

## Review

# Rationale for Randomized Clinical Trials Investigating the Potential of BCG Vaccination in Preventing COVID-19 Infection

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**Abstract.** Despite the implementation of mitigation measures, Coronavirus disease 2019 (COVID-19), caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), is still spreading worldwide, and has caused more than 1 million deaths so far. Although recent reports indicate that three vaccine candidates are effective against SARS-CoV-2, more time is needed to generate enough doses for the general population. Meanwhile, frontline healthcare workers are at high risk of SARS-CoV-2 exposure. To avoid collapse of the medical care system, there is a need to develop novel approaches to limit SARS-CoV-2 spread. Through a process called trained immunity, the Bacillus Calmette-Guerin (BCG) vaccine boosts the action of innate immune cells, resulting in a nonspecific reduction in the incidence of viral infections. Due to this immunomodulatory action, the BCG vaccine is currently used as a therapeutic in bladder cancer. Data collected from epidemiological and observational studies indicate that BCG vaccination might provide protection against COVID-19. While these observations do not provide evidence of causality and are limited by confounding and intrinsic biases, it is crucial to explore the hypothesis that BCG vaccination may provide a nonspecific innate immune boost and therefore protect against COVID-19 in randomized controlled clinical trials, particularly for people at higher risk of developing COVID-19, such as frontline healthcare workers.

**Keywords:** BCG, SARS-COV-2, COVID-19, clinical trials

## INTRODUCTION

Coronavirus disease-2019 (COVID-19), caused by the novel severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), was first reported in December 2019 in Wuhan, China. SARS-CoV-2 is a beta-coronavirus closely related to SARS-associated

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35 coronavirus (SARS-CoV) and Middle Eastern Res- 87  
36 piratory Syndrome (MERS-CoV) [1], and primarily 88  
37 targets the respiratory tract, causing disease rang- 89  
38 ing from common cold symptoms to a severe and 90  
39 fatal respiratory illness [2]. SARS-CoV-2 is highly 91  
40 transmissible and spreads via respiratory droplets or 92  
41 aerosols [3]. The most common COVID-19 clinical 93  
42 symptoms are fever, dry cough, loss of taste 94  
43 or smell, breathing difficulties and diarrhea [4]. 95  
44 Although the majority of SARS-CoV-2 infected indi- 96  
45 viduals develop a mild disease, approximately 15 to 97  
46 20% progress to a severe form of COVID-19 charac- 98  
47 terized by lung tissue damage, dyspnea, hypoxemia, 99  
48 respiratory failure and even death [4, 5]. Elderly peo- 100  
49 ple as well as individuals with comorbidities and 101  
50 underlying diseases such as cardiovascular disease, 102  
51 diabetes, liver disease, kidney disease, or cancer are 103  
52 at higher risk of developing severe COVID-19 [6–8]. 104  
53 Severe COVID-19 is characterized by overactivation 105  
54 of the immune system and extensive release of 106  
55 proinflammatory cytokines, a process called cytokine 107  
56 storm, which results in a multisystem inflammatory 108  
57 syndrome, fatal in 28% of cases [2, 9]. Children 109  
58 are less affected by COVID-19, with the majority of 110  
59 SARS-CoV-2 infected children developing mild or no 111  
60 symptoms [10]. However, in rare occurrences, some 112  
61 infected children develop a multisystem inflamma- 113  
62 tory syndrome (MIS-C) characterized by fever, severe 114  
63 abdominal pain, diarrhea, myocardial dysfunction, 115  
64 and cardiogenic shock, which requires intensive care 116  
65 treatment [11–16].

66 Despite the implementation of public health mea- 117  
67 sures aiming to reduce and mitigate the spread of 118  
68 SARS-CoV-2 in the most affected regions, as of mid-  
69 January 2021, the COVID-19 pandemic has affected  
70 more than 95 million individuals and resulted in more  
71 than 2 million deaths worldwide [17]. Since early  
72 January 2020, when the genetic sequence of SARS-  
73 CoV-2 was characterized, multiple efforts have been  
74 underway to develop specific vaccines against the  
75 virus [18]. Although promising results have emerged  
76 for three vaccines candidates (BNT162b2 vaccine  
77 from Pfizer/BioNTech, mRNA-1273 from Moderna  
78 and AZD1222 from AstraZeneca), additional time  
79 will be required to mass produce and globally  
80 distribute these vaccines. Hence, other preventive  
81 treatments are urgently needed to decrease the risk of  
82 SARS-CoV-2 infection in individuals experiencing  
83 high levels of exposure, such as healthcare work-  
84 ers, first responders, and essential workers, as well  
85 as those in high-risk groups, such as individuals over  
86 65 years of age and those with comorbidities.

Bacillus Calmette-Guérin (BCG) is a live atten- 87  
uated vaccine originally developed 100 years ago 88  
against *Mycobacterium tuberculosis*, and is currently 89  
used worldwide in newborns and infants to prevent 90  
tuberculosis. By modulating the phenotype and func- 91  
tion of innate immune cells and promoting an innate 92  
immune memory, a process called trained immu- 93  
nity, BCG vaccine induces potent protection against 94  
other infectious agents (Fig. 1) [19]. Administra- 95  
tion of BCG vaccination shortly after birth decreases 96  
child mortality, mainly as a result of reduced neona- 97  
tal sepsis, fever and respiratory infections [20–22]. 98  
BCG-induced trained innate immune cells respond 99  
more rapidly and efficiently to secondary, non- 100  
related, stimuli. Exploiting nonspecific boosting of 101  
innate immunity through BCG vaccination might be 102  
a valuable preventive and protective strategy during 103  
the current pandemic, as BCG vaccine might partially 104  
or completely decrease SARS-CoV-2 infections and 105  
reduce the severity of COVID-19 (Fig. 1) [23, 24]. 106  
Epidemiological reports do indicate a possible neg- 107  
ative correlation between COVID-19 mortality rates 108  
and BCG vaccination coverage [25–27], and obser- 109  
vational studies associated BCG vaccination with 110  
decreased rates of SARS-CoV-2 infections [28–30]. 111  
BCG vaccination is safe, immunogenic, inexpen- 112  
sive and already available. However, before starting 113  
mass BCG vaccination, randomized clinical trials are 114  
required to determine its safety and efficacy as a first- 115  
line preventive therapy for COVID-19. 116

#### 117 *Nonspecific immune boosting and protective* 118 *effects of BCG vaccination*

119 While BCG vaccination is effective against tuber- 120  
culosis in children, its protective effects appear 121  
reduced and less effective in older individuals [31]. 122  
Clinical evidence strongly indicates that BCG vac- 123  
cination beneficially and nonspecifically alters the 124  
host immune response to confer protection against 125  
unrelated infections. The first report of nonspecific 126  
immunity induced by BCG vaccination dates back 127  
from 1927, when a 2- to 3-fold decrease in all-cause 128  
mortality was observed among Swedish children 129  
who received the BCG vaccination at birth, com- 130  
pared with unvaccinated children [32]. This steep 131  
decrease of mortality rate could not be explained 132  
by a BCG-targeted effect against tuberculosis alone, 133  
as the protection was extended to many other res- 134  
piratory illnesses and diarrheal diseases, and was 135  
more likely mediated by a BCG-induced nonspe- 136  
cific immunity and protection from other unrelated

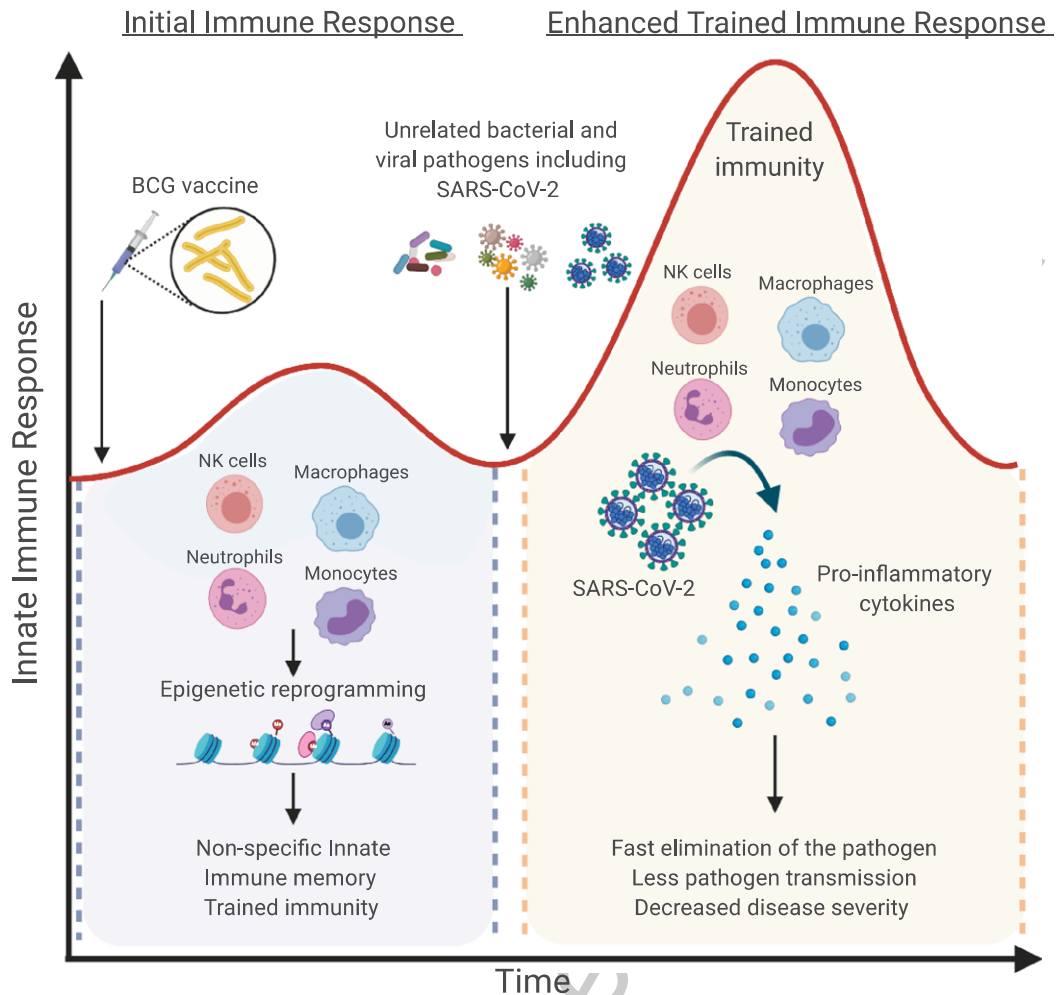


Fig. 1. How BCG-induced trained immunity could prevent SARS-CoV-2 infections. Bacillus Calmette-Guérin (BCG) vaccination or other microbial components can induce a heterologous immunological memory, a process defined as “trained immunity”, which results in heightened innate immune responses upon exposure to secondary infections. BCG vaccination will initially stimulate innate immune cells, such as monocytes, macrophages, NK cells and neutrophils, and induce long-term metabolic and epigenetic reprogramming resulting in increased responsiveness upon secondary stimulation with either the same or a different microbial ligand. In the context of COVID-19 pandemic, boosting innate immune cells by BCG vaccination and induction of trained immunity might provide nonspecific cross-protection against SARS-CoV-2 infection. *Figure created with BioRender.com.*

137 bacterial and viral diseases [32, 33]. Observational  
 138 studies and randomized trials in West Africa further  
 139 confirmed this observation, where BCG vaccination  
 140 at birth significantly reduced neonatal mortality by  
 141 decreasing the incidence of respiratory infections,  
 142 neonatal sepsis, and fever [20, 21, 34]. Addition-  
 143 ally, a systematic review of 34 birth cohorts indicated  
 144 lower risks of all-cause childhood mortality with  
 145 BCG vaccination [35], and health survey data col-  
 146 lected in low- and middle-income countries supports  
 147 the protective role of BCG vaccination and its  
 148 association with decreased risk of acute lower respira-  
 149 tory tract infections [36]. The nonspecific protective

effects mediated by BCG vaccination, also called  
 “off-target” or “heterologous” effects, are not only  
 limited to infants and children, and a recent study  
 showed a 70% decrease in the incidence of respiratory  
 tract infections in adolescents vaccinated with BCG  
 compared to placebo [37]. In a randomized placebo-  
 controlled human challenge study, BCG vaccination  
 improved the anti-viral response against a vaccine  
 strain of yellow fever, as demonstrated by decreased  
 viremia in BCG-vaccinated participants [38]. This  
 BCG-induced anti-viral response was mediated by  
 trained immunity, characterized by epigenetic repro-  
 gramming of circulating monocytes and upregulation

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163 of their IL-1 $\beta$  production upon restimulation, which  
164 correlated with viremia reduction [38].

165 One application of the nonspecific heterologous  
166 immunomodulatory properties of BCG vaccination  
167 is in the treatment of cancer, and the potential of  
168 BCG to prevent tumor growth has been investigated  
169 in various experimental animal models [39, 40]. In  
170 a 60 year follow up of a BCG vaccination clinical  
171 trial, childhood BCG vaccination was associated with  
172 lower rates of lung cancer development [41], sup-  
173 porting the concept that early-life BCG vaccination  
174 might confer protection against cancer development  
175 later in life. BCG is also used therapeutically to treat  
176 cutaneous melanoma metastases, and BCG intralesional  
177 treatment induces regression of up to 90%  
178 of injected melanoma skin lesions and 17% of non-  
179 injected lesions [42, 43]. This effect appears to be  
180 mediated by trained immunity, as intralesional BCG  
181 induces transcriptional reprogramming of melanoma  
182 skin lesions macrophages, improvement of their pro-  
183 inflammatory functions, and enhanced capacity to  
184 promote T cell activity in the tumoral microenviron-  
185 ment [44]. Intravesical instillation of BCG is also  
186 used as a nonspecific adjuvant in the treatment of  
187 non-muscle invasive bladder cancer and has been  
188 shown to delay disease progression and reduce dis-  
189 ease recurrence [45–47]. This treatment is associated  
190 with increased immune cell infiltration in the blad-  
191 der, as well as heightened urinary concentrations of  
192 pro-inflammatory cytokines and chemokines, which  
193 are typically associated with the trained immunity  
194 process [45].

195 BCG vaccination also appears to be beneficial  
196 in preventing Alzheimer’s disease, as a retrospec-  
197 tive study indicates decreased risk of developing  
198 Alzheimer’s disease in patients with bladder can-  
199 cer treated with BCG intravesical instillations [48].  
200 Although the immune mechanisms involved in this  
201 protective effect still need to be characterized, it  
202 has been shown that BCG immunization decreases  
203 cognitive dysfunction and neuroinflammation in a  
204 transgenic murine model of Alzheimer’s disease,  
205 associated with the recruitment of inflammation-  
206 resolving macrophages to brain tissues [49].

#### 207 *Immune mechanisms involved in BCG-induced* 208 *trained immunity*

209 Trained immunity can be triggered by a primary  
210 infection or vaccination, is regulated by epigenetic  
211 and metabolic modifications, and results in long-  
212 term functional reprogramming of innate immune

213 cells (Fig. 1) [19, 50]. This functional reprogram-  
214 ming enhances the ability of innate immune cells  
215 to efficiently respond upon secondary stimulation  
216 by microbial pathogens, and eliminate the infection  
217 independently of T and B cells [19, 50]. By enhancing  
218 nonspecific immune resistance to reinfection, trained  
219 immunity also provides cross-protection against dif-  
220 ferent pathogens.

221 Evidence of BCG-induced trained immunity has  
222 been demonstrated in murine models. For exam-  
223 ple, mice vaccinated with BCG are protected against  
224 secondary infection with either *Candida albicans*  
225 or *Schistosoma mansoni* [51, 52]. This effect does  
226 not rely on the adaptive immune system, as severe  
227 combined immunodeficiency (SCID) mice, which  
228 lack T and B cells, are still protected from sec-  
229 ondary candidiasis [53]. Trained immunity has been  
230 described in various innate immune cell subsets,  
231 such as macrophages, monocytes, natural killer (NK)  
232 cells and neutrophils [19, 50, 54]. Indeed, periph-  
233 eral blood mononuclear cells (PBMCs) collected  
234 from BCG-vaccinated healthy volunteers and stimu-  
235 lated *ex vivo* with an unrelated pathogen demon-  
236 strate increased production of pro-inflammatory cyto-  
237 kines, such as IFN- $\gamma$ , IL-1 $\beta$  and TNF- $\alpha$ , up to 3 months  
238 post-BCG vaccination [53]. This response is medi-  
239 ated by epigenetic changes at the level of histone  
240 methylation (Histone H3 lysine 4, H3K4), which  
241 result in increased transcription of proinflammatory  
242 cytokines genes and long-term modulation of circu-  
243 lating monocytes [53]. Trained immunity also acts  
244 on NK cells; circulating NK cells isolated from  
245 BCG-vaccinated individuals demonstrate increased  
246 production of pro-inflammatory cytokines after *ex*  
247 *vivo* stimulation with either mycobacteria or an unre-  
248 lated pathogen [55]. Furthermore, the nonspecific  
249 protection of BCG-vaccinated SCID mice against  
250 candidiasis is partially mediated by NK cell activ-  
251 ity [55]. BCG vaccination also induces long-lasting  
252 phenotypic and functional reprogramming of human  
253 circulating neutrophils, characterized by increased  
254 expression of activation markers and antimicro-  
255 bial functions [54]. This reprogramming has been  
256 observed up to 3 months post-BCG vaccination  
257 [54].

258 Trained immunity is the main immunological  
259 mechanism by which BCG vaccination exerts its  
260 nonspecific cross-protection against other infectious  
261 agents. Therefore, through trained immunity, the  
262 BCG vaccine could potentially be used to help pre-  
263 vent epidemics, such as COVID-19, while specific  
vaccines are under development.

264 *Epidemiological studies hinting the protective*  
 265 *effect of BCG vaccine for SARS-CoV-2 infections*

266 The decrease in tuberculosis incidence in devel-  
 267 oped countries has resulted in the application of  
 268 different guidelines regarding BCG vaccination from  
 269 one country to another. In some areas BCG vacci-  
 270 nation is still part of a current national vaccination  
 271 policy, in others BCG was once administered broadly  
 272 but is now only given to specific groups of high  
 273 risk individuals, and in some countries BCG has  
 274 never been part of a vaccination policy [56]. Since  
 275 BCG vaccination confers some nonspecific cross-  
 276 protection against respiratory infections, it was  
 277 initially postulated that history of BCG vaccination  
 278 might underlie some of the variability in COVID-19  
 279 infection and death rates from country to country.  
 280 However, the results from recent epidemiological  
 281 studies are variable, with some supporting and oth-  
 282 ers refuting this hypothesis. For example, a study  
 283 analyzing SARS-CoV-2 infection rates in a cohort  
 284 of individuals born 3 years before or 3 years after  
 285 the end of the Israeli universal BCG vaccination pro-  
 286 gram found no difference in the rates of SARS-CoV-2  
 287 positivity, and concluded that childhood BCG vac-  
 288 cination does not prevent SARS-CoV-2 infection in  
 289 adults [57]. When comparing BCG vaccination poli-  
 290 cies with COVID-19 mortality in individuals older  
 291 than 65 years from middle-high and high-income  
 292 countries, COVID-19-related death rate appears to  
 293 be reduced in countries with a previous universal  
 294 BCG vaccination policy [27]. In this study, which has  
 295 not undergone peer review, the consideration of only  
 296 high- and middle-income countries aimed to avoid  
 297 biases in COVID-19 statistics, and is based on the  
 298 assumption that the countries selected would provide  
 299 reliable reports of COVID-19 associated death rate  
 300 [27]. Indeed, epidemiological analysis of the poten-  
 301 tial beneficial effects of BCG vaccination against  
 302 COVID-19 need to account for confounding variables.  
 303 The cumulative number of confirmed SARS-CoV-  
 304 2 infections and COVID-19-related deaths reported  
 305 in each country might be influenced by reporting  
 306 bias, differential testing capacities, absence of testing  
 307 for asymptomatic SARS-CoV-2 infected individuals,  
 308 and different methods of assessing the number of  
 309 COVID-19-related deaths [26].

310 After accounting for multiple confounding factors  
 311 in their analysis, including the level of urbanization,  
 312 population density, age, access to education and med-  
 313 ical care, Escobar *et al.* reported strong associations  
 314 between BCG vaccination and reduced COVID-19

315 deaths [25]. In a separate study, by considering only  
 316 the day-by-day increase of COVID-19 confirmed  
 317 cases and deaths, comparing the growth rate between  
 318 countries which had a BCG vaccination policies  
 319 with those that did not, and controlling for different  
 320 cofounding factors, Berg *et al.* demonstrated a signif-  
 321 icant effect of mandated BCG policies on the growth  
 322 of the pandemic as well as COVID-19 spread and  
 323 deaths [26]. In an observational retrospective analysis  
 324 of healthcare workers from the Los Angeles area, we  
 325 showed that compared with healthcare workers that  
 326 were not non-BCG vaccinated, history of BCG vac-  
 327 cination was associated with decreased self-reports  
 328 of COVID-19 related symptoms and reduced sero-  
 329 prevalence of anti-SARS-CoV-2 antibodies [30]. This  
 330 beneficial effect against SARS-CoV-2 infection was  
 331 only observed in those with history of BCG vaccina-  
 332 tion and was not associated with other types of vac-  
 333 cination, such as influenza, meningococcal and pneu-  
 334 mococcal [30]. In a cross-sectional study of Indian  
 335 healthcare workers, the seroprevalence of SARS-  
 336 CoV-2 antibodies was also reduced in individuals  
 337 that reported BCG vaccination during childhood [29].  
 338 Another retrospective study on COVID-19 patients  
 339 from a Rhode Island hospital indicated that BCG vac-  
 340 cination is associated with decreased risk of hospital  
 341 admission during the course of COVID-19 [28].

342 In summary, these retrospective analyses suggest  
 343 that BCG vaccination might exert a protective effect  
 344 against SARS-CoV-2 infection and decrease COVID-  
 345 19 related mortality. However, these reports are  
 346 observational, and they cannot demonstrate a causal  
 347 relationship between BCG vaccination and decreased  
 348 rate of SARS-CoV-2 infection and COVID-19 mor-  
 349 tality. Another caveat is that these studies may not  
 350 reveal the potential of BCG vaccine given acutely  
 351 to prevent SARS-CoV-2 infection, as in most cases  
 352 the study participants were vaccinated as children, so  
 353 their trained immunity may have faded. Only results  
 354 obtained from randomized clinical trials will pro-  
 355 vide clear evidence of whether BCG vaccination is  
 356 beneficial against SARS-CoV-2 infection. This strat-  
 357 egy may be the best suited to protect individuals at  
 358 the highest risk, such elderly people and others with  
 359 comorbidities, and those who face the greatest expo-  
 360 sure, such as frontline healthcare workers.

361 *BCG vaccine as a preventive treatment for*  
 362 *SARS-CoV-2 infection*

363 SARS-CoV-2 is spreading rapidly throughout the  
 364 world, and as of December 2020 many countries are

365 experiencing second and third waves of infections  
366 associated overwhelmed healthcare systems and  
367 elevated mortality due to COVID-19. Results from a  
368 prospective observational cohort study in the UK and  
369 USA indicate that, compared with the general popu-  
370 lation, frontline healthcare workers are at higher risk  
371 of SARS-CoV-2 exposure and at increased chances of  
372 testing positive for COVID-19 [58]. This risk is also  
373 influenced by other factors such the lack of adequate  
374 personal protective equipment, the clinical setting  
375 and the ethnic background of healthcare workers [58].  
376 There is a need to continue SARS-CoV-2 mitigation  
377 efforts and to prevent infections among healthcare  
378 workers. However, since effective SARS-CoV-2  
379 vaccines are currently not yet widely available, addi-  
380 tional prevention strategies need to be developed.  
381 Observational and epidemiological studies hinting  
382 at a potential beneficial effect of BCG vaccination  
383 against SARS-CoV-2 infection warrant the develop-  
384 ment of clinical trials to test this strategy. There are  
385 currently 22 ongoing interventional randomized clin-  
386 ical trials worldwide designed to determine if BCG  
387 vaccination can prevent SARS-CoV-2 infection, the  
388 majority aiming to assess the benefits of BCG vac-  
389 cination in healthcare workers or elderly individuals  
390 (<https://ClinicalTrials.gov><https://ClinicalTrials.gov>)  
391 (Table 1).

392 The clinical trials may also lead to a more  
393 detailed characterization of the immunological mech-  
394 anisms involved in the nonspecific BCG protective  
395 effect against unrelated viral infections. Through  
396 trained immunity, the BCG vaccine may boost innate  
397 immune responses, resulting in reduced SARS-CoV-  
398 2 viremia, increased IL-1 $\beta$  production and faster  
399 viral elimination [23, 24]. By inducing epigenetic  
400 changes, BCG vaccination could also functionally  
401 reprogram mature human neutrophils and increase  
402 their expression of activation markers and their  
403 antimicrobial functions [54]. Patients with severe  
404 COVID-19 exhibit dysfunctional cytotoxic immune  
405 responses, as demonstrated by reduced numbers of  
406 circulating NK cells and CD8<sup>+</sup> T cells [59, 60].  
407 By boosting NK cell function and proinflammatory  
408 cytokine production, BCG vaccination might ame-  
409 liorate the dysfunctional cytotoxic immune responses  
410 observed during COVID-19. Pre-existing T cells able  
411 to cross-react with SARS-CoV-2 have been identi-  
412 fied in healthy individuals that were not previously  
413 exposed to SARS-CoV-2, likely due to previous expo-  
414 sures to other coronaviruses [61–64]. The effect of  
415 such pre-existing memory SARS-CoV-2 cross reac-  
416 tive T cells on COVID-19 remains to be elucidated,

417 however those cells could potentially be involved in  
418 viremia control and promote a more rapid production  
419 of anti-SARS-CoV-2 antibodies [65]. An additional  
420 proposed mechanism suggests that the BCG vaccine  
421 also activates CD4<sup>+</sup> T cells and production of IFN- $\gamma$   
422 [66], and interestingly, *in silico* computational studies  
423 identified a 9-amino acid sequence shared between  
424 BCG and SARS-CoV-2, indicating that BCG vacci-  
425 nation might also result in the induction of T cells  
426 capable to cross-react with SARS-CoV-2 [67].

427 BCG vaccination is considered safe, even in  
428 latently infected adults with prior infant BCG vac-  
429 cination [37, 68, 69]. BCG vaccination is also safe  
430 in elderly individuals, as no difference in the rate  
431 of adverse effects and no increase in the levels  
432 of pro-inflammatory cytokines at steady-state were  
433 observed between the BCG-vaccinated and placebo  
434 group in the ACTIVATE clinical trial [70]. Promis-  
435 ing results were reported from the interim analysis of  
436 the double-blind Phase III ACTIVATE clinical trial,  
437 which investigated the benefit of BCG vaccination  
438 against other non-tuberculosis infectious diseases on  
439 patients older than 65 years [70]. BCG vaccina-  
440 tion in elderly individuals led to a strong reduction  
441 in the incidence of new respiratory tract infections,  
442 which appeared to be mediated by trained immu-  
443 nity, as cytokine production by PBMCs isolated from  
444 elderly BCG-vaccinated individuals when exposed to  
445 an unrelated stimulus [70].

446 BCG vaccination induces long-term metabolic  
447 changes and epigenetic reprogramming of monocytes,  
448 macrophages and NK cells, which boost their anti-  
449 microbial responses and promote host resistance upon  
450 exposure to unrelated infectious agents [50]. How-  
451 ever, non-infectious agents, such as microbial prod-  
452 ucts or endogenous stimuli, can also improperly elicit  
453 trained immunity, amplify innate immune responses  
454 and detrimentally contribute to tissue damage and the  
455 development of certain inflammatory diseases [19,  
456 71, 72]. COVID-19 development is linked to dysregu-  
457 lated immune responses, and in up to 20% of infected  
458 individuals, SARS-CoV-2 infection results in hyper-  
459 inflammation associated with high levels of circulat-  
460 ing inflammatory markers and excessive production  
461 of proinflammatory cytokines, which has been cor-  
462 related with COVID-19 severity and death [73, 74].  
463 Therefore, it might be possible that in the context  
464 of this COVID-19 pandemic, BCG vaccine could be  
465 deleterious by contributing to SARS-CoV-2-induced  
466 inflammatory responses. However, in a retrospective  
467 cohort study, recent BCG vaccination was shown  
468 to be safe during this COVID-19 pandemic, and

Table 1

List of randomized clinical trials evaluating the capacity of BCG vaccine to prevent COVID-19 in elderly or healthcare workers. Data collected from www.ClinicalTrials.org as of January 20, 2021

NCT Number	Phases	Title	Sponsors	Estimated Completion
NCT04475302	Phase 3	BCG Vaccine in Reducing Morbidity and Mortality in Elderly Individuals in COVID-19 Hotspots	Tuberculosis Research Centre, India	May 2021
NCT04632537	Phase 3	BCG Vaccination to Prevent COVID-19 (NUEVA)	Henry M. Jackson Foundation for the Advancement of Military Medicine, USA	April 2023
NCT04379336	Phase 3	BCG Vaccination for Healthcare Workers in COVID-19 Pandemic	TASK Applied Science, South Africa	4/28/21
NCT04328441	Phase 3	Reducing Health Care Workers Absenteeism in Covid-19 Pandemic Through BCG Vaccine (BCG-CORONA)	UMC Utrecht, Netherlands	4/30/21
NCT04350931	Phase 3	Application of BCG Vaccine for Immune-prophylaxis Among Egyptian Healthcare Workers During the Pandemic of COVID-19	Ain Shams University, Egypt	12/1/20
NCT04417335	Phase 4	Reducing COVID-19 Related Hospital Admission in Elderly by BCG Vaccination	Radboud University, Netherlands	May 2021
NCT04534803	Phase 3	BCG Against Covid-19 for Prevention and Amelioration of Severity Trial (BAC to the PAST)	Harvard Medical School, USA	11/30/21
NCT04537663	Phase 4	Prevention of Respiratory Tract Infection and Covid-19 Through BCG Vaccination In Vulnerable Older Adults (BCG-PRIME)	UMC Utrecht, Netherlands	April 2021
NCT04327206	Phase 3	BCG Vaccination to Protect Healthcare Workers Against COVID-19 (BRACE)	Murdoch Childrens Research Institute, Australia	3/30/22
NCT04414267	Phase 4	Bacillus Calmette-guérin Vaccination to Prevent COVID-19 (ACTIVATE II)	Hellenic Institute for the Study of Sepsis, Greece	5/25/21
NCT04369794	Phase 4	COVID-19: BCG As Therapeutic Vaccine, Transmission Limitation, and Immunoglobulin Enhancement (BATTLE)	University of Campinas, Brazil	August 2023
NCT04641858	Phase 4	BCG to Reduce Absenteeism Among Health Care Workers During the COVID-19 Pandemic (EDCTP)	University of Southern Denmark	March 2022
NCT04461379	Phase 3	Prevention, Efficacy and Safety of BCG Vaccine in COVID-19 Among Healthcare Workers	Hospital Universitario Dr. Jose E. Gonzalez, Mexico	1/1/21
NCT04373291	Phase 3	Using BCG Vaccine to Protect Health Care Workers in the COVID-19 Pandemic	Bandim Health Project, University of Southern Denmark	8/1/21
NCT04384549	Phase 3	Efficacy of BCG Vaccination in the Prevention of COVID19 Via the Strengthening of Innate Immunity in Health Care Workers (COVID-BCG)	Assistance Publique - Hôpitaux de Paris, France	2/20/21
NCT04542330	Phase 3	Using BCG to Protect Senior Citizens During the COVID-19 Pandemic	Bandim Health Project, Denmark	March 2022
NCT04348370	Phase 4	BCG Vaccine for Health Care Workers as Defense Against COVID 19 (BADAS)	Texas A&M University, USA	November 2021
NCT04439045	Phase 3	Efficacy and Safety of VPM1002 in Reducing SARS-CoV-2 (COVID-19) Infection Rate and Severity (COBRA)	University Health Network, Toronto	7/1/21
NCT04387409	Phase 3	Study to Assess VPM1002 in Reducing Healthcare Professionals' Absenteeism in COVID-19 Pandemic	Vakzine Projekt Management GmbH, Germany	5/1/21
NCT04435379	Phase 3	Study to Assess VPM1002 in Reducing Hospital Admissions and/or Severe Respiratory Infectious Diseases in Elderly in COVID-19 Pandemic	Vakzine Projekt Management GmbH, Germany	9/30/21
NCT04659941	Phase 2	Use of BCG Vaccine as a Preventive Measure for COVID-19 in Health Care Workers (Pro-BCG)	Universidade Federal do Rio de Janeiro, Brazil	10/01/22
NCT04648800	Phase 3	Clinical Trial Evaluating the Effect of BCG Vaccination on the Incidence and Severity of SARS-CoV-2 Infections Among Healthcare Professionals During the COVID-19 Pandemic in Poland (Pandemic in Poland)	Hanna Czajka - Medical Research Agency, Poland	April 2021

469 associated with decreased incidence of sickness [75].  
470 Moreover, in a large cohort of healthy volunteers,  
471 BCG vaccine boosted the capacity of innate immune  
472 cells to mount antimicrobial responses and inhibited  
473 systemic inflammation in a sex-dependent manner,  
474 as demonstrated by decreased levels of circulating  
475 inflammatory markers post-BCG vaccination [76].  
476 These observations suggest that the BCG vaccine  
477 does not exacerbate the development of inflamma-  
478 tory diseases, and further support the use of BCG  
479 vaccination as a preventive strategy for COVID-19.  
480 However only safety monitoring and results obtained  
481 from the ongoing randomized clinical trials testing  
482 BCG vaccination on healthcare workers will confirm  
483 whether the effects of BCG-induced trained immu-  
484 nity on COVID-19 are beneficial or deleterious [77].  
485 These trials are also needed to further characterize  
486 the immune mechanisms involved. The results will  
487 not only benefit this current COVID-19 pandemic but  
488 may also be leveraged during future pandemic events  
489 while specific vaccines are under development.

490 Another potential challenge encountered with uti-  
491 lizing BCG vaccination as a COVID-19 preventative  
492 is the production of BCG vaccines in sufficient quan-  
493 tities to meet demand. Halts in BCG production  
494 owing to manufacturing issues associated with higher  
495 demand have resulted in BCG vaccines shortages  
496 over the last decade [78, 79]. However, positive  
497 results from randomized clinical trials assessing  
498 the capacity of BCG vaccination to protect from  
499 COVID-19 in healthcare workers may also stim-  
500 ulate BCG manufacturers to increase production.  
501 Such an outcome would also benefit patients with  
502 non-tuberculosis diseases for which BCG is used  
503 therapeutically, such as cutaneous melanoma and  
504 non-muscle invasive bladder cancer.

## 505 CONCLUSIONS

506 The ideal SARS-CoV-2 vaccine candidate will  
507 need to be safe and effective. At this stage of the  
508 SARS-CoV-2 clinical trials, it is still unclear whether  
509 the vaccine candidates will confer long-term pro-  
510 tection against COVID-19 and be effective in the  
511 elderly. Recent reports indicate that three SARS-  
512 CoV-2 vaccine candidates display greater than 90%  
513 efficacy (BNT162b2 vaccine from Pfizer/BioNTech,  
514 mRNA-1273 from Moderna and AZD1222 from  
515 AstraZeneca), however it is unclear when those vac-  
516 cines will be available to the general public. BCG  
517 vaccination has been shown to induce nonspecific

immunity against viral respiratory tract infections,  
and BCG is safe, available, immunogenic and inex-  
pensive. Therefore, boosting innate immunity by  
BCG vaccination might provide protection against  
COVID-19 and support the healthcare system until  
a safe and effective specific SARS-CoV-2 vaccine  
is widely available. More than 22 clinical trials  
are currently underway to test the capacity of BCG  
vaccination to protect against COVID-19, some of  
which will release their results early 2021. It would  
be also interesting to investigate if patients with  
bladder cancer treated with intravesical BCG expe-  
rience any protection against SARS-CoV-2 infection  
or reduced COVID-19 severity. These clinical trials  
are of high importance, as their results will deter-  
mine not only the efficacy of BCG vaccination against  
non-tuberculosis infectious pathogens but will also  
determine if BCG vaccination would help boost the  
responses to the specific COVID-19 vaccines in spe-  
cific populations, and if it could be used in the future  
as a first preventive measure for the next pandemic.

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## AUTHOR CONTRIBUTIONS

M.N.R.: reviewed the literature, wrote and criti-  
cally revised the manuscript.

C.J.R.: reviewed the literature, wrote and critically  
revised the manuscript.

M.A.: reviewed the literature, wrote and critically  
revised the manuscript.

## ETHICAL CONSIDERATIONS

This study, as a literature review is exempt from any  
requirement for Institutional Review Board approval.

## CONFLICT OF INTEREST

MNR, CJR and MA have no conflicts of interest to  
report.



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