

Review

CDK4/6 Inhibitors in Cancer Therapy: A Novel Treatment Strategy for Bladder Cancer

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Abstract. Patients with metastatic bladder cancer (mBC) treated with cisplatin-based chemotherapy have a limited median survival of only around 14 months [1]. Despite over 30 years of basic and clinical research, until recently no therapeutic options beyond cisplatin-based therapy had entered clinical routine and, at least in the US, none of the tested agents had been approved for second-line treatment. This has changed with the advent of immune checkpoint blockade, including especially PD-1/PD-L1 inhibitors. The high response rates of 24% over a 14.4 month follow up led to the first US Food and Drug Administration (FDA) approval for a second line therapy for these patients, and it is likely that this marks the beginning of a new era in the systemic treatment of muscle-invasive bladder cancer [2–4].

The strong clinical need to improve the medical management of this disease for those patients, not responding to current therapy has led to an increased molecular understanding of bladder cancer and has fostered the development of many potential molecular manipulations and targeted strategies beyond the new immune-oncologic approaches. Among the molecular alterations identified in bladder cancer, cell cycle deregulation appears to be a key driver of disease progression. Target-directed therapy against CDK4/6 is an emerging strategy to regain control of cell cycle deregulation. Here, we provide an overview of the current status of CDK4/6 inhibitors in cancer therapy, their potential use in mBC and the challenges for their clinical use.

Keywords: Bladder cancer, targeted therapy, CDK4/6 inhibitor, cell cycle, retinoblastoma

REGULATION OF THE CELL CYCLE PROGRESSION BY CDK4/6

The cell cycle progresses sequentially through the gap 1 (G1), synthesis (S), gap 2 (G2) and mitosis (M) stages. This progression is regulated via a complex signaling network. The CDK proteins, CDK1,

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CDK2, CDK4 and CDK6, are major regulators of cell cycle progression when complexed with specific cyclin proteins [5, 6]. Constitutive expression of CDKs and temporal control of various cyclins enables the regulation of specific cell cycle phases by distinct cyclin-CDK complexes [7]. CDK activity is negatively regulated by several inhibitory proteins. The various aspects of CDK biology and function have been previously reviewed comprehensively [5, 6].

CDK4 and CDK6, which show structural and functional homology, regulate the transition of quiescent cells in the G1 phase into the S phase when complexed with cyclin D proteins (Fig. 1). Cyclin D proteins have three subtypes, cyclin D1-3, and accumulate in the presence of mitogenic stimuli [6–8]. Negative regulators of CDK4/6 include the inhibitor of CDK4 (INK4) proteins, p16INK4A, p15INK4B, p18INK4C and p19INK4D, which inhibit CDK4/6 activity either by reducing their binding with cyclin D1 or by directly occupying their catalytic domains [9].

The kinase activity of CDK4/6 leads to the phosphorylation of members of the retinoblastoma (Rb) protein family including Rb, p107 and p130, which results in their functional inactivation [5, 8, 10]. In quiescent cells, active hypophosphorylated Rb binds to members of the E2F transcription factor family together with other co-repressors, and suppresses E2F function. Upon phosphorylation, Rb dissociates from this complex and allows the transcription of E2F target genes including cyclin A, cyclin E and DHFR, among others, which are required for the transition of the cell cycle into the S phase [10]. Hence, inhibition of CDK4/6 activity leads to Rb dephosphorylation and repression of E2F activity, which promotes a G0/G1 arrest. This has fueled the development of CDK4/6 inhibitors as target therapy in cancer cells.

Beside the CDK4/6-RB pathway, the p53 mediated cell cycle checkpoint is also responsible for arresting cells in G1/S. Cell cycle checkpoints are surveillance mechanisms that detect DNA damage in cells, arrest their cell cycle to allow time for repair and thus ensure genome integrity in the next generation of daughter cells [11]. The kinases ataxia telangiectasia mutated (ATM) and ataxia telangiectasia and Rad3 related (ATR) function as DNA damage sensors and directly or indirectly phosphorylate p53. This phosphorylation interrupts the interaction between MDM2, a ubiquitin ligase, and p53, thereby preventing proteasomal degradation of p53 [11, 12]. Accumulation of p53 increases the transcription of p21kip1, a CDK2 inhibitor, and thereby blocks cell cycle progression

into S phase [9, 13]. p53 stabilization also occurs via p14ARF that can induce MDM2 degradation [14]. p14ARF is encoded by the *CDKN2A* gene that also encodes for p16INK4A. Hence, the *CDKN2A* gene locus can negatively regulate the cell cycle via both p53- and Rb-dependent mechanisms.

THERAPEUTIC POTENTIAL OF CDK4/6 INHIBITION IN BLADDER CANCER

Cell cycle deregulation is a common feature of mBC and includes molecular alterations in the CDK4/6-Rb pathway. *CDKN2A* and *CDKN2B* are candidate tumor suppressors to be affected by chromosome 9 deletions, which occur in over 50% of mBC tumors [15, 16]. Inactivating mutations of *RB1* are found in 11–20% of tumor specimens, which indicates that up to 80% of bladder cancer patients that still maintain functional RB1 might be eligible for this therapy since the presence of RB1 is necessary for the response to CDK4/6 inhibitors. Alterations in *CDKN2A* and *RB1* are also mutually exclusive [17].

These molecular alterations or changes in expression also correlate with the molecular subtypes of mBC that have been described recently by multiple groups. *FGFR3* mutations together with *CDKN2A* loss were observed in the UroB Lund subtype and the TCGA cluster 2 subtype [17, 18]. Meanwhile, the Lund GU subtype, basal tumors from the UNC classification and TCGA cluster 3 were characterized by *RB1* mutations/deletions, and *CCND1*, *E2F3* or cyclin E1 (*CCNE1*) amplifications. These basal tumors had a poorer prognosis as compared to luminal tumors [17–19]. The Lund group also identified two distinct transcriptional circuits operating in the various molecular subtypes. These were the *FGFR3/CCDN1* transcriptional circuit in UroA and UroB tumors, partly characterized by high *FGFR3* and *CCDN1* expression together with *CDKN2A* deletions, and the E2F3/RB1 circuit with deletions of *RB1*, high *CDKN2A* and reduced *FGFR3* in GU tumors. Patients with tumors enriched for the *FGFR3/CCDN1* transcriptional circuit had a better prognosis as compared to those with the E2F3/RB1 circuit [20]. Based upon the current knowledge on response to CDK4/6 inhibitors, it is thus likely that the subtype featuring *FGFR3* mutations together with *CDKN2A* loss is probably a responsive subtype.

Alterations in either p53, Rb, p16INK4A, cyclin D1 or p21 expression have been identified as markers of disease progression, decreased disease-specific

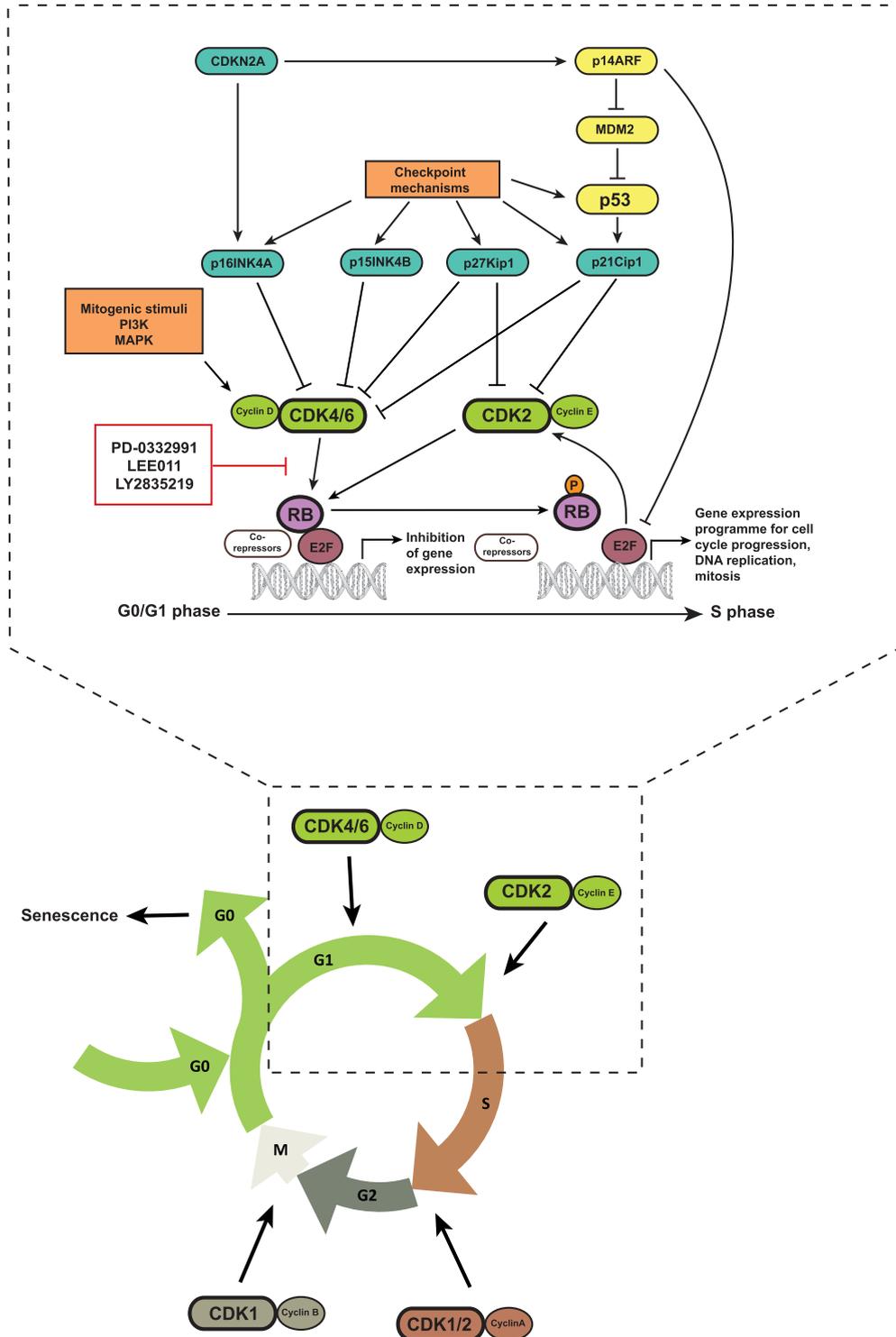


Fig. 1. Schematic representation of the CDK4/6-Rb pathway: CDK4/6 phosphorylate Rb upon complexing with cyclin D proteins. This leads to the dissociation of Rb from the E2F family of transcription factors and allows transcription of E2F target genes that enable cell cycle progression from the G1 to the S phase. Small molecule inhibitors of CDK4/6 inhibit Rb phosphorylation, S phase progression and cell proliferation. CDK4/6 activity is also influenced by upstream mitogenic signaling pathways, inhibitor of CDK4 (INK4) proteins, as well as checkpoint mechanisms including the p53 pathway.

survival or poorer 5-year overall survival in various studies. A worse prognosis was also observed when patients had a combination of these molecular alterations [21–23]. While inactivation of *RBI* alone does not result in tumor formation *in vivo*, loss of all Rb family members results in the development of bladder tumors. Moreover, invasive disease has been observed in mice deficient in both p53 and Rb upon exposure to carcinogens [24, 25]. These studies demonstrate that the CDK4/6-Rb pathway is frequently altered in mBC and can influence both pathogenesis and prognosis. It is thus likely that targeting the G1-S cell cycle transition has therapeutic potential in mBC.

TARGETING THE CDK4/6-RB PATHWAY IN CANCER

The disruption of the CDK4/6-Rb signaling pathway and an uncontrolled G1-S transition of the cell cycle is a common feature of cancer cells. This can occur due to various molecular alterations including loss of function mutations or deletions of the *RBI* gene (encoding for Rb), *CDKN2A* (encoding for p16INK4A and p14ARF) or *CDKN2B* (encoding for p15INK4B). Such deregulation can also result from amplification or activating mutations in *CCND1* (encoding for cyclin D1), *E2F1-3*, *CDK4*, *CDK6* or components of various mitogenic signaling pathways such as the PI3K or MAPK pathways [26].

Several ATP-competitive small molecule CDK inhibitors have been developed. However, first generation inhibitors such as flavopiridol are non-selective and can inhibit multiple CDKs which might result in limited efficacy and high toxicity [8]. Next generation CDK4/6 inhibitors display high selectivity and include palbociclib (PD-0332991 from Pfizer), abemaciclib (LY-2835219 from Eli Lilly) and ribociclib (LEE011 from Novartis) [27–29] (Table 1). These CDK4/6 inhibitors have been tested pre-clinically in *in vitro* and *in vivo* models of several cancer entities including leukemia, breast cancer, melanoma, glioma, pancreatic cancer, hepatocellular carcinoma, lung adenocarcinoma, sarcoma, ovarian cancer, renal cancer, prostate cancer and mBC [8, 30]. In most studies they have demonstrated a consistent molecular and functional phenotype with a dose-dependent reduction in Rb phosphorylation, protein expression and transcription of E2F target genes, which correlates with a

Table 1
Three clinically potential CDK4/6 inhibitors

Compound	FDA approved	Tumor type	Citation
palbociclib (PD-0332991)	Yes	Breast cancer	31,33
ribociclib (LEE011)	Yes	Breast cancer	34
abemaciclib (LY-2835219)	Not yet		

G0/G1 arrest and inhibition of cell proliferation. Additionally, all these reports demonstrate that Rb expression is a pre-requisite for sensitivity to these inhibitors.

Our group has recently investigated the effects of CDK4/6 inhibitors in mBC. In our study, palbociclib (PD-0332991) was tested on a panel of 10 mBC cell lines [30]. In Rb positive cell lines, we observed a dose dependent reduction in total Rb protein that correlated with a decrease in phosphorylated Rb. This decrease in total Rb correlated partially with a reduction in *RBI* transcript levels and was associated with a reduction in the transcription of E2F target genes *CCNA2* and *CCNE2*. A significant G0/G1 cell cycle arrest and reduction in tumor proliferation and growth was observed only in Rb positive cells, which was also confirmed in a three-dimensional tumor xenograft model using the chicken chorioallantoic membrane (CAM).

CDK4/6 inhibitors are presently being tested in over 80 clinical trials in oncology among them one in bladder cancer (<https://clinicaltrials.gov>, clinical trial identifier NCT02334527). Results from trials in advanced hormone positive, HER2-negative breast cancer have demonstrated a significant improvement in progression free survival (PFS) using a combination of CDK4/6 inhibitors and hormone therapy as compared to hormone therapy alone [31–34]. Based on these data, palbociclib and ribociclib have been approved or designated as breakthrough therapy, respectively, by the US FDA in this clinical setting [32, 34]. The efficiency and toxicity between palbociclib and ribociclib are very similar. The principal grade 3/4 adverse events are neutropenia and leucopenia. Early results from trials in other solid or hematological tumors have also demonstrated acceptable safety and preliminary clinical benefit of CDK4/6 inhibitors [35–37].

CHALLENGES TO THE CLINICAL USE OF CDK4/6 INHIBITORS

Results from our group suggest that CDK4/6 inhibition has the potential to be an effective

therapeutic strategy in mBC. However, several aspects of understanding the molecular mechanisms of treatment response need further investigation. Here, we discuss the challenges to enable an effective transition from experimental models to clinical implementation of CDK4/6 inhibitors in mBC based on a critical review of published literature to date.

Identification of the molecular mechanism of CDK4/6 inhibitors

The mechanism by which CDK4/6 inhibitors are proposed to act is the inhibition of kinase activity of CDK4/6. Preclinical studies have consistently demonstrated that CDK4/6 inhibitors reduce the level of phosphorylated Rb. However, with very few exceptions, this is accompanied by a synchronous decrease in total Rb protein level as demonstrated in leukemia, breast cancer, myeloma, glioma, pancreatic cancer, ovarian cancer and mBC models [30, 38–48]. Despite being a central aspect for the biochemical response to CDK4/6 inhibition, this observation and the possible underlying mechanisms have not been addressed. Since CDK4/6 inhibitors display anti-tumor activity only in Rb positive cells, the understanding of the contribution of Rb protein level and/or its phosphorylated form to this effect can improve our understanding of the molecular determinants of therapy response.

We have demonstrated that the transcript level of Rb is down regulated upon CDK4/6 inhibition. Moreover, levels of exogenous Rb under the control of a CMV promoter were not affected upon treatment indicating that CDK4/6 inhibitors influence the RB1 promoter activity to result in transcription reduction [30]. Molecules such as members of the Rb family (Rb, p107 and 130) or E2F proteins, which can bind to the RB1 promoter, could potentially be involved in this mechanism [49–51].

We also tested if this effect depends on the proteasomal degradation of Rb. The addition of MG-132, a proteasomal inhibitor, did not rescue Rb from degradation after CDK4/6 inhibition, indicating that the decrease in total Rb protein level might not be predominantly regulated by the proteasome. Most proteasome inhibitors exhibit very high cytotoxic activity. We used MG-132 at a concentration of 100 nM where it did not exhibit cytotoxic activity in cell viability assays but should specifically inactivate proteasome activity according to the literature [52]. However, a comprehensive examination of the

proteasome as part of the biochemical response to CDK4/6 inhibitors needs to be performed.

Functional effects of CDK4/6 inhibition on cell cycle arrest and senescence

All published reports have demonstrated that CDK4/6 inhibitors are capable of arresting cells in the G0/G1 phase. However, it is important to investigate whether these agents are capable of inducing senescence, which represents a state of permanent and irreversible cell cycle arrest [53]. It has been reported that CDK4/6 inhibition for 3 days or longer, can initiate senescence *in vitro* and *in vivo* in a subpopulation of examined tumor cells [54–60]. However, since the induction of senescence is incomplete, the senescence-resistant subclones are able to re-enter the cell cycle upon the removal of CDK4/6 inhibition. *In vivo*, chronic CDK4/6 inhibition failed to confer a permanent cell cycle arrest of tumor cells even after initial stable disease control [58, 61].

Early adaption to CDK4/6 inhibition was also observed in leukemia, breast cancer and liposarcoma cells [61–63]. Upon acute CDK4/6 inhibition representative cell lines of these tumor entities (MCF-7, U937, THP1) showed 24 hours after treatment a better inhibition of Rb phosphorylation and cell cycle arrest than at later time points where a partial recovery could be observed. This has been demonstrated to result from a compensatory activation of the CDK2 pathway, probably due to increased adaptive PI3K signaling or down regulation of p27Kip1 [61, 63]. Cells can also acquire Rb mutations during long term treatment which correlates with therapy resistance, indicating that an appropriate combination or sequential therapy design is necessary to overcome such resistance mechanisms [61].

Some studies have also reported functional effects of CDK4/6 inhibition on inducing apoptosis and epithelial-mesenchymal transition (EMT) [41, 42, 44, 46, 64]. Abemaciclib (LY2835219) could induce massive apoptosis in a tumor-derived vemurafenib-resistant melanoma cell line, while no apoptosis was observed in its parental cell line [64]. Prolonged treatment with PD-0332991 for 5 days induced apoptosis in a proportion of ovarian cancer cells [44]. CDK4/6 inhibition could induce EMT and enhanced the invasion of SMAD-4 wild-type pancreatic cancer cells through activation of the TGF-beta pathway [42]. Additional investigations are warranted into these phenotypes to understand how they impact the overall anti-tumor effect of these agents.

Potential predictive and stratifying biomarkers for personalizing CDK4/6 target therapy

It is likely that various molecular mechanisms regulate the sensitivity or resistance to CDK4/6 inhibitors. Their identification can enable the development of stratifying molecular biomarkers according to tumor genetics. Preclinical studies have unanimously demonstrated that only Rb expressing cells respond to CDK4/6 inhibitors based mainly on correlation studies in Rb positive or negative cell lines [29, 30, 39, 44, 57, 65, 66]. Our group demonstrated that reconstitution of recombinant Rb protein in Rb negative cells fails to sensitize them to CDK4/6 inhibition [30]. RNAi-mediated silencing of Rb in Rb-expressing cells induces resistance to CDK4/6 inhibition [62]. One study also demonstrated by using a retrovirus that stably expresses microRNAs against Rb that CDK4/6 inhibitors mediate their effects independent of Rb expression [45, 67]. These seemingly contradictory findings might be explained by differences in cell cycle regulation in Rb positive and negative cells. For instance, Rb deficient cells can regulate the G1/S transition independent of the CDK4/6-Rb pathway and these inhibitors might be ineffective despite Rb reconstitution [26, 68, 69]. Conversely, acute Rb loss using RNAi might not mirror the molecular pathways that are active in intrinsically Rb negative cells and could also be compensated by p107 or p130 Rb family members [45, 67]. Hence, the direct assessment of the contribution of Rb expression to the response to CDK4/6 inhibitors remains challenging.

Apart from Rb expression, correlation studies suggest that loss of p16INK4A, overexpression of cyclin D1, amplification of CDK4 and down regulation of MDM2 are associated with sensitivity to CDK4/6 inhibitors in different cancer models [39, 41, 44, 47, 54, 56, 65, 70]. Increased expression of E2F1, compensatory activation of the CDK2 pathway via up regulation of cyclin D1, E or A, as well as increased PI3K and MAPK signaling have all been associated with resistance to CDK4/6 inhibitors [5, 40, 44, 45, 63, 67, 71–74].

Preliminary genetic analyses from tumors undergoing treatment in clinical trials also provide information about potential biomarkers. CDK4/6 inhibitors showed significant clinical benefit in trials of advanced estrogen receptor (ER) positive breast cancer, which is commonly Rb positive, and well differentiated or de-differentiated liposarcoma, which is commonly Rb positive and CDK4 ampli-

fied [31–35, 75]. However, since some patients did not show clinical benefit, it is likely that other molecular alterations also play a role in determining response to treatment. While ER expression was significantly associated with response to palbociclib in combination with hormone therapy in breast cancer, additional biomarkers such as *CCND1* amplification or *CDKN2A* loss were not predictive of response [32, 75]. No obvious association was seen between alterations in cell cycle genes such as *CCND1*, *CDKN2A*, *CDKN2B* or *CCNE1* and treatment response in early exploratory analyses in phase 1 studies in various solid tumors [36, 37]. These data also indicate correlations between alterations in *TP53*, *KRAS*, *EGFR* and treatment response, which also vary in different tumor entities [37]. The benefit of these alterations as stratifying biomarkers needs to be explored in larger patient cohorts.

Hence, the genetic determinants of response to CDK4/6 inhibitors are likely to be highly complex and not restricted to obvious molecules that regulate Rb function, namely *CDKN2A*, *CDKN2B*, *CCND1*. Future investigations applying advanced technologies such as functional genomics in preclinical studies and genomic analyses of clinical samples can potentially lead to the development of a personalized medicine strategy for their clinical use. For mBC, it will also be interesting to analyze if specific molecular subtypes influence the response to CDK4/6 inhibition given their distinct association with cell cycle deregulation.

Combination therapies that might improve the response to CDK4/6 inhibitors

Stable disease characterized a large proportion of clinical benefit seen in trials with different CDK4/6 inhibitors, possibly reflecting its cytostatic effect. Combination therapy with other agents thus has the potential to improve efficacy by promoting tumor eradication. Such strategies can also help to overcome resistance to CDK4/6 inhibitors. Moreover, synergistic combinations can allow for a dose reduction of individual drugs and have the potential to reduce toxicity.

This strategy can be used to improve responses seen with standard chemotherapy regimens. However, the efficacy of various chemotherapy agents is dependent on growth arrest in specific cell cycle stages. This can lead to either antagonistic, additive or synergistic effects in combination with CDK4/6 inhibitors, which may also depend on the relative

Table 2
Ongoing clinical trials combining CDK4/6 inhibitors with drugs apart from endocrine therapy

Combined agents with CDK4/6 inhibitors	Tumor types	ClinicalTrials Identifier	Phase
EGFR inhibitor, cetuximab	Locally Advanced Squamous Cell Carcinoma	NCT03024489	Phase 1/2
ALK inhibitor, ceritinib	ALK-positive non-small cell lung cancer	NCT02292550	Phase 1/2
PI3K/mTOR Inhibitor Gedatolisib	Advanced Squamous Cell Lung, Pancreatic, Head & Neck and Other Solid Tumors	NCT03065062	Phase 1
PI3K inhibitors, taselisib or pictilisib	Advanced Solid Tumours, Breast Cancer	NCT02389842	Phase 1
Chemotherapy, paclitaxel	Metastatic Pancreatic Ductal Adenocarcinoma	NCT02501902	Phase 1
Proteasome inhibitor, bortezomib	Mantle Cell Lymphoma	NCT01111188	Phase 1
BRAF inhibitor, encorafenib	Locally Advanced Metastatic BRAF Mutant Melanoma	NCT01777776	Phase 1/2

timing of combination or sequential therapy. We have observed that the combination of PD-0332991 and cisplatin have a synergistic effect in mBC cell lines [30]. Interestingly, this combination has antagonistic effects on pancreatic ductal adenocarcinoma cell growth [40]. Combination of CDK4/6 inhibition with carboplatin or paclitaxel chemotherapy in ovarian cancer cells showed additive or synergistic interactions when used concomitantly, while treating cells with CDK4/6 inhibitors 24 hours before chemotherapy caused an antagonistic effect [44].

CDK4/6 inhibitors have also been combined with different targeted therapies. Their combination with hormone therapy has clearly demonstrated benefit in preclinical studies and clinical trials in hormone positive breast cancer. This benefit might stem from the association between cyclin D1 and Rb phosphorylation in the pathogenesis and treatment response of hormone positive breast cancer [76]. Multiple studies have demonstrated that increased signaling in the PI3K-mTOR pathway contributes to resistance to CDK4/6 inhibitors. Combinations of CDK4/6 and PI3K pathway inhibitors have shown synergistic effects in reducing proliferation and increasing senescence [55, 58]. Early data from clinical trials combining CDK4/6 inhibitors, endocrine therapy and PI3K or mTOR inhibitors has also demonstrated an acceptable safety profile and preliminary clinical activity [77, 78]. Table 2 shows an overview of current clinical trials that are ongoing to explore the effects of combination therapy with CDK4/6 inhibitors in a variety of different tumor entities.

CONCLUSIONS

CDK4/6 inhibitors have recently been approved for clinical use in breast cancer and have an established safety profile. Frequent cell cycle deregulation makes CDK4/6 a compelling target in mBC. We have

demonstrated that CDK4/6 inhibition is a promising strategy in mBC to limit cellular proliferation and tumor growth. Palbociclib is also being investigated in a clinical trial for patients with mBC (clinical trial identifier NCT02334527). Although CDK4/6 is a promising target in mBC, further research is required to improve its adoption in the clinic. We anticipate that a thorough understanding of the molecular mechanisms and functional consequences of CDK4/6 inhibition can guide the identification of genetic determinants of response to these inhibitors. This can also aid in the development of a personalized medicine strategy by using stratifying biomarkers. It should also provide the rationale for the development of effective combination therapy strategies. Since alterations in the CDK4/6-Rb pathway correlate with distinct subtypes of mBC, an intriguing hypothesis that deserves additional investigation is that CDK4/6 inhibitors might be beneficial in particular molecular subtypes.

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