

Research Report

Dysregulation of Insulin-Linked Metabolic Pathways in Alzheimer's Disease: Co-Factor Role of Apolipoprotein E ϵ 4

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Abstract.

Background: Brain insulin resistance and deficiency are well-recognized abnormalities in Alzheimer's disease (AD) and likely mediators of impaired energy metabolism. Since apolipoprotein E (*APOE*) is a major risk factor for late-onset AD, it was of interest to examine its potential contribution to altered insulin-linked signaling networks in the brain.

Objective: The main goal was to evaluate the independent and interactive contributions of AD severity and *APOE* ϵ 4 dose on brain expression of insulin-related polypeptides and inflammatory mediators of metabolic dysfunction.

Methods: Postmortem fresh frozen frontal lobe tissue from banked cases with known *APOE* genotypes and different AD Braak stages were used to measure insulin network polypeptide immunoreactivity with a commercial multiplex enzyme-linked immunosorbent assay (ELISA).

Results: Significant AD Braak stage and *APOE* genotype-related abnormalities in insulin, C-peptide, gastric inhibitory polypeptide (GIP), glucagon-like peptide-1 (GLP-1), leptin, ghrelin, glucagon, resistin, and plasminogen activator inhibitor-1 (PAI-1) were detected. The main factors inhibiting polypeptide expression and promoting neuro-inflammatory responses included AD Braak stage and *APOE* ϵ 4/ ϵ 4 rather than ϵ 3/ ϵ 4.

Conclusion: This study demonstrates an expanded role for impaired expression of insulin-related network polypeptides as well as neuroinflammatory mediators of brain insulin resistance in AD pathogenesis and progression. In addition, the findings show that *APOE* has independent and additive effects on these aberrations in brain polypeptide expression, but the impact is decidedly greater for *APOE* ϵ 4/ ϵ 4 than ϵ 3/ ϵ 4.

Keywords: Alzheimer's disease, *APOE*, Braak stage, human brain, incretins, insulin resistance, leptin, multiplex ELISA, neurodegeneration, neuroinflammation

INTRODUCTION

Growing evidence supports the concept that Alzheimer's disease (AD) is mechanistically linked to impairments in brain energy metabolism [1] marked

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by reduced glucose uptake and utilization from pre-symptomatic stages of disease [2]. Furthermore, in the prospective Baltimore Longitudinal Study, impairments in brain glucose uptake were correlated with reduced expression of the glucose transporter 3 (GLUT3) and subsequent development of AD [3]. Since glucose uptake and utilization in the brain and neuronal cells are stimulated by insulin [4–7], insulin deficiency or insulin resistance could dysregulate energy metabolism and contribute to the pathogenesis of AD [8–10]. Besides regulating energy metabolism, insulin stimulates working memory, cognition [11–14], and neuronal plasticity, and its receptors are abundantly expressed in brain regions that are most susceptible to AD-type neurodegeneration [15, 16]. Correspondingly, experimental inhibition of insulin-related signaling networks causes neurodegeneration with AD features [17–19]. Altogether, these findings point to dysregulation of insulin signaling networks as a fundamental mediator of neurodegeneration.

Human postmortem [9, 20] and clinical [10, 21, 22] studies have demonstrated brain insulin deficiency and insulin resistance in AD. Insulin deficiency is mainly manifested by reduced insulin levels in brain and cerebrospinal fluid (CSF), whereas insulin resistance is associated with reduced expression, tyrosine phosphorylation, and binding of the insulin receptor, activation of insulin receptor substrate downstream signaling through phosphoinositol-3-kinase (PI3K)-Akt pathways, and brain glucose levels [9, 16, 20, 23]. Disruption of these insulin-related networks adversely impacts neuronal survival, oligodendrocyte function, neuronal plasticity, and energy metabolism, and promotes neuro-inflammation, oxidative, nitrosative, and endoplasmic reticular stress, lipid peroxidation and cell death [8, 24–26]. In addition, impairments in brain insulin signaling have been linked to increased tau phosphorylation and amyloid- β 1–42 ($A\beta_{1-42}$) accumulation/toxicity [20, 25, 27–29]. Therefore, apart from the specific AD-associated outcomes, the molecular, biochemical, and cytopathological consequences of insulin deficiency/resistance in the brain closely resemble those that occur in diabetes mellitus and other insulin resistance diseases [8, 30].

The steadily increasing prevalence of AD over the past several decades and across all age groups [31] indicates that factors other than genetics can mediate AD neurodegeneration. Furthermore, the parallel increases in rates of diabetes mellitus and other insulin resistant states, the higher rates of cognitive

impairment and AD in people with obesity or type 2 diabetes mellitus [31], and the increased risk of developing mild cognitive impairment (MCI) or AD in non-obese, non-diabetic people with elevated blood glucose [32, 33] suggest the drivers and mechanisms of peripheral insulin resistance and AD may be shared. Another way to consider the problem is that perhaps insulin resistant disease states are fundamentally related but differentially manifested due to variation in tissues, organs and systems targeted. For example, atherosclerosis is a single pathologic process that causes different diseases based on compromised flow through specific arteries. If AD is truly one of the progressive insulin resistance/insulin deficiency diseases in which the brain is selective or prominently involved, then therapeutic interventions developed for other related diseases may be extendable to AD. Already this concept has some validity since cognitive impairment in MCI and AD are positively responsive to intranasal insulin, insulin sensitizers, incretins, and lifestyle modifications that enhance insulin responsiveness [8, 11, 14, 34–38]. Furthermore, intranasal insulin has been shown in humans to increase brain energy, including levels of ATP and phosphocreatine using ^{31}P magnetic resonance spectroscopy to assess cerebral energy metabolism [39]. To delve deeper into the overarching question concerning insulin network dysfunction versus insulin resistance/deficiency as mediators of neurodegeneration, in this study we measured broad indices of insulin-regulated metabolic integrity in postmortem frontal cortex samples from controls and AD human subjects. The primary objective was to assess the presence and characteristics of central nervous system (CNS) dysregulated metabolic networks.

This study examined the contributions of both Braak stage histopathological grade of AD and apolipoprotein E (*APOE*) genotype on frontal cortex expression of insulin-related polypeptides in post-mortem human brains using a commercial multiplex gut hormone panel. Previously, we used this approach to demonstrate insulin-related metabolic abnormalities in CSF and serum from patients with MCI or AD [40–43]. Furthermore, this study extends earlier work characterizing AD grade and *APOE* genotype ($\epsilon 3/\epsilon 3$, $\epsilon 3/\epsilon 4$, $\epsilon 4/\epsilon 4$) effects on brain expression of insulin degrading enzyme (IDE) and regulator of calcineurin 1 (RCAN1) [44]. That study demonstrated AD severity and *APOE* $\epsilon 4$ dose-dependent reductions in IDE and increases in RCAN1 expression. Those findings are relevant to the present study because IDE is a 110 kD thiol zinc metalloendopeptidase that

Table 1
Insulin-Related Metabolic Peptides and Their Functions

Polypeptide	Functions
Insulin	Reduces blood glucose; regulates metabolism by increasing cell permeability to monosaccharides, amino acids and fatty acids; accelerates the pentose phosphate cycle and glycogen synthesis in the liver.
C-peptide: Connecting peptide	Stable by-product of pro-insulin cleavage to generate insulin; mediates efficient assembly, folding, and processing of insulin in the ER.
GIP1: Gastric inhibitory polypeptide	Potent stimulator of insulin secretion; stimulates lipoprotein lipase; modulates fatty acid metabolism; poor inhibitor of gastric acid secretion
GLP-1: Glucagon-like peptide-1	Potent stimulator of glucose-dependent insulin release; stimulates glucose disposal, independent insulin actions; suppresses plasma glucagon; modulates gastric motility; may suppress satiety; promotes growth of intestinal epithelium. neuroprotective.
Leptin	Critical regulator of energy balance by inhibiting food intake and promoting energy expenditure; helps regulate fat depots.
Ghrelin	Ligand for growth hormone secretagogue receptor type 1; induces growth hormone release from the pituitary-regulates growth; stimulates appetite; induces adiposity; stimulates gastric acid secretion.
Glucagon	Regulates glucose metabolism and homeostasis by increasing gluconeogenesis and decreasing glycolysis and counterregulatory to insulin; raises plasma glucose in response to insulin-induced hypoglycemia; initiates and maintains hyperglycemic conditions in diabetes mellitus.
Resistin	Promotes insulin resistance; suppresses insulin-stimulated glucose uptake in adipocytes; potentially links obesity to diabetes; increases hepatic production of LDL and degradation of LDL receptors, increasing risk of cardiovascular disease; promotes cytokine inflammatory responses and DNA transcription.
PAI-1: Plasminogen activator inhibitor-1	Serine protease inhibitor that acts as a 'bait' for tissue plasminogen activator, urokinase, protein C and matriptase-3/TMPRSS7; regulates fibrinolysis.
Visfatin	Increases insulin sensitivity; promotes cytokine activation. However, more typically known to regulate circadian clock functions, promotes B-cell maturation, and inhibits neutrophil apoptosis.

degrades insulin and other small polypeptides including atrial natriuretic peptide, transforming growth factor- α , amylin, bradykinin, kallidin and $A\beta$, and RCAN1 inhibits calcineurin causing increased glycogen synthase kinase 3 β activation with attendant hyperphosphorylation of tau and neurofibrillary tangle formation. The present work broadens the analysis of dysregulated brain metabolic networks and the co-factor role of *APOE4* dose as mediators of neurodegeneration in the pathogenesis of AD.

METHODS

Human subjects

Human postmortem fresh frozen frontal cortex samples from Brodmann Area 8/9 were provided by the Duke Kathleen Price Bryan Brain Bank and Biorepository (Durham, NC). The standardized brain banking protocol ensures storage of high-quality tissue for molecular and biochemical analyses and systematic review by neuropathologists to assign diagnoses and disease stage. In addition, all cases were *APOE* genotyped [$\epsilon 3/\epsilon 3$, $\epsilon 3/\epsilon 4$, $\epsilon 4/\epsilon 4$] (<https://neurology.duke.edu/research/research-centers/joseph-and-kathleen-bryan-alzheimers-disease-research-center/brain-bank>). However, apart from standard demographics, case de-identification precludes detailed correlative analysis of clinical data in relation

to research findings. For this project, we obtained 72 fresh frozen brain samples from men and women who were grouped based on their Braak stage scores for AD severity (Braak 0–2; B0–2 = normal aging; B3–4 = moderate AD; B5–6 = severe or advanced AD) and *APOE* genotypes. The Lifespan Hospitals Institutional Review Board (IRB) approved the use of human postmortem de-identified brains for this research.

Multiplex human gut hormone enzyme-linked immunosorbent assay (ELISA)

For these studies, we used a Human Gut Hormone 10-Plex™ Assay (Bio-Rad, Hercules, CA), which is based on a magnetic bead-based format for simultaneously measuring immunoreactivity to insulin, C-peptide, gastric inhibitory polypeptide (GIP), glucagon-like peptide-1 (GLP-1), leptin, ghrelin, glucagon, resistin, plasminogen activator inhibitor-1 (PAI-1), and visfatin in tissue homogenates. This assay was utilized to investigate a fuller spectrum of potential polypeptide abnormalities that could contribute to impairments in energy balance within the CNS (Table 1). The assays were performed in accordance with the manufacturer's protocol. In brief, captured antigens were detected with biotinylated secondary antibodies followed by a streptavidin-phycoerythrin reporter conjugate.

Fluorescence intensity was measured in a MAGPIX (Bio-Rad, Hercules, CA) and hormone concentrations (pg/mL) were determined from standard curves using MAGPIX software.

Statistics

Results are graphed using scatter plots to depict median (horizontal bars) and within-group and between-group variability. Inter-group statistical comparisons were made by repeated measures two-way ANOVA tests with 1% false discovery corrections and *post-hoc* Tukey tests (GraphPad Prism 8 software, San Diego, CA). Statistical significance was defined as $p < 0.05$. Statistical trend was defined as $0.05 < p < 0.10$.

RESULTS

Study groups

Among the 72 cases evaluated, 38 were genotyped as *APOE* $\epsilon 3/\epsilon 3$, 25 as *APOE* $\epsilon 3/\epsilon 4$, and 9 as *APOE* $\epsilon 4/\epsilon 4$ (Table 2). The gender distributions were balanced across *APOE* genotypes, except for *APOE* $\epsilon 3/\epsilon 4$ which had 30% more males than females with B3–4 AD, and 2.5 times as many females as males with B5–6 AD. Mean ages ranged from 72 to 84 years within each subgroup. There were no significant differences in mean age among the sub-groups, except for *APOE* $\epsilon 3/\epsilon 4$, B0–2 controls which were significantly younger than the corresponding AD groups ($p < 0.05$), but not *APOE* $\epsilon 3/\epsilon 3$ controls. None of the B0–2 controls had an *APOE* $\epsilon 4/\epsilon 4$ genotype. *Post-*

hoc inter-group statistical comparisons made with respect to *APOE* $\epsilon 3/\epsilon 3$ B0–2 controls are depicted in the figures, while all inter-group significant differences and trends are listed in Tables 3–11.

Insulin

Insulin promotes glucose uptake into cells, decreasing its concentrations in peripheral blood (Table 1). Two-way ANOVA revealed significant effects of Braak stage AD severity ($F = 8.78$; $p = 0.0007$), *APOE* genotype ($F = 7.27$; $p = 0.002$), and interactions between AD severity and *APOE* ($F = 2.83$; $p = 0.036$). *Post hoc* multiple comparison tests revealed significant reductions in frontal cortex insulin immunoreactivity in B5–6 *APOE* $\epsilon 3/\epsilon 3$, and both B3–4 and B5–6 *APOE* $\epsilon 4/\epsilon 4$ relative to B0–2 *APOE* $\epsilon 3/\epsilon 3$ (Fig. 1A) and B0–2 *APOE* $\epsilon 3/\epsilon 4$ controls (Table 3). In addition, *post hoc* testing revealed significant inhibitory effects of *APOE* $\epsilon 4$ dose and AD severity on brain insulin expression, and within B5–6, *APOE* dose-dependent inhibition of insulin expression (Table 3).

C-peptide

C-peptide is a component of pro-insulin that is co-generated with insulin upon cleavage of the precursor protein (Table 1). Due to its stability, C-peptide is often used to gauge insulin concentration and insulin resistance over time along with current glucose levels in peripheral blood. Two-way ANOVA demonstrated significant effects of *APOE* genotype ($F = 3.76$; $p = 0.03$) and a trend effect for AD severity ($F = 2.76$; $p = 0.07$) on C-peptide expression. *Post hoc* multiple comparisons testing demonstrated trend reductions in C-peptide in B5–6 *APOE* $\epsilon 3/\epsilon 3$ relative to corresponding controls (Table 4), and significant reductions in C-peptide in both B3–4 and B5–6 *APOE* $\epsilon 4/\epsilon 4$ relative to B0–2 *APOE* $\epsilon 3/\epsilon 3$ (Fig. 1B), and B3–4 *APOE* $\epsilon 4/\epsilon 4$ versus B3–4 *APOE* $\epsilon 3/\epsilon 4$, i.e., an *APOE* dose effect (Fig. 1B and Table 4). These findings partially mimicked the insulin responses and demonstrated that *APOE* $\epsilon 4$ dose and Braak stage severity of AD had significant inhibitory effects on C-peptide expression in the brain.

Gastric inhibitory peptide 1 (GIP-1)

GIP-1 is an incretin with potent stimulatory effects on insulin secretion and a modulator of fatty acid metabolism (Table 1). Two-way ANOVA revealed significant effects of AD Braak stage ($F = 8.0$;

Table 2

Human Subject Groups: Apolipoprotein E (*APOE*) Genotype and Braak Stage Severity of AD

Genotype	Braak Stage AD	# Cases	Age (Mean \pm S.D.)	Sex
<i>APOE</i> $\epsilon 3/\epsilon 3$	0–2	18	78.33 \pm 11.16	9 M; 9 F
	3–4	14	81.57 \pm 6.81	6 M; 8 F
	5–6	6	84.01 \pm 2.45	3 M; 3 F
<i>APOE</i> $\epsilon 3/\epsilon 4$	0–2	3	72.33 \pm 14.15	2 M; 1 F
	3–4	15	84.13 \pm 4.02	9 M; 6 F
	5–6	7	83.71 \pm 5.47	2 M; 5 F
<i>APOE</i> $\epsilon 4/\epsilon 4$	0–2	0		
	3–4	3	84.03 \pm 3.60	2 M; 1 F
	5–6	6	81.11 \pm 4.47	3 M; 3 F

Fresh frozen frontal cortex samples from 72 de-identified banked brains with known *APOE* genotypes were studied. The B0–2 *APOE* $\epsilon 3/\epsilon 4$ cases were significantly younger than the B3–4 and B5–6 AD *APOE* $\epsilon 3/\epsilon 4$ cases ($p < 0.05$). There were no control subjects with an *APOE* $\epsilon 4/\epsilon 4$ genotype.

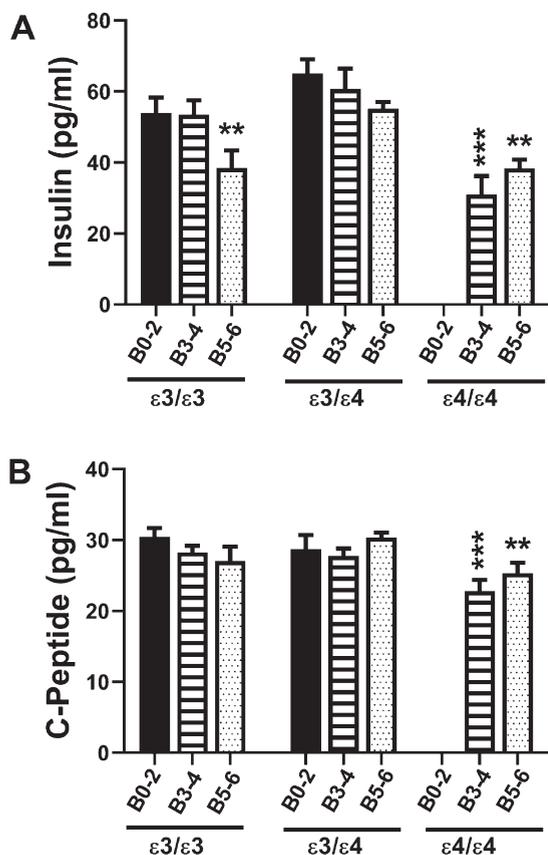


Fig. 1. Insulin and C-Peptide: A commercial magnetic bead-based multiplex ELISA was used to measure A) insulin and B) C-peptide immunoreactivity in 72 human postmortem frontal cortical tissue from patients with established *APOE* genotypes (*APOE* $\epsilon 3/\epsilon 3$; *APOE* $\epsilon 3/\epsilon 4$; *APOE* $\epsilon 4/\epsilon 4$). Standardized formalin fixed paraffin-embedded histological sections were used to assign Braak stage (B) severities of AD: B0–2 corresponds to normal aging; B3–4 represents moderate AD; B5–6 is severe AD. The graphs depict the mean \pm S.E.M. levels (pg/mL) of immunoreactivity. Two-way ANOVA with *post-hoc* Tukey multiple comparison tests were used for intergroup comparisons. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.005$; **** $p < 0.0001$ relative to B0–2 *APOE* $\epsilon 3/\epsilon 3$ controls. Other significant inter-group differences are provided in Tables 3 and 4.

$p = 0.001$) and *APOE* genotype ($F = 3.94$; $p = 0.026$), but no significant AD \times *APOE* interactions. The major response observed with respect to *APOE* $\epsilon 3/\epsilon 3$ were progressive declines in GIP-1 with AD severity, resulting in significantly reduced GIP-1 in B5–6 compared with B0–2 (Fig. 2A). For *APOE* $\epsilon 3/\epsilon 4$, although the trends followed those of *APOE* $\epsilon 3/\epsilon 3$, the differences between B5–6 and B0–2 did not reach statistical significance. AD, AD severity, and *APOE4* dose all significantly inhibited GIP-1 expression relative to *APOE* $\epsilon 3/\epsilon 3$ and *APOE* $\epsilon 3/\epsilon 4$ controls (Table 5).

Table 3
INSULIN: Two-way ANOVA Results

Control	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B0–2	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 3/\epsilon 3$	$p = 0.01$
B0–2	$\epsilon 3/\epsilon 3$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.004$
B0–2	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.01$
B0–2	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 3/\epsilon 3$	$p = 0.001$
B0–2	$\epsilon 3/\epsilon 4$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.0005$
B0–2	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.001$
Alzheimer	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B3–4	$\epsilon 3/\epsilon 3$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.004$
B3–4	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 3/\epsilon 3$	$p = 0.01$
B3–4	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.01$
B3–4	$\epsilon 3/\epsilon 4$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.0003$
B3–4	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 3/\epsilon 3$	$p = 0.0006$
B3–4	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.0006$
B3–4	$\epsilon 4/\epsilon 4$	B5–6	$\epsilon 3/\epsilon 4$	$p = 0.03$
B5–6	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 3/\epsilon 4$	$p = 0.01$
B5–6	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.01$

Table 4
C-PEPTIDE: Two-way ANOVA Results

Control	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B0–2	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 3/\epsilon 3$	$p = 0.06$
B0–2	$\epsilon 3/\epsilon 3$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.001$
B0–2	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.006$
B0–2	$\epsilon 3/\epsilon 4$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.03$
Alzheimer	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B3–4	$\epsilon 3/\epsilon 3$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.018$
B3–4	$\epsilon 3/\epsilon 4$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.03$
B3–4	$\epsilon 4/\epsilon 4$	B5–6	$\epsilon 3/\epsilon 3$	$p = 0.07$
B3–4	$\epsilon 4/\epsilon 4$	B5–6	$\epsilon 3/\epsilon 4$	$p = 0.002$
B5–6	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.01$

Glucagon-like peptide 1 (GLP-1)

The GLP-1 incretin has potent stimulatory effects on glucose-dependent insulin release leading to increased glucose disposal and suppression of plasma glucagon (Table 1). Two-way ANOVA demonstrated significant effects of *APOE* genotype ($F = 6.34$; $p = 0.004$) but not AD severity or AD \times *APOE* interactions. Figure 2B clearly depicts how GLP-1 was modulated with *APOE* dose in AD. The main effects were that GLP-1 expression was significantly reduced in B3–4 and B5–6 *APOE* $\epsilon 4/\epsilon 4$ relative to B0–2 and B3–4 *APOE* $\epsilon 3/\epsilon 3$ and *APOE* $\epsilon 3/\epsilon 4$. In addition, GLP-1 expression was significantly lower in B5–6 *APOE* $\epsilon 4/\epsilon 4$ than in B5–6 *APOE* $\epsilon 3/\epsilon 4$, reflecting an inhibitory impact of *APOE4* dose (Table 6).

Leptin

Leptin inhibits food intake and promotes energy expenditure (Table 1). Two-way ANOVA revealed

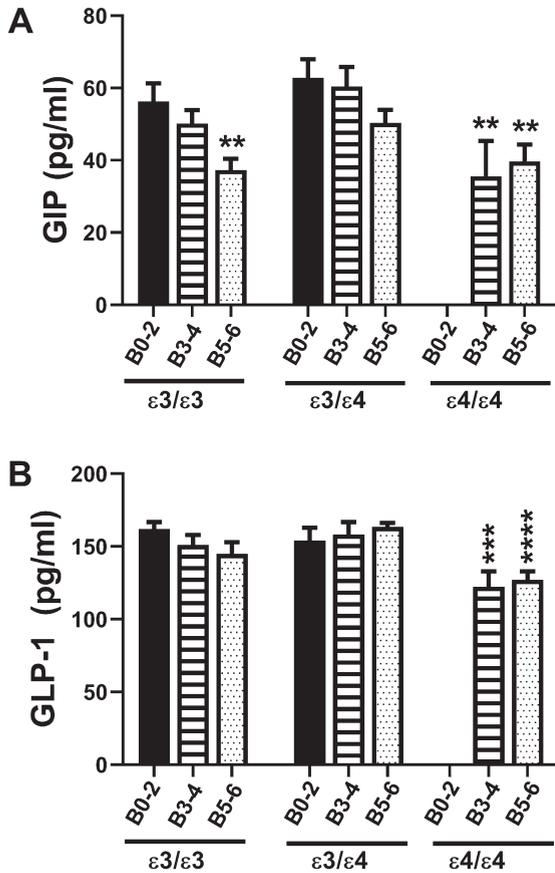


Fig. 2. Incretins (GIP-1 and GLP-1): A multiplex ELISA measured A) GIP and B) GLP-1 immunoreactivity in 72 postmortem human frontal cortex samples from patients with established *APOE* genotypes (*APOE* $\epsilon 3/\epsilon 3$; *APOE* $\epsilon 3/\epsilon 4$; *APOE* $\epsilon 4/\epsilon 4$). Routine histological studies were used to grade Braak stage (B) severities of AD: B0–2 corresponds to normal aging; B3–4 represents moderate AD; B5–6 is severe AD. Graphs depict the mean \pm S.E.M. levels (pg/mL) of immunoreactivity. Two-way ANOVA with *post-hoc* Tukey multiple comparison tests were used for intergroup comparisons. ** $p < 0.01$; *** $p < 0.005$; **** $p < 0.0001$ relative to B0–2 *APOE* $\epsilon 3/\epsilon 3$ controls. Other significant inter-group differences are provided in Tables 5 and 6.

Table 5
GIP-1 Two-way ANOVA Results

Control	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B0–2	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 3/\epsilon 3$	$p = 0.006$
B0–2	$\epsilon 3/\epsilon 3$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.01$
B0–2	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.01$
B0–2	$\epsilon 3/\epsilon 4$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.008$
B0–2	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 3/\epsilon 3$	$p = 0.005$
B0–2	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.009$
Alzheimer	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B3–4	$\epsilon 3/\epsilon 4$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.004$
B3–4	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 3/\epsilon 3$	$p = 0.0009$
B3–4	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.003$
B3–4	$\epsilon 4/\epsilon 4$	B5–6	$\epsilon 3/\epsilon 4$	$p = 0.08$
B5–6	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 3/\epsilon 4$	$p = 0.07$

Table 6
GLP-1 Two-way ANOVA Results

Control	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B0–2	$\epsilon 3/\epsilon 3$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.002$
B0–2	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 3/\epsilon 3$	$p = 0.07$
B0–2	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.0006$
B0–2	$\epsilon 3/\epsilon 4$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.03$
B0–2	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.03$
Alzheimer	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B3–4	$\epsilon 3/\epsilon 3$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.018$
B3–4	$\epsilon 3/\epsilon 3$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.01$
B3–4	$\epsilon 3/\epsilon 4$	B3–4	$\epsilon 4/\epsilon 4$	$p = 0.004$
B3–4	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.002$
B3–4	$\epsilon 4/\epsilon 4$	B5–6	$\epsilon 3/\epsilon 4$	$p = 0.002$
B5–6	$\epsilon 3/\epsilon 4$	B5–6	$\epsilon 4/\epsilon 4$	$p = 0.0008$

significant effects of AD Braak stage ($F = 19.84$; $p < 0.001$), *APOE* ($F = 7.19$; $p = 0.002$), and Braak stage \times *APOE* interactions ($F = 9.0$; $p < 0.0001$). As shown in Fig. 3A, the mean frontal lobe levels of leptin were significantly reduced in all groups relative to B0–2 *APOE* $\epsilon 3/\epsilon 3$ ($p < 0.0001$) (also see Table 7). In addition, within the *APOE* $\epsilon 3/\epsilon 3$, Leptin expression was lower in B5–6 than B3–4 ($p = 0.02$) reflecting an AD Braak stage severity effect.

Ghrelin

Ghrelin increases food intake and reduces energy expenditure, opposing the actions of leptin (Table 1). Two-way ANOVA demonstrated significant effects of Braak stage ($F = 3.9$; $p = 0.02$) and *APOE* ($F = 5.74$; $p = 0.006$), and trend effects of Braak stage \times *APOE* ($F = 2.25$; $p = 0.08$). Figure 3B and Table 8 show the main effects of AD severity and *APOE4* dose, namely that ghrelin expression was significantly reduced in B3–4 and B5–6 *APOE* $\epsilon 4/\epsilon 4$ relative to B0–2 and B3–4 *APOE* $\epsilon 3/\epsilon 3$ and *APOE* $\epsilon 3/\epsilon 4$. Furthermore, the negative impact of *APOE4* dose is shown by the lower levels of ghrelin in B3–4 and B5–6 *APOE* $\epsilon 4/\epsilon 4$ relative to B3–4 and B5–6 *APOE* $\epsilon 3/\epsilon 3$ or *APOE* $\epsilon 3/\epsilon 4$ (Table 8).

Glucagon

Glucagon mediates gluconeogenesis, raising blood glucose levels and opposing the actions of insulin. Two-way ANOVA showed that the main significant effect was *APOE* genotype ($F = 6.3$; $p = 0.004$). *Post hoc* Tukey tests demonstrated a trend reduction in brain glucagon levels in B5–6 *APOE* $\epsilon 3/\epsilon 3$ relative to B0–2 *APOE* $\epsilon 3/\epsilon 3$, and significant reductions in B3–4 and B5–6 *APOE* $\epsilon 4/\epsilon 4$ relative to B0–2

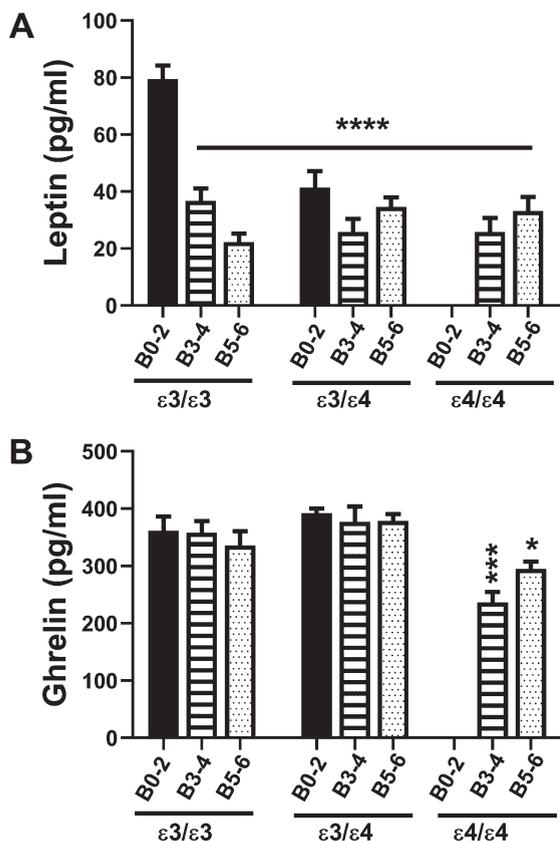


Fig. 3. Leptin and Ghrelin: A multiplex ELISA was used to measure A) leptin and B) ghrelin immunoreactivity in 72 postmortem human frontal cortex samples from patients with known *APOE* genotypes (*APOE* ε3/ε3; *APOE* ε3/ε4; *APOE* ε4/ε4). Formalin fixed paraffin-embedded histological sections were used to assign Braak stage (B) severities of AD: B0–2 corresponds to normal aging; B3–4 represents moderate AD; B5–6 is severe AD. The graphs depict the mean ± S.E.M. levels (pg/mL) of immunoreactivity. Two-way ANOVA with *post-hoc* Tukey multiple comparison tests were used for intergroup comparisons. ****p* < 0.005; *****p* < 0.0001 relative to B0–2 *APOE* ε3/ε3 controls. Other significant inter-group differences are provided in Tables 7 and 8.

Table 7
LEPTIN Two-way ANOVA Results

Control	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B0–2	ε3/ε3	B0–2	ε3/ε4	<i>p</i> < 0.0001
B0–2	ε3/ε3	B0–2	ε4/ε4	<i>p</i> < 0.0001
B0–2	ε3/ε3	B3–4	ε3/ε3	<i>p</i> < 0.0001
B0–2	ε3/ε3	B3–4	ε3/ε4	<i>p</i> < 0.0001
B0–2	ε3/ε3	B3–4	ε4/ε4	<i>p</i> < 0.0001
B0–2	ε3/ε3	B5–6	ε3/ε3	<i>p</i> < 0.0001
B0–2	ε3/ε3	B5–6	ε3/ε4	<i>p</i> < 0.0001
B0–2	ε3/ε3	B5–6	ε4/ε4	<i>p</i> < 0.0001
B0–2	ε3/ε4	B3–4	ε3/ε4	<i>p</i> = 0.047
B0–2	ε3/ε4	B5–6	ε3/ε3	<i>p</i> = 0.02
Alzheimer	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B3–4	ε3/ε3	B5–6	ε3/ε3	<i>p</i> = 0.02
B5–6	ε3/ε3	B5–6	ε3/ε4	<i>p</i> = 0.07

Table 8
GHRELIN Two-way ANOVA Results

Control	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B0–2	ε3/ε3	B3–4	ε4/ε4	<i>p</i> = 0.002
B0–2	ε3/ε3	B5–6	ε4/ε4	<i>p</i> = 0.03
B0–2	ε3/ε4	B3–4	ε4/ε4	<i>p</i> = 0.001
B0–2	ε3/ε4	B5–6	ε4/ε4	<i>p</i> = 0.016
Alzheimer	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B3–4	ε3/ε3	B3–4	ε4/ε4	<i>p</i> = 0.002
B3–4	ε3/ε3	B5–6	ε4/ε4	<i>p</i> = 0.04
B3–4	ε3/ε4	B3–4	ε4/ε4	<i>p</i> = 0.0005
B3–4	ε3/ε4	B5–6	ε4/ε4	<i>p</i> = 0.008
B3–4	ε4/ε4	B5–6	ε3/ε3	<i>p</i> = 0.014
B3–4	ε4/ε4	B5–6	ε3/ε4	<i>p</i> = 0.0007
B5–6	ε3/ε4	B5–6	ε4/ε4	<i>p</i> = 0.01

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APOE ε3/ε3 (*p* = 0.0007 and *p* = 0.009, respectively) (Fig. 4A, Table 9). Similarly, brain glucagon expression was lower in B3–4 *APOE* ε4/ε4 than in B0–2 *APOE* ε3/ε4 (*p* = 0.009), reflecting an AD effect, and lower in B3–4 and B5–6 *APOE* ε4/ε4 than in *APOE* ε3/ε3 and *APOE* ε3/ε4 with the same Braak stage severities of AD, reflecting an *APOE* dose effect.

Resistin

Resistin promotes insulin resistance by suppressing insulin-stimulated glucose uptake into adipocytes (Table 1). Two-way ANOVA demonstrated significant AD Braak stage × *APOE* interactive effects (*F* = 3.83; *p* < 0.01) and a trend effect for *APOE* (*F* = 2.74; *p* = 0.08). For *APOE* ε3/ε3, resistin expression declined with increasing AD Braak stage resulting in significantly reduced levels in B5–6 relative to B0–2 (*p* = 0.003) (Fig. 4B, Table 10). In addition, resistin expression was significantly reduced in B3–4 *APOE* ε4/ε4 relative to B0–2 *APOE* ε3/ε3 (*p* = 0.01) (Fig. 4B, Table 10). B3–4 *APOE* ε3/ε4 had sharply elevated levels of resistin compared with all other groups (Fig. 4B), accounting for many of the significant differences detected (Table 10).

Plasminogen activator inhibitor-1 (PAI-1)

PAI-1 is a multi-functional serine protease inhibitor that regulates fibrinolysis, and therefore, may be critical for preventing vascular occlusions and ischemic injury (Table 1). Two-way ANOVA demonstrated significant AD Braak stage × *APOE* effects (*F* = 4.28; *p* = 0.005). In contrast to polypeptides that modulate insulin or insulin-related actions, PAI-1 expression in cases with an *APOE* ε3/ε3 genotype

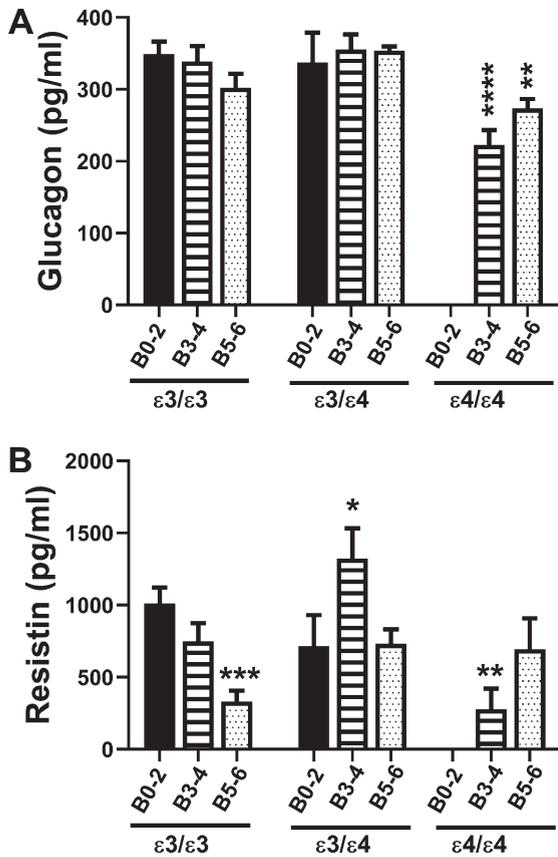


Fig. 4. Glucagon and Resistin: A commercial multiplex ELISA was used to measure A) glucagon and B) resistin immunoreactivity in 72 human postmortem frontal cortex tissue samples from patients with known *APOE* genotypes (*APOE* $\epsilon 3/\epsilon 3$; *APOE* $\epsilon 3/\epsilon 4$; *APOE* $\epsilon 4/\epsilon 4$). Standardized formalin fixed paraffin-embedded histological sections were used to assign Braak stage (B) severities of AD: B0-2 represents normal aging; B3-4 represents moderate AD; B5-6 is severe AD. The graphs depict the mean \pm S.E.M. levels (pg/mL) of immunoreactivity. Two-way ANOVA with *post-hoc* Tukey multiple comparison tests were used for intergroup comparisons. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.005$; **** $p < 0.0001$ relative to B0-2 *APOE* $\epsilon 3/\epsilon 3$ controls. Other significant inter-group differences are provided in Tables 9 and 10.

was elevated in B3-4 ($p = 0.01$) and B5-6; $p = 0.008$) relative to B0-2. In addition, the levels in B0-2 and B3-4 with an *APOE* $\epsilon 3/\epsilon 4$ genotype, and B5-6 with an *APOE* $\epsilon 4/\epsilon 4$ genotype were elevated compared with B0-2 *APOE* $\epsilon 3/\epsilon 3$ (Fig. 5A, Table 11), reflecting AD stage and *APOE* genotype effects. For *APOE* $\epsilon 3/\epsilon 3$ and *APOE* $\epsilon 3/\epsilon 4$, PAI-1 expression was similarly elevated by B3-4 and B5-6, whereas for *APOE* $\epsilon 4/\epsilon 4$, PAI-1 was significantly higher in B5-6 than B3-4, reflecting AD x *APOE4* dose interactive effects on PAI-1 expression.

Table 9
GLUCAGON Two-way ANOVA Results

Control	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B0-2	$\epsilon 3/\epsilon 3$	B5-6	$\epsilon 3/\epsilon 3$	$p = 0.09$
B0-2	$\epsilon 3/\epsilon 3$	B3-4	$\epsilon 4/\epsilon 4$	$p = 0.0007$
B0-2	$\epsilon 3/\epsilon 3$	B5-6	$\epsilon 4/\epsilon 4$	$p = 0.009$
B0-2	$\epsilon 3/\epsilon 4$	B3-4	$\epsilon 4/\epsilon 4$	$p = 0.009$
B0-2	$\epsilon 3/\epsilon 4$	B5-6	$\epsilon 4/\epsilon 4$	$p = 0.08$
Alzheimer	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B3-4	$\epsilon 3/\epsilon 3$	B3-4	$\epsilon 4/\epsilon 4$	$p = 0.002$
B3-4	$\epsilon 3/\epsilon 3$	B5-6	$\epsilon 4/\epsilon 4$	$p = 0.02$
B3-4	$\epsilon 3/\epsilon 4$	B5-6	$\epsilon 3/\epsilon 3$	$p = 0.06$
B3-4	$\epsilon 3/\epsilon 4$	B3-4	$\epsilon 4/\epsilon 4$	$p = 0.0004$
B3-4	$\epsilon 3/\epsilon 4$	B5-6	$\epsilon 4/\epsilon 4$	$p = 0.005$
B3-4	$\epsilon 4/\epsilon 4$	B5-6	$\epsilon 3/\epsilon 3$	$p = 0.03$
B3-4	$\epsilon 4/\epsilon 4$	B5-6	$\epsilon 3/\epsilon 4$	$p = 0.0007$
B5-6	$\epsilon 3/\epsilon 3$	B5-6	$\epsilon 3/\epsilon 4$	$p = 0.09$
B5-6	$\epsilon 3/\epsilon 4$	B5-6	$\epsilon 4/\epsilon 4$	$p = 0.009$

Table 10
RESISTIN Two-way ANOVA Results

Control	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B0-2	$\epsilon 3/\epsilon 3$	B3-4	$\epsilon 4/\epsilon 4$	$p = 0.01$
B0-2	$\epsilon 3/\epsilon 3$	B5-6	$\epsilon 3/\epsilon 3$	$p = 0.003$
B0-2	$\epsilon 3/\epsilon 4$	B3-4	$\epsilon 3/\epsilon 4$	$p = 0.029$
Alzheimer	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B3-4	$\epsilon 3/\epsilon 3$	B3-4	$\epsilon 3/\epsilon 4$	$p = 0.006$
B3-4	$\epsilon 3/\epsilon 4$	B3-4	$\epsilon 4/\epsilon 4$	$p = 0.0004$
B3-4	$\epsilon 3/\epsilon 4$	B5-6	$\epsilon 3/\epsilon 3$	$p < 0.0001$
B3-4	$\epsilon 3/\epsilon 4$	B5-6	$\epsilon 3/\epsilon 4$	$p = 0.009$
B3-4	$\epsilon 3/\epsilon 4$	B5-6	$\epsilon 4/\epsilon 4$	$p = 0.006$
B5-6	$\epsilon 3/\epsilon 3$	B5-6	$\epsilon 3/\epsilon 4$	$p = 0.09$

Visfatin

Visfatin is a peripheral blood adipokine that like PAI-1, impacts vascular function and ultimately tissue perfusion. Visfatin promotes vascular smooth muscle cell maturation and has insulin-mimetic effects that improve insulin sensitivity (Table 1). Two-way ANOVA demonstrated no statistically significant effects of *APOE*, AD Braak stage severity, or *APOE* x AD interactions. Correspondingly, *post hoc* Tukey tests were negative (Fig. 5B).

DISCUSSION

This study utilized human postmortem brains to examine the independent and interactive relationships between AD severity and *APOE4* on frontal lobe expression of insulin-related polypeptides and inflammatory mediators that impact insulin responsiveness. The premise was that, although

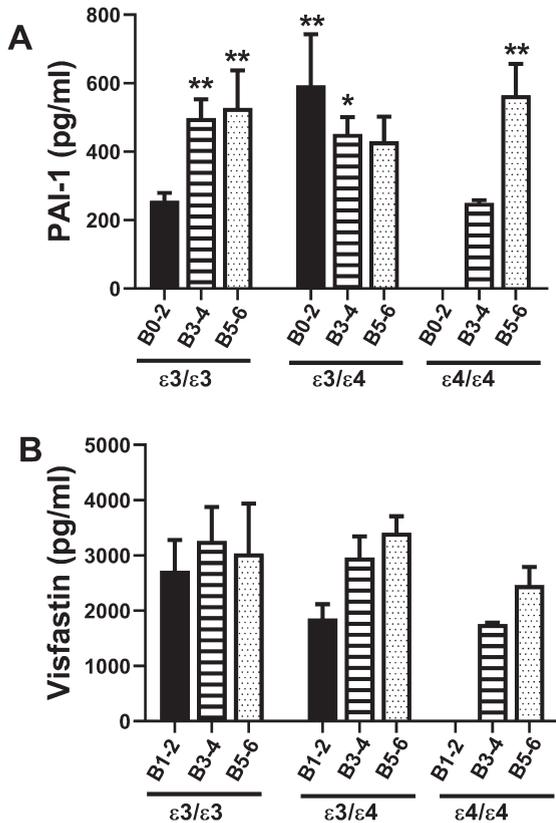


Fig. 5. PAI-1 and Visfatin: A multiplex ELISA platform was used to measure PAI-1 and Visfatin in human postmortem frontal cortex tissue from AD and aged control banked cases. Standardized formalin-fixed, paraffin-embedded histological sections were used to stratify Braak stage (B) severity of AD: B0-2 (normal aging), B3-4 (moderate AD), or B5-6 (severe AD). All 72 cases included in the study had known *APOE* genotypes (*APOE* ε3/ε3; *APOE* ε3/ε4; *APOE* ε4/ε4). Graphs depict the mean ± S.E.M. levels (pg/mL) of immunoreactivity. Two-way ANOVA with *post-hoc* Tukey tests were used for intergroup comparisons. **p* < 0.05 and ***p* < 0.01 relative to B0-2 *APOE* ε3/ε3 controls. Other significant inter-group differences are provided in Table 11.

impairments in insulin expression and signaling have been solidly linked to many aspects of AD pathogenesis and progression, growing evidence suggests that the entire network mediating insulin-related metabolic functions is impaired. The significance of this concept is that more than one target should be considered for effective disease remediation. This work builds on our prior investigations that demonstrated significant and early AD-associated abnormalities in CSF and serum levels of insulin-related polypeptides [40–43], and interactive effects of *APOE* and AD severity on IDE and RCAN1 expression in the brain [44].

Table 11
PAI-1 Two-way ANOVA Results

Control	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B0-2	ε3/ε3	B0-2	ε3/ε4	<i>p</i> = 0.008
B0-2	ε3/ε3	B3-4	ε3/ε3	<i>p</i> = 0.01
B0-2	ε3/ε3	B3-4	ε3/ε4	<i>p</i> = 0.036
B0-2	ε3/ε3	B5-6	ε3/ε3	<i>p</i> = 0.008
B0-2	ε3/ε3	B5-6	ε3/ε4	<i>p</i> = 0.08
B0-2	ε3/ε3	B5-6	ε4/ε4	<i>p</i> = 0.003
B0-2	ε3/ε4	B3-4	ε4/ε4	<i>p</i> = 0.025
Alzheimer	<i>APOE</i>	Braak Stage	<i>APOE</i> AD	<i>p</i>
B3-4	ε3/ε3	B3-4	ε4/ε4	<i>p</i> = 0.048
B3-4	ε4/ε4	B5-6	ε3/ε3	<i>p</i> = 0.036
B3-4	ε4/ε4	B5-6	ε4/ε4	<i>p</i> = 0.018

ApoE, a 34 kDa protein, has three major isoforms (ε2, ε3, ε4) that differ by single amino acid substitutions at residues 112 and 158 [45]. The inclusion of *APOE* genotype as a variable in these analyses was prompted by the facts that: 1) the ε4 allele is the strongest genetic risk factor for late onset sporadic AD [46]; 2) ε4 carriers account for over 50% of AD cases [47]; 3) AD risk is increased by 3- or 4-fold among ε4 carriers and 15-fold in homozygous individuals (ε4/ε4); and 4) besides its role in Aβ accumulation, *APOE* ε4 is associated with reduced brain glucose metabolism in the pre-clinical stages of disease [48], ultimately impairing signal transduction through the insulin receptor with attendant reduction of Aβ clearance and increased Aβ aggregation [49]. In addition, *APOE* ε4's contribution to AD pathogenesis is likely linked to the associated impairments in synaptic plasticity mediated by alterations in endocytic recycling [50]. The mechanism may involve the inhibition of Reelin signaling through *APOE* and attendant regulation of *N*-methyl-D-aspartate (NMDA) receptor activity, stabilization of microtubules, and prevention of neurofibrillary tangle-associated tau hyperphosphorylation [51].

Although it is not known how *APOE* ε4 impairs insulin signaling, the mechanism may involve alterations in cholesterol homeostasis since *APOE* is an important regulator of cholesterol synthesis and receptor-mediated uptake [52], and previous studies showed that alterations in neuronal membrane cholesterol content lead to impaired insulin signaling and glucose uptake [53]. Correspondingly, experimental over-loading of neuronal membranes with cholesterol increases amyloid-β protein precursor (AβPP) internalization and Aβ generation [54]. In addition to its roles in lipoprotein metabolism,

synaptic maintenance, and clearance of A β , the low-density lipoprotein receptor-related protein 1 (LRP1) ApoE receptor interacts with the insulin receptor beta subunit and regulates insulin-mediated signaling and glucose uptake [55]. Therefore, *APOE4*-associated reduction in brain LRP1 expression [56] is an important potential mechanistic link between *APOE4* genotype and brain insulin resistance in AD. Furthermore, the finding that hyperglycemia also suppresses LRP1 expression and further exacerbates insulin resistance, glucose intolerance, and AD pathology [55] helps link diabetes mellitus to AD-type neurodegeneration [8, 57]. Due to its adverse effects on energy metabolism and insulin signaling [58], we hypothesized that *APOE4* exacerbates AD-associated impairments in insulin-regulated functions in a dose-dependent manner.

This study included postmortem brain specimens from 72 men and women with histopathological diagnoses of normal aging or very early AD (B0–2), moderate AD (B3–4), or severe AD (B5–6), with an *APOE* $\epsilon 3/\epsilon 3$, $\epsilon 3/\epsilon 4$, or $\epsilon 4/\epsilon 4$ genotype. There were no normal aged brains from *APOE* $\epsilon 4/\epsilon 4$ cases, perhaps due to the strong propensity for such individuals to have developed AD by the time they reach 70–90 years of age. It is also noteworthy that a skewed percentage of the *APOE* $\epsilon 4/\epsilon 4$ cases had B5–6 AD whereas those with an *APOE* $\epsilon 3/\epsilon 3$ or $\epsilon 3/\epsilon 4$ genotype predominantly (68% or 70%) had B3–4 stage AD. One limitation of this study was the lack of *APOE* $\epsilon 4/\epsilon 4$ controls for comparison with AD cases of the same genotype. Nonetheless, using two-way ANOVA tests with *post hoc* comparisons it was possible to ascertain *APOE* $\epsilon 4$ dose effect in relation to AD severity.

Insulin decreases blood glucose, increases cell permeability to monosaccharides, and accelerates glycolysis, the pentose phosphate cycle, and glycogen synthesis. The decline in brain insulin with increasing severity of AD in the *APOE* $\epsilon 3/\epsilon 3$ group corresponds with previous observations made without knowledge of *APOE* status [16, 20, 41]. The absence of an *APOE* $\epsilon 3/\epsilon 4$ effect suggests that *APOE4* carrier status does not adversely impact brain insulin expression. In contrast, homozygous *APOE* $\epsilon 4/\epsilon 4$ was associated with significantly reduced brain insulin expression such that the mean levels in B3–4 and B5–6 were comparable to that measured in B5–6 *APOE* $\epsilon 3/\epsilon 3$. Therefore, *APOE* $\epsilon 4/\epsilon 4$ was associated with earlier Braak stage reductions in brain insulin expression, suggesting that a contribution to AD neu-

rodegeneration is exacerbation of brain metabolic dysfunction via insulin deficiency.

Although it has been suggested that AD-associated reductions in brain insulin could be attributed to increased expression of IDE [59], a previous analysis of these cases revealed primarily reduced expression of IDE mRNA and protein [44]. Alternatively, impairments in local synthesis of insulin [16, 20] or its uptake from the peripheral circulation [60] could account for the reduced CNS levels of insulin with AD progression. One argument in favor of AD and *APOE* $\epsilon 4$ -mediated impairments in local insulin synthesis was the finding of reduced C-peptide expression in patterns that mimicked changes in insulin. C-peptide is a cleavage product of pro-insulin and generated with insulin. Its longer half-life provides a more reliable *in vivo* index of insulin production.

Incretins, including GIP-1 and GLP-1, are potent stimulators of glucose-dependent insulin secretion and modulators of fatty acid metabolism [61]. GLP-1 suppresses plasma glucagon, stimulates glucose disposal, and has neuroprotective actions that may benefit individuals with AD [62, 63]. In the *APOE* $\epsilon 3/\epsilon 3$ cases, GIP expression was reduced with AD severity whereas GLP-1 was not, but with an *APOE* $\epsilon 4/\epsilon 4$, frontal lobe GIP and GLP-1 were similarly reduced in B3–4 and B5–6 relative to B0–2 controls. Again, the presence of a single $\epsilon 4$ allele was not associated with significantly altered incretin expression in either control or AD cases, supporting the concept that *APOE* $\epsilon 4/\epsilon 4$ is the principal driver of brain metabolic dysfunction, and that its adverse effects include impairments in brain incretin expression and function.

Leptin and ghrelin have opposing effects in that leptin regulates fat depots by inhibiting food intake and regulating energy expenditure while ghrelin stimulates appetite and induces adiposity in addition to inducing growth hormone release from the pituitary gland [64]. This study demonstrated that frontal lobe leptin was strikingly reduced in moderate and severe AD regardless of *APOE* genotype, as well as in *APOE* $\epsilon 3/\epsilon 4$ controls. Downregulation of leptin may reflect an adaptive response to insulin resistance since, although leptin inhibits tyrosine phosphorylation of the insulin receptor substrate 1 (IRS-1) protein and interactions between IRS-1 and growth factor receptor-bound protein 2 (GRB2), its positive effects include stimulation of PI3K, which promotes metabolism, cell survival, neuronal plasticity, and other critical neuronal and glial brain functions [65].

Ghrelin is an endogenous ligand of the growth hormone secretagogue receptor 1a that regulates growth hormone secretion and energy balance. Ghrelin is expressed in the brain, including hypothalamus and besides its physiological role in glucose and lipid metabolism, ghrelin has functional roles in memory, reward, sleep, and neurogenesis [66], which are known to be disrupted in AD [67]. Therefore, reductions in ghrelin, either in the CNS or periphery, could reflect a pathophysiological state that mediates neurobehavioral features of neurodegeneration. Furthermore, evidence suggests that ghrelin has an important role in neuroprotection and may help remediate cognitive dysfunction and neurodegeneration [68]. This study showed no significant alteration of ghrelin expression in relation to AD in *APOE* $\epsilon 3/\epsilon 3$ or $\epsilon 3/\epsilon 4$ cases. However, ghrelin was significantly reduced in both B3–4 (moderate) and B5–6 (severe) *APOE* $\epsilon 4/\epsilon 4$ AD. This observation is novel and could reflect significantly impaired neuroprotective mechanisms and metabolic dysfunction linked to *APOE* $\epsilon 4$ dose.

Glucagon increases gluconeogenesis and decreases glycolysis, raising plasma glucose in response to insulin-induced hypoglycemia and likely plays an important role in initiating and maintaining hyperglycemic conditions in diabetes mellitus. Only the *APOE* $\epsilon 4/\epsilon 4$ AD had significantly reduced frontal lobe glucagon expression. This response could not be attributed to GLP-1 suppression since GLP-1 expression was also downregulated in B3–4 and B5–6 *APOE* $\epsilon 4/\epsilon 4$. One potential explanation for the finding is that GLP-1/glucagon regulation may be uncoupled. A chronic brain diabetic state (Type 3 diabetes) [16, 25, 69] together with impaired capacity for glucose and lipid utilization could potentially lead to brain starvation.

The adipokine, resistin, promotes insulin resistance [64] and activates pro-inflammatory cytokines [70]. Correspondingly, central deficiency of resistance was found to ameliorate hypothalamic inflammation and increase whole body insulin sensitivity [71]. Elevated resistin in *APOE* $\epsilon 3/\epsilon 4$ B3–4 relative to all other groups except *APOE* $\epsilon 3/\epsilon 4$ controls suggests that interactive effects of a single *APOE4* allele and early or intermediate-stage AD contribute to brain insulin resistance. In contrast, reductions in brain resistin expression with increasing severity of AD among *APOE* $\epsilon 3/\epsilon 3$ cases, as well as the lower levels in more advanced stages of disease in *APOE* $\epsilon 3/\epsilon 4$ or *APOE* $\epsilon 4/\epsilon 4$ cases may reflect

compensatory or adaptive responses, reducing neuroinflammation and increasing insulin sensitivity to support brain energy balance.

Visfatin is also an adipokine but, contrary to the effects of resistin, it increases insulin sensitivity [64], but like resistin, it activates pro-inflammatory cytokines [70]. Visfatin mediates its stimulatory effects on insulin responsiveness via activation of the insulin receptor with attendant lowering of blood glucose [70]. Visfatin, also known as ‘nicotinamide phosphoribosyltransferase’ (NAMPTase or Nampt), is the rate-limiting enzyme in the nicotinamide adenine dinucleotide (NAD⁺) salvage pathway that converts nicotinamide to nicotinamide mononucleotide and enables NAD⁺ biosynthesis [70]. Visfatin-mediated increases in NAD⁺ have anti-aging and neuroprotective actions [72–78]. Although there were no significant effects of AD on visfatin expression among *APOE* $\epsilon 3/\epsilon 3$ cases, in both *APOE* $\epsilon 3/\epsilon 4$ and *APOE* $\epsilon 4/\epsilon 4$, the significant linear trend effects reflect increasing levels with AD severity. Therefore, in addition to the interactive effects of *APOE* $\epsilon 4$ dose and AD grade, the results suggest that compensatory mechanisms may help preserve glucose utilization and energy metabolism vis-à-vis brain insulin resistance and deficiency.

PAI-1 is a serine protease inhibitor of tissue plasminogen activator, urokinase, Protein C, and matrilysin-3/TMPRSS7, and functionally inhibits fibrinolysis [79]. Elevated levels of PAI-1 are strongly associated with insulin resistance, including in diabetes mellitus [80, 81], and have been deemed independent risk factors for type 2 diabetes [81]. Elevated PAI-1 is associated with increased risk for thrombotic cardiovascular [82] and cerebrovascular [79] diseases and ischemic stroke [83]. In this study we observed significantly increased PAI-1 expression in AD relative to control in *APOE* $\epsilon 3/\epsilon 3$ cases, but similarly elevated PAI-1 in B0–1, B3–4, and B5–6 *APOE* $\epsilon 3/\epsilon 4$, indicating independent effects of AD severity and *APOE* $\epsilon 4$. The similarly elevated PAI-1 levels in B5–6 *APOE* $\epsilon 4/\epsilon 4$ compared with B3–4 or B5–6 *APOE* $\epsilon 3/\epsilon 3$ and *APOE* $\epsilon 3/\epsilon 4$ cases indicates that in this instance, *APOE* $\epsilon 4$ dosage did not additively affect PAI-1 expression, and instead, *APOE* and AD independent upregulated PAI-1. Mechanistically, pro-inflammatory cytokine activation has been linked to increased PAI-1 expression [80], and in earlier reports we showed increased pro-inflammatory and reduced anti-inflammatory cytokine/chemokine levels in AD [40, 42].

Conclusions

This study provides strong evidence that insulin-related endocrine pathways are dysregulated in AD, and in relation to *APOE4*, particularly *APOE* $\epsilon 4/\epsilon 4$. Dominant *APOE4*-independent abnormalities included reductions in insulin, incretin (GIP-1), leptin, and resistin, and increases in PAI-1. Major interactive effects of *APOE* $\epsilon 4/\epsilon 4$ and AD included reductions in insulin, C-peptide, GIP-1, GLP-1, leptin, ghrelin, glucagon, and resistin, and increases in PAI-1. Leptin expression was broadly inhibited in relation to AD severity and *APOE4*, independent of $\epsilon 4$ dose. Altogether, these findings provide exciting new information about the complexity of insulin-linked metabolic dysfunction in AD and the additive adverse impact of *APOE* $\epsilon 4$, particularly $\epsilon 4/\epsilon 4$. One limitation of this study is that the case series is small and some subgroups had few or no cases. However, the research was entirely human-based and therefore fully dependent upon available tissue. Nonetheless, the data and interpretations support the concepts that: 1) AD pathogenesis and progression are linked to insulin deficiency together with insulin resistance, i.e., Type 3 diabetes [16, 17, 84]; 2) *APOE4*, particularly $\epsilon 4/\epsilon 4$, interacts with AD to significantly alter expression of insulin network polypeptides that have roles in energy metabolism and neuroinflammation; and 3) broadly reduced leptin expression, along with insulin deficiency, may be a critical mediator of brain hypometabolism in AD. Since it appears that multiple neuroendocrine abnormalities contribute to insulin deficiency and insulin resistance, eventual remediation of metabolic derangements in AD will likely require multi-pronged treatment approaches.

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CONFLICT OF INTEREST

The authors have no conflict of interest to report.

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