

# Application of extracorporeal carbon dioxide removal combined with continuous blood purification therapy in ARDS with hypercapnia in patients with critical COVID-19

Ye Chen<sup>a,1</sup>, Shouhong Wang<sup>b,1</sup>, Jianrong Huang<sup>a,1</sup>, Yingyun Fu<sup>c</sup>, Juanmin Wen<sup>d</sup>, Chengbin Zhou<sup>e</sup>, Yang Fu<sup>f,\*</sup> and Lei Liu<sup>g,\*</sup>

<sup>a</sup>*Department of Hemopurification Center, National Clinical Research Center for Infectious Diseases, The Third People's Hospital of Shenzhen, The Second Affiliated Hospital of Southern University of Science and Technology, Shenzhen, Guangdong, China*

<sup>b</sup>*Department of Critical Care Medicine, Guangdong Provincial Geriatrics Institute, Guangdong Provincial People's Hospital, Guangdong Academy of Medical Sciences, Guangzhou, Guangdong, China*

<sup>c</sup>*Department of Pulmonary and Critical Care Medicine, The First Affiliated Hospital of Southern University of Science and Technology, The Second Clinical Medical College of Jinan University, Shenzhen People's Hospital, Shenzhen, Guangdong, China*

<sup>d</sup>*Fuwai Hospital Chinese Academy of Medical Sciences, Shenzhen, Guangdong, China*

<sup>e</sup>*Department of Cardiovascular Surgery, Guangdong Provincial Cardiovascular Institute, Guangdong Provincial People's Hospital, Guangdong Academy of Medical Sciences, Guangzhou, Guangdong, China*

<sup>f</sup>*School of Medicine, Southern University of Science and Technology, Shenzhen, Guangdong, China*

<sup>g</sup>*National Clinical Research Center for Infectious Diseases, The Third People's Hospital of Shenzhen, The Second Affiliated Hospital of Southern University of Science and Technology, Shenzhen, Guangdong, China*

## Abstract.

**INTRODUCTION:** Coronavirus disease-19 (COVID-19) is a new type of epidemic pneumonia caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). The population is generally susceptible to COVID-19, which mainly causes lung injury. Some cases may develop severe acute respiratory distress syndrome (ARDS). Currently, ARDS treatment is mainly mechanical ventilation, but mechanical ventilation often causes ventilator-induced lung injury (VILI) accompanied by hypercapnia in 14% of patients. Extracorporeal carbon dioxide removal (ECCO2R) can remove carbon dioxide from the blood of patients with ARDS, correct the respiratory acidosis, reduce the tidal volume and airway pressure, and reduce the incidence of VILI.

<sup>1</sup>These authors contributed equally to this study

\*Corresponding author: Lei Liu, National Clinical Research Center for Infectious Diseases, The Third People's Hospital of Shenzhen, The Second Affiliated Hospital of Southern University of Science and Technology, No.29 of Bulan Road, Longgang District, Shenzhen, Guangdong, 518100, China. Tel.:+86 13510333313; E-mail: liulei00532@163.com. and Yang Fu, School of Medicine, Southern University of Science and Technology, No.1088 of Xueyuan Avenue, Nanshan District, Shenzhen, Guangdong, 518055, China. Tel.:+86 0755 88015572; E-mail: fuyang\_dheg@163.com.

**CASE REPORT:** Two patients with critical COVID-19 combined with multiple organ failure undertook mechanical ventilation and suffered from hypercapnia. ECCO2R, combined with continuous renal replacement therapy (CRRT), was conducted concomitantly. In both cases (No. 1 and 2), the tidal volume and positive end-expiratory pressure (PEEP) were down-regulated before the treatment and at 1.5 hours, one day, three days, five days, eight days, and ten days after the treatment, together with a noticeable decrease in PCO<sub>2</sub> and clear increase in PO<sub>2</sub>, while FiO<sub>2</sub> decreased to approximately 40%. In case No 2, compared with the condition before treatment, the PCO<sub>2</sub> decreased significantly with down-regulation in the tidal volume and PEEP and improvement in the pulmonary edema and ARDS after the treatment.

**CONCLUSION:** ECCO2R combined with continuous blood purification therapy in patients with COVID-19 who are critically ill and have ARDS and hypercapnia might gain both time and opportunity in the treatment, down-regulate the ventilator parameters, reduce the incidence of VILI and achieve favorable therapeutic outcomes.

Keywords: Coronavirus disease-19, multiple organ failure, hypercapnia, continuous blood purification, extracorporeal carbon dioxide removal

## 1. Introduction

Coronavirus disease-19 (COVID-19) is caused by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). It has the characteristics of acute onset, fever, fatigue, and dry cough. The population is generally susceptible to COVID-19, which will mainly cause lung injury. Some cases may develop severe acute respiratory distress syndrome (ARDS) [1, 2]. Currently, the treatment of ARDS is mainly mechanical ventilation, but this often causes ventilator-induced lung injury (VILI). Research has found that hypercapnia can develop in 14% of patients with ARDS who are ventilated with pulmonary protective ventilation [3]. Extracorporeal carbon dioxide removal (ECCO2R) is an extracorporeal gas exchange technique. The ECCO2R devices consist of an output tube, a pump, the membrane lung, and a return tube to remove carbon dioxide and improve hypercapnia and the decompensated respiratory acidosis [4]. In the present study, two patients who were critically ill with COVID-19 and who had multiple organ failure were admitted to Shenzhen Third People's Hospital on February 3, 2020. These patients were treated with ventilator-assisted breathing and suffered complications from hypercapnia. After consultation with the expert team, ECCO2R, combined with continuous renal replacement therapy (CRRT), was conducted to gain time and opportunity for treatment. The details were reported as follows.

### 1.1. Clinical data

**Case No 1:** A 69-year-old male patient complaining of “fever for four days and dry cough for two days” was admitted on February 3, 2020. The patient had a fever without apparent cause on January 29, with a temperature of 37.6. There was no fear of cold or chills, no nasal congestion, or runny nose. He developed a dry cough on January 31, accompanied by body aches, chest tightness, and shortness of breath. The chest CT in the hospital showed ground-glass changes in both lungs, and the nasal swab tested positive for the nucleic acid of the novel coronavirus. Thus, the patient was transferred to the Infection Department of our hospital for further diagnosis and treatment. The patient had a history of hypertension for ten years with the long-term administration of Irbesartan and relatively good control of blood pressure. The patient also had a 5-year history of “mitral regurgitation” without treatment. The details of the epidemic history were as follows: Two weeks before admission, the patient flew with his wife from Shenzhen to Malaysia and Thailand. There were tourists with “colds” on the same flight. His wife was admitted to our hospital for treatment on February 3 due to “COVID-19.” The

73 diagnosis at admission: 1. COVID-19; 2. Hypertension, grade 2 (High risk); 3. Mitral disease (mitral  
74 regurgitation).

75 Anti-viral medications, nebulization, oxygen therapy, immune regulation, anti-infection, and other  
76 active treatments were performed after hospitalization. On February 12, the patient developed short-  
77 ness of breath with a respiratory rate of 29–36 times/minute. There was detected arterial blood gas  
78 oxygen partial pressure (PO<sub>2</sub>) of 56.4 mmHg, carbon dioxide partial pressure (PCO<sub>2</sub>) of 33 mmHg,  
79 and oxygenation index (OI) of 112.8 mmHg. The patient's condition worsened, and ARDS occurred.  
80 The patient was transferred to ICU for tracheal intubation and ventilator-assisted ventilation. On Febru-  
81 ary 13, the patient developed a hepatic injury, acute renal injury, and myocardial injury with a total  
82 detected bilirubin of 107.5 umol/L, an activity of prothrombin time of 65%, N-terminal brain natri-  
83 uretic peptide of 5110 pg/mL, an isoenzyme of creatine kinase of 12.3 ng/mL, and blood creatinine of  
84 177 umol/L. Plasma exchange and CRRT were conducted. On February 14, the detected arterial blood  
85 gas PO<sub>2</sub> was 65.5 mmHg, PCO<sub>2</sub> was 40.7 mmHg, and OI was 82 mmHg. As the patient had severe  
86 ARDS, and conventional treatment was invalid, the Vein-Vein extracorporeal membrane oxygenation  
87 (VV-ECMO) was performed. On the 5th day of ECMO support on February 18, with arterial blood  
88 gas PO<sub>2</sub> of 115 mmHg, PCO<sub>2</sub> of 40 mmHg, and OI of 288 mmHg, and with spontaneous breathing  
89 experiments, ECMO was withdrawn, and the CRRT continued. On February 21, the arterial blood gas  
90 PO<sub>2</sub> was 132 mmHg, PCO<sub>2</sub> was 39 mmHg, and OI was 357 mmHg. Due to the improved oxygenation,  
91 the tracheal intubation was removed and replaced with noninvasive ventilator-assisted ventilation.

92 On March 2, the patient developed shortness of breath again, with a breathing rate of 30–35 times/min.  
93 The detected arterial blood gas PO<sub>2</sub> was 55 mmHg, PCO<sub>2</sub> was 32 mmHg, and OI was 110 mmHg.  
94 Assisted ventilation with a tracheal intubation ventilator was conducted.

95 On March 5, the patient had an arterial blood gas PO<sub>2</sub> of 71 mmHg, PCO<sub>2</sub> of 91 mmHg, OI of  
96 89 mmHg, and had developed carbon dioxide retention. With the consultation of the expert team,  
97 ECCO<sub>2</sub>R, combined with life-supporting CRRT therapy, was conducted.

98 The course of therapy: The EQUA Smart blood purification system produced by the Italian Belk  
99 Company was adopted. The hollow fiber membrane oxygenator was CX\*RW05RW produced by  
100 Terumo with a membrane area of 0.5 m<sup>2</sup>, blood flow velocity of 250–300 mL/min, and oxygen flow  
101 velocity of 4–10 L/min. The sequence of the oxygenator connection was as follows: the blood flows at  
102 the venous end of the temporary dialysis catheter in the right femoral vein → membrane oxygenator  
103 → dialyzer → blood return at the venous end of the catheter. Due to the low platelet count, argatroban  
104 was administered for anticoagulation at the dosage of 1–2 ug/(Kg•min) to maintain activated partial  
105 thromboplastin time (APTT) or INR at the venous return end at 1.5–2.5 times the basic value. The  
106 above treatments were continued, and the oxygenation and carbon dioxide retention improved after  
107 90 minutes of the combination therapy of CRRT and ECCO<sub>2</sub>R. The ventilator parameters were down-  
108 regulated to avoid rapid deterioration of the disease, which gained therapeutic time and opportunity  
109 for the patient. The changes in blood gas and ventilator parameters during the treatment are shown in  
110 Tables 1 and 2.

111 **Case No 2:** A 73-year-old male patient with “fever for two days” was admitted on January 22, 2020.  
112 The diagnosis at admission: 1. COVID-19, critical type; 2. Pneumonia, critical type; 3. Multiple organ  
113 dysfunction syndrome (MODS) (Septic shock, severe ARDS, acute renal insufficiency); 4. Chronic  
114 obstructive pulmonary disease (COPD); 5. Hypertension, grade 2 (severely high risk); 6. Sclerosis of  
115 the coronary artery and aorta; 7. Severe acquired myasthenia; 8. Venous thrombosis in bilateral lower  
116 limbs and the right upper limb. The duration of the disease was 56 days. The vital signs on the 53rd  
117 day of admission, the 42nd day of mechanical ventilation, and the 24th day of tracheotomy were as  
118 follows: T 36.2°C, P 85 times/min, R20 times/min, BP 159/83 mmHg. Due to the aggravation of the  
119 infection, the disease's condition changed, with carbon dioxide retention and hypercapnia. With the  
120 addition of a device to remove carbon dioxide based on CRRT, the PCO<sub>2</sub> stopped rising and gradually

Table 1  
The changes in blood gas before and after V-VECCO2R

The date	PH	PO2 (mmHg)	PCO2 (mmHg)	FiO2 (%)	HCO3- (mmol/L)	HCO3std (mmol/L)	BE (mmol/L)	OI (mmHg)
March 5th#	7.1	71	91	80	28.3	21.9	-3.6	89
March 5th*	7.34	107	57	70	30.8	28.3	4.3	153
March 6th	7.33	91	59	70	28.4	25.9	1.2	130
March 8th	7.32	137	52	70	26.8	25.1	0.2	196
March 10th	7.39	132	48	60	29.1	27.5	3.2	220
March 13th	7.36	121	59	40	33.3	29.7	6.0	302
March 15th	7.36	132	54	40	30.50	27.9	3.7	330

Note: PO2: Oxygen partial pressure; PCO2: partial pressure of carbon dioxide; FiO2: fractional concentration of inspired oxygen; HCO3-: Actual Bicarbonate Radical; HCO3std: Standard Bicarbonate Radical; BE: base excess; OI: oxygenation index; #Blood gas before ECCO2R treatment; \*Blood gas after ECCO2R treatment for 1.5 hours.

Table 2  
The changes in ventilator parameters before and after V-VECCO2R

The date	Mode	FiO2(%)	VTe(ml)	PEEP(cmH2O)	RR(bpm)
March 5th	SIMV+PCV	80	645	12	22
March 8th	SIMV+PCV	60	580	8	18
March 8th	SIMV+PCV	70	429	8	25
March 11th	SIMV+PCV	50	450	8	18
March 15th	SIMV+VCV	40	460	7	25

Note: Mode: ventilatory mode; VTe: tidal volume; PEEP: positive end expiratory pressure; RR: respiratory rate.

Table 3  
The changes in blood gas before and after V-VECCO2R

The date	PH	PO2 (mmHg)	PCO2 (mmHg)	FiO2 (%)	HCO3- (mmol/L)	HCO3std (mmol/L)	BE (mmol/L)	OI (mmHg)
March 14th*	7.21	66	113	100	45.2	34.2	12.2	66
March 14th&	7.23	74	88	100	36.9	30.2	6.9	74
March 16th	7.34	103	68	70	36.7	31.8	8.8	147
March 18th	7.36	133	65	90	36.7	32.2	9.3	148
March 23th	7.35	78	50	40	27.5	25.6	1.0	195

Note: #Blood gas before ECCO2R treatment; \*Blood gas after ECCO2R treatment for 2 hours.

121 decreased. At the same time, the pulmonary lesions were absorbed after the enhancement of blood  
 122 ultra-filtration by CRRT, as shown in Table 3 and Table 4. The comparison of the chest radiographs  
 123 before and after treatment indicated that the multiple patchy opacities in the bilateral lungs decreased.  
 124 The lesions in the bilateral lungs were absorbed, as shown in Fig. 1.

## 125 2. Discussion

126 COVID-19 is the seventh coronavirus that can infect humans. It belongs to the  $\beta$ -coronavirus with  
 127 a diameter of 60–140 nm. The genetic characteristics are significantly different from that of the

Table 4  
The changes in ventilator parameters before and after V-VECCO2R

The date	Mode	FiO2(%)	VTe(ml)	PEEP(cmH2O)	RR(bpm)
March 14th	A/C+VCA	100	425	10	30
March 15th	A/C+VCA	85	385	9	25
March 17th	A/C+VCA	70	356	9	24
March 20th	A/C+VCA	90	327	7	25
March 22th	A/C+VCA	90	279	7	30

Note: Mode: ventilatory mode; VTe: tidal volume; PEEP: positive end expiratory pressure; RR: respiratory rate.

SARS-CoV and MERS-CoV. A review discuss evidence that SARS-CoV evolved towards greater 'fitness' in the human host during the course of the outbreak, The major routes of transmission of SARS are droplet infection, aerosolization and fomites [29]. COVID-19 can infect cells through the binding of S protein to the angiotensin-converting enzyme 2 (ACE2) receptor on the surface of human cells. ACE2 is expressed in the lungs and kidneys; thus, it is highly infectious to humans [5]. ACE2 has been identified as the functional receptor for SARS-CoV, Hamming et al. investigated the localization of ACE2 protein in various human organs (oral and nasal mucosa, nasopharynx, lung, stomach, small intestine, colon, skin, lymph nodes, thymus, bone marrow, spleen, liver, kidney, and brain), The most remarkable finding was the surface expression of ACE2 protein on lung alveolar epithelial cells and enterocytes of the small intestine. which might provide possible routes of entry for the SARS-CoV [27]. A study used QRT-PCR to quantitatively map the transcriptional expression profile of ACE2 in 72 human tissues, the study confirmed that ACE 2 expression is high in renal and cardiovascular tissues, the novel observation has been made that ACE 2 shows comparably high levels of expression in the gastrointestinal system, in particular in ileum, duodenum, jejunum, caecum and colon [28]. Tian et al. found that in two patients with COVID-19 and lung cancer, the histopathology of lungs at the early infection mainly showed pulmonary edema, with protein exuding in the alveolar cavity. The hyaline membrane was not obvious [6]. A recent review of the scientific literature suggests that acute respiratory distress syndrome (ARDS) is associated with the damage of the pulmonary endothelium [24]. Recent studies showed that COVID-19 not only affects the lungs but beyond that the endothelial system, COVID-19 lead to microcirculatory impairments and in consequence to functional disorders of all inner organs, The combination of endothelial dysfunction with a generalized inflammatory state and complement elements may together contribute to the overall pro-coagulative state described in COVID-19 patients leading to venular as well as to arteriolar occlusions [25].

Wang et al. performed an autopsy on a 50-year-old male patient with COVID-19. The pulmonary histology revealed diffused alveolar injury with cellular fibrous mucus-like exudates in the bilateral lungs and obvious pulmonary cell desquamation and hyaline membrane formation in the right lung, indicating the occurrence of ARDS. The pulmonary edema and hyaline membrane formation in the left lung suggested the pathological features of early ARDS [7]. A pathological biopsy was conducted on a 66-year-old patient who died from COVID-19 in the Shenzhen Third People's Hospital (our hospital). The main histopathology showed extensive pulmonary interstitial fibrosis with partial hyalinosis. The inflammatory cells, such as the monocytes, lymphocytes, and plasma cells, mainly infiltrated the pulmonary interstitium with several multinucleated giant cells and intracytoplasmic virus inclusion bodies. The fibrous exudation, exfoliated epithelial cells, and inflammatory cells were visible in the lumen of necrotizing bronchiolitis [8].

Wang et al. investigated the clinical data of 138 patients hospitalized with COVID-19 in which ARDS accounted for 19.6% [2]. A study followed up with 201 patients diagnosed with COVID-19 in

164 Wuhan Jinyintan Hospital. The results found that 84 patients (41.8%) developed ARDS, and 44 of the  
165 84 patients (52.4%) died [9].

166 Jung EM et al. implemented use of contrast enhanced ultrasound (CEUS) in severe cases of COVID-  
167 19 infection to assess pulmonary changes near the pleura, the results found that in all 11 cases, using  
168 CEUS low perfused areas of the pleura with adjacent hyperemia could be detected, while, with CT  
169 segmental contrast medium, gaps with subpleural compressions were found [23].

170 The main therapy for ARDS is mechanical ventilation, and mechanical ventilation often causes VILI.  
171 At present, lung-protective ventilation strategies are mostly used to prevent VILI. VILI should also  
172 be avoided as much as possible when implementing mechanical ventilation, in addition to ensuring  
173 the basic oxygenation and ventilation requirements. The PEEP should be regulated to maintain the  
174 alveolar in an open state. The tidal volume should be restricted to avoid a too-high end-inhalation lung  
175 volume. The occurrence and severity of VILI are jointly determined by PEEP and the tidal volume  
176 [10]. With the restriction of the tidal volume and PEEP, the alveolar ventilation will decrease, and  
177 PaCO<sub>2</sub> will increase, resulting in hypercapnia. Research has shown that hypercapnia could develop  
178 in 14% of the patients with ARDS who are treated with pulmonary protective ventilation [3]. A study  
179 concerning the lung protection ventilation on 32 patients found that when adjusting the tidal volume,  
180 the average airway pressure was less than 28 cmH<sub>2</sub>O, and hypercapnia occurred in all patients [11].

181 Hypercapnia can cause injury to the lungs by destroying the alveolar epithelium, cell proliferation,  
182 neutrophils, and innate immune functions [12]. Severe hypercapnia alone might increase mortality.  
183 A follow-up of 25281 patients with severe hypercapnia showed that the fatality of patients with  
184 hypercapnia assisted by mechanical ventilation was significantly higher than those with hypcapnia  
185 and normal PH [13].

186 One single centre cross-section study indicates that severity of lung opacities in COVID-19 patients  
187 and correlates with CO<sub>2</sub> retention in patients with ARDS [26].

188 ECCO<sub>2</sub>R is an external gas exchange technique that can remove carbon dioxide. Most of the  
189 carbon dioxide in the human body is dissolved in the blood in the form of bicarbonate and has lin-  
190 ear dynamics without saturation. There is more soluble carbon dioxide in the blood than oxygen;  
191 250 mL of carbon dioxide can be removed from less than 1 L of blood [14]. ECMO's main function is  
192 oxygenation, and it can also remove carbon dioxide, but the risk is high with high blood flow require-  
193 ments. The technical operation is difficult, with many complications. ECCO<sub>2</sub>R can remove carbon  
194 dioxide from the blood of patients with ARDS, correct the respiratory acidosis, reduce the tidal vol-  
195 ume and airway pressure, reduce the incidence of VILI, and achieve lung-protective ventilation. In  
196 2016, the British Thoracic Association and the British Intensive Care Association released ventila-  
197 tion management guidelines for adults with acute respiratory failure. The management guidelines for  
198 hypercapnic respiratory failure states that if lung-protective ventilation strategies have been adopted  
199 but there is an uncorrectable and severe case of hypercapnic acidosis (PH < 7.15), ECCO<sub>2</sub>R can be  
200 used to correct hypercapnia [15]. The National Institute of Health and Clinical Optimization issued  
201 interventional treatment guidelines, IPG564, in 2016. These stated that the indications for the use of  
202 ECCO<sub>2</sub>R to treat respiratory failure are those with extremely abnormal hypoxemia or extremely abnor-  
203 mal hypercapnia, or severe ARDS in adults with acute respiratory failure caused by sepsis, pneumonia,  
204 or thoracic trauma [16]. One study used ECCO<sub>2</sub>R in 33 patients with hypercapnia who underwent  
205 ventilator-assisted ventilation in ICU. Of these patients, 22 were eventually transferred from ICU [17].  
206 A 2-year multicenter, prospective cohort study observed the effect of ECCO<sub>2</sub>R. It found that in patients  
207 with ARDS who had hypercapnia and who were treated using ECCO<sub>2</sub>R, the median tidal volume of  
208 the ventilator could be reduced from 5.9 to 4.1 mL/kg ( $P < 0.001$ ) [18]. In the present study, compared  
209 with the results before treatment, the therapeutic effects were significant. The tidal volume and PEEP  
210 were down-regulated, together with an increase of PO<sub>2</sub> and the decrease of FiO<sub>2</sub> to approximately  
211 40% after the treatment.

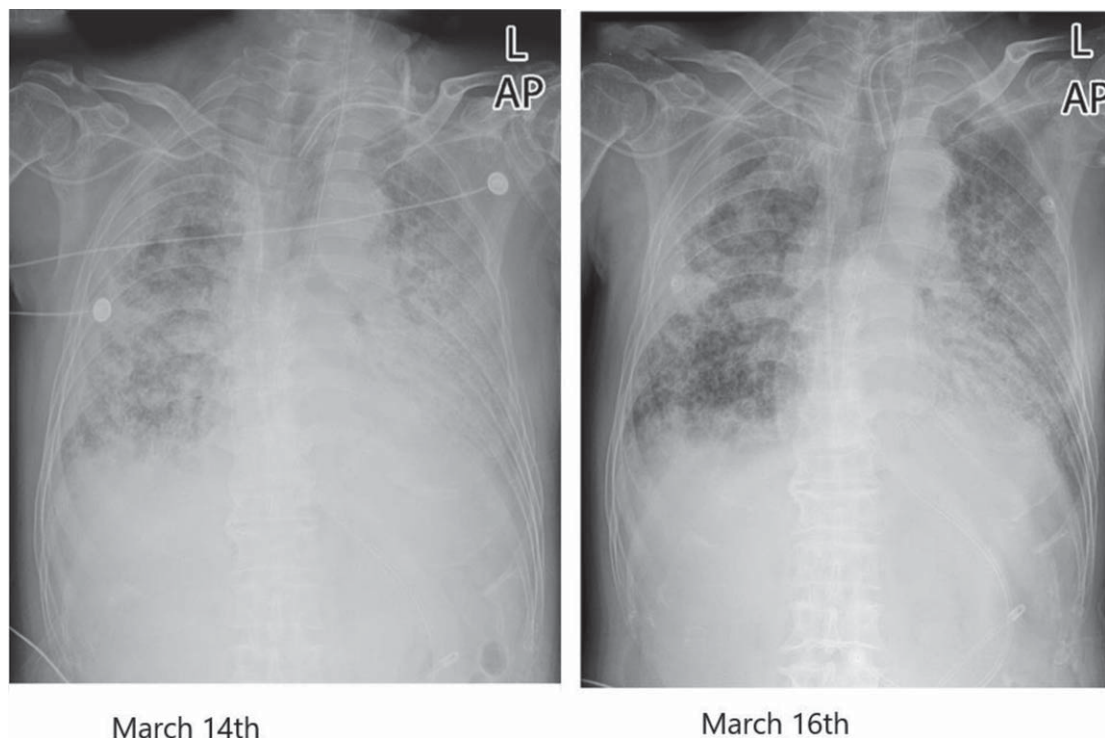


Fig. 1. Chest radiograph comparison before and after treatment in case 2.

212 Li et al. retrospectively analyzed the renal function of 59 patients with COVID-19. Among them,  
213 32 developed proteinuria, and 11 had elevated plasma creatinine, indicating that renal injury was  
214 common in patients with COVID-19 [19]. The proportion of patients with COVID-19 who developed  
215 acute kidney injury was 3%–7%, the proportion who received CRRT was 7%–9%, and the proportion  
216 of patients who received CRRT in the ICU was as high as 23% [20, 21]. The advantages of CRRT  
217 in the treatment of COVID-19 were as follows [22]: (1) Correct and maintain the water, electrolyte,  
218 and acid-base balance, maintain the stability of the internal environment, and provide life support;  
219 (2) Remove toxic substances such as metabolites; (3) Exert effective treatment for volume overload;  
220 (4) Effective control of high fever; (5) Improve the inflammation, endothelial function, and immune  
221 status. Therefore, the rational application of CRRT might be beneficial to the treatment of critically ill  
222 patients and reduce mortality.

223 ECCO2R, combined with CRRT, was used in the treatment of the two cases in the present study. The  
224 ECCO2R system was used under lung-protective ventilation to correct hypercapnia and respiratory  
225 acidosis, reduce the tidal volume, PEEP, and other mechanical ventilation parameters, and reduce  
226 the severity of VILI to assist the recovery of pulmonary function. The present treatment could be  
227 used to treat critically ill patients with COVID-19 who had respiratory failure and renal failure with  
228 carbon dioxide retention. At the same time, CRRT was conducted with the regulation from breathing to  
229 the internal environment, removal of inflammatory factors, volume management, and anticoagulation  
230 to achieve coordination and improvement between lung → kidney → infection → sepsis →  
231 coagulation disorder. As a result, the patient's general condition could improve to a relatively stable  
232 state and gain treatment opportunities. The present therapy had provided patients with more suitable  
233 treatment modes to achieve good therapeutic effects and create more treatment opportunities, and the  
234 clinical application prospects were worth exploring.

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## Conflict of interest

The authors declare that they have no competing interests.

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