

## Research Report

# The Controlling Nutritional Status (CONUT) Score is a Prognostic Biomarker in Advanced Urothelial Carcinoma Patients Treated with First-Line Platinum-Based Chemotherapy

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### Abstract.

**BACKGROUND:** The controlling nutritional status (CONUT) score is an objective indicator of general condition from the aspect of nutritional status, calculated from serum albumin, total cholesterol, and total lymphocyte count. The CONUT score is also considered to reflect the degree of tumor-derived chronic inflammation and the host immune status in patients with advanced cancer.

**OBJECTIVE:** To examine the prognostic role of the CONUT score in patients with advanced urothelial carcinoma (aUC) treated with first-line platinum-based chemotherapy.

**METHODS:** Associations of the CONUT score with clinical parameters and overall survival (OS) were investigated retrospectively in 147 patients with aUC receiving first-line platinum-based chemotherapy at a single cancer center from February 2003 to April 2019.

**RESULTS:** The median (range) CONUT score was 1 (0–7). A higher CONUT score was associated with lower hemoglobin ( $P < 0.001$ ) and higher C-reactive protein levels ( $P = 0.023$ ) but not with chemotherapy response ( $P = 0.432$ ). The median OS for patients with CONUT scores 0–1, 2–3, and  $\geq 4$  were 23.3, 14.9, and 9.4 months, respectively ( $P < 0.001$ ). In the multivariable analysis, a higher CONUT score was independently associated with shorter OS (scores 2–3 vs 0–1, HR 1.58,  $P = 0.048$ ; scores  $\geq 4$  vs 0–1, HR 2.63,  $P = 0.008$ ) along with poorer performance status (HR 4.79,  $P < 0.001$ ), primary tumor site of the upper urinary tract (HR 1.70,  $P = 0.016$ ), higher LDH (HR 3.85,  $P = 0.036$ ), higher alkaline phosphatase (HR 3.06,  $P = 0.028$ ), and non-responders to chemotherapy (HR 2.07,  $P < 0.001$ ).

**CONCLUSIONS:** The CONUT score is a prognostic biomarker in patients with aUC receiving first-line platinum-based chemotherapy.

Keywords: Urothelial carcinoma, malnutrition, prognostic factor, chemotherapy, cisplatin

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## INTRODUCTION

Urothelial carcinoma (UC) is mainly composed bladder cancer (90–95%) and upper tract urinary cancer (UTUC) (5–10%) [1]. The incidence of locally advanced or metastatic UC was 12,494 in the United States in 2016 [2]. Patients with advanced UC (aUC), including inoperable locally advanced and metastatic disease, have poor prognosis with a median overall survival (OS) of 13–16 months despite receiving first-line platinum-based chemotherapy [3]. Although platinum-based chemotherapy remains the standard of care for aUC, immune checkpoint inhibitors (ICIs) have recently been approved in the second-line setting and have improved prognosis for patients with aUC: in a randomized trial, the median OS was 10.3 months for the pembrolizumab group compared with 7.4 months for the chemotherapy group [4]. Currently, many clinical trials of ICIs are ongoing in combination with chemotherapy or targeted agents [5–7].

Assessment of general condition in patients with aUC is crucial for prognosis and therapeutic decision-making. Several prognostic models for patients with aUC have been proposed, involving the following clinical parameters: performance status (PS), primary tumor site, lymph node/visceral metastasis, white blood cell (WBC) count, hemoglobin, and albumin [8, 9]. Nutritional status is one of indicators of general condition and is often compromised in patients with advanced cancer. The controlling nutritional status (CONUT) score is a simple and validated objective data assessment system consisting of three parameters: serum albumin, total lymphocyte count, and total cholesterol concentration [10]. Previous studies have demonstrated the prognostic role of malnutrition evaluated by the CONUT score in patients with several types of cancer [11–16]. We have demonstrated the prognostic significance of the CONUT score in patients with aUC, of whom one-quarter did not receive systemic therapy [17].

In patients with advanced cancer, cytokine-induced chronic inflammation results in hypoalbuminemia, lymphopenia, and hypocholesterolemia [18, 19]. Given that the total lymphocyte count reflects the host immune function [20], the CONUT score may reflect not simply the nutritional status, but more importantly, the degree of chronic inflammation and the host immune status in such patients. Accumulating evidence indicates that the efficacy of anticancer agents partially depends on the activation of tumor-targeting immune responses along with direct cytotoxic effects; chemotherapy can promote the immune

responses by increasing the immunogenicity of tumor cells and/or by inhibiting immunosuppressive circuits that are established by developing neoplasms [21]. Thus, we hypothesized that the CONUT score may be a prognostic biomarker among patients with aUC treated with systemic chemotherapy. In this study, we retrospectively assessed the prognostic role of the CONUT score in patients with aUC treated with first-line platinum-based chemotherapy.

## MATERIALS AND METHODS

### Patients

Our Institutional Ethical Committee approved the present retrospective study protocol (#2318). Signed informed consent was obtained from all participants. A total of 155 consecutive patients with aUC (inoperable cT4, regional lymph node metastasis, and/or distant metastasis) received platinum-based systemic chemotherapy as initial treatment at a single cancer center between February 2003 and April 2019. All patients had measurable disease for assessment of chemotherapy response. Of the 155 patients, 8 were excluded due to missing data required for the CONUT score ( $n = 7$ ) or loss to follow-up before evaluating chemotherapy response ( $n = 1$ ). Finally, 147 patients were subjected to analysis. The following clinical data at the diagnosis of aUC were collected retrospectively: age, sex, Eastern Cooperative Oncology Group performance status (PS), body mass index (BMI), primary tumor site (bladder or upper urinary tract), clinical tumor stage, lymph node/visceral metastasis (lung, liver, or bone), curative treatment before or after the diagnosis of aUC, components of the CONUT score (albumin, total lymphocyte count, total cholesterol), hemoglobin, lactate dehydrogenase (LDH), alkaline phosphatase (ALP), C-reactive protein (CRP), chemotherapy regimen (cisplatin- or carboplatin-based), total number of chemotherapy cycles given, response to chemotherapy, adverse events (AEs) of chemotherapy, and systemic therapy given after first-line chemotherapy. CONUT scores were calculated from serum albumin, total lymphocyte count, and total cholesterol concentration (Table 1). Response to chemotherapy was assessed after 2 cycles according to the Revised RECIST guideline version 1.1 and was classified into the following categories: complete response (CR), partial response (PR), stable disease (SD), or progressive disease (PD) [22]. We also assessed chemotherapy response after 4 cycles among patients receiving

Table 1  
Scoring and interpretation of controlling nutritional status (CONUT) scores

Parameters	Ranges of values and scores for each parameter			
Albumin (g/dL)	≥3.50	3.00–3.49	2.50–2.99	<2.50
Score	(0)	(2)	(4)	(6)
Lymphocyte count (/μL)	≥1,600	1,200–1,599	800–1,199	<800
Score	(0)	(1)	(2)	(3)
Total cholesterol (mg/dL)	≥180	140–179	100–139	<100
Score	(0)	(1)	(2)	(3)
Interpretation				
CONUT score (sum of the above scores)	0–1	2–4	5–8	9–12
Degree of malnutrition	None	Light	Moderate	Severe

4 cycles or more. AEs were graded according to the National Cancer Institute's Common Terminology Criteria for Adverse Events (CTCAE 5.0) [23].

### Statistical analyses

The differences in variables between CONUT scores 0–1, 2–3, and ≥4 were evaluated using Fisher's exact test for categorical variables, and the Wilcoxon rank-sum test for continuous variables. OS was defined as the time from diagnosis of aUC to either death or the last follow-up. The differences in Kaplan–Meier curves were evaluated using the Wilcoxon rank-sum test. Associations of variables with OS were assessed using the Cox proportional hazards model. Significant variables in the univariate analysis were included in the multivariate analysis. A reduced multivariate model was generated by backward elimination of the variable with the highest *P* value from each iteration of the multivariate analysis. Two-tailed *P* < 0.05 was regarded as significant.

As a linear regression model, the Martingale residuals were used to assess the fitting of optimal cutoffs for the following parameters: age, BMI, hemoglobin, LDH, ALP, CRP, total number chemotherapy cycles given, albumin, total lymphocyte count, and total cholesterol concentration. The most appropriate cutoff value for each was determined as described previously [24]. All analyses were conducted using JMP 14.0.0 (SAS Institute Inc., Cary, NC) and R 4.0.0 (R Foundation for Statistical Computing, Vienna, Austria).

## RESULTS

### Patient characteristics

The demographics of the 147 patients are shown in Table 2. The median age (interquartile range, IQR) was 69 (63–74) years and 102 (69%) patients were male. The bladder was the primary site in 80

(54%) patients. At the time of diagnosis of aUC, 37 (25%) patients had inoperable cT4 disease, whereas 67 (46%) and 77 (52%) patients had regional lymph node metastasis and distant metastasis, respectively; of the latter, 53 (36%) had visceral metastasis. Of the 147 patients, 48 (33%) developed aUC after curative treatment for their primary diseases; 43 (29%) had undergone curative surgery and 5 (4%) had received definitive chemoradiation. Cisplatin-based and carboplatin-based chemotherapy was given to 120 (82%) and 27 (18%), respectively. Following systemic chemotherapy, 37 (25%) patients received curative treatment for the primary disease with either surgical resection (*n* = 25) or definitive chemoradiation (*n* = 12). Second-line systemic therapy was given to 54 (37%) patients; 37 (25%) received second-line chemotherapy and 23 (16%) received pembrolizumab as second-line (*n* = 17) or third-line systemic therapy (*n* = 6).

### Associations of CONUT score with clinical parameters and response to first-line platinum-based chemotherapy

The CONUT score was 0, 1, 2, 3, and ≥4 in 36 (25%), 45 (31%), 30 (20%), 21 (14%), and 15 (10%) patients, respectively. The median (range) CONUT score was 1 (0–7). A higher CONUT score was associated with lower hemoglobin (*P* < 0.001) and higher CRP levels (*P* = 0.023, Table 2).

Of the 147 patients, 68 (46%) were good responders (CR+PR), whereas 79 (54%) were non-responders (SD+PD). AEs of grade 3 or greater were observed in 55 patients (37%). The median total number of chemotherapy cycles (range) was 4 [1–18]. A total of 17 (12%) patients were exceptionally assessed for chemotherapy response after 1 cycle due to severe AEs (9 patients) and rapidly progressive disease (8 patients). Chemotherapy responses of the 9 patients with severe AEs were PR in 1, SD in

Table 2  
Demographic characteristics of 147 patients with advanced urothelial carcinoma

Variables	No. patients (%)				P value
	Total (n = 147)	CONUT 0–1 (n = 81)	CONUT 2–3 (n = 50)	CONUT ≥4 (n = 16)	
Age (years), median (IQR)	69 (63–74)	68 (62–73)	70 (66–74)	70 (65–76)	0.232
Sex					0.757
Male	102 (69)	56 (69)	36 (72)	10 (63)	
Female	45 (31)	25 (31)	14 (28)	6 (37)	
PS					0.161
0	109 (74)	61 (75)	40 (80)	8 (50)	
1	29 (20)	16 (20)	8 (16)	5 (31)	
2	6 (4)	3 (4)	1 (2)	2 (13)	
≥3	3 (2)	1 (1)	1 (2)	1 (6)	
BMI (kg/m <sup>2</sup> ), median (IQR)	22.3 (20.5–24.9)	23.1 (21.2–25.7)	22.2 (20.2–24.9)	21.0 (19.3–23.3)	0.054
Primary tumor site					0.318
Bladder	80 (54)	47 (58)	23 (46)	10 (62)	
Upper urinary tract	67 (46)	34 (42)	27 (54)	6 (38)	
Clinical T stage					0.575
≤cT3	110 (75)	58 (72)	40 (80)	12 (75)	
cT4	37 (25)	23 (28)	10 (20)	4 (25)	
Clinical N stage					0.767
0	31 (21)	18 (22)	9 (18)	4 (25)	
≥1	116 (79)	63 (78)	41 (82)	12 (75)	
Visceral metastasis (lung, liver, or bone)					0.445
No	94 (64)	54 (67)	32 (64)	8 (50)	
Yes	53 (36)	27 (33)	18 (36)	8 (50)	
Curative treatment before the diagnosis of aUC					0.633
None	99 (67)	58 (71)	30 (60)	11 (69)	
Surgery or chemoradiation	48 (33)	23 (29)	20 (40)	5 (31)	
Surgery	43 (29)	20 (25)	18 (36)	5 (31)	
Chemoradiation	5 (4)	3 (4)	2 (4)	0 (0)	
Pretreatment laboratory parameters, median (IQR)					
Hemoglobin (g/dL)	12.6 (11.6–13.7)	13.0 (12.1–14.1)	12.3 (11.6–13.4)	11.3 (9.9–12.0)	<0.001
LDH (U/L)	191 (161–230)	191 (162–218)	193 (161–244)	190 (161–226)	0.509
ALP (U/L)	257 (216–301)	249 (205–298)	256 (219–296)	270 (241–394)	0.154
CRP (mg/L)	5.8 (1.9–22.8)	3.0 (1.7–11.7)	6.4 (2.3–27.3)	26.9 (4.15–38.1)	0.023
Albumin (g/dL)	4.1 (3.8–4.3)	4.2 (4.0–4.4)	4.0 (3.7–4.3)	3.5 (3.0–4.0)	<0.001
Lymphocyte count (/μL)	1500 (1150–2030)	1850 (1545–2255)	1105 (935–1383)	1165 (850–1430)	<0.001
Total cholesterol (mg/dL)	187 (164–212)	196 (173–217)	174 (152–210)	151 (126–176)	<0.001
Curative treatment after the diagnosis of aUC					0.095
None	110 (75)	57 (70)	39 (78)	14 (88)	
Surgery or chemoradiation	37 (25)	24 (30)	11 (22)	2 (12)	
Surgery	25 (17)	19 (24)	6 (12)	0	
Chemoradiation	12 (8)	5 (6)	5 (10)	2 (12)	
Chemo regimen					0.139
Cisplatin-based	120 (82)	69 (85)	41 (82)	10 (63)	
Carboplatin-based	27 (18)	12 (15)	9 (18)	6 (37)	
Total No. chemo cycles given, median (range)	4 (1–18)	4 (1–18)	4 (1–16)	3 (1–8)	0.164
Response to 1st line chemotherapy					0.432
CR + PR	68 (46)	40 (49)	23 (46)	5 (31)	
SD + PD	79 (54)	41 (51)	27 (54)	11 (69)	
Adverse events					0.776
Grade <3	92 (63)	52 (64)	31 (62)	9 (56)	
Grade ≥3	55 (37)	29 (36)	19 (38)	7 (44)	
Systemic therapy after 1st line chemotherapy					0.801
None	93 (63)	52 (64)	30 (60)	11 (69)	
Yes	54 (37)	29 (36)	20 (40)	5 (31)	
2nd line chemotherapy	37 (25)	22 (27)	12 (24)	3 (19)	
Pembrolizumab	23 (16)	11 (14)	10 (20)	2 (12)	

ALP=alkaline phosphatase; aUC=advanced urothelial carcinoma; BMI=body mass index; CONUT=controlling nutritional status; CR=complete response; CRP=C-reactive protein; IQR=interquartile range; LDH=lactate dehydrogenase; PD=progressive disease; PR=partial response; PS=performance status; SD=stable disease.

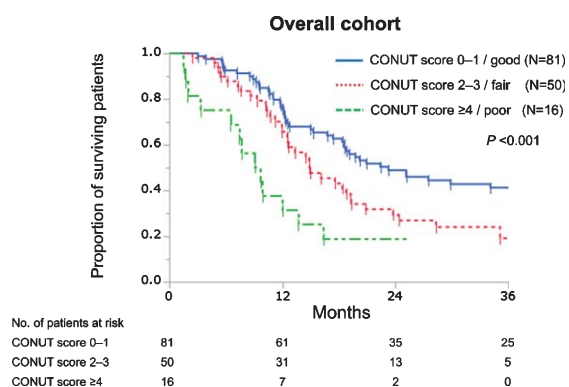


Fig. 1. Kaplan-Meier curves estimate overall survival of 147 patients with advanced urothelial carcinoma treated with first-line platinum-based chemotherapy according to the controlling nutritional status (CONUT) score.

1, and PD in 7 patients. There was no significant association of the CONUT score with chemotherapy response, AEs, or total number of chemotherapy cycles given ( $P=0.432$ ,  $P=0.776$ , and  $P=0.164$ , respectively; Table 2). Among 83 patients who had received 4 cycles or more, 53 (64%) and 30 (36%) were good responders and non-responders, respectively. There was no significant association of the CONUT score with chemotherapy response in this subgroup ( $P=0.522$ ).

#### Associations of CONUT score with OS

During the follow-up period (median, 16.1 months), 102 (69%) patients died. The median OS was 18.4 months for the overall cohort. By univariable analysis, hazard ratios (HRs) of CONUT scores 1, 2, 3, and  $\geq 4$  (reference, CONUT score 0) were 1.02 (95% confidence interval, 0.59–1.75), 1.29 (0.71–2.36), 2.39 (1.26–4.50), and 3.96 (1.97–7.95), respectively. Accordingly, CONUT scores were regrouped into 3 categories: CONUT score 0–1, 2–3, and  $\geq 4$  as good, fair, and poor nutrition group, respectively. The median OS for patients with good, fair, and poor nutrition were 23.3, 14.9, and 9.4 months, respectively ( $P < 0.001$ , Fig. 1).

Table 3 shows the associations of variables with OS. On univariable analysis, a higher CONUT score was significantly associated with shorter OS (fair vs good, HR 1.66,  $P=0.023$ ; poor vs good, HR 3.25,  $P < 0.001$ ), along with higher age (HR 1.86,  $P=0.005$ ), poorer PS (HR 4.65,  $P < 0.001$ ), primary tumor site in the upper urinary tract (HR 2.08,  $P < 0.001$ ), presence of visceral metastasis (HR 1.96,  $P=0.002$ ), higher LDH (HR 5.86,  $P=0.007$ ),

higher ALP (HR 2.69,  $P=0.004$ ), higher CRP (HR 2.07,  $P=0.005$ ), no curative treatment after the diagnosis of aUC (HR 1.93,  $P=0.005$ ), carboplatin-based regimen (HR 1.88,  $P=0.015$ ), total number of chemotherapy cycles  $\leq 3$  (HR 1.67,  $P=0.011$ ), non-responders to chemotherapy (HR 2.06,  $P < 0.001$ ), lower albumin (HR 3.67,  $P < 0.001$ ), and lower lymphocyte count (HR 1.86,  $P=0.002$ ). On multivariable analysis, a higher CONUT score was significantly and independently associated with shorter OS (fair vs good, HR 1.58,  $P=0.048$ ; poor vs good, HR 2.63,  $P=0.008$ ), along with poorer PS (HR 4.79,  $P < 0.001$ ), primary tumor site of the upper urinary tract (HR 1.70,  $P=0.016$ ), higher LDH (HR 3.85,  $P=0.036$ ), higher ALP (HR 3.06,  $P=0.028$ ), and non-responders to chemotherapy (HR 2.07,  $P < 0.001$ ; multivariable model 1). To assess the prognostic significance of each component of the CONUT score, multivariable analysis was conducted using albumin, lymphocyte count, and total cholesterol concentration instead of the CONUT score. Among the components of the CONUT score, lower lymphocyte count (HR 1.96,  $P=0.001$ ) was identified as an independent adverse prognostic factor together with poorer PS (HR 5.75,  $P < 0.001$ ), primary tumor site in the upper urinary tract (HR 1.76,  $P=0.008$ ), higher LDH (HR 3.90,  $P=0.034$ ), higher ALP (HR 3.24,  $P=0.001$ ), and non-responders to chemotherapy (HR 2.04,  $P < 0.001$ ; multivariable model 2).

Good responders showed longer OS than non-responders with respective median OS of 25.1 and 12.6 months ( $P < 0.001$ ). Next, we evaluated the prognostic roles of the CONUT score according to chemotherapy responses. As shown in Fig. 2, patients with good nutrition showed significantly better OS than those with fair nutrition among good responders (HR 0.49,  $P=0.032$ ) while patients with poor nutrition showed significantly worse OS than those with good or fair nutrition among non-responders (poor vs good, HR 4.30,  $P < 0.001$ ; poor vs fair, HR 3.28,  $P=0.004$ ). Similarly, OS curves were significantly separated according to the CONUT score both in good responders ( $P=0.011$ ) and non-responders ( $P < 0.001$ ) among 83 patients receiving 4 cycles or more (Supplementary figure).

## DISCUSSION

The present study demonstrated that the CONUT score is an independent prognostic biomarker in patients with aUC treated with first-line platinum-based chemotherapy. The prognostic significance

Table 3  
Univariable and multivariable analyses for variables associated with overall survival in 147 patients

Variables	Univariable analysis			Multivariable model 1			Multivariable model 2		
	HR	95% CI	P value	HR	95% CI	P value	HR	95% CI	P value
Age									
≥65 (vs <65 [years])	1.86	1.20–2.97	0.005						
Sex									
Male (vs female)	1.01	0.66–1.58	0.969						
PS									
≥2 (vs 0–1)	4.65	2.16–8.82	<0.001	4.79	2.09–9.92	<0.001	5.75	2.54–11.6	<0.001
BMI									
<23 (vs ≥23 [kg/m <sup>2</sup> ])	1.47	0.99–2.19	0.052						
Primary tumor site									
Upper urinary tract (vs bladder)	2.08	1.41–3.08	<0.001	1.70	1.11–2.62	0.016	1.76	1.16–2.65	0.008
Clinical T stage									
3–4 (vs ≤2)	1.57	0.97–2.66	0.065						
Clinical N stage									
≥1 (vs 0)	1.39	0.87–2.33	0.173						
Visceral metastasis (lung, liver, or bone)									
Yes (vs No)	1.96	1.30–2.92	0.002						
Curative treatment before the diagnosis of aUC									
Yes (vs No)	1.07	0.71–1.60	0.735						
Hemoglobin*									
<12/11.5 (vs ≥12/11.5 [g/dL])	1.52	0.99–2.28	0.056						
LDH									
>360 (vs ≤360 [U/L])	5.86	1.75–14.7	0.007	3.85	1.11–10.3	0.036	3.90	1.13–10.4	0.034
ALP									
>380 (vs ≤380 [U/L])	2.94	1.52–5.19	0.004	3.06	1.51–5.69	0.028	3.24	1.63–5.91	0.001
CRP									
>30 (vs ≤30 [mg/L])	2.07	1.26–3.26	0.005						
CONUT score									
0–1 (good)	ref			ref			–		
2–3 (fair)	1.66	1.08–2.54	0.023	1.58	1.00–2.47	0.048	–		
≥4 (poor)	3.25	1.67–5.90	<0.001	2.63	1.31–4.99	0.008	–		
Curative treatment after the diagnosis of aUC									
No (vs Yes)	1.93	1.21–3.22	0.005						
Chemo regimen									
Carboplatin-based (vs cisplatin-based)	1.88	1.14–2.99	0.015						
Total No. chemo cycles given									
≤3 (vs >3)	1.67	1.13–2.46	0.011						
Response to systemic chemotherapy									
SD+PD (vs CR+PR)	2.06	1.39–3.09	<0.001	2.07	1.37–3.14	<0.001	2.04	1.35–3.10	<0.001
Adverse effect									
Grade ≥3 (vs <3)	1.38	0.93–2.05	0.110						
Albumin									
<3.5 (vs ≥3.5 [g/dL])	3.67	1.83–6.65	<0.001						
Lymphocyte count									
<1600 (vs ≥1600 [μL])	1.86	1.25–2.81	0.002				1.96	1.30–2.99	0.001
Total cholesterol									
<140 (vs ≥140 [mg/dL])	1.76	0.88–3.16	0.104						

ALP=alkaline phosphatase; aUC=advanced urothelial carcinoma; BMI=body mass index; CONUT=controlling nutritional status; CR=complete response; CRP=C-reactive protein; IQR=interquartile range; LDH=lactate dehydrogenase; PD=progressive disease; PR=partial response; PS=performance status; SD=stable disease. \*Cutoff values are 12 g/dL and 11.5 g/dL for males and females, respectively.

291 of the CONUT score was observed irrespective of  
292 chemotherapy responses. Considering its simplicity,  
293 objectivity, and global availability in evaluating nutri-  
294 tion status, the CONUT score may be a practical  
295 prognostic indicator for patients with aUC receiv-  
296 ing first-line systemic chemotherapy, as well as an

297 indicator of their general condition from the aspect  
298 of nutritional status.

299 PS is an established prognostic factor in patients  
300 with aUC [8, 9, 25], and it represents a patients' gen-  
301 eral condition from aspects of activity of daily life. In  
302 this study, the prognostic significance of the CONUT

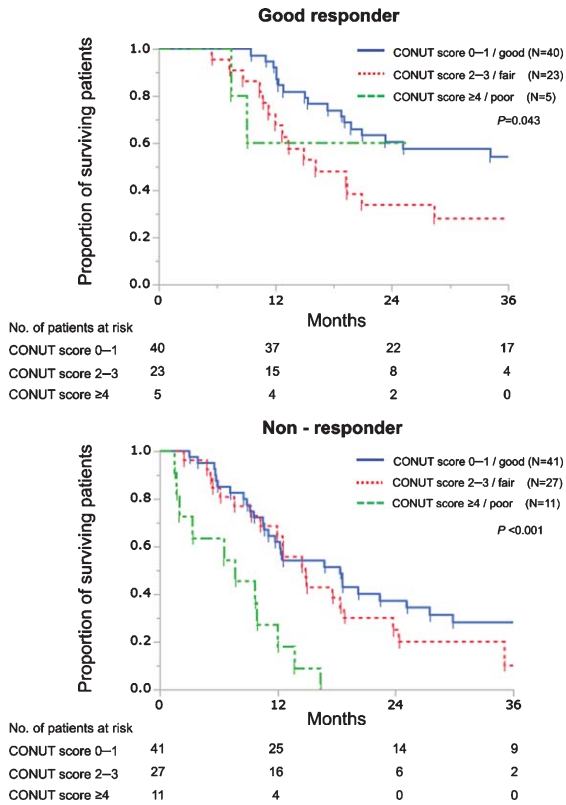


Fig. 2. Kaplan–Meier curves estimate overall survival of patients with advanced urothelial carcinoma treated with first-line platinum-based chemotherapy according to the CONUT score in good responders and non-responders. In good responders, a univariable HR of patients with CONUT scores 0–1 was 0.49 (vs those with CONUT scores 2–3,  $P=0.032$ ). In non-responders, univariable HRs of patients with CONUT scores  $\geq 4$  were 4.30 and 3.28 (vs those with CONUT scores 0–1,  $P<0.001$ ; and vs those with CONUT scores 2–3,  $P=0.004$ , respectively).

score was independent of that of PS. The CONUT score appears to represent the general condition of patients with aUC from the aspect of nutritional status as a prognostic biomarker, independently of PS.

According to our multivariable model 1 (Table 3), PS, LDH, and ALP seem to have outperformed the CONUT score as an independent prognostic factor. In this study, these variables were dichotomized at cutoffs to yield the highest univariable HRs. Accordingly, high-risk groups consisted of small number of patients with extremely poor prognosis; the median OS was 5.4, 8.1, and 7.5 months for 9 patients with poorer PS, 4 with higher LDH, and 14 with higher ALP, respectively. Prognostic value of the CONUT score was limited in these small subpopulations at the highest risk. However, OS was clearly stratified according to the CONUT score in their counterparts

(all  $P<0.002$ , data not shown), implying that the CONUT score provides additional prognostic value to other strong prognostic factors.

Chronic inflammation, induced by tumor-derived proinflammatory cytokines such as tumor necrosis factor- $\alpha$  and interleukin (IL)-6 [26], is one of the pathophysiological features of patients with advanced cancer. IL-6 strongly suppresses antitumor immunity via activation of signal transducer and activator of transcription 3 [27], whereas inducing chronic inflammation results in hypoalbuminemia and hypocholesterolemia by impairing host anabolism [18]. Thus, the CONUT score potentially reflects the degree of tumor-derived chronic inflammation. In fact, a higher CONUT score was significantly associated with higher serum CRP levels (Table 2), which is known as an adverse prognostic factor of aUC [28]. In addition, the CONUT score was correlated with neutrophil-to-lymphocyte ratio, one of indirect measures of chronic inflammation (Spearman’s rank correlation coefficient 0.486,  $P<0.001$ ; data not shown).

The total lymphocyte count was an independent prognostic factor in multivariable model 2 (Table 3), indicating the prognostic relevance of host immunity in patients with aUC receiving systemic chemotherapy. Accumulating evidence also supports its relevance in outcomes of platinum-based chemotherapy. Besides its direct cytotoxic effects, outcomes of chemotherapy also depend on the stimulation of adaptive anticancer immunity and the generation of immunological memory against tumor-associated antigens [29]. Platinum-based chemotherapy stimulates T cell functions directly and indirectly by downregulating programmed death-ligand 2 expression on dendritic cells [30]. Cisplatin also upregulates the expression of major histocompatibility complex class I to promote the presentation of tumor-associated antigens, priming T cells, and clonal expansion of cytotoxic T cells [31, 32]. These mechanisms may underlie the prognostic significance of the CONUT score in patients with aUC treated with first-line platinum-based systemic chemotherapy.

The present study had several limitations. First, there is possible bias due to the retrospective nature of a single-institutional study. External validations with large, multi-institutional cohorts are needed to confirm the generality of our findings, according to the REMARK guidelines [24]. Second, only 23 patients received ICI, the current standard as second-line treatment for aUC. Pembrolizumab was approved for aUC by the Japanese National Health Insurance system

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372 in December 2017. Because our cohort consisted of  
 373 patients treated between February 2003 and April  
 374 2019, most patients did not receive pembrolizumab.  
 375 Contemporary cohorts of patients receiving ICI may  
 376 yield different results. Further studies are required to  
 377 elucidate the impact of the CONUT score on antitu-  
 378 mor effects of ICIs in patients with aUC. Third, we did  
 379 not completely record statin usage and thus it was not  
 380 included as a variable in the present cohort. Adjust-  
 381 ment for statin use may have provided more accurate  
 382 prognostic information on the total cholesterol value,  
 383 a component of the CONUT score.

## 384 CONCLUSION

385 The CONUT score was evaluated as an inde-  
 386 pendent prognostic biomarker in patients with aUC  
 387 treated with first-line platinum-based chemother-  
 388 apy. Its prognostic significance was independent of  
 389 chemotherapy response and PS. The CONUT score  
 390 may represent not only simple nutritional status  
 391 but more importantly the degree of tumor-derived  
 392 chronic inflammation and the host immune status in  
 393 patients with aUC.

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## 398 AUTHOR CONTRIBUTIONS

399 HS: drafting the manuscript, data acquisition,  
 400 statistical analysis, and interpretation of data; MI:  
 401 data acquisition, statistical analysis, and interpre-  
 402 tation of the data; KT: data acquisition, critical  
 403 revision of the manuscript for scientific and factual  
 404 content; SK: critical revision of the manuscript for  
 405 scientific and factual content; MK: critical revision  
 406 of the manuscript for scientific and factual content;  
 407 NI: critical revision of the manuscript for scientific  
 408 and factual content; KS: critical revision of the  
 409 manuscript for scientific and factual content; TM:  
 410 critical revision of the manuscript for scientific and  
 411 factual content; FK: supervision, conception and  
 412 design, drafting the manuscript, statistical analysis,  
 and interpretation of the data.

## CONFLICT OF INTEREST

HS, MI, KT, SK, MK, NI, KS, TM and FK have  
 no conflict of interest to report

## SUPPLEMENTARY MATERIAL

The supplementary material is available in the  
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