia) or new  
401 onset (which may be preclinical or prodromal demen-  
402 tia), potential MBI cases may be lost in ADNI.  
403 Second, the NPI-Q was developed specifically for  
404 a dementia population, and while it has been used  
405 extensively in MCI, it is unclear to what extent it cap-  
406 tures NPS in older adults with normal cognition, let  
407 alone those that are sustained and emergent in accord-  
408 ance with MBI criteria, for which the MBI-C was  
409 explicitly developed. MBI criteria require a 6-month  
410 symptom duration to meet syndromic threshold, the  
411 NPI-Q has a 1-month reference range. Specifically,  
412 using the NPI-Q is an imprecise approach to capture  
413 MBI, as evidenced by additional preliminary work  
414 we have done. In a recent study of cognitive neuro-  
415 logic patients, MBI was present in 83.5% of MCI and  
416 76.5% of SCD using this transformation approach  
417 [27]. A similar analysis in an Australian population  
418 sample of 1,377 participants with normal cognition,  
419 pre-MCI and MCI, found MBI prevalence to be  
420 34.1% [44]. In contrast, in a primary care MBI-C  
421 validation study, prevalence was 5.8% in SCD [45]  
422 and 14.2% in MCI [46]. Prevalence estimates of MBI  
423 using the NPI-Q have been inflated compared to those  
424 with the MBI-C due to decreased specificity associ-  
425 ated with transient and reactive symptoms captured  
426 by a scale with a short reference range [27, 44–46]. To  
427 mitigate this loss of specificity, only those with a total  
428 MBI score greater than 1 were classified as MBI+,  
429 and those with an MBI score of 1 were excluded.  
430 While this approach may increase specificity, it may  
431 falsely categorize some participants as MBI-. How-  
432 ever, including only those with greater severity to

433 compensate for a shorter duration may still not accu-  
434 rately capture the true MBI signal which is driven by  
435 *later life onset of sustained NPS*.

436 Notwithstanding these limitations, using the  
437 approach of transforming NPI-Q items to MBI  
438 domains has shown to be effective in other stud-  
439 ies. Using the National Alzheimer Coordinating  
440 Center dataset, transformed NPI-Q scores of 2769  
441 NC participants were used to determine MBI sta-  
442 tus. In this study, MBI was associated with greater  
443 risk of incident cognitive decline and dementia at  
444 3 years compared to those without MBI [31]. In  
445 another ADNI study of 341 non-demented individu-  
446 als, machine learning models demonstrated that MBI  
447 score (derived from transformed NPI-Q scores) was  
448 as effective as hippocampal volume in predicting  
449 dementia diagnosis at 40 months [47]. Similarly,  
450 ADNI transformed NPI-Q scores were used to deter-  
451 mine the neural correlates of MBI impulse dyscontrol  
452 across the cognitive spectrum, from normal cogni-  
453 tion through MCI and dementia [33]. These findings  
454 support use of the NPI-Q transformation algorithm  
455 here, as preliminary foundational work linking MBI  
456 and known dementia markers. We believe this is a  
457 very good starting point, and a complement to recent  
458 ligand-based imaging evidence that MBI is associ-  
459 ated with amyloid positivity in cognitive normals  
460 [35], and tau positivity in MCI [48]. Overall, the  
461 field requires a systematic approach to exploring the  
462 link between MBI and dementia biomarkers, and our  
463 study is but one step (but the first with NfL to our  
464 knowledge), which needs to be replicated in other  
465 datasets.

466 Future studies should also utilize the validated  
467 MBI-C [45, 46, 49, 50] to determine MBI status.  
468 Use of the MBI-C would also allow appropriate  
469 examination in pre-dementia populations of MBI  
470 domain specific associations with NfL. The MBI-C  
471 is being incorporated into some cohorts (COMPASS-  
472 ND Canada [51], PROTECT- UK [12], CatchCog –  
473 Netherlands [52], BHR – USA [53], CobTek – France  
474 [54], Czech Brain Aging Study–Czech Republic [55],  
475 Swedish BioFINDER2 [56]), although data will only  
476 emerge slowly. In the meantime, our best approach  
477 to explore the association of pre-dementia NPS and  
478 dementia biomarkers is to use existing datasets to  
479 determine if there are signals, which can be explored  
480 further. Additionally, the nascent literature in this  
481 field has focused on MBI status in general and has not  
482 yet determined rates of cognitive decline for differ-  
483 ent MBI domains, which are next steps in this rapidly  
484 evolving field.

## Conclusions

This study provides validation of MBI as a marker of early phase neurodegenerative disease, associated with faster disease progression, as measured by NfL. The novelty of this study lies in the examination of a rate of NfL change in relation to MBI symptomatology, adding to the evidence that NPS may be a sentinel sign of early dementia and accelerated neurodegeneration [35]. MBI is a novel approach to dementia detection using an informant rated measurement of NPS that is non-invasive, scalable, and inexpensive. Those screening positive for MBI can be investigated further clinically and considered in primary and secondary prevention clinical trials. Given that MBI is associated with faster cognitive decline and reduced time to dementia, incorporating MBI measurement into clinical trial screening protocols can serve as an efficient, inexpensive, and scalable way to identify those who are at risk for dementia and may have early dementia changes. This approach can address clinical trial issues of high costs, and prohibitive lead-time to demonstrate clinical benefit, increasing power of trials and decreasing the cost due to more efficient patient selection. Additionally, as MBI can be among the first noticeable indicators of an impending neurodegenerative disorder, our findings may suggest that the NPS clinical phenotype described by MBI constitutes a subtype at risk of accelerated disease progression, captured by NfL rate of change. The construct of MBI has immediate impact on the early identification of potential neurodegenerative disease because of ease of administration and cost-effectiveness. MBI also has immediate impact in psychiatric clinical care, identifying those at higher risk for dementia in primary and specialty care clinics due to the emphasis on natural history of psychiatric symptoms, differentiating between chronic/recurrent versus later life emergent symptomatology. These findings will enable assessment of new therapies earlier in the disease course, and determine if treatment can change the course of disease [5].

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