

Rationality and maximal consistent sets for a fragment of ASPIC⁺ without undercut

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Abstract. Structured argumentation formalisms, such as ASPIC⁺, offer a formal model of defeasible reasoning. Usually such formalisms are highly parametrized and modular in order to provide a unifying framework in which different forms of reasoning can be expressed. This generality comes at the price that, in their most general form, formalisms such as ASPIC⁺ do not satisfy important rationality postulates, such as non-interference. Similarly, links to other forms of knowledge representation, such as reasoning with maximal consistent sets of rules, are insufficiently studied for ASPIC⁺ although such links have been established for other, less complex forms of structured argumentation where defeasible rules are absent.

Clearly, for a formal model of defeasible reasoning it is important to understand for which range of parameters the formalism (a) displays a behavior that adheres to common standards of consistency, logical closure and logical relevance and (b) can be adequately described in terms of other well-known forms of knowledge representation.

In this paper we answer this question positively for a fragment of ASPIC⁺ without the attack form undercut by showing that it satisfies all standard rationality postulates of structured argumentation under stable and preferred semantics and is adequate for reasoning with maximal consistent sets of defeasible rules. The study is general in that we do not impose any other requirements on the strict rules than to be contrapositable and propositional and in that we also consider priorities among defeasible rules, as long as they are ordered by a total preorder and lifted by weakest link. In this way we generalize previous similar results for other structured argumentation frameworks and so shed further light on the close relations between assumption-based argumentation and ASPIC⁺.

Keywords: Rationality postulates, ASPIC, maximal consistent sets

1. Introduction

Structured argumentation is a family of formal approaches for the handling of defeasible and potentially inconsistent information. For this, many models of structured argumentation distinguish between strict and defeasible inference rules. Defeasible rules guarantee the truth of their conclusion only provisionally: from the antecedents of the rules we can infer their conclusion unless and until we encounter convincing counter-arguments. These defeasible rules can come in varying *strengths*. For example, in an epistemic reasoning context considerations of plausibility, typicality or likelihood may play a role in determining the strength of a defeasible conditional. In contexts of normative reasoning (such as legal or moral reasoning), the strength of defeasible conditionals may be determined by deontic or legal urgency (e.g., in view of the authority which issued them or their specificity). Strict rules, in contrast, are

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beyond doubt and therefore considered maximally strong: the truth of the antecedents is carried over to the conclusion.

Arguments constructed on the basis of a combination of strict and defeasible rules can be in conflict with one another. For example, one argument may conclude the contrary of the conclusion of another argument or may conclude that the application of a defeasible rule in another argument is not warranted in the circumstances under consideration. To represent and resolve such conflicts, a formal argumentation framework is constructed on the basis of the strict and defeasible rules. *Argumentative attacks* represent conflicts between arguments. Preferences over the defeasible rules used in constructing the arguments can be used to resolve conflicts between assumptions by turning attacks into *defeats*. There are several design choices available when specifying how to construct such an argumentation framework, which often have a severe impact on the inferential behaviour of the resulting formalism. In this paper, we study one specific formalism known as ASPIC⁺. ASPIC⁺ is a well-established formalism which has been applied to e.g. decision-making [52], risk-assessment [55] and legal reasoning [54] and provides argumentative characterisations of prioritised default logic [16] (cf. [59,60]) and preferred subtheories [15] (cf. [50]).

Example 1. Nicki and Mary will go to a garden party (g) and were asked to bring a potato-salad.¹ In Nicki's opinion a good potato-salad has pickles in it ($g \Rightarrow_1 p$) but Mary has an allergy to pickles ($g \Rightarrow_2 \neg p$). Since an allergy for pickles has precedence over a preference in taste, the rule based on the former is stronger than the rule based on the latter (which we express in the formal language by having a subscript 2 for $g \Rightarrow_2 \neg p$ and a subscript 1 for $g \Rightarrow_1 p$). Mary thinks they should bring a bottle of *Quadruple* beer as a gift for the host ($l \Rightarrow_1 q$) since the host likes beers (l). Since this is a very strong beer, in most cases, when handing over the gift, a warning should be issued ($q \Rightarrow_1 w$). However, the host is a beer connoisseur (b), and thus the previous rule of thumb does not apply ($b \Rightarrow_1 \neg(q \Rightarrow_1 w)$).

In [1,19] several rationality postulates have been proposed, which can be seen as minimal requirements any well-behaved structured argumentation formalism should fulfill. These requirements all have to do with the basic role of formal argumentation as a formalism for handling (logical) conflicts. For instance, we can require that the output of the formalism is *logically consistent*: it should not be possible to derive both a formula and its contrary. As an illustration, in Example 1 no structured argumentation formalism should output both that pickles should be added (p) and kept out ($\neg p$) of the salad. In [53], it was proven that ASPIC⁺ satisfies the rationality postulates of closure and consistency given some basic restrictions on the strict rule base.

However, as has been observed in [57], ASPIC⁺ does not satisfy other standards such as *Crash-Resistance* and *Non-Interference*. Ideally, a reasoning system should not lose consequences if irrelevant information is added to the knowledge base. In Example 1, the fact that Mary and Nicki bring a beer (q) and warn the party host about the strength of the beer (w) should not be influenced by any considerations of what to put in the potato-salad (i.e. by adding $\{g \Rightarrow_1 p, g \Rightarrow_2 \neg p\}$ to the knowledge base). As demonstrated in [57] for ASPIC⁺, the lack of Crash-Resistance and Non-Interference is especially threatening if the underlying strict rules are domain-independent. This is typically the case if the strict rules are induced by an underlying logic such as classical logic (in short, CL).² Given an inconsistent

¹The notation used in this example will be properly introduced in Section 2.

²In the context of ASPIC⁺ scholars often distinguish domain-dependent from domain-independent rules (see e.g., [53, Section 4] for a more detailed discussion). The latter hold for purely logical reasons which is why we deal with them typically when the set of strict rules is induced by a (Tarskian) logic, while the former cover typically cases in which the strict rules are not justified by a truth-preservational logical standard but rather by empirical insights.

knowledge base and strict rules such as logical explosion, for any formula an argument with a contrary conclusion can be constructed.

So far, there are not many results that establish Crash-Resistance and Non-Interference for ASPIC⁺ and related systems.³ Some examples are:

- [57] established non-interference for complete semantics for ASPIC-lite, a sub-system of ASPIC⁺ where priorities over defeasible rules are not taken into account and inconsistent arguments are filtered out. The latter is arguably a limitation, since checking consistency can be computationally unfeasible and since the inconsistency is typically demonstrated dialectically in real-world argumentation [27].
- In [36] a system with restricted rebut is introduced that avoids logical explosion by using a sub-classical logic as a base logic. For any completeness-based semantics a weakened version of Non-Interference and Closure are shown for total pre-orders expressing priority relations between the defeasible rules. For multi-extension semantics a counter-example for full non-interference is provided.
- Recently ASPIC[⊖] [11,39,41] has been proposed. It is the first member of the ASPIC-family that satisfies all standard rationality postulates (including non-interference and crash-resistance) even when taking into account preorders over defeasible rules. ASPIC[⊖] does not require filtering out inconsistent arguments. However, the rationality postulates hold only for the single-state grounded semantics, as it makes use of the attack form of unrestricted rebut, which violates the rationality postulates of *closure* and *indirect consistency* for multi-extension semantics such as the preferred and stable semantics (see e.g. [18, Example 2]).

In conclusion, there have been some recent steps towards rational, prioritised structured argumentation with defeasible rules, but it is still an open question whether it is possible to obtain a formalism that satisfies the four rationality postulates for a multi-state semantics and prioritised defeasible rules. In this paper, we show that this is possible given some basic restrictions on the language of the knowledge base, using the weakest link lifting for a fragment of ASPIC⁺. In more detail, we consider ASPIC⁺ without undercut, defeasible premises and undermining attacks and we assume the preference order over the defeasible rules to be total. We restrict our attention to propositional instantiations. As such, this paper presents an important move towards a full solution to an open problem mentioned both in [51] and [18]. Furthermore, when these restrictions are met, it is not necessary to filter out inconsistent arguments, thus bringing the formalism closer to dialectical practices.

Moreover, we demonstrate that when these restrictions are met, the stable and the preferred semantics coincide. This result is interesting from a computational perspective, since it means we can now use the computationally less demanding proof theories for admissible semantics to show membership of a stable extension [31].

For several systems of structured argumentation characterizations of the extensions of frameworks under some argumentation semantics in terms of maximal consistent sets of premises have been obtained (see [6] for an overview). Such results are useful since they provide a link between argumentation and knowledge representation and they provide a basic sanity check on the behavior of an argumentation system. For systems with defeasible rules, such as ASPIC⁺, such results have not been obtained. One difficulty is the question of how to define consistent subsets of the premises in such contexts. In this contribution we will generalize approaches that have been proposed in the context of knowledge bases

³For structured argumentation systems with defeasible premises (but without defeasible rules), on the other hand, the situation looks a lot better, see e.g. [9,27,37].

with strict and defeasible assumptions such as default assumptions [47] and ABA [12] to systems with defeasible inference rules such as ASPIC⁺. In this way we obtain further insights into the relation of ASPIC⁺ to other argumentation formalisms, thus tackling another open question formulated in [51].

Outline of this paper: In Section 2, we present the necessary preliminaries on the structured argumentation formalism ASPIC⁺. In Section 3 we review the rationality postulates for structured argumentation. Expert readers in formal argumentation may skip these sections and jump directly to Section 4, which contains the main contributions of this paper, namely: when considering (a fragment of) ASPIC⁺ without undercut attacks, stable and preferred extensions coincide (Section 4.1), all four rationality postulates are satisfied for the stable and preferred semantics (Section 4.2) and central argumentation semantics are characterized in terms of maximally consistent sets of defeasible rules (Section 4.3). In Section 5 we discuss related work. In the final Section 6 we make some concluding remarks, pointing out a connection with assumption-based argumentation and setting out avenues for further work.

2. Structured argumentation

In structured argumentation, information is given in the form of a *knowledge base or argumentation system*. In ASPIC⁺, such an argumentation system is built up from a formal language \mathcal{L} , which can be used to formulate strict rules \mathcal{S} of the form $\phi_1, \dots, \phi_n \rightarrow \phi$, defeasible rules \mathcal{D} of the form $\phi_1, \dots, \phi_n \Rightarrow \phi$ and strict premises \mathcal{K} . Strict rules are deductive in the sense that the truth of their antecedents ϕ_1, \dots, ϕ_n necessarily implies the truth of their consequent ϕ , while defeasible rules allow for exceptions. In ASPIC⁺ arguments are modelled as derivations based on strict and defeasible rules and a set of premises.

Strict rules can have several classes of interesting instantiations. A first option is to use some logic \mathbf{L} with an associated consequence relation $\vdash_{\mathbf{L}}$ and require that $\phi_1, \dots, \phi_n \rightarrow \phi \in \mathcal{S}$ iff $\{\phi_1, \dots, \phi_n\} \vdash_{\mathbf{L}} \phi$. Another option is to use what are often called *domain dependent* rules, as they are known from e.g. logic programming.

Unlike strict rules, a defeasible rule warrants the truth of its conclusion only provisionally: its application can be retracted in case counter-arguments are encountered. We assume that the defeasible rules \mathcal{D} come with a naming function $n : \mathcal{D} \rightarrow \mathcal{L}$. Furthermore, conflicts between elements of the language can be specified using a contrariness function $\bar{\cdot}$.⁴ Finally, the user can specify *preferences* over the defeasible rules using a preorder $\leq \subseteq \mathcal{D} \times \mathcal{D}$. Formally, such an argumentation system is defined as follows:

Definition 1 (Argumentation System). An *Argumentation System* (AS) is a tuple $(\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \bar{\cdot}, \leq)$ consisting of:

- (1) a formal language \mathcal{L} based on a set of atoms \mathcal{A} ;
- (2) a set of strict rules \mathcal{S} of the form $\phi_1, \dots, \phi_n \rightarrow \phi$ (where $\phi_1, \dots, \phi_n, \phi \in \mathcal{L}$);
- (3) a set of defeasible rules \mathcal{D} of the form $\phi_1, \dots, \phi_n \Rightarrow \phi$ (where $\phi_1, \dots, \phi_n, \phi \in \mathcal{L}$);
- (4) an \mathcal{S} -consistent⁵ set of strict premises $\mathcal{K} \subseteq \mathcal{L}$;
- (5) a naming function for the defeasible rules $n : \mathcal{D} \rightarrow \mathcal{L}$;
- (6) a contrariness function $\bar{\cdot}$ from \mathcal{L} to $2^{\mathcal{L}}$;
- (7) a total preorder⁶ \leq over \mathcal{D} .

⁴Note that $\bar{\cdot}$ does *not* denote the set theoretic complement.

⁵ \mathcal{K} is \mathcal{S} -consistent iff there is no derivation based on rules in \mathcal{S} from \mathcal{K} of some $\phi \in \mathcal{K}$ and some $\psi \in \bar{\phi}$. See also Def. 8.

⁶A preorder is a binary relation that is reflexive and transitive.

Given a rule $r = \phi_1, \dots, \phi_n \rightarrow \phi$ resp. $r = \phi_1, \dots, \phi_n \Rightarrow \phi$, ϕ_1, \dots, ϕ_n are called the antecedents and ϕ is called the consequent or head. We write $\text{body}(r) = \{\phi_1, \dots, \phi_n\}$ and $\text{head}(r) = \phi$. Where \mathcal{R} is a set of rules we write $\text{body}[\mathcal{R}]$ for the set $\{\text{body}(r) \mid r \in \mathcal{R}\}$ and $\text{head}[\mathcal{R}]$ for the set $\{\text{head}(r) \mid r \in \mathcal{R}\}$.

The naming function n will be important when considering the attack form undercut and the preorder \leq is important when considering different strengths of arguments (see Definition 4). Below we will sometimes consider argumentation systems without undercut and/or without priorities, in which case we omit n and/or \leq from the characterizing tuple.⁷

Example 2 (Example 1 continued). To illustrate the above definitions, we illustrate how to capture the information from Example 1 in an argumentation system $\text{AS}_2 = (\mathcal{L}_2, \mathcal{S}_{\text{CL}}, \mathcal{D}_2, \mathcal{K}_2, n_2, \overline{}, \leq_2)$ where:⁸

- \mathcal{L}_2 is the closure of the set of atoms $\{g, p, q, l, w, b, \alpha, \beta, \gamma, \delta, \epsilon\}$ and the constants \perp and \top under $\{\neg, \wedge, \vee\}$;
- \mathcal{S}_{CL} is the set of strict rules capturing classical logic CL as follows: $\phi_1, \dots, \phi_n \rightarrow \phi \in \mathcal{S}_{\text{CL}}$ iff $\{\phi_1, \dots, \phi_n\} \vdash_{\text{CL}} \phi$ and $\phi, \phi_1, \dots, \phi_n \in \mathcal{L}_2$;⁹
- $\mathcal{D}_2 = \{g \Rightarrow_1 p; g \Rightarrow_2 \neg p; l \Rightarrow_1 q; q \Rightarrow_1 w; b \Rightarrow_1 \neg \delta\}$;
- $\mathcal{K}_2 = \{g, b, l\}$;
- $n_2(g \Rightarrow_1 p) = \alpha, n_2(g \Rightarrow_2 \neg p) = \beta, n_2(l \Rightarrow_1 q) = \gamma, n_2(q \Rightarrow_1 w) = \delta, n_2(b \Rightarrow_1 \neg \delta) = \epsilon$.
- $\phi \in \overline{\psi}$ iff $\phi = \neg \psi$ or $\psi = \neg \phi$ or $\phi = \perp$;¹⁰
- $\phi \Rightarrow_i \psi \leq_2 \phi' \Rightarrow_j \psi'$ iff $i \leq j$ (where \leq is the canonical order over \mathbb{N}).

2.1. Argument construction

In structured argumentation systems like ASPIC⁺, acceptable arguments are determined using the semantics of abstract argumentation. In order to apply these semantics, an argumentation graph has to be constructed on the basis of the argumentation system. This is done by building arguments using premises and inference rules and by specifying defeats between these arguments on the basis of the contrariness function and the preference order of the argumentation system. We first define how arguments are constructed.¹¹

Definition 2. Let $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{}, \leq)$ be an argumentation system. An argument a is one of the following:

- (1) $a = \langle \phi \rangle$ where $\phi \in \mathcal{K}$
 $\text{Conc}(a) = \phi, \text{Sub}(a) = \{a\}, \mathcal{D}(a) = \emptyset$;¹²

⁷More precisely, when omitting \leq we consider the preorder $\mathcal{D} \times \mathcal{D}$.

⁸We use subscripts to refer to the numbers of our examples.

⁹In the examples that follow, we shall denote with \mathcal{S}_{CL} the rule set constructed in the same way as in this example, except that the language is changed relative to the example at hand.

¹⁰In all the examples that follow where \mathcal{L} is closed under \neg , we will treat $\overline{}$ the same.

¹¹In this paper we will for the sake of simplicity omit several features of the original ASPIC⁺ framework of [53], such as defeasible premises and undermining attacks. We note that (in case no incomparabilities between the strength of defeasible premises and the strength of defeasible rules occur) defeasible premises γ can easily be modelled as a defeasible rule $\top \Rightarrow \gamma$ and undermining attacks can be modelled as a rebuttals.

¹²We note that \mathcal{D} is a set of rules whereas $\mathcal{D}(a)$ is a function mapping arguments to subsets of \mathcal{D} . This will not cause any confusion.

- (2) $a = \langle a_1, \dots, a_n \rightarrow \phi \rangle$ where a_1, \dots, a_n (with $n \geq 0$) are arguments such that there is a strict rule $\text{Conc}(a_1), \dots, \text{Conc}(a_n) \rightarrow \phi \in \mathcal{S}$
 $\text{Conc}(a) = \phi$, $\text{Sub}(a) = \{a\} \cup \bigcup_{i=1}^n \text{Sub}(a_i)$, and $\mathcal{D}(a) = \bigcup_{i=1}^n \mathcal{D}(a_i)$;
- (3) $a = \langle a_1, \dots, a_n \Rightarrow \phi \rangle$ where a_1, \dots, a_n (with $n \geq 0$) are arguments such that there is a defeasible rule $\text{Conc}(a_1), \dots, \text{Conc}(a_n) \Rightarrow \phi \in \mathcal{D}$
 $\text{Conc}(a) = \phi$, $\text{Sub}(a) = \{a\} \cup \bigcup_{i=1}^n \text{Sub}(a_i)$, and
 $\mathcal{D}(a) = \{\text{Conc}(a_1), \dots, \text{Conc}(a_n) \Rightarrow \phi\} \cup \bigcup_{i=1}^n \mathcal{D}(a_i)$.

We lift the above notation as expected. E.g., where \mathcal{A} is a set of arguments, $\text{Conc}[\mathcal{A}] = \{\text{Conc}(a) \mid a \in \mathcal{A}\}$ and $\mathcal{D}[\mathcal{A}] = \{\mathcal{D}(a) \mid a \in \mathcal{A}\}$.

By $\text{Arg}(\text{AS})$ we denote the set of arguments that can be built from AS. An argument a will be called *defeasible* if $\mathcal{D}(a) \neq \emptyset$ and *strict* otherwise.

Example 3 (Example 2 continued). The following arguments (among others) can be constructed from AS₂:

$$\begin{array}{lll}
 a_1: \langle g \rangle & a'_1: \langle l \rangle & a_2: \langle a_1 \Rightarrow_1 p \rangle \\
 a_3: \langle a_1 \Rightarrow_2 \neg p \rangle & a_4: \langle a'_1 \Rightarrow_1 q \rangle & a_5: \langle a_4 \Rightarrow_1 w \rangle \\
 a_6: \langle b \rangle & a_7: \langle a_6 \Rightarrow_1 \neg \delta \rangle & a_8: \langle a_2, a_3 \rightarrow \perp \rangle \\
 a_9: \langle a_4, a_5 \rightarrow q \wedge w \rangle & &
 \end{array}$$

a_1 , a'_1 , and a_6 are premise arguments. a_2 is obtained by applying the defeasible rule $g \Rightarrow_1 p$ to the argument a_1 . a_3 , a_4 and a_7 are obtained in a similar way. a_5 is obtained by applying the rule $q \Rightarrow_1 w$ to the defeasible rule argument a_4 and thus is an example of how defeasible rules can be *chained*. a_8 and a_9 are two examples of the application of a strict rule: *ex falso quodlibet* in the case of a_8 and *conjunction introduction* in the case of a_9 .

2.2. Attack and defeat

In ASPIC⁺ there are two ways in which arguments can conflict. The first type of attack, called *rebut*, is when an argument a concludes the contrary of the conclusion of an argument b . The second attack type is called *undercut* and occurs when an argument concludes that the application of a defeasible rule is not appropriate in the context at hand. More formally, an undercut from a on b occurs when a has as a conclusion $\overline{n(r)}$ where $r \in \mathcal{D}(b)$.

Definition 3. Let $a, b \in \text{Arg}(\text{AS})$ and $b = b_1 \dots, b_n \Rightarrow B$:

- a *rebuts* b iff $\text{Conc}(a) \in \overline{\text{Conc}(b)}$;
- a *undercuts* b iff $\text{Conc}(a) \in \overline{n(\text{Conc}(b_1) \dots, \text{Conc}(b_n) \Rightarrow B)}$.

a *attacks* b iff $a, b \in \text{Arg}(\text{AS})$ and a rebuts¹³ b or a undercuts b .

To determine which rebuts result in a defeat we take into account the priorities over the rules used in constructing the arguments involved in the attack. For this we have to lift the order \leq over the defeasible rules \mathcal{D} to a preference order \preceq over the set of arguments $\text{Arg}(\text{AS})$ constructed on the basis of \mathcal{D} . We

¹³Some works [21,39,41] have studied forms of unrestricted rebut where the conclusion of the attacked argument need not be the head of a defeasible rule.

will use the *weakest link* lifting (see e.g. [50]) according to which an argument is as strong as its weakest defeasible elements.

Exactly how the preference order \preceq is taken into account when determining whether an attack is successful and results in a defeat depends on the nature of the attack that is being considered. When it comes to rebuttal, we follow [50] in assuming that a rebutting attack by argument a on argument b leads to defeat if a is not strictly weaker than b . Since in this paper we only work with total orders, this is equivalent to requiring that a is at least as strong as b . Concerning undercuts, the situation is a bit more complicated, as there is some debate as to what it means for an undercutter to be sufficiently strong for the attack to succeed. Are undercutting attacks successful independently of the strength of the arguments involved? Or should an undercutting attack by a on b lead to defeat only in case a is not strictly weaker (or even strictly stronger) than b ? [50] works with a *preference-independent* notion of undercutting defeat according to which undercutting attacks always give rise to defeat. Their motivation, however, has been questioned by [10].¹⁴ In Definition 5 we follow what is the most frequent approach in the ASPIC-family, namely to assume that undercutting attacks are preference-independent. However, since our main results concern ASPIC-frameworks without undercut this choice is insignificant for this study.

Definition 4. Where $a, b \in \text{Arg}(\text{AS})$, $a \preceq b$ iff there is an $r \in \mathcal{D}(a)$ such that for every $r' \in \mathcal{D}(b)$, $r \preceq r'$.

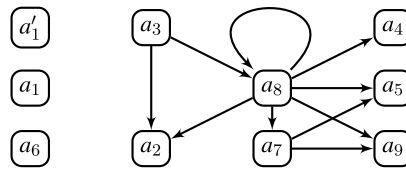
We are now ready to define defeat based on the weakest link lifting:

Definition 5. Where $a, b \in \text{Arg}(\text{AS})$, a defeats b (in symbols: $(a, b) \in \rightsquigarrow(\text{AS})$) iff:¹⁵

- a rebuts some $b' \in \text{Sub}(b)$ and $b' \preceq a$;¹⁶
- a undercuts some $b' \in \text{Sub}(b)$.

We define the argumentation framework based on an argumentation system AS as $(\text{Arg}(\text{AS}), \rightsquigarrow(\text{AS}))$.

Example 4 (Example 3 continued). For the argumentation system AS_2 , we have the following argumentation framework:



Given an argumentation framework consisting of arguments and defeats between these arguments, Dung [29] provides various *semantics* for determining the acceptability status of an argument in the framework, which we introduce in the next section.

¹⁴A preference-dependent notion of undercutting attack was for example also used by [11] in the context of deontic logic, with the aim of modelling a cautious, ‘austere’ style of reasoning.

¹⁵Unlike [50], in our contribution we do not distinguish between defeats with contrary formulas from those with contradictory formulas. See a justification of our approach in the context of a richer discussion in Section 5.

¹⁶[50,53] distinguish between rebuts where $\text{Conc}(b) \in \overline{\text{Conc}(a)}$ and rebuts where $\text{Conc}(b) \notin \overline{\text{Conc}(a)}$. The latter they call *contrary-rebut* and treat differently, since in these works contrary-rebuts result in defeats regardless of the relative strength of a and b . We will have more to say about this in Section 5.

2.3. Argumentation semantics

A Dung-style *abstract argumentation framework* (in short, AF) is a pair $(\text{Arg}, \rightsquigarrow)$ where Arg is a set of arguments and $\rightsquigarrow \subseteq \text{Arg} \times \text{Arg}$ is a binary relation of attack. Relative to an AF, Dung defines a number of extensions – subsets of Arg – on the basis of which we can evaluate the arguments in Arg .

Definition 6 (Extensions). Let $(\text{Arg}, \rightsquigarrow)$ be an argumentation framework and $\mathcal{E} \subseteq \text{Arg}$:

- $\mathcal{E} \rightsquigarrow a$ iff there is some $b \in \mathcal{E}$ such that $b \rightsquigarrow a$.
- \mathcal{E} is *conflict-free* iff there are no $a, b \in \mathcal{E}$ for which $a \rightsquigarrow b$.
- \mathcal{E} *defends* an argument a iff for every $b \in \text{Arg}$ such that $b \rightsquigarrow a$ then $\mathcal{E} \rightsquigarrow b$.
- \mathcal{E} is a *complete extension* iff it is conflict-free and $a \in \mathcal{E}$ iff \mathcal{E} defends a .
- \mathcal{E} is a *preferred extension* iff it is a set inclusion maximal complete extension.
- \mathcal{E} is the *grounded extension* iff it is the set inclusion minimal complete extension.¹⁷
- \mathcal{E} is a *stable extension* iff it is conflict-free and for every $b \in \text{Arg} \setminus \mathcal{E}$, $\mathcal{E} \rightsquigarrow b$.

We will use $\text{pref}((\text{Arg}, \rightsquigarrow))$ and $\text{stab}((\text{Arg}, \rightsquigarrow))$ to denote the set of preferred respectively stable extensions of an argumentation framework $(\text{Arg}, \rightsquigarrow)$ and $\text{groun}((\text{Arg}, \rightsquigarrow))$ to denote its grounded extension. Given an argumentation system AS, we will also use $\text{pref}(\text{AS})$, $\text{stab}(\text{AS})$ resp. $\text{groun}(\text{AS})$ to denote $\text{pref}((\text{Arg}(\text{AS}), \rightsquigarrow(\text{AS})))$, $\text{stab}((\text{Arg}(\text{AS}), \rightsquigarrow(\text{AS})))$ resp. $\text{groun}((\text{Arg}(\text{AS}), \rightsquigarrow(\text{AS})))$.

Example 5 (Example 4 continued). The argumentation framework $(\text{Arg}(\text{AS}_2), \rightsquigarrow(\text{AS}_2))$ has as grounded extension that contains $a_1, a'_1, a_3, a_4, a_6, a_7$ but not a_2, a_5, a_8 and a_9 . This extension is also the unique preferred extension. It is also stable as it attacks a_2, a_5, a_8, a_9 , etc.

Based on these argumentation semantics, we define consequence relations:

Definition 7. Where $AF = (\text{Arg}(\text{AS}), \rightsquigarrow(\text{AS}))$ and $\text{sem} \in \{\text{pref}, \text{stab}\}$:

- $AS \vdash_{\text{sem}}^{\cup} \phi$ if for some $\mathcal{E} \in \text{sem}(\text{AS})$, there is some $a \in \mathcal{E}$ with $\text{Conc}(a) = \phi$.
- $AS \vdash_{\text{sem}}^{\cap} \phi$ if for every $\mathcal{E} \in \text{sem}(\text{AS})$, there is some $a \in \mathcal{E}$ with $\text{Conc}(a) = \phi$.
- $AS \vdash_{\text{groun}} \phi$ if there is some $a \in \text{groun}(\text{AS})$ with $\text{Conc}(a) = \phi$.

3. Rationality postulates

The inferential behaviour of structured argumentation formalisms is often studied using so-called *rationality postulates* [19,20]. These properties ensure that argumentation-based inferences make sense from a logical and intuitive point of view.

In order to handle conflicts adequately, a minimal constraint on structured argumentation systems is that they do not allow for *direct logical conflicts* in any extension (selected according to some semantics sem). In other words, any extension should be consistent, i.e. there should be no two arguments a and b in the extension such that $\text{Conc}(a) \in \overline{\text{Conc}(b)}$.

Postulate 1 (Direct Consistency). *sem satisfies Direct Consistency for an argumentation system AS if there is no $\mathcal{E} \in \text{sem}(\text{AS})$ such that there are some $a, b \in \mathcal{E}$ for which $\text{Conc}(a) \in \overline{\text{Conc}(b)}$.*

¹⁷Dung [29] showed that for every AF $(\text{Arg}, \rightsquigarrow)$ there is a *unique* grounded extension, which we denote by $\text{groun}((\text{Arg}, \rightsquigarrow))$.

The related (but stronger) postulate of *indirect consistency* requires not only that an extension does not contain two arguments that are in direct conflict, but requires that no conflict is *derivable using the strict rules* \mathcal{S} from the conclusions of the arguments in the extension. In other words, indirect consistency requires that the set of conclusions of arguments in a given extension is consistent when closed under the strict rule base \mathcal{S} .

Definition 8 (\mathcal{R} -proof). Let $\Gamma \cup \{\phi\}$ be a set of \mathcal{L} -formulas and \mathcal{R} a set of inference rules on \mathcal{L} . An \mathcal{R} -proof \mathbb{P} of ϕ from Γ is a sequence ϕ_1, \dots, ϕ_n where for each $1 \leq i \leq n$, $\phi_i \in \Gamma$ or ϕ_i is the head of a rule in \mathcal{R} whose body only contains formulas in $\{\phi_1, \dots, \phi_{i-1}\}$. We write $\Gamma \vdash_{\mathcal{R}} \phi$ iff there is an \mathcal{R} -derivation of ϕ based on Γ .

Fact 1. Given a set of inference rules \mathcal{R} , $\vdash_{\mathcal{R}}$ is monotonic, reflexive and transitive.

Definition 9 (\mathcal{S} -consistency). Where \mathcal{S} is a set of inference rules over \mathcal{L} , a set Γ of formulas in \mathcal{L} is \mathcal{S} -inconsistent iff $\Gamma \vdash_{\mathcal{S}} \psi$ for some $\phi \in \mathcal{L}$ such that $\Gamma \vdash_{\mathcal{S}} \phi$ and $\psi \in \overline{\phi}$. Otherwise it is \mathcal{S} -consistent.

Postulate 2 (Indirect Consistency). sem satisfies Indirect Consistency for an argumentation system $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{\cdot}, \leq)$ if for every $\mathcal{E} \in \text{sem}(\text{AS})$, $\text{Conc}[\mathcal{E}]$ is \mathcal{S} -consistent.

A third postulate is related to the interpretation of strict rules \rightarrow as being truth preserving. Recall that a rule $\phi_1, \dots, \phi_n \rightarrow \phi$ means that whenever ϕ_1 and \dots and ϕ_n are the case, ϕ is necessarily the case as well. This licenses us to require *closure under strict rules* of any extension. What this means is that, whenever arguments with conclusions ϕ_1, \dots, ϕ_n are part of an extension (selected according to some semantics sem) and $\phi_1, \dots, \phi_n \rightarrow \phi$ is a strict rule we should find an argument for ϕ in that same extension as well.

Postulate 3 (Closure). sem satisfies Closure for an argumentation system $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{\cdot}, \leq)$ if for every $\mathcal{E} \in \text{sem}(\text{AS})$, whenever $\text{Conc}[\mathcal{E}] \vdash_{\mathcal{S}} \phi$ then $\phi \in \text{Conc}[\mathcal{E}]$.

Fact 2. Direct consistency together with closure imply indirect consistency.

In [20] the property of *Non-Interference* was defined. Roughly speaking, a formalism is non-interferent if syntactically disjoint sets of formulas do not influence each others consequences. In more detail, when $\Gamma \cup \{\phi\}$ and Γ' are two syntactically disjoint sets, a non-interferent system will allow one to infer ϕ from Γ iff ϕ is inferable from $\Gamma \cup \Gamma'$. A violation of non-interference thus means that syntactically disjoint knowledge bases influence each others outcomes. In most of the cases,¹⁸ a violation of non-interference is caused by an inadequate handling of inconsistencies (see Example 6 for a simple illustration): one inconsistency in the knowledge base can cause the whole system to *crash* since it *contaminates* the argumentation framework (i.e. the conflict spreads to all arguments, even to arguments which are syntactically disjoint from the inconsistent argument). A system crashing as the effect of an inconsistency is bad news, since it renders the formalism ineffective in extracting useful information from the knowledge base.

To give precise meaning to the notion of syntactic disjointness (a crucial concept in the formulation of non-interference) we let $\text{Atoms}(\Delta)$ be the set of all atoms occurring in Δ (where Δ is a

¹⁸In [41, Example 6.1] one finds an example of a violation of the related postulate of *crash-resistance* that is caused by an inadequate treatment of incomparable priorities instead of inconsistencies.

set of formulas and/or inference rules based on $\overline{\mathcal{L}}$. Two sets Δ and Δ' are *syntactically disjoint* iff $\text{Atoms}(\Delta) \cap \text{Atoms}(\Delta') = \emptyset$. Finally, we define $\overline{\Theta} = \bigcup_{\phi \in \Theta} \overline{\phi}$. We now define two notions of syntactic disjointedness for argumentation systems. The former (Definition 10) is intended to cover cases in which two argumentation systems share the same base logic, so cases in which the strict rules of both systems are induced by the same logical system. In contrast, Definition 11 is intended to cover cases in which two argumentation systems are based on domain-specific strict rules (see also Footnote 2).

Definition 10. Two argumentation systems $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{\cdot}, \leq)$ and $\text{AS}' = (\mathcal{L}, \mathcal{S}, \mathcal{D}', \mathcal{K}', n', \overline{\cdot}, \leq')$, based on the same strict rule set \mathcal{S} , are *syntactically disjoint* iff $\mathcal{D} \cup \mathcal{K} \cup \text{head}[\overline{\mathcal{D}}] \cup \overline{\mathcal{K}}$ and $\mathcal{D}' \cup \mathcal{K}' \cup \text{head}[\overline{\mathcal{D}'}] \cup \overline{\mathcal{K}'}$ are syntactically disjoint.¹⁹

Definition 11. Two argumentation systems, $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{\cdot}, \leq)$ and $\text{AS}' = (\mathcal{L}, \mathcal{S}', \mathcal{D}', \mathcal{K}', n', \overline{\cdot}, \leq')$ are *strictly syntactically disjoint* iff $\mathcal{D} \cup \mathcal{K} \cup \text{head}[\overline{\mathcal{D}}] \cup \overline{\mathcal{K}} \cup \mathcal{S} \cup \text{head}[\mathcal{S}]$ and $\mathcal{D}' \cup \mathcal{K}' \cup \text{head}[\overline{\mathcal{D}'}] \cup \overline{\mathcal{K}'} \cup \mathcal{S}' \cup \text{head}[\overline{\mathcal{S}'}]$ are syntactically disjoint.

Furthermore, given $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{\cdot}, \leq)$ and $\mathcal{D}' \subseteq \mathcal{D}$, we will denote the set of arguments that can be constructed on the basis of \mathcal{D}' by $\text{Args}(\mathcal{D}') = \{a \in \text{Args}(\text{AS}) \mid \mathcal{D}(a) \subseteq \mathcal{D}'\}$.

Postulate 4 (Non-Interference). Non-Interference²⁰ is satisfied for a semantics sem if for any two syntactically disjoint argumentation system $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{\cdot}, \leq)$ and $\text{AS}' = (\mathcal{L}, \mathcal{S}, \mathcal{D}', \mathcal{K}', n', \overline{\cdot}, \leq')$, where $\mathcal{K} \cup \mathcal{K}'$ is \mathcal{S} -consistent, \leq^+ is such that $\leq [\leq']$ is the restriction of \leq^+ to $\mathcal{D} \times \mathcal{D}$ [$\mathcal{D}' \times \mathcal{D}'$], and $\text{AS}^+ = (\mathcal{L}, \mathcal{S}, \mathcal{D} \cup \mathcal{D}', \mathcal{K} \cup \mathcal{K}', n \cup n', \overline{\cdot}, \leq^+)$, we have:

$$\text{sem}(\text{AS}^+) = \{\text{Arg}(\mathcal{D}[\mathcal{E}] \cup \mathcal{D}[\mathcal{E}']) \subseteq \text{Arg}(\text{AS}^+) \mid \mathcal{E} \in \text{sem}(\text{AS}), \mathcal{E}' \in \text{sem}(\text{AS}')\}^{21}$$

Postulate 5 (Strict Non-Interference). Strict Non-Interference is satisfied for a semantics sem if for any two strictly syntactically disjoint argumentation systems $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{\cdot}, \leq)$ and $\text{AS}' = (\mathcal{L}, \mathcal{S}', \mathcal{D}', \mathcal{K}', n', \overline{\cdot}, \leq')$, where \leq^+ is such that $\leq [\leq']$ is the restriction of \leq^+ to $\mathcal{D} \times \mathcal{D}$ [$\mathcal{D}' \times \mathcal{D}'$], and $\text{AS}^+ = (\mathcal{L}, \mathcal{S} \cup \mathcal{S}', \mathcal{D} \cup \mathcal{D}', \mathcal{K} \cup \mathcal{K}', n \cup n', \overline{\cdot}, \leq^+)$, we have:

$$\text{sem}(\text{AS}^+) = \{\text{Arg}(\mathcal{D}[\mathcal{E}] \cup \mathcal{D}[\mathcal{E}']) \subseteq \text{Arg}(\text{AS}^+) \mid \mathcal{E} \in \text{sem}(\text{AS}), \mathcal{E}' \in \text{sem}(\text{AS}')\}.$$

As explained above, a violation of non-interference means that syntactically disjoint knowledge bases influence each other's outcomes. We will first use the grounded extension to illustrate a violation of non-interference using a simplification of the argumentation system from Example 2.

Example 6. $\text{AS}_6 = (\mathcal{L}_{\text{CL}}, \mathcal{S}_{\text{CL}}, \mathcal{D}_6, \emptyset, \overline{\cdot})$ where $\mathcal{D}_6 = \{\top \Rightarrow q\}$. Clearly the argument $a = \langle \top \Rightarrow q \rangle$ is in the grounded extension.

Suppose now we move to the knowledge base $\text{AS}'_6 = (\mathcal{L}_{\text{CL}}, \mathcal{S}_{\text{CL}}, \mathcal{D}'_6, \emptyset, \overline{\cdot})$ where $\mathcal{D}'_6 = \mathcal{D}_6 \cup \{\top \Rightarrow p; \top \Rightarrow \neg p\}$. Informally, AS'_6 consists of AS_6 supplemented with the syntactically disjoint rule base $\{\top \Rightarrow p; \top \Rightarrow \neg p\}$. We would expect to still have a in the grounded extension since we only added

¹⁹Notice that this notion of syntactic disjointedness would have to be more explicitly spelled out when generalizing the results of this paper to a first order language. For an example of how to do this, cf. [27, Notation 3].

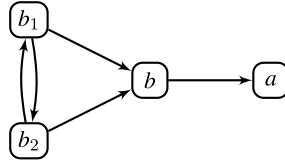
²⁰A related rationality standard is *Crash-Resistance*. It follows from Non-Interference under some very weak criteria on the strict rule base (cf. [20]).

²¹We here phrase Non-Interference in terms of the semantics rather than in terms of the nonmonotonic entailment. In the setting of this paper, the latter formulation is a direct corollary of our formulation.

information that is irrelevant to q . However, this is not the case. To see this, notice we have, among others, the following arguments:

$$\begin{array}{ll} a: & \top \Rightarrow q \\ b_2: & \top \Rightarrow \neg p \end{array} \quad \begin{array}{ll} b_1: & \top \Rightarrow p \\ b: & b_1, b_2 \rightarrow \neg q \end{array}$$

This gives rise to the following framework:



We see that since \vdash_{CL} satisfies *ex falso quodlibet*, the contradiction between p and $\neg p$ can be used to construct an argument for $\neg q$ that attacks a . Since there is no unattacked argument that defends a from b , a is no longer in the grounded extension of AS'_6 . As such, non-interference is violated, since $\text{AS}_6 \sim_{\text{ground}} q$ whereas $\text{AS}'_6 \not\sim_{\text{ground}} q$.

To solve problems of this nature, [57,58] proposed to filter out inconsistent arguments like b . Indeed, if we restrict our attention to consistent arguments, a is unattacked and non-interference is satisfied for AS'_6 under the grounded extension. This is no coincidence since [57] showed that for any semantics subsumed by complete semantics and given an argumentation system with the trivial priority order $\leq = \mathcal{D} \times \mathcal{D}$, non-interference, closure and consistency are satisfied when inconsistent arguments are filtered out. [57] was the first work to investigate and solve the problem of interference.²² However, it has been argued in [27] that filtering out inconsistent arguments might be problematic for several reasons. First, checking the consistency of an argument might be computationally intractable. For example, when the strict rules are based on propositional classical logic CL, checking consistency of an argument is **NP**-complete. Secondly, [27] give several reasons against the appropriateness of filtering out inconsistent arguments for modelling real-life argumentation. They observe that there are many examples of real-life argumentation where inconsistency of an argument is shown *dialectically*.²³

When looking back at Example 6, it seems that some of these shortcomings can be overcome for preferred and stable semantics. In fact, when considering the preferred extensions of $\text{Arg}(\text{AS}'_6)$ (which coincide with the stable extensions), namely $\{a, b_1\}$ and $\{a, b_2\}$, we see that a is part of both of these extensions and thus there is no interference problem. In more detail, every preferred extension contains a defeater of b (i.e. b_1 respectively b_2) that defends a from the inconsistent argument b . Thus, the question can be raised: is it even necessary to filter out inconsistent arguments when using semantics like the preferred or stable semantics? For argumentation systems without any restrictions on the language, this question has to be answered negatively:

²²Another recently proposed strategy to obtain non-interference for prioritized settings with the grounded semantics is to use a generalized non-restricted form of rebut [41].

²³[58] observes that filtering out inconsistent arguments does not work when using the *last link lifting*. Unfortunately, even though we show that for a wide class of frameworks filtering out inconsistent arguments is unnecessary, these results do not generalize to the last link lifting either, as we show in Example 21.

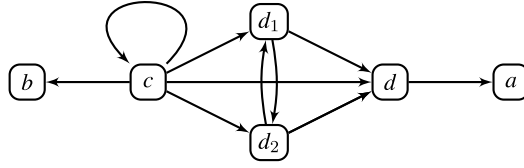
Example 7. $AS_7 = (\mathcal{L}_{CL}, \mathcal{S}_{CL}, \mathcal{D}_7, \emptyset, n, \overline{})$ where

$$\mathcal{D}_7 = \left\{ \begin{array}{l} \top \Rightarrow p; \quad p \Rightarrow \neg n(\top \Rightarrow p); \\ p \Rightarrow q; \quad p \Rightarrow \neg q; \quad \top \Rightarrow s \end{array} \right\}.$$

We have (among others) the following arguments:

$$\begin{array}{lll} a: & \top \Rightarrow s & b: \quad \top \Rightarrow p \\ d_1: & b \Rightarrow q & d_2: \quad b \Rightarrow \neg q \\ c: & b \Rightarrow \neg n(\top \Rightarrow p) & d: \quad d_1, d_2 \rightarrow \neg s \end{array}$$

We get the following argumentation framework:



Notice that in this argumentation framework, argument a is not in the unique preferred extension \emptyset , even though it is syntactically independent from all the other defeasible rules. In other words, a is part of the unique preferred extension in $AS'_7 = (\mathcal{L}_{CL}, \mathcal{S}_{CL}, \{\top \Rightarrow s\}, \emptyset, \overline{})$, while adding the rules $\mathcal{D}_7 \setminus \{\top \Rightarrow s\}$, which are syntactically disjoint from $\{\top \Rightarrow s\}$, results in interferent behaviour.

The problem in the above example can be avoided by filtering out the inconsistent d : in that case a will have no attacker and thus will be in the unique preferred extension.

In the following we will show that when omitting undercut from an ASPIC⁺ framework, all rationality postulates hold for preferred and stable semantics when the strict rules allow for contraposition. For these semantics it is inconsequential whether inconsistent arguments are removed. Moreover, we characterize these semantics in terms of maximal consistent sets of defeasible rules.

4. Results

We now present our meta-theoretic results. In this section we only consider argumentation frameworks without undercut attacks.

4.1. Preferred and stable semantics coincide

Our first result is that preferred and stable extensions coincide when \mathcal{S} is contrapositive, i.e., it satisfies the following two requirements:²⁴

- S1** If $\Delta, \psi \vdash_{\mathcal{S}} \phi'$ for some $\phi' \in \overline{\phi}$, then $\Delta, \phi \vdash_{\mathcal{S}} \psi'$ for some $\psi' \in \overline{\psi}$; and
- S2** If $\Delta \vdash_{\mathcal{S}} \phi'$ for some $\phi' \in \overline{\phi}$, then $\Delta \setminus \{\phi\} \vdash_{\mathcal{S}} \phi'$.

Often, **S2** will simply follow from **S1**. More precisely, this is the case whenever \mathcal{S} has theorems. We say that $\phi \in \mathcal{L}$ is a *theorem* of \mathcal{S} iff $\vdash_{\mathcal{S}} \phi$.²⁵

²⁴In Section 5 we give a detailed comparison between these assumptions and other definitions of contraposition found in the literature.

²⁵Note, however, that the requirement is non-trivial even in cases where \mathcal{S} is induced by a logic, since some logics, such as the well-known 3-valued logic **K3** lack theorems.

Fact 3. *If \mathcal{S} has theorems, then **S1** implies **S2**.*

Proof. Suppose γ is a theorem of \mathcal{S} . Suppose $\Delta, \phi \vdash_{\mathcal{S}} \phi'$. By the monotonicity of $\vdash_{\mathcal{S}}$, $\gamma, \Delta, \phi \vdash_{\mathcal{S}} \phi'$. By **S1**, there is a $\gamma' \in \bar{\gamma}$ such that $\Delta, \phi \vdash_{\mathcal{S}} \gamma'$. Again by **S1**, $\Delta, \gamma \vdash_{\mathcal{S}} \phi'$ and by the transitivity of $\vdash_{\mathcal{S}}$, $\Delta \vdash_{\mathcal{S}} \phi'$. \square

Theorem 1. *For any $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \bar{\cdot}, \leq)$ where \mathcal{S} satisfies **S1** and **S2**, $\text{pref}(\text{AS}) = \text{stab}(\text{AS})$.*

Proof. This theorem is an immediate corollary of Theorem 9, proven in Appendix A. \square

This result ensures that one can use dialectical proof theories for membership of an admissible extension (see e.g. [49]) to show that an argument belongs to a stable extension.

Checking whether an argument is contained in an admissible extension tends to be less demanding than checking if it is part of a stable extension [28], since the former requires us only to consider arguments that attack the argument in question, whereas the latter requires us to find a set that contains the argument in question and attacks every argument not in the set.²⁶ However, it should be remarked that both checking whether an argument belongs to an admissible or a stable extension are in the worst case intractable [31].²⁷

In the context of deductive argumentation with defeasible premises, results like Theorem 1 are well-known and are often strengthened by showing that the maximally conflict-free extensions (also called *naive extensions*) coincide with the preferred and stable extensions (see e.g. [9,37]).²⁸ However, even for argumentation systems with the trivial preference relation $\mathcal{D} \times \mathcal{D}$ over the set of defeasible rules \mathcal{D} , maximally conflict-free extensions might not be stable or preferred, as shown by the following example:

Example 8. Let $\text{AS}_8 = (\mathcal{L}_{\text{CL}}, \mathcal{S}_{\text{CL}}, \{\top \Rightarrow p\}, \{\neg p\}, \bar{\cdot})$. This argumentation framework has a unique stable extension which is also preferred: $\{a \in \text{Arg}(\text{AS}_8) \mid \mathcal{D}(a) = \emptyset\}$. However, the set $\{\langle \top \rangle \Rightarrow p\}$ is conflict-free. Thus, there is a maximally conflict-free set that includes $\langle \top \rangle \Rightarrow p$ and this set is neither stable nor preferred.

4.2. Rationality postulates

The four rationality standards hold for both preferred and stable semantics (which coincide as shown in Theorem 1). The following theorem was shown for a slightly different setting in [53],²⁹ and is proven in Appendix B.1:

Theorem 2. *pref and stab satisfy Direct Consistency, Closure and Indirect Consistency for any $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \bar{\cdot}, \leq)$ for which \mathcal{S} satisfies **S1** and **S2**.*

Non-interference holds for strict rule bases that are uniform:³⁰

²⁶A similar observation has been made in [28], where it is shown that stable and preferred semantics coincide for deductive argumentation with defeasible premises.

²⁷To give substance to the intuition that checking membership of an admissible extension is less demanding than checking membership of a stable extension, it might be interesting to compare proof theories for these problems (see e.g. [49]) using criteria proposed to compare efficiency or computational demands of proof theories as proposed in e.g. [23,26].

²⁸This is not necessarily so in logic/sequent-based argumentation for specific choices of attack-forms as shown in [7].

²⁹In Section 5 we give a detailed comparison of the setting of [53] and the assumptions made in this paper.

³⁰Structural consequence relations $\vdash_{\mathcal{S}}$ are also well-behaved in other respects, such as having a characteristic matrix (see [56, Theorem 3]).

Definition 12. A rule set \mathcal{S} is *uniform* iff for any two sets of formulas Γ, Γ' in \mathcal{L} and any formula ϕ in \mathcal{L} such that Γ' is \mathcal{S} -consistent and syntactically disjoint from $\Gamma \cup \{\phi\}$, it holds that $\Gamma \vdash_{\mathcal{S}} \phi$ iff $\Gamma \cup \Gamma' \vdash_{\mathcal{S}} \phi$.

Non-Interference for ASPIC⁺ for prioritized rule bases was not shown before and is proven in Appendix B:

Theorem 3. *pref* and *stab* satisfy Non-Interference for any argumentation systems whose sets of strict rules are uniform and satisfy **S1** and **S2**.

In particular, this means that an argumentation system that is induced by classical logic satisfies non-interference. In more detail, we say that $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{}, \leq)$ is induced by classical logic if \mathcal{L} is closed under the classical connectives $\{\neg, \wedge, \vee\}$ and \mathcal{S} is the set of strict rules capturing classical logic CL (over \mathcal{L}): $\phi_1, \dots, \phi_n \rightarrow \phi \in \mathcal{S}$ iff $\{\phi_1, \dots, \phi_n\} \vdash_{\text{CL}} \phi$. For a concrete example, see Example 2.

Fact 4. Where $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{}, \leq)$ is induced by classical logic, \mathcal{S} is uniform and satisfies **S1** and **S2**.

Given the uniformity of classical logic, Theorem 3 immediately implies the non-interference of any argumentation system induced by classical logic.

Theorem 4. *pref* and *stab* satisfy Non-Interference for any argumentation system induced by classical logic.

Strict Non-Interference holds for systems with sets of strict rules that satisfy **S1** and **S2**.

Theorem 5. *pref* and *stab* satisfy Strict Non-Interference for any argumentation systems with sets of strict rules \mathcal{S} that satisfy **S1** and **S2**.

The reader may wonder why **S1** and **S2** are needed. We first note that both **S1** and **S2** are very much in line with the interpretation of strict rules \mathcal{S} as *truth-preserving* rules. For example, **S1** requires that if $\Delta \vdash_{\mathcal{S}} A$ for some $A \in \overline{B}$ (i.e. Δ implies the falsity of B), then if B is true, one of the members of Δ must be false. Likewise, **S2** requires that if Δ can be used to derive $A \in \overline{B}$, i.e. show that B is false, B does not have to be assumed true.

From the perspective of the argumentative rationality postulates, **S1** ensures that whenever an argument a is attacked by an argument $b = b_1, \dots, b_n \rightarrow \overline{\text{Conc}(a)}$, we can construct the contraposed argument $b_2, \dots, b_n, a \rightarrow \overline{\text{Conc}(b_1)}$. If we cannot do this, several rationality postulates are violated.

Example 9. $\text{AS}_9 = (\{p, q, s, r, p', q', s', r'\}, \mathcal{S}_9, \mathcal{D}_9, \{s\}, \overline{}, \leq_9)$ where $\mathcal{S}_9 = \{q \rightarrow r'\}$ and $\mathcal{D}_9 = \{s \Rightarrow q, s \Rightarrow r\}$, $\overline{\phi} = \{\phi'\}$ and $\overline{\phi'} = \{\phi\}$ for any $\phi \in \mathcal{L}$, and $s \Rightarrow q <_9 s \Rightarrow r$. We have the following arguments:

$$\begin{array}{ll} a: & \langle s \rangle \\ c: & b \rightarrow r' \end{array} \qquad \begin{array}{ll} b: & a \Rightarrow q \\ d: & a \Rightarrow r \end{array}$$

Notice that c attacks but does not defeat d since $\mathcal{D}(c) <_9 \mathcal{D}(d)$. Vice versa, d does not even attack c since c is a strict rule-argument, which cannot be attacked. Thus, the unique preferred extension of this argumentation framework includes a, b, c and d and thus this argumentation framework violates consistency since there are arguments for both r (namely c) and its contrary r' (namely d).

S2 ensures, among other things, that a defeasible argument concluding an $\vdash_{\mathcal{S}}$ -anti-theorem or contradiction (i.e., a formula ϕ for which $\vdash_{\mathcal{S}} \bar{\phi}$ such as $p \wedge \neg p$ in classical logic) is attacked by a strict argument (namely $\rightarrow \bar{\phi}$). As such, **S2** ensures there is always an argument that can defend any argument from an attack based on a “contradictory” formula. If this assumption is given up, non-interference can be violated for rule bases \mathcal{S} that have explosive behavior:

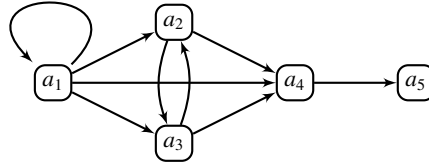
Example 10. Where $\mathcal{L}_{10} = \{p, q, r, q', r'\}$, $\mathcal{D}_{10} = \{\Rightarrow_1 r\}$, $\mathcal{D}'_{10} = \{\Rightarrow_2 p; p \Rightarrow_1 q; p \Rightarrow_1 q'\}$, $\bar{p} = \{p\}$ (i.e. p is a falsity), $\bar{q}' = \{q\}$, $\bar{q} = \{q'\}$, $\bar{r} = \{r'\}$, $\bar{r}' = \{r\}$, and

$$\mathcal{S}_{10} = \{q, \neg q \rightarrow \phi \mid \phi \in \mathcal{L}_{10}\} \cup \{p \rightarrow \phi \mid \phi \in \mathcal{L}_{10}\} \cup \{\phi \rightarrow p \mid \phi \in \mathcal{L}_{10}\},$$

and $\leq' \subseteq (\mathcal{D}_{10} \cup \mathcal{D}'_{10})^2$ ranks the defeasible rules according to their subscripts, consider $\text{AS}_{10} = (\mathcal{L}_{10}, \mathcal{S}_{10}, \mathcal{D}_{10}, \emptyset, \bar{\cdot}, \leq)$ and $\text{AS}'_{10} = (\mathcal{L}_{10}, \mathcal{S}_{10}, \mathcal{D}_{10} \cup \mathcal{D}'_{10}, \emptyset, \bar{\cdot}, \leq')$. We get, for instance, the following arguments:

$$\begin{array}{lll} a_1: & \Rightarrow p & a_2: a_1 \Rightarrow q & a_3: a_1 \Rightarrow q' \\ a_4: & a_2, a_3 \rightarrow r' & a_5: \Rightarrow r \end{array}$$

This results in the following argumentation graph



This argumentation graph has the unique preferred extension \emptyset and no stable extensions. Also, while r is in the grounded resp. in every preferred extension of AS_{10} it is not in the grounded resp. in any preferred extension of the aggregated framework. This means also non-interference does not hold. In sum, both the equivalence between stable and preferred semantics from Theorem 1 and non-interference are violated. Note while \mathcal{S} satisfies **S1**, **S2** does not hold since $\{p\} \vdash_{\mathcal{S}} p$ while $\not\vdash_{\mathcal{S}} p$ (recall that $p \in \bar{p}$).

4.2.1. A note on symmetric contraries

The reader might at this point be suspicious that the results above admit counter-examples in view of argumentation systems with *asymmetric contraries*. For example, the following example seems at first sight to contradict Theorem 1:³¹

Example 11. Let $\text{AS}_{11} = (\{p, q, \top\}, \mathcal{S}_{11}, \mathcal{D}_{11}, \emptyset, \bar{\cdot})$ where $\mathcal{S}_{11} = \emptyset$, $\mathcal{D}_{11} = \{\top \Rightarrow p; p \Rightarrow q\}$, $\bar{q} = \emptyset$ and $\bar{p} = \{q\}$. Then $\text{Args}(\text{AS}_{11})$ consists, among others, of the following two arguments:

$$a: \langle \top \rangle \Rightarrow p \quad b: a_1 \Rightarrow q$$

Notice that b defeats a and itself. Hence there is no stable extension while the unique preferred extension is \emptyset , thus $\{\emptyset\} = \text{pref}(\text{AS}_{11}) \neq \text{stab}(\text{AS}_{11}) = \emptyset$.

³¹This example was suggested to us by a reviewer.

However, the above example does *not* constitute a counterexample to Theorem 1, since in fact \mathcal{S}_{11} violates **S1**. Indeed $\{q\} \vdash_{\mathcal{S}} q$ and $q \in \overline{p}$ yet there is no $q' \in \overline{q}$ such that $\{p\} \vdash_{\mathcal{S}} q'$. In fact, for any rule base that satisfies **S1**, the set of contraries will be (pseudo-)symmetric in the sense that if there is a $\phi \in \overline{\psi}$ then there is a $\phi' \in \overline{\phi}$ for which $\psi \vdash_{\mathcal{S}} \phi'$. Notice that this is weaker than symmetry as it is perhaps usually understood, i.e. if $\phi \in \overline{\psi}$ then $\psi \in \overline{\phi}$.

Fact 5. *If \mathcal{S} satisfies **S1** and $\phi \in \overline{\psi}$, there is a $\phi' \in \overline{\phi}$ such that $\psi \vdash_{\mathcal{S}} \phi'$.*

Proof. Suppose that \mathcal{S} satisfies **S1** and $\phi \in \overline{\psi}$. Since $\phi \vdash_{\mathcal{S}} \phi$, with **S1** there is some $\phi' \in \overline{\phi}$ for which $\psi \vdash_{\mathcal{S}} \phi'$. \square

4.3. Characterization using maximally consistent sets

In this section we show that the preferred and stable semantics can be characterized in terms of *maximally consistent sets* of defeasible rules. Similar results have been proven for a multitude of formalisms for deductive argumentation with defeasible assumptions, for example for deductive argumentation based on classical logic [4,5,22,35], or on arbitrary Tarskian logics [2], sequent-based argumentation [7,8,13] or assumption-based argumentation [37,38]. However, to the best of our knowledge, such results have not been established for argumentation with defeasible rules. We start with non-prioritized framework in Section 4.3.1 and then move to the prioritized case in Section 4.3.2.

4.3.1. The non-prioritized case

We first present a simple but flawed idea of how to characterize argumentation extensions by means of maximal consistent sets of defeasible rules which we call *naive rule maximizing*. For this we first define what it means for a set of defeasible rules in \mathcal{D} to be consistent, given a fixed context of strict rules \mathcal{S} and of (strict) premises \mathcal{K} .

Definition 13. Where $\Delta \subseteq \mathcal{D}$, we define $\Delta \vdash_{\mathcal{K}}^{\mathcal{S}} \phi$ by $\mathcal{K} \vdash_{\mathcal{S} \cup \Delta} \phi$ (see Definition 8).³²

Where the context disambiguates, we simply write $\vdash_{\mathcal{K}}$ instead of $\vdash_{\mathcal{K}}^{\mathcal{S}}$. Simply expressed, ϕ follows from Δ if it can be derived by applying modus ponens for premises in \mathcal{K} and rules in $\mathcal{S} \cup \Delta$. Where the context disambiguates, we will omit the sub-script \mathcal{K} and simply write $\Delta \vdash \phi$.

Definition 14. Where $\Delta \subseteq \mathcal{D}$, Δ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -inconsistent iff there is a formula ϕ and a $\psi \in \overline{\phi}$ for which $\Delta \vdash_{\mathcal{K}}^{\mathcal{S}} \psi$ and $\Delta \vdash_{\mathcal{K}}^{\mathcal{S}} \phi$. Otherwise Δ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -consistent.

Where the context disambiguates, we will simply speak of (in)consistent sets Δ . In particular, for the following result we assume a fixed argumentation system $AS = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{\cdot}, \leq)$.

According to naive rule maximizing we collect as many defeasible rules as is consistently possible. Let for this $\text{MCS}_{\text{na}}^{\mathcal{S}, \mathcal{K}}(\mathcal{D})$ be the set of all $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -consistent $\Delta \subseteq \mathcal{D}$ for which there is no $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -consistent $\Delta' \subseteq \mathcal{D}$ such that $\Delta \subset \Delta'$.³³ However, this approach does not characterize argumentation semantics (and other “conclusion-maximizing” approaches such as default logic), as the following simple example illustrates.

³²We use the same \vdash symbol in both Definitions 8 and 13: note, however, that its usage is disambiguated by the nature of the subscript and the nature of its left side, which is either a set of rules and a set of \mathcal{L} -formulas (Def. 8), or a set of formulas in \mathcal{L} and a set of rules (Def. 13).

³³This is the core mechanism behind constrained input-output logics [48].

Example 12. Let $\text{AS}_{12} = (\mathcal{L}_{\text{CL}}, \mathcal{S}_{\text{CL}}, \mathcal{D}_{12}, \emptyset, \bar{})$ where $\psi \in \bar{\phi}$ iff $\psi = \neg\phi$ or $\phi = \neg\psi$ and $\mathcal{D}_{12} = \{r_1 : \top \Rightarrow p, r_2 : p \Rightarrow q, r_3 : \top \Rightarrow \neg q\}$.

Then $\text{MCS}_{\text{na}}^{\mathcal{S}_{\text{CL}}, \emptyset} = \{\Delta_1 : \{r_1, r_2\}, \Delta_2 : \{r_1, r_3\}, \Delta_3 : \{r_2, r_3\}\}$. However, if we consider the argumentation framework based on the same ingredients, we will have two preferred (resp. stable) extensions: $\mathcal{E}_1 = \{a_1, a_2, \dots\}$ and $\mathcal{E}_2 = \{a_1, a_3, \dots\}$ where $a_1 = \top \Rightarrow p$, $a_2 = a_1 \Rightarrow q$ and $a_3 = \top \Rightarrow \neg q$. Note that both extensions contain $a_1 = \top \Rightarrow p$. This contrasts with the maximal consistent set $\Delta_3 = \{r_2, r_3\}$.

The problem with the set $\{r_2, r_3\}$ in our example is that it is not “grounded”: the rule r_2 cannot be triggered: indeed, $\{r_2, r_3\} \not\vdash_{\mathcal{K}} p$ where $\text{body}(r_2) = \{p\}$. This is why it does not correspond to an extension of the corresponding argumentation framework. In the following we will restrict our attention to those sets of defeasible rules that are grounded in the sense that $\Delta \vdash \psi$ for each $\psi \in \text{body}[\Delta]$.

Definition 15. Where $\Delta \subseteq \mathcal{D}$ and $r \in \mathcal{D}$, r is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -triggered by Δ iff $\Delta \vdash_{\mathcal{K}}^{\mathcal{S}} \psi$ for each $\psi \in \text{body}(r)$. We will also call Δ a $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -trigger set of r . Let $\hat{\wp}^{\mathcal{S}, \mathcal{K}}(\mathcal{D})$ be the set of all $\Delta \in \wp(\mathcal{D})$ such that every $r \in \Delta$ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -triggered by Δ .

As before we will reduce clutter whenever the context disambiguates and simply say that r is triggered by Δ , talk of trigger sets of r and denote $\hat{\wp}^{\mathcal{S}, \mathcal{K}}$ by $\hat{\wp}$.

Fact 6. Where $\Delta \in \hat{\wp}(\mathcal{D})$, Δ is inconsistent iff there are $\phi \in \text{head}[\Delta]$ and $\psi \in \bar{\phi}$ for which $\Delta \vdash_{\mathcal{K}} \psi$.

Proof. For the right-to-left direction suppose there are $\phi \in \text{head}[\Delta]$ and $\psi \in \bar{\phi}$ for which $\Delta \vdash_{\mathcal{K}} \psi$. Since $\Delta \in \hat{\wp}(\mathcal{D})$ also $\Delta \vdash_{\mathcal{K}} \phi$ and we are done. The left-to-right direction can be shown by an induction over the lengths of the proof of ϕ and ψ from Δ by making use of the contrapositability nature of the strict rules. We omit the technical details but give a simple example instead.

Example 13. Suppose, where $\mathcal{K} = \{d, s, t\}$, $\Delta = \{d \Rightarrow e; u, t \Rightarrow p\}$ and $s \rightarrow u$ and $e, u \rightarrow p'$ are strict rules and $p' \in \bar{p}$ we have the following two proofs (in tree form) demonstrating that $\Delta \vdash_{\mathcal{K}} p$ and $\Delta \vdash_{\mathcal{K}} p'$:

$$P_1 = \left. \begin{array}{l} d \Rightarrow e \\ s \rightarrow u \end{array} \right] \rightarrow p' \quad P_2 = t \Rightarrow p$$

By contraposition, also $u, p \rightarrow e'$ is a rule for some $e' \in \bar{e}$. So, we have the following proof

$$P_3 = \left. \begin{array}{l} t \Rightarrow p \\ s \rightarrow u \end{array} \right] \rightarrow e',$$

which shows that $\Delta \vdash_{\mathcal{K}} e'$. \square

Definition 16. $\text{MCS}^{\mathcal{S}, \mathcal{K}}(\mathcal{D})$ is the set of all consistent $\Delta \in \hat{\wp}(\mathcal{D})$ for which there is no consistent $\Delta' \in \hat{\wp}(\mathcal{D})$ such that $\Delta \subset \Delta'$.

Where the context disambiguates, we will omit the superscript and simply write $\text{MCS}(\mathcal{D})$.

Example 14 (Example 12 cont.). We have $\text{MCS}(\mathcal{D}) = \{\Delta_1 : \{r_1, r_2\}, \Delta_2 : \{r_1, r_3\}\}$. Note that $\Delta_1 \vdash_{\mathcal{K}} p$ and $\Delta_2 \vdash_{\mathcal{K}} p$ just like both argumentation extensions contain arguments for p .

There is indeed a very close relationship between the set $\text{MCS}^{\mathcal{S}, \mathcal{K}}(\mathcal{D})$ and the preferred resp. stable extensions of $\text{AS} = \langle \mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \bar{\ } \rangle$. To state it we introduce one more notation.

Definition 17. Where $\Delta \subseteq \mathcal{D}$ let $\text{Arg}(\Delta) =_{\text{df}} \{a \in \text{Arg}(\text{AS}) \mid \mathcal{D}(a) \subseteq \Delta\}$ be the set of arguments that only make use of defeasible rules in Δ .

Our main result for non-prioritized argumentation can then be stated as follows:

Theorem 6. For any $\text{AS} = \langle \mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \bar{\ } \rangle$ where \mathcal{S} satisfies **S1** and **S2**,

$$\text{pref}(\text{AS}) = \text{stab}(\text{AS}) = \{\text{Arg}(\Delta) \mid \Delta \in \text{MCS}(\mathcal{D})\}.$$

There are two open question which we will address in the remainder of this section: (a) can the grounded semantics respectively (b) can the extensions of frameworks constructed on the basis of *prioritized* defeasible rule bases be represented in a similar way?

We start with a negative result concerning question (a). One may expect that the grounded extension can be characterized by $\bigcap \text{MCS}(\mathcal{D})$. We give the following counter-example:

Example 15 (Example 6 continued). Recall AS'_6 from Example 6. We have $\text{MCS}(\mathcal{D}'_6) = \{\{\top \Rightarrow p, \top \Rightarrow q\}, \{\top \Rightarrow \neg p, \top \Rightarrow q\}\}, \{\top \Rightarrow q\} = \bigcap \text{MCS}(\mathcal{D}'_6)$ and $\{\top \Rightarrow q\} \in \hat{\phi}(\mathcal{D}'_6)$. However, the grounded extension is $\text{Arg}(\emptyset)$. Recall for this that the argument $b = \langle \top \Rightarrow p \rangle, \langle \top \Rightarrow \neg p \rangle \rightarrow \neg q$ attacks $a = \langle \top \Rightarrow q \rangle$. Note that q is implied by every MCS, but there is no argument for it in the grounded extension.

What we see in the example is contaminating behavior by the inconsistent argument a_{\perp} which attacks the syntactically disjoint b . This contamination does not occur when working with MCSs. One way to deal with this problem in the context of formal argumentation is to filter out inconsistent arguments such as a_{\perp} [57].³⁴

Definition 18. Let $\text{Arg}^{\top}(\text{AS})$ be the set of all consistent arguments a in $\text{Arg}(\text{AS})$, where a is consistent iff $\mathcal{D}(a)$ is consistent (see Definition 14). Similarly, where $\text{sem} \in \{\text{pref}, \text{stab}, \text{groun}\}$, let $\text{sem}^{\top}(\text{AS})$ be the set of extension of the restriction of $\langle \text{Arg}(\text{AS}), \rightsquigarrow(\text{AS}) \rangle$ to consistent arguments.

Indeed, once we filter out inconsistent arguments we can characterize also the grounded semantics. First we note a minor complication, namely, generally it does not hold that $\bigcap \text{MCS}(\mathcal{D}) \in \hat{\phi}(\mathcal{D})$.

Example 16. $\text{AS}_{16} = \langle \mathcal{L}_{\text{CL}}, \mathcal{S}_{\text{CL}}, \mathcal{D}_{16}, \emptyset, \bar{\ } \rangle$ where $\psi \in \bar{\phi}$ iff $\psi = \neg\phi$ or $\phi = \neg\psi$ and $\mathcal{D}_{16} = \{r_1 : \top \Rightarrow p, r_2 : \top \Rightarrow \neg p, r_3 : p \Rightarrow q, r_4 : \neg p \Rightarrow q, r_5 : q \Rightarrow s\}$. Then $\text{MCS}(\mathcal{D}_{16}) = \{\{r_1, r_3, r_5\}, \{r_2, r_4, r_5\}\}$. Note that $\bigcap \text{MCS}(\mathcal{D}_{16}) = \{r_5\} \notin \hat{\phi}(\mathcal{D})$.

In the Appendix (Lemma 6) we show that there is a unique \subseteq -maximal $\Delta \in \hat{\phi}(\mathcal{D})$ that is contained in $\bigcap \text{MCS}(\mathcal{D})$.

Definition 19. We call the unique \subseteq -maximal $\Delta \in \hat{\phi}(\mathcal{D})$ that is contained in $\bigcap \text{MCS}(\mathcal{D})$ the *free set* in \mathcal{D} , denoted by $\text{Free}(\mathcal{D})$.

³⁴In [39,41] an alternative solution to the problem of contamination is investigated that does not require to filter out inconsistent arguments but is instead based on a generalized notion of rebut attack.

The set $\text{Free}(\mathcal{D})$ characterizes the grounded extension when filtering out inconsistent arguments.

Theorem 7. *Where $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{})$ and \mathcal{S} satisfies **S1** and **S2**, $\text{Arg}(\text{Free}(\mathcal{D})) = \text{groun}^\top(\text{AS})$.*

Naturally, the question arises whether for preferred and stable semantics the filtering of inconsistent arguments changes the extensions. The answer is no:

Theorem 8. *For any $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{})$ where \mathcal{S} satisfies **S1** and **S2**,*

$$\text{pref}(\text{AS}) = \text{stab}(\text{AS}) = \text{pref}^\top(\text{AS}) = \text{stab}^\top(\text{AS}) = \{\text{Arg}(\Delta) \mid \Delta \in \text{MCS}(\mathcal{D})\}.$$

4.3.2. The prioritized case

We now move to prioritized frameworks. Stable and preferred extensions can be characterized by selecting a subset of $\text{MCS}(\mathcal{D})$. For this, we introduce a new priority-sensitive notion of consistency. Recall that the defeasible rules in \mathcal{D} are ranked by a total order \leq . This means that, without loss of generality, we can assume that the defeasible rules in \mathcal{D} are ranked relative to a (finite) set of natural numbers. We denote this ranking by $\mathcal{R} : \mathcal{D} \rightarrow \mathbb{N}$. Where $\Delta \subseteq \mathcal{D}$, let $\mathcal{R}[\Delta] =_{\text{df}} \min(\{\mathcal{R}(r) \mid r \in \Delta\})$ where $\mathcal{R}[\emptyset] =_{\text{df}} \omega > \mathcal{R}(r)$ for all $r \in \mathcal{D}$. We then define:

Definition 20. $\Delta \in \hat{\phi}(\mathcal{D})$ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -*R-consistent* iff it is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -consistent and for all $\Theta \in \hat{\phi}(\mathcal{D})$ for which $\perp(\Delta \cup \Theta)$ there is a $\Delta' \in \hat{\phi}(\Delta)$ such that $\perp(\Delta' \cup \Theta)$ and $\mathcal{R}[\Delta'] \geq \mathcal{R}[\Theta]$. We write $\text{MCS}_{\mathcal{R}}^{\mathcal{S}, \mathcal{K}}(\mathcal{D})$ for the set of all $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -R-consistent Δ for which there are no $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -R-consistent Θ such that $\Theta \supset \Delta$.

An R-consistent set Δ is a consistent set that “dominates” every set Θ that is inconsistent with it in the sense that we can always find a subset Δ' of Δ that is already inconsistent with Θ but for which $\mathcal{R}[\Delta'] \geq \mathcal{R}[\Theta]$. This makes it rational to stick with an R-consistent set of rules Δ since whenever we are confronted with a set of rules Θ inconsistent with Δ , it is rational to disregard a rule in Θ because the conflict can be further localized in the conflict $\perp(\Delta' \cup \Theta)$ and there is no reason to give up rules in Δ' since $\mathcal{R}[\Delta'] \geq \mathcal{R}[\Theta]$.

In order to avoid clutter we will again talk about R-consistent sets and write $\text{MCS}_{\mathcal{R}}$ whenever the context disambiguates.

In the appendix we show that, as expected, the set of maximally R-consistent sets is exactly the set of all maximally consistent set that are R-consistent (Proposition 1).

Example 17 (Example 2 continued). We consider a slight modification of AS_2 that does not contain undercut: $\text{AS}'_2 = (\mathcal{L}_2, \mathcal{S}_{\text{CL}}, \mathcal{D}'_2, \mathcal{K}_2, \overline{}, \leq)$ where $\mathcal{D}'_2 = \mathcal{D}_2 \setminus \{b \Rightarrow_1 \neg \delta\}$.

We have one set of rules that is maximally R-consistent: $\Theta = \{g \Rightarrow_2 \neg p, l \Rightarrow_1 q, q \Rightarrow_1 w\}$. Note that any set $\Delta \in \hat{\phi}(\mathcal{D}'_2)$ that is inconsistent with Θ contains $g \Rightarrow_1 p$. Since $\mathcal{R}[\{g \Rightarrow_2 \neg p\}] \geq \mathcal{R}[\Delta]$ and $\{g \Rightarrow_2 \neg p\} \subseteq \Theta$ this suffices to show that Θ is R-consistent. Since Θ is also maximally consistent, it is maximally R-consistent as well. Our other maximally consistent set $\Theta' = \{g \Rightarrow_1 p, l \Rightarrow_1 q, q \Rightarrow_1 w\}$ is not R-consistent since for $\Delta' = \{g \Rightarrow_2 \neg p\}$ we have $\perp(\Delta' \cup \Theta')$ and there is no $\Theta'' \in \hat{\phi}(\Theta')$ for which $\perp(\Theta'' \cup \Delta')$ and $\mathcal{R}[\Theta''] \geq \mathcal{R}[\Delta']$. This is for the simple reason that any Θ'' for which $\perp(\Theta'' \cup \Delta')$ will contain $g \Rightarrow_1 p$.

Maximal R-consistency can be used to characterize preferred and stable semantics, both for frameworks with and without consistent arguments.

Theorem 9. Where $AS = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{}, \leq)$ and \mathcal{S} satisfies **S1** and **S2**,

$$\text{pref}(AS) = \text{stab}(AS) = \text{pref}^\top(AS) = \text{stab}^\top(AS) = \{\text{Arg}(\Delta) \mid \Delta \in \text{MCS}_{\mathcal{R}}(\mathcal{D})\}.$$

Our final question is whether we can characterize the grounded semantics in a similar way as in Theorem 7 also for the prioritized setting. The answer is negative, as the following example illustrates.

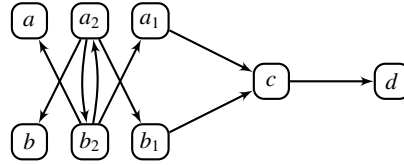
Example 18. Consider $AS_{18} = (\mathcal{L}_{\text{CL}}, \mathcal{S}_{\text{CL}}, \mathcal{D}_{18}, \emptyset, \overline{}, \leq)$ where $\psi \in \overline{\phi}$ iff $\psi = \neg\phi$ or $\phi = \neg\psi$ and

$$\mathcal{D}_{18} = \{r_1 : \top \Rightarrow_5 p \wedge q, r_2 : \top \Rightarrow_5 p \wedge \neg q, r_3 : \top \Rightarrow_4 \neg p, r_4 : \neg p \Rightarrow_4 \neg s, r_5 : \top \Rightarrow_3 s\}.$$

We have, among others, the arguments:

$$\begin{array}{lll} a: \langle \top \rangle \Rightarrow p \wedge q & a_1: a \rightarrow p & a_2: a \rightarrow \neg(p \wedge \neg q) \\ b: \langle \top \rangle \Rightarrow p \wedge \neg q & b_1: a \rightarrow p & b_2: a \rightarrow \neg(p \wedge q) \\ c: \langle \langle \top \rangle \Rightarrow \neg p \rangle \Rightarrow \neg s & d: \langle \top \rangle \Rightarrow s & \end{array}$$

This gives rise to the following argumentation framework:



We have $\text{MCS}_{\mathcal{R}}(\mathcal{D}_{18}) = \{\{r_1, r_5\}, \{r_2, r_5\}\}$ and so $\{r_5\} = \bigcap \text{MCS}_{\mathcal{R}}(\mathcal{D}_{18})$. Note also that $\{r_5\} \in \hat{\phi}(\mathcal{D}_{18})$ and so it is the unique \subset -maximal set contained in $\bigcap \text{MCS}_{\mathcal{R}}(\mathcal{D}_{18})$. Nevertheless, the grounded extension for this example does not include any defeasible arguments and so also not an argument for s .

5. Related work

As pointed out in the introduction, work on simultaneous satisfaction of all rationality postulates for members of the ASPIC-family is rather limited. The postulates of consistency and closure, on the other hand, have been more extensively investigated. They were first introduced in [1,19] and were subsequently proven for ASPIC⁺ in [50,53] where the strict rule base satisfies either contraposition or transposition. This line of work was continued in [32], where different variants of the weakest link lifting were studied. Let us therefore compare the conditions of contraposition and transposition to the conditions **S1** and **S2** used in this paper. Let $\neg\phi$ denote a *contradictory* of ϕ , i.e., a formula ψ for which $\phi \in \overline{\psi}$ and $\psi \in \overline{\phi}$. For the definitions of **Tpos** and **Cpos** we thus follow [50] in assuming

CPE Every formula ϕ has a contradictory ψ (so $\phi \in \overline{\psi}$ and $\psi \in \overline{\phi}$)

that every formula has a contradictory. Given an argumentation system $(\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, n, \overline{}, \leq)$, transposition and contraposition require the following:

Tpos If $\phi_1, \dots, \phi_n \rightarrow \phi \in \mathcal{S}$ then for every $1 \leq i \leq n$, $\phi_1, \dots, \phi_{i-1}, -\phi, \phi_{i+1}, \dots, \phi_n \rightarrow -\phi_i \in \mathcal{S}$.³⁵

Cpos For any $\Delta \subseteq \mathcal{L}$, if $\Delta \vdash_{\mathcal{S}} \phi$ then for all $\psi \in \Delta$, $(\Delta \setminus \{\psi\}) \cup \{-\phi\} \vdash_{\mathcal{S}} -\psi$.

Unlike [50], in the setting of this paper we did not suppose **CPE**, i.e., the existence of contradictories. Note, however, that **S1** implies that if some formula has a contrary, so does every.³⁶ For most use cases of ASPIC this will coincide with the slightly stronger assumptions that

CTE For every formula ϕ , $\emptyset \neq \bar{\phi}$.

Clearly, **CPE** implies **CTE** but not vice versa. Assuming **CTE**, we can define the variant of **Cpos** (and analogous of **Tpos**):

Cpos* For any $\Delta \subseteq \mathcal{L}$, if $\Delta \vdash_{\mathcal{S}} \phi$, then for all $\psi \in \Delta$, for all $\psi' \in \bar{\psi}$, and for all $\phi' \in \bar{\phi}$, $(\Delta \setminus \{\psi\}) \cup \{\phi'\} \vdash_{\mathcal{S}} \psi'$.³⁷

It will not surprise the reader that there is a close connection between the **Cpos** resp. **Cpos*** and requirement **S1**.

Fact 7. *Cpos implies S1 if for all $\phi \in \mathcal{L}$ and all $\phi' \in \bar{\phi}$, $\phi \vdash_{\mathcal{S}} -\phi'$.*

Proof. Assume $\Delta, \psi \vdash_{\mathcal{S}} \phi'$ for some $\phi' \in \bar{\phi}$ and that **Cpos** is valid. By **Cpos**, $\Delta, -\phi' \vdash_{\mathcal{S}} -\psi$. Since $\phi \vdash_{\mathcal{S}} -\phi'$ and by the transitivity of $\vdash_{\mathcal{S}}$, $\Delta, \phi \vdash_{\mathcal{S}} -\psi$. \square

Fact 8. *Cpos* and CPE imply S1.*

Proof. Suppose $\Delta, \psi \vdash_{\mathcal{S}} \phi'$ for some $\phi' \in \bar{\phi}$. By **Cpos*** and **CPE**, $\Delta, -\phi' \vdash_{\mathcal{S}} -\psi$. Since $\phi \vdash_{\mathcal{S}} \phi$, by **Cpos** and **CPE**, $\phi' \vdash_{\mathcal{S}} -\phi$ and furthermore, $\phi \vdash_{\mathcal{S}} -\phi'$. By the transitivity of $\vdash_{\mathcal{S}}$, $\Delta, \phi \vdash_{\mathcal{S}} -\psi$. \square

Fact 9. *Cpos* implies S1 if for all $\phi \in \mathcal{L}$ and all $\phi' \in \bar{\phi}$ there is a $\phi'' \in \bar{\phi}'$ for which $\phi \vdash_{\mathcal{S}} \phi''$.*

Proof. Assume $\Delta, \psi \vdash_{\mathcal{S}} \phi'$ for some $\phi' \in \bar{\phi}$ and that **Cpos*** is valid. We have to show that $\Delta, \phi \vdash_{\mathcal{S}} \psi'$ for some $\psi' \in \bar{\psi}$. We suppose that $\phi \vdash_{\mathcal{S}} \phi''$ for some $\phi'' \in \bar{\phi}'$. By **Cpos***, $\Delta, \phi'' \vdash_{\mathcal{S}} \psi'$ for some $\psi' \in \bar{\psi}$. By the transitivity of $\vdash_{\mathcal{S}}$ therefore $\Delta, \phi \vdash_{\mathcal{S}} \psi'$. \square

In particular, the requirement of Facts 7–9 hold if for all formulas ϕ all members of $\bar{\phi}$ are contradictories, i.e., if $\phi \in \bar{\phi}'$ for all $\phi' \in \bar{\phi}$.

Interestingly, even given **CPE**, we can easily find counter-examples to rationality postulates such as Consistency for frameworks satisfying **Cpos** (and similarly for **Tpos**).

³⁵This is the formulation from [50] while [19,53] only require “...then for some $1 \leq i \leq n$...”. [19] state the property explicitly only for \neg instead of $\bar{}$. Similar comments apply to **Cpos**. The exact effect of the subtle differences between these different formulations of transposition, respectively contraposition and different assumptions on the contrariness relation $\bar{}$ are outside the scope of this paper but give rise to an interesting direction for future research.

³⁶Suppose $\phi' \in \bar{\phi}$. Then $\{\psi, \phi'\} \vdash_{\mathcal{S}} \phi'$ and so by **S1**, $\{\phi, \phi'\} \vdash_{\mathcal{S}} \psi'$ for some $\psi' \in \bar{\psi}$.

³⁷A similar example as Example 9 can be used to show that a weaker version of **Cpos*** which only demands “for some $\psi' \in \bar{\psi}$ and for some $\phi' \in \bar{\phi}$ ” instead of “for all $\psi' \in \bar{\psi}$ and for all $\phi' \in \bar{\phi}$ ” leads to problems with rationality postulates. Consider $AS'_9 = (\{p, q, s, r, p', q', s', r'\}, S'_9, \mathcal{D}_9, \emptyset, \bar{}, \leq_9)$ where $S'_9 = \{q \rightarrow r', r'' \rightarrow q'\}$, $\bar{r} = \bar{r}'' = \{r'\}$, $\bar{r}' = \{r, r''\}$ and for all other atoms ϕ , $\bar{\phi} = \{\phi'\}$ and $\bar{\phi}' = \{\phi\}$. We have the same arguments as in Example 9 and the counter-example to closure is thus analogous. Note that S'_9 satisfies the weak version of **Cpos**.

Example 19. Consider $AS_{19} = (\mathcal{L}_{19}, \mathcal{S}_{19}, \mathcal{D}_{19}, \{\top\}, \overline{\quad}, \leq_{19})$, where $\mathcal{L}_{19} = \{p, q, \neg p, \neg q, \top, \perp\}$, $\mathcal{S}_{19} = \{\}$, $\mathcal{D}_{19} = \{r_1 : \top \Rightarrow p, r_2 : \top \Rightarrow q\}$, $\overline{\top} = \{\perp\}$, $\overline{\perp} = \{\top\}$, $\overline{p} = \{\neg p\}$, $\overline{\neg p} = \{p\}$, $\overline{q} = \{\neg q, p\}$, $\overline{\neg q} = \{q\}$, and $r_1 \leq_{19} r_2$.

Note that p is merely a contrary, not a contradictory of q , while $\neg q$ and q are contradictories. We have the following arguments:

$$\begin{aligned} a: & \langle \top \rangle \Rightarrow p \\ b: & \langle \top \rangle \Rightarrow q \end{aligned}$$

Note that we have no defeats between a and b and so the only preferred, stable and grounded extension will include both arguments. Nevertheless, this extension is not consistent since the conclusions p and q are not consistent given that $p \in \overline{q}$.

Note that while \mathcal{S}_{19} satisfies **Cpos** and **CPE**, it does not satisfy **S1** since $p \vdash_{\mathcal{S}_{19}} p$ but not $q \vdash_{\mathcal{S}_{19}} \neg p$.

The example also shows that **S1** does not imply **Cpos**.

To see that neither **Cpos**, **Cpos***, nor **Tpos** implies **S2**, consider the following example: Let $\mathcal{S} = \emptyset$ and $\overline{p} = \{p\}$. Then we see that $\vdash_{\mathcal{S}}$ is closed under **Cpos** and **Tpos**, however, it does not satisfy **S2**, as $\{p\} \vdash_{\mathcal{S}} \overline{p}$ yet $\emptyset \not\vdash_{\mathcal{S}} \overline{p}$. In view of Example 10, this means that **Tpos** and **Cpos** as studied in [50] are not sufficient to guarantee non-interference.

As Example 19 shows, only relying on **Cpos** (or **Tpos**) in combination with **CPE** does not suffice to get rationality postulates, such as Consistency. What is proposed in addition in [50] is to treat rebut defeats where the concluding formula of the attacking argument is a contrary (so not a contrapositive) of the conclusion of the attacked argument different from those rebuts with contradictory conclusions. While the latter case works as our Definition 5, in the former case argument strength is disregarded and any attack results in a defeat. While this move secures the standard rationality postulates (except for non-interference), it leads to what may be considered as counterintuitive behavior nevertheless. We give some examples.

Example 20 (Problems with “contrary-rebut”). In all example below we treat $\neg\phi$ and ϕ as contradictories. Our examples concern two very weak versions of “interference”³⁸ and a lightweight version of the well-known Cumulativity principle from non-monotonic logic.

- *Interference 1.* Suppose AS_1 has the rule base $\mathcal{D} = \{\top \Rightarrow_8 p\}$, p has as a contrary r and the strict rules are induced by classical logic. Clearly, p will be a grounded consequence of AS_1 . Suppose further we add the rules $\mathcal{D}' = \{\top \Rightarrow_1 q, \top \Rightarrow_1 \neg q\}$ resulting in an extended system AS_2 . Now the argument $\langle \top \Rightarrow_1 q \rangle, \langle \top \Rightarrow_1 \neg q \rangle \rightarrow r$ will defeat $\top \Rightarrow_8 p$ and p will therefore not be a grounded consequence of AS_2 . Note that we only added *weaker rules* than the ones in AS_1 , both of which are *syntactically disjoint* from AS_1 , but this was sufficient to contaminate the framework.
- *Interference 2.* In this example we will again add rules to a given AS_3 , this time rules that only extend arguments which are rejected in any extension of a given standard (completeness-based) semantics. Let AS_3 be based on $\mathcal{D}_3 = \{\top \Rightarrow_{100} p, \top \Rightarrow_2 \neg p\}$. Clearly, the argument $a = \top \Rightarrow_{100} p$ will be in any extension, while $b = \top \Rightarrow_2 \neg p$ will be defeated in any extension. We now add the rules in $\mathcal{D}_4 = \{\neg p \Rightarrow_1 q, q \Rightarrow_1 p'\}$ resulting in AS_4 , where p has as a contrary p' . We note that these

³⁸We use this term in order to indicate that the addition of “irrelevant” information leads to contaminating effects (see also [14]). “Irrelevance” is disambiguated differently in the two versions considered.

rules are weaker than those in \mathcal{D}_3 and they only extend our rejected b to $c = b \Rightarrow_1 q \Rightarrow_1 p'$. Interestingly, now c defeats a and so p will not be anymore a consequence of AS_4 .

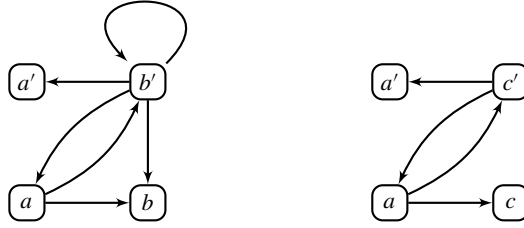
- *Cumulativity lite*. Suppose an argument a with conclusion ϕ and strength n (so $n = \min(\{m \mid \phi_1, \dots, \phi_k \Rightarrow_m \psi \in \mathcal{D}(a)\})$) is contained in every preferred extension of AS . Then one would expect that adding a new rule $\top \Rightarrow_n \phi$ to the defeasible rules should lead to robust results. (In Appendix B.4 we show that our system satisfies our expectation.) Let AS_5 come with the rules

$$\mathcal{D}_5 = \{\top \Rightarrow_{10} p, p \Rightarrow_{10} q, q \vee r \Rightarrow_1 \neg q, \neg q \Rightarrow_1 p'\},$$

and suppose p has as a contrary p' . We have, for instance, the following arguments:

$$\begin{array}{ll} a: & \top \Rightarrow_{10} p \Rightarrow_{10} q \\ b: & a' \Rightarrow_1 \neg q \end{array} \quad \begin{array}{ll} a': & a \rightarrow q \vee r \\ b': & b \Rightarrow_1 p' \end{array}$$

This results in the framework to the left:



So a and a' are contained in every preferred extension of AS_5 . Now if we add $\top \Rightarrow_{10} q \vee r$ to \mathcal{D}_5 (resulting in AS_6), we also get the argument $c = \top \Rightarrow_{10} q \vee r \Rightarrow_1 \neg q$ and $c' = c \Rightarrow_1 p'$. This results in the graph to the right (omitting the nodes b and b' for clutter reduction). Now, there is a preferred extension containing c and c' in which a and a' are defeated, so that p and q are not anymore consequences of AS_6 .

In order to avoid this kind of behavior we stuck in this paper to a uniform treatment of defeat where argument strength is considered for both rebuts, those with contrary and those with contradictory attacking formulas.

[30] goes beyond transposition and contraposition by formulating a condition called the *self-contradiction axiom*, which is implied by both transposition and contraposition. This self-contradiction axiom requires of an argumentation system $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{})$ that:

SCA for any \subseteq -minimally inconsistent³⁹ set of formulas $\Sigma \subseteq \mathcal{L}$, $\Sigma \vdash_{\mathcal{S}} \phi'$ for any $\phi \in \Sigma$ and some $\phi' \in \overline{\phi}$.

³⁹On the most general level, [30] assumes that an argumentation system is built on the basis of an *abstract logic* (CN, CONTRA) over a language \mathcal{L} where CN is a Tarskian consequence relation and CONTRA is a collection sets that is upward-closed and doesn't contain $\text{CN}(\emptyset)$. A set Δ is inconsistent if $\text{Cn}(\Delta) \in \text{CONTRA}$. For ASPIC⁺ with symmetric negation, [30] remarks that CONTRA can be instantiated by any set that contains both a formula and its contrary. In view of Definition 9, this can be seen to coincide with our notion of inconsistency.

[30] shows that this axiom is sufficient to guarantee the postulates of closure and consistency for argumentation systems without preferences over the defeasible rules. However, non-interference is not studied. In fact, self-contradiction alone is not sufficient to guarantee non-interference, even for argumentation systems without preferences. To see this, notice that in Example 10 the self-contradiction axiom is satisfied as: for all $\phi \in \mathcal{L}_{10}$, $\{p\} \vdash_{\mathcal{S}} \phi$ and $\{q, \neg q\} \vdash_{\mathcal{S}} \phi$. However, as explained in Example 10, non-interference is not satisfied.

In this paper, we characterized the extensions of frameworks under some argumentation semantics in terms of maximal consistent sets of premises. As mentioned above, for structured argumentation with defeasible premises, such results are well-studied, see e.g. [6]. In contrast, for structured argumentation with defeasible rules such results were, to the best of our knowledge, not yet available. A series of different but related results are presented in [3], where structured argumentation formalisms allowing for reasoning with defeasible rules are studied. This paper studies the exact effects of various conditions on both the attack relation and the output of structured argumentation formalisms on the behaviour of various argumentation semantics. For example, it is shown for a *conflict-dependent* attack relation (which says that if a attacks b , then $\{\text{Conc}(c) \mid c \in \text{Sub}(a) \cup \text{Sub}(b)\} \vdash_{\mathcal{S}} \perp$), the output of an argumentation system satisfies consistency, closure, closure under sub-arguments. Moreover, if every extension contains all strict arguments, for every stable extension \mathcal{E} , $\mathcal{D}[\mathcal{E}]$ is maximally consistent. It is also shown that if an attack relation is such that the number of stable extensions is the same as the number of maximally consistent subsets of defeasible rules, the stable and the preferred extensions coincide (as in Theorem 1) and coincide with the sets of arguments that can be constructed on the basis of maximally consistent subsets of defeasible rules (as in Theorem 9).

Although the results in [3] are similar in spirit to some of the results obtained in this paper, the set-up is quite different. While we obtain results for a specific system, [3] takes a *reverse-engineering* approach by studying requirements for structured argumentation formalisms that warrant desired results. Finally, in [3] priorities over the defeasible rules are not studied and arguments are assumed to be consistent and minimal, which is not required in the present study.

In [59,60] ASPIC⁺ is used to obtain an argumentative characterization of *prioritised default logic* [16]. For this it is noticed that the weakest link lifting is not adequate and therefore the *structure preference order* has to be used, a lifting tailored for the characterization of prioritised default logic. Since prioritised default logic gives rise to a single extension for totally ordered default theories, the resulting ASPIC⁺ frameworks also have a unique stable extension, which can be contrasted with ASPIC⁺ under weakest link (see e.g. Example 6). Furthermore, even though [59,60] show closure, direct and indirect consistency for the resulting formalism, non-interference is not mentioned.

6. Concluding discussion and outlook

The main contributions of this paper can be summarized as follows: ASPIC⁺ without undercut and defeasible premises, and with a strict contrapositable rule base satisfies all four rationality postulates under preferred and stable semantics (which coincide) and admits a representation in terms of maximal consistent subsets under the weakest link lifting for totally ordered defeasible rules. Furthermore, we showed that the grounded extension for non-prioritized argumentation systems contain the *free defeasible rules*, but for prioritized argumentation systems such a characterization does not work. We conclude this paper by explaining how these results shed further light on the close relationship between assumption-based argumentation and ASPIC⁺ and finally point to further work we plan on the basis of this paper.

6.1. Assumption-based argumentation

Besides the ASPIC-family, another popular approach to structured argumentation is *assumption-based argumentation* [12]. As the name suggests, it provides a formal model of reasoning with strict rules and *defeasible assumptions*. In contrast to ASPIC, it does not allow for the chaining of defeasible inference rules.⁴⁰ Another major difference between these two formalisms is that in ABA, nodes in argumentation graphs consist of sets of defeasible assumptions, as opposed to structured arguments in the form of proof trees for a specific conclusion in ASPIC⁺. In this way, ABA can be seen as an abstraction to the level of *equivalence-classes* of arguments that are based on the same defeasible ingredients.

Formally, a prioritised ABA system $AS_{ABA} = \langle \mathcal{L}, \text{Ab}, \mathcal{S}, \mathcal{K}, \overline{}, \preceq \rangle$ consists of a language \mathcal{L} , a set of defeasible assumptions $\text{Ab} \subseteq \mathcal{L}$, a set of strict rules formulated over \mathcal{L} , a strict premise set \mathcal{K} , a contrariness relation $\overline{} : \text{Ab} \rightarrow \wp(\mathcal{L})$ and a preference relation \preceq over Ab . Argumentation frameworks constructed on the basis of a prioritised ABA system AS_{ABA} are $AF(AS_{ABA}) = (\wp(\text{Ab}), \rightsquigarrow)$ where $\Delta \rightsquigarrow \Theta$ iff (1) $\mathcal{K} \cup \Delta \vdash_{\mathcal{S}} A$ for some $A \in \overline{B}$ and some $B \in \Theta$ and (2) $\min(\Delta) \succeq B$.⁴¹

Several results have indicated a strong connection between assumption-based argumentation and reasoning with maximally consistent subsets for both non-prioritized [37,43] and prioritized assumption-based systems [40,42]. Theorem 9 generalizes such insights to settings where defeasible inferences can be chained. This result thus shows that ABA and ASPIC⁺ give rise to similar output. What is still an open question, though, is whether the abstract perspective offered by ABA (i.e. to consider argumentation graphs on the basis of equivalence classes of arguments) can also be obtained in ASPIC⁺. A positive answer to this question would mean that it is possible to smoothly change perspectives between different levels of abstraction for argumentation based on defeasible rule bases. The more abstract perspective has the benefit that the set of arguments is bounded by the size of the powerset of defeasible elements, which implies that the set of arguments will be finite if the set of defeasible elements is finite. This stands in contrast with approaches with ASPIC⁺-style arguments, where even for a finite number of defeasible elements, the argumentation graph may contain an infinite number of arguments (since e.g. classical logic gives rise to an infinite number of conclusions for every premise set).

6.2. Outlook and further work

One limitation of this work is that the rationality postulates and the correspondence with maximally consistent sets have only been proven for argumentation systems without undercutting attacks, without defeasible premises and only for systems with totally ordered sets of defeasible rules. E.g., concerning undercut, Examples like 7 lead to interferent behaviour. Similarly, it is a challenge to generalize our results to non-total orders and to incorporate defeasible premises which may be incomparable in strength to some of the defeasible rules, in such a way that the rationality postulates are satisfied. This will be a topic for future work.

Furthermore, in this contribution we only considered the weakest link lifting. Our results do not cover another popular lifting for ASPIC⁺, the *last link lifting*. According to it, an argument is as strong as the last defeasible elements applied in the construction of the argument. A complication with the last

⁴⁰Although it has been shown in [43] that, at least when preferences are not taken into account, ABA admits ASPIC⁺, and thus the chaining of defeasible rules, via a translation.

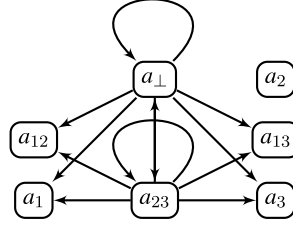
⁴¹We compare here ASPIC⁺ with assumption-based argumentation with *direct* attacks, called ABA^d in [40,44]. However, in the setting of this paper, the remarks made here generalize to assumption-based argumentation with *reverse* attacks (called ABA^r in [40,44] and ABA⁺ in [25]), since it has been shown that ABA^r and ABA^d give rise to the same outcomes when a flat assumption-based framework satisfies S1 and S2 [42].

link lifting concerns arguments in which we are dealing with several last defeasible links. We can, for instance, opt for the strongest of the last links (called *elitist* approach [50]) or the weakest (called *democratic* approach in [50]). It turns out that both approaches lead to violations of non-interference in the current setting. We paradigmatically present an example for the democratic approach.

Example 21 (Inspired by Example 6.7 in [57]). $AS_{21} = (\mathcal{L}_{CL}, \mathcal{S}_{CL}, \mathcal{D}_{21}, \{s\}, \bar{\cdot}, \leq)$ where $\bar{\phi} = \{\psi \mid \psi \vdash_{CL} \neg\phi\}$ and $\mathcal{D}_{21} = \{s \Rightarrow_1 p; p \Rightarrow_3 q; s \Rightarrow_2 \neg p \vee \neg q\}$. We have, among others, the following arguments:

$$\begin{array}{ll}
 a: & \langle s \rangle \\
 a_2: & a \Rightarrow_2 \neg p \vee \neg q \\
 a_{12}: & a_1, a_2 \rightarrow p \wedge \neg q \\
 a_{23}: & a_2, a_3 \rightarrow \neg p \wedge q \\
 a_1: & a \Rightarrow_1 p \\
 a_3: & a_1 \Rightarrow_3 q \\
 a_{13}: & a_1, a_3 \rightarrow p \wedge q \\
 a_{\perp}: & a_1, a_2, a_3 \rightarrow \perp
 \end{array}$$

In this example we use the democratic last link lifting, which says that an argument $b \preceq_{11d} c$ iff the \leq -minimal last defeasible rule used in b is weaker than the \leq -minimal last defeasible rule used in c . For example, $a_2 \preceq_{11d} a_3$ since the weakest last defeasible link of a_2 , $s \Rightarrow_2 \neg p \vee \neg q$, is weaker than the weakest (and only) last defeasible link of a_3 , $p \Rightarrow_3 q$. For the same reason, $a_3 \not\preceq_{11d} a_2$. We get the following defeat diagram:



It can be seen that the unique preferred extension only contains strict arguments and arguments with the defeasible rule $s \Rightarrow_2 \neg p \vee \neg q$. If we now add the syntactically disjoint rule $\top \Rightarrow_1 t$, the argument a_{123} defeats the argument $\top \Rightarrow_1 t$ and thus interferes with the derivability of t .

It is interesting to note that AS_{21} is used in [57] to show that under last link, filtering out inconsistent arguments leads to violations of closure and consistency. Indeed, when a_{13} , a_{23} and a_{\perp} are not part of the argumentation framework, a_1 , a_2 , a_3 , and a_{12} are all undefeated, meaning they are part of the unique preferred extension of $\text{Arg}^{\top}(AS_{21})$. This means that this extension contains arguments for e.g. p , q and $\neg p \vee \neg q$, constituting a violation of indirect consistency and closure (since $\{p, q, \neg p \vee \neg q\} \vdash_{CL} \perp$ but there is no argument for \perp in $\text{Arg}^{\top}(AS_{21})$). It is therefore an important avenue for future work to define a formalism that satisfies all four rationality postulates under the last link principle.

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Appendix. Proofs

The order of appearance of results in these appendix does not necessarily mirror the order of the statements of these results in the paper but is primarily based on logical dependency.

In order to avoid clutter, we will in the following proofs often slightly abuse notation by using $\bar{\phi}$ to denote an arbitrary representative of $\overline{\phi}$.

Appendix A. Representation in terms of maximal consistent sets

A.1. The flat case

In what follows we shall assume a fixed $AS = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{})$ where \mathcal{S} satisfies **S1** and **S2**. We start with some facts about set in $\hat{\wp}(\mathcal{D})$. We will usually write \vdash instead of $\vdash_{\mathcal{K}}^{\mathcal{S}}$ (see Def. 14).

Fact 10. *Where $\Delta \in \hat{\wp}(\mathcal{D})$ and $\Delta \vdash \phi$, there is an argument $a \in \text{Arg}(AS)$ with $\mathcal{D}(a) \subseteq \Delta$ and conclusion ϕ .*

Proof. Suppose $\Delta \in \hat{\wp}(\mathcal{D})$ and $\Delta \vdash \phi$. By Def. 14, $\mathcal{K} \vdash_{\mathcal{S} \cup \Delta} \phi$. Thus, by Def. 8, there is a proof \mathbb{P} of ϕ based on facts in \mathcal{K} and rules in \mathcal{S} and Δ . We construct a now exactly in terms of the structure of \mathbb{P} . \square

Fact 11. *Where $\Delta \in \hat{\wp}(\mathcal{D})$: $\mathcal{K}, \text{head}[\Delta] \vdash_{\mathcal{S}} \phi$ iff $\Delta \vdash \phi$.*

Proof. Suppose $\mathcal{K}, \text{head}[\Delta] \vdash_{\mathcal{S}} \phi$. By Def. 13 we have to show that $\mathcal{K} \vdash_{\mathcal{S} \cup \Delta} \phi$. Since $\Delta \in \hat{\wp}(\mathcal{D})$ and by Def. 15, for each $r \in \Delta$, $\Delta \vdash \psi$ for all $\psi \in \text{body}(r)$. So, $\mathcal{K} \vdash_{\mathcal{S} \cup \Delta} \psi$ for all $\psi \in \text{body}[\Delta]$. Also, by applying the rules in Δ we get $\mathcal{K} \vdash_{\mathcal{S} \cup \Delta} \psi$ for all $\psi \in \text{head}[\Delta]$. By our main supposition $\mathcal{K} \vdash_{\mathcal{S} \cup \Delta} \phi$.

Suppose $\Delta \vdash \phi$. By Def. 14, $\mathcal{K} \vdash_{\mathcal{S} \cup \Delta} \phi$. By Def. 8, there is a proof \mathbb{P} of ϕ from \mathcal{K} using rules in \mathcal{S} and Δ . Let $\{r_1, \dots, r_n\} \subseteq \Delta$ be the set of all defeasible rules used in \mathbb{P} . Then, trivially, also $\mathcal{K}, \{\text{head}(r_1), \dots, \text{head}(r_n)\} \vdash_{\mathcal{S}} \phi$. Thus, $\mathcal{K}, \text{head}[\Delta] \vdash_{\mathcal{S}} \phi$. \square

Fact 12. *$\hat{\wp}(\mathcal{D})$ is closed under \cup .*

Proof. Let $\Delta, \Theta \in \hat{\wp}(\mathcal{D})$ and $r \in \Delta \cup \Theta$. Without loss of generality let $r \in \Delta$. Thus, r is triggered by $\Delta \in \hat{\wp}(\Delta \cup \Theta)$ and so also by $\Delta \cup \Theta$. \square

Fact 13. *Where $\Delta \in \hat{\wp}(\mathcal{D})$ and $r \in \Delta$, there is a $\Theta \in \hat{\wp}(\Delta \setminus \{r\})$ for which $\Theta \vdash \psi$ for all $\psi \in \text{body}(r)$.*

Proof. Consider a $\psi \in \text{body}(r)$. Since $\Delta \vdash \psi$ there is a proof \mathbb{P} from Δ of ψ which we can, without loss of generality, assume to be minimal (in that no proper sub-proof of \mathbb{P} establishes ψ). Let Δ_{ψ} be the set of all $r' \in \Delta$ that are used in \mathbb{P} . Note that if (modus ponens on) r were used in \mathbb{P} , there would be a proper sub-proof of \mathbb{P} that establishes ψ since $\psi \in \text{body}(r)$. Thus, $r \notin \Delta_{\psi}$. Moreover, since trivially all $r' \in \Delta_{\psi}$ are used and thus triggered in \mathbb{P} , $\Delta_{\psi} \in \hat{\wp}(\Delta)$.

Let $\Theta = \bigcup_{\phi \in \text{body}(r)} \Delta_{\phi}$ where for each $\phi \in \text{body}(r)$, Δ_{ϕ} is defined as in the previous paragraph. By Fact 12, $\Theta \in \hat{\wp}(\Delta)$. Since for each $\phi \in \text{body}(r)$, $\Delta_{\phi} \vdash \phi$, also $\Theta \vdash \phi$ for all $\phi \in \text{body}(r)$. Since $r \notin \Delta_{\phi}$ for all $\phi \in \text{body}(r)$, also $r \notin \Theta$. \square

Fact 14. Where $\Delta \in \hat{\wp}(\mathcal{D})$ and r and r' are two different rules in Δ , for any \subset -minimal trigger sets $\Delta_r, \Delta_{r'} \in \hat{\wp}(\Delta)$ for r and r' we have: ($r' \notin \Delta_r \cup \{r\}$ or $r \notin \Delta_{r'} \cup \{r'\}$) and so $(\Delta_r \cup \{r\} \subset \Delta$ or $\Delta_{r'} \cup \{r'\} \subset \Delta)$.

Proof. Let $r, r' \in \Delta$ such that $r \neq r'$ and let $\Delta_r, \Delta_{r'} \in \hat{\wp}(\Delta)$ be \subset -minimal trigger sets of r resp. r' . Assume $\Delta_r \cup \{r\} = \Delta$. So, $r' \in \Delta_r$. By Fact 13 and the \subset -minimality of Δ_r , $r \notin \Delta_r$. Since $\Delta_r \in \hat{\wp}(\mathcal{D})$, there is a \subset -minimal $\Delta'_r \in \hat{\wp}(\Delta_r)$ that triggers r' . Since $\Delta'_r \cup \{r'\} \subseteq \Delta_r \subset \Delta$ we are finished. \square

Fact 15. Where $\Delta \in \hat{\wp}(\mathcal{D})$ is finite and non-empty, there is a $\Delta' \in \hat{\wp}(\Delta)$ such that $\Delta' \subset \Delta$ and all $r \in \Delta$ are triggered by Δ' .

Proof. Let $\Delta = \{r_1, \dots, r_n\} \in \hat{\wp}(\mathcal{D})$. Assume for a contradiction that there is no $\Delta' \in \hat{\wp}(\Delta)$ such that $\Delta' \subset \Delta$ and Δ' triggers all $r \in \Delta$. This means that for each $r \in \Delta$ there is a $\pi(r) \in \Delta \setminus \{r\}$ for which there is no trigger set in $\Delta \setminus \{r\}$. Thus, for r_1 there is a $\pi(r_1) \in \Delta \setminus \{r_1\}$ such that every trigger set of $\pi(r_1)$ contains r_1 .

With some renumbering let $\pi(r_1) = r_2$. Also for r_2 there is a $\pi(r_2)$ for which there is no trigger set in $\Delta \setminus \{r_2\}$. Suppose $\pi(r_2) = r_1$. However, then every trigger set of r_2 includes r_1 and every trigger set of r_1 includes r_2 which contradicts Fact 13 since then every trigger set of r_1 includes r_1 .

After some renumbering let $\pi(r_2) = r_3$. Again, there is a $\pi(r_3)$ for which there is no trigger set in $\Delta \setminus \{r_3\}$. Suppose $r_2 = \pi(r_3)$. But then every trigger set (in Δ) of r_2 contains r_3 and vice versa, every trigger set of r_3 (in Δ) contains r_2 . This is in contradiction with Fact 14. Suppose then that $r_1 = \pi(r_3)$. So any trigger set of r_1 contains r_3 . However, every trigger set of r_3 contains r_2 and so every trigger set of r_1 contains r_2 . Since every trigger set of r_2 contains r_1 this is impossible.

Altogether we can create a sequence $\langle r_1, \pi(r_1) = r_2, \pi(r_2) = r_3, \dots, \pi(r_i) = r_{i+1}, \dots \rangle$. Since each member of the sequence is different from those before and since we only have n many rules we run into a contradiction. This means our assumptions was false. \square

Fact 16. Where $\Delta \in \hat{\wp}(\mathcal{D})$ is finite, $\Delta_0 = \emptyset$ and Δ_{i+1} is the set of all $r \in \Delta$ triggered by Δ_i then $\Delta = \bigcup_{i \geq 0} \Delta_i$, $\langle \Delta_i \rangle_{i \geq 0}$ is \subseteq -monotonically increasing, and there is a minimal fixed point $\Delta_k = \Delta_{k+1}$.

Proof. Note that in our construction for each $i \geq 0$, $\Delta_i \in \hat{\wp}(\mathcal{D})$. Therefore also $\Delta_{i+1} \supseteq \Delta_i$. A fixed point is reached after finitely many steps since Δ is finite.

“ \subseteq ”: Let $r \in \Delta$. Thus, there is a $\Lambda_0 \in \hat{\wp}(\Delta)$ that triggers r . By Fact 15, there is a $\Lambda_1 \in \hat{\wp}(\Lambda_0)$ that triggers every $r \in \Lambda_0$ and for which $\Lambda_1 \subset \Lambda_0$. We proceed iteratively: for Λ_i there is a $\Lambda_{i+1} \in \hat{\wp}(\Lambda_i)$ that triggers each $r \in \Lambda_i$ and $\Lambda_{i+1} \subset \Lambda_i$. Since $\langle \Lambda_i \rangle_{i \geq 0}$ is a \subset -monotonically decreasing sequence and Λ_0 is finite, we reach a minimal point $\Lambda_l = \emptyset$. Note that by the construction, $\bigcup_{i=0}^{l-1} \Lambda_i \subseteq \bigcup_{i \geq 0} \Delta_i$. So, $r \in \bigcup_{i \geq 0} \Delta_i$.

“ \supseteq ”: This holds trivially. \square

Fact 17. Where $\Delta \in \hat{\wp}(\mathcal{D})$ and $\emptyset \subset \Delta' \subseteq \Delta$, there is an $r \in \Delta'$ such that some $\Delta'' \in \hat{\wp}(\Delta \setminus \Delta')$ triggers r .

Proof. Let $r_0 \in \Delta'$. Thus, there is a finite $\Delta_0 \in \hat{\wp}(\Delta)$ that triggers r_0 . In view of Fact 13 we can safely assume $r_0 \notin \Delta_0$. Let $\Delta'_0 = \Delta' \cap \Delta_0$. If $\Delta'_0 = \emptyset$ we are finished since $\Delta_0 \subseteq \Delta \setminus \Delta'$ triggers r_0 . Otherwise, for an arbitrary $r_1 \in \Delta'_0$ there is a $\Delta_1 \in \hat{\wp}(\Delta_0)$ that triggers r_1 . In view of Fact 13 we can safely assume $r_1 \notin \Delta_1$. Let $\Delta'_1 = \Delta' \cap \Delta_1 \subset \Delta'_0$. If $\Delta'_1 = \emptyset$ we are done since then $\Delta_1 \subseteq \Delta \setminus \Delta'$ triggers r_1 . Otherwise

we continue in the same manner. Since $\langle \Delta'_i \rangle_{i \geq 0}$ is a \subseteq -decreasing sequence of finite sets, we reach the point where $\Delta'_i = \emptyset$ after finitely many steps at which point Δ_i triggers r_i , $\Delta_i \subseteq \Delta \setminus \Delta'$ and $r_i \in \Delta'$. \square

The next result offers a Lindenbaum-like construction of maximal consistent sets of defeasible rules in $\hat{\wp}(\mathcal{D})$.

Lemma 1. *Where $\Theta \in \hat{\wp}(\mathcal{D})$ is consistent, there is a maximal consistent $\Delta \in \hat{\wp}(\mathcal{D})$ for which $\Delta \supseteq \Theta$.*

Proof. Let \mathcal{D} be enumerated by $\langle r_1, r_2, \dots \rangle$. Where $L = \langle l_1, l_2, \dots \rangle$ is a list let $\text{top}(L) = l_1$. Let $\text{tr}(\Lambda) = \langle r_{j_1}, r_{j_2}, \dots \rangle$ be a list of all the rules triggered by Λ except those in Λ (where $j_i < j_{i+1}$ for every index). We construct $\Delta =_{\text{df}} \bigcup_{\alpha \geq 0} \Theta_\alpha$ by a transfinite induction (bounded by ω^2) as follows: $(\Theta_0; \mathcal{R}_0; \mathcal{X}_0) =_{\text{df}} (\Theta; \text{tr}(\Theta); \emptyset)$, and for all successor ordinals $\alpha + 1$,

$$\begin{aligned} & (\Theta_{\alpha+1}; \mathcal{R}_{\alpha+1}; \mathcal{X}_{\alpha+1}) \\ & =_{\text{df}} \begin{cases} (\Theta_\alpha \cup \{\text{top}(\mathcal{R}_\alpha)\}; \text{tr}(\Theta_\alpha \cup \{\text{top}(\mathcal{R})\}); \mathcal{X}_\alpha) & \text{if } \Theta_\alpha \cup \{\text{top}(\mathcal{R}_\alpha)\} \text{ is consistent,} \\ (\Theta_\alpha; \mathcal{R}_\alpha \setminus \{\text{top}(\mathcal{R}_\alpha)\}; \mathcal{X}_\alpha \cup \{\text{top}(\mathcal{R}_\alpha)\}) & \text{else} \end{cases} \end{aligned}$$

and for all limit ordinals α , $(\Theta_\alpha; \mathcal{R}_\alpha; \mathcal{X}_\alpha) =_{\text{df}} (\bigcup_{\beta < \alpha} \Theta_\beta; \bigcap_{\beta < \alpha} \mathcal{R}_\beta; \bigcup_{\beta < \alpha} \mathcal{X}_\beta)$.

We first show (inductively) that each Θ_α is consistent. For Θ_0 this holds by the supposition. For the inductive step consider first a successor ordinal α and suppose Θ_α is consistent. Then, by the construction also $\Theta_{\alpha+1}$ is consistent. Consider now a limit ordinal α and suppose for all $\beta < \alpha$, Θ_β is consistent. Assume for a contradiction that that Θ_α is inconsistent. Thus, there is a finite $\Theta' \cup \{r\} \subseteq \Theta_\alpha$ such that $\Theta' \vdash \overline{\text{head}(r)}$. Thus, there is a $\beta < \alpha$ for which $\Theta' \cup \{r\} \subseteq \Theta_\beta$. But then Θ_β is inconsistent in contradiction to our inductive hypothesis.

Note also that in view of the construction (and Fact 12) $\langle \Theta_\alpha \rangle_{\alpha \geq 0}$ is a monotonically \subseteq -increasing sequence of sets in $\hat{\wp}(\mathcal{D})$. By Fact 12, $\Delta \in \hat{\wp}(\mathcal{D})$.

Suppose now that Δ is not consistent. Thus there is a rule $r_i \in \Delta$ and a $\Delta' \in \hat{\wp}(\Delta)$ for which $\Delta' \vdash \overline{\psi}$ where $\psi \in \text{head}(r_i)$. Thus, there is a Θ_α for which $\Delta' \subseteq \Theta_\alpha$. Also, since $r_i \in \Delta$, either $r_i \in \Theta_\beta$ where $\beta = 0$, or for some $\beta \geq 1$, $r_i = \text{top}(\mathcal{R}_\beta)$ and $\Theta_{\beta+1} = \Theta_\beta \cup \{r_i\}$. Clearly, $\Theta_\beta \subseteq \Theta_\alpha$ or $\Theta_\alpha \subseteq \Theta_\beta$. So either, $\Theta_{\beta+1} \vdash \overline{\psi}$ or $\Theta_\alpha \vdash \overline{\psi}$ in contradiction to the consistency of $\Theta_{\beta+1}$ respectively of Θ_α . So Δ is consistent.

Suppose Δ is not maximal consistent. Thus, there is a consistent $\Lambda \in \hat{\wp}(\mathcal{D})$ for which $\Delta \subset \Lambda$. Let $\Lambda \setminus \Delta = \{r'_1, \dots, r'_n, \dots\}$. By Fact 17, some $r_i = r'_j$ is triggered by Δ . Thus, there is a $\Delta' \in \hat{\wp}(\Delta)$ for which $\Delta' \vdash \psi$ for all $\psi \in \text{body}(r_i)$. Thus, there is a minimal α for which $\Delta' \subseteq \Theta_\alpha$. Note that r'_i is triggered by Θ_α and that $r'_i \notin \mathcal{X}_\beta$ for any $\beta \geq 0$ (since otherwise $\perp \Delta$ by item (ii)). But since r_i never enters \mathcal{X}_i , for some $m \leq i$, $r_i = \text{top}(\mathcal{R}_{\alpha+m})$ and by the consistency of $\Theta_{\alpha+m} \cup \{r_i\}$, it is added to $\Theta_{\alpha+m+1}$. This is a contradiction. \square

In the following, given some proof \mathbb{P} based on some (strict and/or defeasible) inference rules \mathcal{R} , let $\text{topD}(\mathbb{P})$ denote the set of the top (or last) defeasible rules used in \mathbb{P} .

Fact 18. *If $\Delta \in \hat{\wp}(\mathcal{D})$, $\Theta \in \text{MCS}(\mathcal{D})$, and $\perp(\Delta \cup \Theta)$, then $\Theta \vdash \overline{\psi}$ for some $\psi \in \text{head}[\Delta]$.*

Proof. Since $\perp(\Delta \cup \Theta)$, i.e., $\Theta \cup \Delta$ is inconsistent, there is a $\Lambda \in \hat{\wp}(\Theta \cup \Delta)$ for which $\Lambda \vdash \overline{\phi}$ where $\phi \in \text{head}(r)$ for some $r \in \Theta \cup \Delta$. If $\Lambda \subseteq \Theta$, by the consistency of Θ , $r \in \Delta$ and so we are done.

Otherwise, let $\Lambda \setminus \Theta = \{r_1, \dots, r_n\}$. By Fact 17, there is an $r_i \in \Lambda \setminus \Theta$ for which some set in $\hat{\phi}(\Lambda \cap \Theta)$ triggers r_i . Thus, Θ triggers r_i and so $\Theta \cup \{r_i\} \in \hat{\phi}(\mathcal{D})$ is inconsistent (by the \subseteq -maximality of Θ). Thus, there is a $\Omega \in \hat{\phi}(\Theta \cup \{r_i\})$ such that $\Omega \vdash \overline{\psi}$ for some $r' \in \Theta \cup \{r_i\}$ such that $\psi = \text{head}(r')$.

If $r_i \notin \Omega$ then $r' = r_i$ by the consistency of Θ and our proof is finished.

Suppose now that $r_i \in \Omega$. Let \mathbb{P} be a proof of $\overline{\psi}$ from Ω . Let $\text{topD}(\mathbb{P}) = \{r_{k_1}, \dots, r_{k_m}\}$. Suppose first that $r_i \notin \text{topD}(\mathbb{P})$. But then there is a proof of $\overline{\psi}$ from Θ since each $r_{k_i} \in \Theta$ and as such is triggered by some $\Theta_i \in \hat{\phi}(\Theta)$. Let $\Theta_i^+ = \Theta_i \cup \{r_{k_i}\}$. Thus, $\bigcup_{1 \leq i \leq m} \Theta_i^+ \vdash \overline{\psi}$. Thus, by the consistency of Θ , $r' = r_i$ and we are done. Suppose now that $r_i \in \text{topD}(\mathbb{P})$. Without loss of generality, suppose $r_i = r_{k_m}$. So, $\mathcal{K}, \text{head}(r_{k_1}), \dots, \text{head}(r_{k_{m-1}}) \vdash_{\mathcal{S}} \overline{\psi}$. By S1, $\mathcal{K}, \text{head}(r_{k_1}), \dots, \text{head}(r_{k_{m-1}}), \psi \vdash_{\mathcal{S}} \text{head}(r_i)$.

If $r_i = r'$, by S2, $\mathcal{K}, \text{head}(r_{k_1}), \dots, \text{head}(r_{k_{m-1}}) \vdash_{\mathcal{S}} \overline{\text{head}(r_i)}$ and thus $\Theta_1^+, \dots, \Theta_{m-1}^+ \vdash \overline{\text{head}(r_i)}$. Since, in view of Fact 12, $\Theta_1^+ \cup \dots \cup \Theta_{m-1}^+ \in \hat{\phi}(\Theta)$ our proof is finished.

If $r_i \neq r'$, then $r' \in \Theta$ and since Θ triggers r' , there is a $\Theta_{r'} \in \hat{\phi}(\Theta)$ that triggers r' . Thus, $\Theta_1^+, \dots, \Theta_{m-1}^+, \Theta_{r'} \cup \{r'\} \vdash \overline{\text{head}(r_i)}$. Since, in view of Fact 12, $\Theta_1^+ \cup \dots \cup \Theta_{m-1}^+ \cup \Theta_{r'} \cup \{r'\} \in \hat{\phi}(\Theta)$, our proof is finished. \square

Lemma 2. *Where $\Theta \in \hat{\phi}(\mathcal{D})$ is consistent, there are no $a, b \in \text{Arg}(\Theta)$ such that a attacks b .*

Proof. Where $a, b \in \text{Arg}(\Theta)$, suppose a attacks b . Thus, there is an $r \in \mathcal{D}(b)$ such that $\mathcal{D}(a) \vdash \overline{\text{head}(r)}$. This is in contradiction to the consistency of Θ since $\mathcal{D}(a) \in \hat{\phi}(\Theta)$ and $r \in \Theta$. \square

Lemma 3. *Where $\Theta \in \text{MCS}(\mathcal{D})$, $\text{Arg}(\Theta) \in \text{stab}(\text{AS})$ and $\text{Arg}(\Theta) \in \text{stab}^\top(\text{AS})$.*

Proof. Let $\mathcal{A} = \text{Arg}(\Theta)$, where $\Theta \in \text{MCS}(\mathcal{D})$. By Lemma 2, \mathcal{A} is conflict-free. Consider now some $b \in \text{Arg}(\text{AS}) \setminus \mathcal{A}$. Thus, $\mathcal{D}(b) \setminus \Theta \neq \emptyset$. Notice that since $\Theta \in \text{MCS}(\mathcal{D})$, $\perp(\mathcal{D}(b) \cup \Theta)$ and hence, by Fact 18, there is a $r' \in \mathcal{D}(b)$ for which $\Theta \vdash \overline{\text{head}(r')}$. Thus, there is a $\Theta' \in \hat{\phi}(\Theta)$ such that there is a proof from Θ' with conclusion $\text{head}(r')$. The corresponding argument $a \in \mathcal{A}$ with $\mathcal{D}(a) \subseteq \Theta'$ (see Fact 10) attacks b . \square

The next fact follows since any defeater/attacker of a sub-argument of some argument a also defeats/attacks a .

Fact 19 (Sub-Argument Closure). *Where \mathcal{A} is a complete extension of AS, $a \in \mathcal{A}$ and b is a sub-argument of a , $b \in \mathcal{A}$.*

Fact 20. *Where $\mathcal{A} \subseteq \text{Arg}(\text{AS})$ is closed under sub-arguments and $r \in \mathcal{D}[\mathcal{A}]$, there is an argument $a \in \mathcal{A}$ with top-rule r .*

Proof. Suppose $r \in \mathcal{D}[\mathcal{A}]$. Thus, there is a $b \in \mathcal{A}$ with $r \in \mathcal{D}(b)$. Thus, there is a $a \in \text{Sub}(b)$ with top-rule r . By sub-argument closure, $a \in \mathcal{A}$. \square

Lemma 4. *Where $\mathcal{A} \in \text{pref}(\text{AS})$ (resp. $\mathcal{A} \in \text{pref}^\top(\text{AS})$), $\mathcal{D}[\mathcal{A}]$ is consistent.*

Proof. Suppose $\Theta = \mathcal{D}[\mathcal{A}]$ is inconsistent. Thus, there is a finite $\Delta \in \hat{\phi}(\Theta)$ and an $r \in \Theta$ for which $\Delta \vdash \overline{\text{head}(r)}$.

Since $\Delta \cup \{r\} \subseteq \mathcal{D}[\mathcal{A}]$ and by the sub-argument closure of \mathcal{A} (Fact 19) and by Fact 20, for each $r' \in \Delta \cup \{r\}$ there is a $a_{r'} \in \mathcal{A}$ with top-rule r' . Let $\Delta_{r'} = \mathcal{D}(a_{r'})$.

Since $\Delta \vdash \overline{\text{head}(r)}$, $\Delta^* = \bigcup \{\Delta_{r'} \mid r' \in \Delta \cup \{r\}\}$ is inconsistent and by Fact 12 $\Delta^* \in \hat{\wp}(\Theta)$. Let $\Delta^* = \bigcup_{i=0}^k \Delta_i^* = \Delta_k^*$ as in Fact 16. Thus, there is a minimal $1 \leq l \leq k$ for which Δ_l^* is inconsistent. Let $\Delta_l^* \setminus \Delta_{l-1}^* = \{r_{l_1}, \dots, r_{l_m}\}$. Let l' be maximal in $\{l_1, \dots, l_m\}$ such that $\Lambda = \Delta_{l-1}^* \cup \{r_{l_1}, \dots, r_{l'}\}$ is consistent while $\Lambda \cup \{r_{l'+1}\}$ is inconsistent. So, $\Lambda \cup \{r_{l'+1}\} \vdash \overline{\text{head}(r')}$ for some r' in $\Lambda \cup \{r_{l'+1}\}$. Note that $\Lambda, \Lambda \cup \{r_{l'+1}\} \in \hat{\wp}(\mathcal{D})$. By Fact 11 (and the compactness of $\vdash_{\mathcal{S}}$), $\mathcal{K}', \text{head}[\Lambda], \text{head}(r_{l'+1}) \vdash_{\mathcal{S}} \overline{\text{head}(r')}$ for some finite $\mathcal{K}' \subseteq \mathcal{K}$ and by S1 and S2, $\mathcal{K}', \text{head}[\Lambda] \vdash_{\mathcal{S}} \overline{\text{head}(r_{l'+1})}$.

Where $\Lambda = \{r'_1, \dots, r'_m\}$ and $\mathcal{K}' = \{\kappa_1, \dots, \kappa_m, a_{r'_1}, \dots, a_{r'_m}\} \rightarrow \overline{\text{head}(r_{l'+1})} \in \text{Arg}^{\top}(\text{AS})$ attacks $a_{r_{l'+1}}$. Thus, there is a $c \in \mathcal{A}$ that attacks b . But this attack must take place in some $a_{r'_i}$ in contradiction to the conflict-freeness of \mathcal{A} . \square

Lemma 5. *For every $\mathcal{A} \in \text{pref}(\text{AS})$ (resp. $\mathcal{A} \in \text{pref}^{\top}(\text{AS})$) there is a $\Theta \in \text{MCS}(\mathcal{D})$ such that $\mathcal{A} = \text{Arg}(\Theta)$. In signs: $\text{pref}(\text{AS}) \subseteq \text{Arg}[\text{MCS}(\mathcal{D})]$ (resp. $\text{pref}^{\top}(\text{AS}) \subseteq \text{Arg}[\text{MCS}(\mathcal{D})]$).*

Proof. Suppose $\mathcal{A} \in \text{pref}(\text{AS})$ (resp. $\mathcal{A} \in \text{pref}^{\top}(\text{AS})$) and let $\Theta = \mathcal{D}[\mathcal{A}]$. By Lemma 4, Θ is consistent.

Assume now that it is not maximal consistent. By Lemma 1, there is a maximal consistent $\Delta \in \hat{\wp}(\mathcal{D})$ for which $\Delta \supset \Theta$. By Lemma 3, $\text{Arg}(\Delta)$ is stable and thus also admissible which contradicts the maximality of Θ . \square

The following theorem follows immediately with Lemma 3 and Lemma 5.

Theorem 10. *Where $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{\quad})$ and \mathcal{S} satisfies S1 and S2,*

$$\text{pref}(\text{AS}) = \text{stab}(\text{AS}) = \text{pref}^{\top}(\text{AS}) = \text{stab}^{\top}(\text{AS}) = \{\text{Arg}(\Theta) \mid \Theta \in \text{MCS}(\mathcal{D})\}.$$

A.2. Grounded semantics for flat frameworks

We first show that the free set exists (see Def. 19).

Lemma 6. *Where $\Xi = \bigcap \text{MCS}(\mathcal{D})$, there is a unique \subset -maximal $\Delta \in \hat{\wp}(\mathcal{D})$ that is contained in Ξ .*

Proof. Let $\{\Delta_1, \dots, \Delta_n\}$ be the set of all $\Delta_i \in \hat{\wp}(\mathcal{D})$ contained in Ξ . Then, by Fact 12, also $\Delta = \bigcup_{i=1}^n \Delta_i \in \hat{\wp}(\mathcal{D})$. \square

The following lemma shows that the free set in \mathcal{D} can be constructed in a bottom-up iterative way.

Lemma 7. *$\text{Free}(\mathcal{D}) = \bigcup_{i \geq 0} \Theta_i$ where $\Theta_0 = \emptyset$ and Θ_{i+1} is the set of all $r \in \bigcap \text{MCS}(\mathcal{D})$ that are triggered by Θ_i .*

Proof. “ \supseteq ”: This follows since by its construction, $\bigcup_{i \geq 0} \Theta_i \in \hat{\wp}(\mathcal{D})$ and $\bigcup_{i \geq 0} \Theta_i \subseteq \text{MCS}(\mathcal{D})$.

“ \subseteq ”: Assume for a contradiction that $\text{Free}(\mathcal{D}) \setminus \bigcup_{i \geq 0} \Theta_i \neq \emptyset$. By Fact 17, there is a $r \in \text{Free}(\mathcal{D}) \setminus \bigcup_{i \geq 0} \Theta_i$ that is triggered by $\bigcup_{i \geq 0} \Theta_i$. But then $r \in \bigcup_{i \geq 0} \Theta_i$. This is a contradiction. \square

Lemma 8. *For all $\Delta \in \hat{\wp}(\mathcal{D})$, if $\perp(\Delta \cup \text{Free}(\mathcal{D}))$ then $\perp \Delta$.*

Proof. Suppose $\Delta \in \hat{\phi}(\mathcal{D})$ is consistent. By Lemma 1, there is a $\Omega \in \text{MCS}(\mathcal{D})$ such that $\Delta \subseteq \Omega$. Thus, $\text{Free}(\mathcal{D}) \subseteq \Omega$ and so $\Delta \cup \text{Free}(\mathcal{D})$ is consistent. \square

Lemma 9. *There is no $a \in \text{Arg}^\top(\text{AS})$ that attacks some argument in $\text{Arg}(\text{Free}(\mathcal{D}))$.*

Proof. Suppose some $a \in \text{Arg}(\text{AS})$ attacks a $b \in \text{Arg}(\text{Free}(\mathcal{D}))$. Thus, $\mathcal{D}(a) \vdash \overline{\text{head}(r)}$ for some $r \in \mathcal{D}(b)$. Thus, $\perp(\mathcal{D}(a) \cup \text{Free}(\mathcal{D}))$. By Lemma 8, $\perp \mathcal{D}(a)$. \square

Theorem 11. *Where $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{})$ and \mathcal{S} satisfies **S1** and **S2**, $\text{Arg}(\text{Free}(\mathcal{D})) = \text{groun}^\top(\text{AS})$.*

Proof. “ \supseteq ”: Note that $\text{groun}^\top(\text{AS}) \subseteq \mathcal{A}$ for every preferred[⊤] extension \mathcal{A} of AS . This means that $\text{groun}^\top(\text{AS}) \subseteq \bigcap \text{pref}^\top(\text{AS})$ and by Theorem 10, $\text{groun}^\top(\text{AS}) \subseteq \text{Arg}(\bigcap \text{MCS}(\mathcal{D}))$. Also $\mathcal{D}[\text{groun}^\top(\text{AS})] \in \hat{\phi}(\mathcal{D})$ and so $\text{groun}^\top(\text{AS}) \subseteq \text{Arg}(\text{Free}(\mathcal{D}))$.

“ \subseteq ”: By Lemma 9, $\text{Arg}^\top(\text{Free}(\mathcal{D}))$ has no attackers in $\text{Arg}^\top(\text{AS})$. Thus, trivially $\text{Arg}(\text{Free}(\mathcal{D})) \subseteq \text{groun}^\top(\text{AS})$. \square

In view of Theorem 11 and Lemma 9 we have:

Corollary 1. *Where $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{})$ and \mathcal{S} satisfies **S1** and **S2**, $\text{groun}^\top(\text{AS})$ is the set of arguments in $\text{Arg}^\top(\text{AS})$ that have no attackers in $\text{Arg}^\top(\text{AS})$.*

A.3. The prioritized case

In what follows we assume a fixed $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{}, \leq)$ where \mathcal{S} satisfies **S1** and **S2**.

Fact 21. *Where $\Delta, \Theta, \Lambda \in \hat{\phi}(\mathcal{D})$,*

- (1) $\mathcal{R}[\Delta] \geq \mathcal{R}[\Delta \cup \Theta]$
- (2) *if $\mathcal{R}[\Delta] \geq \mathcal{R}[\Lambda]$ and $\mathcal{R}[\Theta] \geq \mathcal{R}[\Delta \cup \Lambda]$ then $\mathcal{R}[\Delta \cup \Theta] \geq \mathcal{R}[\Lambda]$.*

We again provide a Lindenbaum-like construction for showing the existence of maximal \mathcal{R} -consistent superset of \mathcal{R} -consistent sets in $\hat{\phi}(\mathcal{D})$.

Lemma 10. *Where $\Theta \in \hat{\phi}(\mathcal{D})$ is \mathcal{R} -consistent, there is a maximal \mathcal{R} -consistent $\Delta \in \hat{\phi}(\mathcal{D})$ such that $\Delta \supseteq \Theta$.*

Proof. Suppose $\mathcal{D} = \{r_1, \dots, r_n\}$. For any set of rules Ξ , let the function $\text{top}(\Xi)$ return the rule with the lowest index. Our construction is similar to the one in Lemma 1.

We again let $\text{tr}(\Lambda)$ be the set of all rules in \mathcal{D} triggered by Λ except those in Λ . Where $r \in \text{tr}(\Lambda)$ let $\text{trS}(\Lambda, r) =_{\text{df}} \{\Lambda' \in \hat{\phi}(\Lambda) \mid \Lambda' \text{ triggers } r\}$. Let $\text{trR}(\Lambda, r) =_{\text{df}} \max(\{\mathcal{R}[\Lambda'] \mid \Lambda' \in \text{trS}(\Lambda, r)\})$. Finally, let $\text{tr}_{\max}(\Lambda) =_{\text{df}} \{r \in \text{tr}(\Lambda) \mid \text{trR}(\Lambda, r) = \max(\{\text{trR}(\Lambda, r') \mid r' \in \text{tr}(\Lambda)\})\}$. Informally, $\text{tr}_{\max}(\Lambda)$ contains those rules triggered by Λ whose strongest trigger sets in Λ are strongest as compared to the strongest trigger sets of other triggered rules. We now construct $\Delta =_{\text{df}} \bigcup_{\alpha \geq 0} \Theta_i$ via a transfinite induction (bounded by ω^2) as follows: $(\Theta_0; \mathcal{R}_0; \mathcal{X}_0) =_{\text{df}} (\Theta; \text{tr}_{\max}(\Theta); \emptyset)$ and, where α is a successor

ordinal,

$$\begin{aligned}
& (\Theta_{\alpha+1}; \mathcal{R}_{\alpha+1}; \mathcal{X}_{\alpha+1}) \\
& =_{\text{df}} \begin{cases} (\Theta_{\alpha} \cup \{\text{top}(\mathcal{R}_{\alpha})\}; \text{tr}_{\max}(\Theta_{\alpha} \cup \text{top}(\mathcal{R})); \mathcal{X}_{\alpha}) & \text{if not } \perp(\Theta_{\alpha} \cup \{\text{top}(\mathcal{R}_{\alpha})\}) \\ (\Theta_{\alpha}; \mathcal{R}_{\alpha} \setminus \{\text{top}(\mathcal{R}_{\alpha})\}; \mathcal{X}_{\alpha} \cup \{\text{top}(\mathcal{R}_{\alpha})\}) & \text{else} \end{cases}
\end{aligned}$$

and $(\Theta_{\alpha}; \mathcal{R}_{\alpha+1}; \mathcal{X}_{\alpha+1}) =_{\text{df}} (\bigcup_{\beta < \alpha} \Theta_{\beta}; \bigcap_{\beta < \alpha} \mathcal{R}_{\beta}; \bigcup_{\beta < \alpha} \mathcal{X}_{\beta})$, where α is a limit ordinal.

- (i) We first show (inductively) that each Θ_{α} is consistent. For Θ_0 this holds by the supposition. For the inductive step consider first a successor ordinal α and suppose Θ_{α} is consistent. Then, by the construction also $\Theta_{\alpha+1}$ is consistent. Consider now a limit ordinal α and suppose for all $\beta < \alpha$, Θ_{β} is consistent. Assume for a contradiction that that Θ_{α} is inconsistent. Thus, there is a finite $\Theta' \cup \{r\} \subseteq \Theta_{\alpha}$ such that $\Theta' \vdash \overline{\text{head}(r)}$. Thus, there is a $\beta < \alpha$ for which $\Theta' \cup \{r\} \subseteq \Theta_{\beta}$. But then Θ_{β} is inconsistent in contradiction to our inductive hypothesis.
- (ii) In view of the construction (and Fact 12), $\langle \Theta_{\alpha} \rangle_{\alpha \geq 0}$ is a monotonically \subseteq -increasing sequence of sets in $\hat{\phi}(\mathcal{D})$. Also by Fact 12, $\Delta \in \hat{\phi}(\mathcal{D})$.
- (iii) Our main work now is to show that each Θ_{α} is R-consistent for each ordinal α . This can be done inductively, where the base case holds by definition.

For the inductive step we first consider a limit ordinal α . Suppose Θ_{β} is R-consistent for each $\beta < \alpha$. Assume for a contradiction that Θ_{α} is not R-consistent. Thus, there is a $\Delta \in \hat{\phi}(\mathcal{D})$ such that $\perp(\Theta_{\alpha} \cup \Delta)$ and for all $\Theta' \in \hat{\phi}(\Theta_{\alpha})$, $\mathcal{R}[\Delta] > \mathcal{R}[\Theta']$ if $\perp(\Delta \cup \Theta')$. Since $\perp(\Theta_{\alpha} \cup \Delta)$, by compactness of $\vdash_{\mathcal{S}}$ there is a finite $\Theta'' \subseteq \Theta_{\alpha}$ such that $\perp(\Theta'' \cup \Delta)$. Thus, there is a $\beta < \alpha$ for which $\Theta'' \subseteq \Theta_{\beta}$ and so $\perp(\Theta_{\beta} \cup \Delta)$. Since by the inductive hypothesis, Θ_{β} is R-consistent, there is a $\Theta' \in \hat{\phi}(\Theta_{\beta}) \subseteq \hat{\phi}(\Theta_{\alpha})$ such that $\perp(\Theta' \cup \Delta)$ and $\mathcal{R}[\Theta'] \geq \mathcal{R}[\Delta]$, which is a contradiction.

We now consider a successor ordinal $\alpha = \beta + 1$. Assume for a contradiction that $\Theta_{\alpha} = \Theta_{\beta} \cup \{r'\}$ is not R-consistent. Therefore there is a $\Delta \in \hat{\phi}(\mathcal{D})$ that is inconsistent with Θ_{α} such that (\dagger) for all $\Theta' \in \hat{\phi}(\Theta_{\alpha})$ that are inconsistent with Δ , $\mathcal{R}[\Delta] > \mathcal{R}[\Theta']$. If $\perp(\Delta \cup \Theta_{\beta})$ then, by the inductive hypothesis, there would be a $\Theta'' \in \hat{\phi}(\Theta_{\beta}) \subseteq \hat{\phi}(\Theta_{\alpha})$ that is inconsistent with Δ and for which $\mathcal{R}[\Theta''] \geq \mathcal{R}[\Delta]$. So, $\Delta \cup \Theta_{\beta}$ is consistent.

Since $\perp(\Theta_{\alpha} \cup \Delta)$, there is a $\Lambda \in \hat{\phi}(\Delta \cup \Theta_{\alpha})$ and a $r \in \Delta \cup \Theta_{\alpha}$ for which $\Lambda \vdash \overline{\phi}$, where $\text{head}(r) = \phi$. Let \mathbb{P} be the corresponding proof.

Let $(\text{TopD}(\mathbb{P}) \cup \{r\}) \cap \Theta_{\alpha} = \{r_1, \dots, r_m\}$ and $(\text{TopD}(\mathbb{P}) \cup \{r\}) \setminus \Theta_{\alpha} = \{r_{m+1}, \dots, r_n\}$. For each $1 \leq j \leq m$ there is a R-maximal trigger set $\Omega_j \in \hat{\phi}(\Theta_{\beta})$. Let $\Omega_j^+ = \Omega_j \cup \{r_j\} \in \hat{\phi}(\Theta_{\alpha})$. Also, for each $m < j \leq n$ there is a trigger set $\Delta_j \in \hat{\phi}(\Delta)$. Let $\Delta_j^+ = \Delta_j \cup \{r_j\} \in \hat{\phi}(\Delta)$. Note that $n > m$ since otherwise $\perp \Theta_{\alpha}$.

By Fact 12, $\bigcup_{j=1}^m \Omega_j^+ \in \hat{\phi}(\Theta_{\alpha})$ and $\bigcup_{j=m+1}^n \Delta_j^+ \in \hat{\phi}(\Delta)$. By Fact 21, since $\perp(\bigcup_{j=1}^m \Omega_j^+ \cup \Delta)$ and by (\dagger) , $\mathcal{R}[\bigcup_{j=1}^m \Omega_j^+] < \mathcal{R}[\Delta] \leq \mathcal{R}[\bigcup_{j=m+1}^n \Delta_j^+]$.

Recall that $\Theta_{\alpha} = \Theta_{\beta} \cup \{r'\}$. Without loss of generality let $r' = r_m$. (Note for this that r' must be among $\text{TopD}(\mathbb{P})$ since otherwise $\text{TopD}(\mathbb{P}) \cap \Theta_{\alpha} \subseteq \Theta_{\beta}$ and so $\bigcup_{j=1}^m \Omega_j^+ \subseteq \Theta_{\beta}$ and hence $\perp(\Theta_{\beta} \cup \Delta)$ which was already shown to be impossible.)

Assume now for a contradiction that $\mathcal{R}[\Delta_j^+] > \mathcal{R}[\Omega_m^+]$ for some $m < j \leq n$. We now show that this means that this would imply that r' is not added to Θ_{β} but rather some $r'' \in \Delta_j^+$. To see this let $\Delta_j^+ = \bigcup_{i=1}^k \Delta^i$ as in Fact 16. Note that $\Delta_j^+ \setminus \Theta_{\beta} \neq \emptyset$ since $r_j \notin \Theta_{\alpha}$. Thus, there is a minimal $1 \leq l \leq k$

for which $\Delta^l \setminus \Theta_\beta \neq \emptyset$. Thus, any $r'' \in \Delta^l \setminus \Theta_\beta$ is triggered by Θ_β : by \emptyset if $l = 0$ resp. by $\Delta^{l-1} \subseteq \Theta_\beta$ if $l > 0$. Since not $\perp(\Theta_\beta \cup \{r''\})$ (recall that $\Theta_\beta \cup \Delta$ is consistent), $\mathcal{R}[\{r''\}] \geq \mathcal{R}[\Delta_j^+] > \mathcal{R}[\Omega_m^+]$ resp. $\mathcal{R}[\Delta^{l-1}] \geq \mathcal{R}[\Delta_j^+] > \mathcal{R}[\Omega_m^+]$, and Ω_m is an R-maximal trigger set of r' , $r' \notin \text{tr}_{\max}(\Theta_\beta)$ which is a contradiction. Thus, $(\star) \mathcal{R}[\Delta_j^+] \leq \mathcal{R}[\Omega_m^+]$ for every $m < j \leq n$.

Since $\bigcup_{j=1}^{m-1} \Omega_j^+ \subseteq \Theta_\beta$, $\perp(\Theta_\beta \cup \Delta \cup \Omega_m^+)$. Since Θ_β is by the inductive hypothesis R-consistent, there is a $\Theta'' \in \hat{\wp}(\Theta_\beta)$ for which $\perp(\Theta'' \cup \Delta \cup \Omega_m^+)$ and $\mathcal{R}[\Theta''] \geq \mathcal{R}[\Delta \cup \Omega_m^+]$. By (\star) , $\Delta_j^+ \subseteq \Delta$ and Fact 21, $\mathcal{R}[\Theta'' \cup \Omega_m^+] \geq \mathcal{R}(\Delta)$. Since, by Fact 12, $\Theta'' \cup \Omega_m^+ \in \hat{\wp}(\Theta_\alpha)$ this is a contradiction.

This concludes our inductive argument that each Θ_α is R-consistent. We now show that Δ is R-consistent. Suppose for this that $\perp(\Delta \cup \Omega)$ for some $\Omega \in \hat{\wp}(\mathcal{D})$. Thus, there is a $\Delta' \in \hat{\wp}(\Delta)$ and a $r \in \Delta' \cup \Omega$ such that $\Delta' \cup \Omega \vdash \text{head}(r)$ and so $\perp(\Delta' \cup \Omega)$. Thus, there is a minimal β for which $\Delta' \subseteq \Theta_\beta$. Thus, $\perp(\Theta_\beta \cup \Omega)$ and since Θ_β is R-consistent, there is a $\Theta'_\beta \in \hat{\wp}(\Theta_\beta)$ for which $\perp(\Theta'_\beta \cup \Omega)$ and $\mathcal{R}[\Theta'_\beta] \geq \mathcal{R}[\Omega]$. Since $\Theta'_\beta \in \hat{\wp}(\Delta)$ this shows that Δ is R-consistent.

We still have to show that Δ is maximal R-consistent. Assume for a contradiction that there is an R-consistent $\Delta' \in \hat{\wp}(\mathcal{D})$ for which $\Delta' \supset \Delta$. By Fact 17, there is an $r \in \Delta' \setminus \Delta$ that is triggered by Δ . So, there is a minimal β such that Δ_β triggers r . Since Δ is consistent with r and therefore every Δ_α is consistent with r , r is never moved to \mathcal{X}_α in our construction. Since r will eventually become $\text{top}(\mathcal{R}_\alpha)$ for some $\alpha \geq \beta$ and so be in $\Theta_{\alpha+1}$, which is a contradiction to $r \notin \Delta$. \square

As expected, maximal R-consistent sets are maximal consistent.

Lemma 11. $\text{MCS}_{\mathcal{R}}(\mathcal{D}) \subseteq \text{MCS}(\mathcal{D})$.

Proof. We show that an R-consistent set that is not maximally consistent cannot be maximally R-consistent. Suppose for this that $\Delta \in \hat{\wp}(\mathcal{D})$ is R-consistent. Suppose $\Theta \in \text{MCS}(\mathcal{D})$ and $\Theta \supset \Delta$. Since $\Theta \in \hat{\wp}(\mathcal{D})$, with Fact 17 there are rules in $\Theta \setminus \Delta$ that are triggered by Δ and that are consistent with Δ (in view of the consistency of Θ). In our construction in the proof of Lemma 10 some rules in $\text{tr}(\Delta) \cap \Theta$ contain thus rules consistent with Δ . Some of these will eventually end up in $\text{top}(\text{tr}_{\max}(\Delta))$ (after inconsistent maximally triggered rules have been removed to \mathcal{X}_α). Since adding such rules to Δ results in a R-consistent set (as shown in the proof of Lemma 10), Δ is not maximal R-consistent. \square

In fact, the maximal R-consistent sets are exactly those maximal consistent sets that are R-consistent:

Proposition 1. $\Lambda \in \text{MCS}_{\mathcal{R}}(\mathcal{D})$ iff $\Lambda \in \text{MCS}(\mathcal{D})$ and Λ is R-consistent.

Proof. The left-to-right direction is Lemma 11. Suppose $\Lambda \in \text{MCS}(\mathcal{D})$ is R-consistent and assume $\Theta \in \hat{\wp}(\mathcal{D})$ is R-consistent and $\Theta \supset \Lambda$. But then, by Lemma 11, $\Theta \in \text{MCS}_{\mathcal{R}}(\mathcal{D})$ which contradicts that $\Lambda \in \text{MCS}(\mathcal{D})$. \square

We now investigate how maximal R-consistent sets are related to preferred and stable extensions.

Lemma 12. Where $\Theta \in \text{MCS}_{\mathcal{R}}(\mathcal{D})$, $\text{Arg}(\Theta) \in \text{stab}(\text{AS})$.

Proof. By Lemma 11, $\Theta \in \text{MCS}(\mathcal{D})$ and so by Lemma 2, $\mathcal{A} = \text{Arg}(\Theta)$ is conflict-free.

Suppose $b \in \text{Arg} \setminus \mathcal{A}$. Thus, $\mathcal{D}(b) \in \hat{\wp}(\mathcal{D}) \setminus \hat{\wp}(\Theta)$. Since Θ is maximal consistent, by Fact 18, $\perp(\mathcal{D}(b) \cup \Theta)$. Let $\Delta \in \hat{\wp}(\mathcal{D}(b))$ be \subset -minimal with the property $\perp(\Delta \cup \Theta)$. Thus, $\mathcal{R}[\mathcal{D}(b)] \leq \mathcal{R}[\Delta]$.

Since $\Theta \in \text{MCS}_{\mathcal{R}}(\mathcal{D})$, there is a $\Theta' \in \hat{\wp}(\Theta)$ such that $\perp(\Theta' \cup \Delta)$ and $\mathcal{R}[\Theta'] \geq \mathcal{R}[\Delta]$. Thus, there is a $\Lambda \in \hat{\wp}(\Theta' \cup \Delta)$ and a $r \in \Theta' \cup \Delta$ for which $\Lambda \vdash \bar{\phi}$, where $\phi = \text{head}(r)$. Let \mathbb{P} a proof of $\bar{\phi}$ from Λ . Let $\text{TopD}(\mathbb{P}) = \{r_1, \dots, r_n\}$ with $\text{head}(r_i) = \phi_i$ for each $1 \leq i \leq n$ and corresponding sub-proofs \mathbb{P}_i with top-rules r_i . Thus, $\mathcal{K}, \phi_1, \dots, \phi_n \vdash_S \bar{\phi}$.

We will assume that our proof \mathbb{P} is such that $r \notin \text{TopD}(\mathbb{P})$. Note that one can simply transform a proof that doesn't have this property in the following way. Assume that $r = r_i$ for some $1 \leq i \leq n$, say $i = 1$. By **S2**, $\mathcal{K}, \phi_2, \dots, \phi_n \vdash_S \bar{\phi}$. We can now safely remove sub-proof \mathbb{P}_1 and obtain a proof of $\bar{\phi}$ from Λ with $r \notin \text{TopD}(\mathbb{P})$.

Suppose now that $\text{TopD}(\mathbb{P}) \setminus \Theta' = \emptyset$ and so $\text{TopD}(\mathbb{P}) \subseteq \Theta'$. Then by the consistency of Θ , $r \in \Delta \setminus \Theta$. Since each $r' \in \text{TopD}(\mathbb{P})$ has a trigger set in Θ' we have $\Theta' \vdash \bar{\phi}$. So, by Fact 10, there is an argument a with $\mathcal{D}(a) \subseteq \Theta'$ and conclusion $\bar{\phi}$. This argument defeats b since in view of Fact 21, $\mathcal{R}[\mathcal{D}(a)] \geq \mathcal{R}[\Theta'] \geq \mathcal{R}[\Delta] \geq \mathcal{R}[\mathcal{D}(b)]$.

Suppose now that $\text{TopD}(\mathbb{P}) \setminus \Theta' \neq \emptyset$. Let, after some renaming, $\text{TopD}(\mathbb{P}) \setminus \Theta' = \{r_1, \dots, r_m\}$. Let for each $m < i \leq n$, Θ_i be a trigger set of r_i in $\hat{\wp}(\Theta')$ and $\Theta_i^+ = \Theta_i \cup \{r_i\}$. Note that since $\Delta \in \hat{\wp}(\mathcal{D})$, for each $1 \leq i \leq m$ there is a \subset -minimal $\Delta_i \in \hat{\wp}(\Delta)$ that triggers r_i . Let $\Delta_i^+ = \Delta_i \cup \{r_i\}$.

Suppose first that $\Delta_i^+ \subsetneq \Delta$ for each $1 \leq i \leq m$. Thus, by the \subset -minimality of Δ and the maximal consistency of Θ and since $\Theta \cup \Delta_i^+$ is consistent, $\Delta_i^+ \subseteq \Theta$. Thus, $r \in \Delta \setminus \Theta$ since otherwise $\Theta \vdash \bar{\phi}$ for $r \in \Theta$ contra the consistency of Θ . Since $\Delta \in \hat{\wp}(\mathcal{D})$, there is a \subset -minimal $\Delta_r \in \hat{\wp}(\Delta)$ that triggers r . Assume $\Delta_r \cup \{r\} \subsetneq \Delta$. But then by the \subset -minimality of Δ , $\Delta_r \cup \{r\}$ is consistent with Θ and so $\Delta_r \cup \{r\} \subseteq \Theta$ which contradicts $r \notin \Theta$. So, $\Delta_r \cup \{r\} = \Delta$. Note that any sub-argument c of b in which r is the top-rule will have $\mathcal{D}(c) = \Delta$ and is defeated by an argument $a \in \text{Arg}(\Theta)$ with top defeasible rules $\{r_1, \dots, r_n\}$ and $\mathcal{D}(a) = \bigcup_{i=1}^m \Delta_i^+ \cup \bigcup_{i=m+1}^n \Theta_i^+$. Note for this that $\mathcal{D}(a) \subseteq \Theta$ (since $\bigcup_{i=1}^m \Delta_i^+ \subseteq \Theta$) and so $a \in \text{Arg}(\Theta)$. Also $\mathcal{D}(a) \subseteq \Theta' \cup \Delta$ and since $\mathcal{R}[\Theta'] \geq \mathcal{R}[\Delta]$ by Fact 21 also $\mathcal{R}[\mathcal{D}(a)] \geq \mathcal{R}[\Delta]$.

Suppose now that there is a $1 \leq i \leq m$, say $i = 1$, for which $\Delta_1^+ = \Delta$. By Fact 14, for all $2 \leq i \leq m$, $\Delta_i^+ \subset \Delta$ and by the \subset -minimality of Δ and the maximal consistency of Θ , $\Delta_i^+ \subseteq \Theta$. Similarly, if $r \in \Delta$ there is a $\Delta_r \in \hat{\wp}(\Delta)$ that triggers r and since $r \notin \text{TopD}(\mathbb{P})$, also $r \neq r_1$, and hence $\Delta_r^+ \subset \Delta$ (where $\Delta_r^+ = \Delta_r \cup \{r\}$). So $\Delta_r^+ \subseteq \Theta$. Else, if $r \in \Theta' \setminus \Delta$, there is a $\Delta_r \in \hat{\wp}(\Theta')$ that triggers r . Let also in this case $\Delta_r^+ = \Delta_r \cup \{r\}$. Since $\mathcal{K}, \phi_1, \dots, \phi_n \vdash_S \bar{\phi}$, by **S1**, $\mathcal{K}, \phi_2, \dots, \phi_n, \phi \vdash_S \bar{\phi}_1$. Thus,

$$\Delta_2^+, \dots, \Delta_m^+, \Theta_{m+1}^+, \dots, \Theta_n^+, \Delta_r^+ \vdash \bar{\phi}_1.$$

Note that $\bigcup_{i=2}^m \Delta_i^+ \cup \bigcup_{i=m+1}^n \Theta_i^+ \cup \Delta_r^+ \subseteq \Theta$. Let $a \in \text{Arg}(\Theta)$ be a proof of $\bar{\phi}_1$ from $\bigcup_{i=2}^m \Delta_i^+ \cup \bigcup_{i=m+1}^n \Theta_i^+ \cup \Delta_r^+$. Since any sub-proof c of b in which r_1 is the top-rule will have $\mathcal{D}(c) = \Delta$, it is defeated by a since $\mathcal{D}(a) \subseteq \Theta' \cup \Delta$, $\mathcal{R}[\Theta'] \geq \mathcal{R}[\Delta]$ and so, by Fact 21, $\mathcal{R}[\mathcal{D}(a)] \geq \mathcal{R}[\mathcal{D}(c)] = \mathcal{R}[\Delta]$. \square

Fact 22. If $\Theta \in \hat{\wp}(\mathcal{D})$ is consistent then $\text{Arg}(\Theta) = \text{Arg}^\top(\Theta)$.

Lemma 13. Where $\Theta \in \text{MCS}_{\mathcal{R}}(\mathcal{D})$, $\text{Arg}^\top(\Theta) \in \text{stab}^\top(\text{AS})$.

Proof. Let $b \in \text{Arg}^\top(\text{AS}) \setminus \text{Arg}(\Theta)$. By Lemma 12, there is an $a \in \text{Arg}(\Theta)$ that defeats b . By Fact 22, $\text{Arg}(\Theta) = \text{Arg}^\top(\Theta)$ and so $a \in \text{Arg}^\top(\Theta)$. Also $\text{Arg}^\top(\Theta)$ is conflict-free since $\text{Arg}(\Theta)$ is conflict-free in view of Lemma 12. \square

Definition 21. Where $\Theta, \Delta \in \hat{\wp}(\mathcal{D})$ we say that Θ is *R-inconsistent with* Δ iff $\perp(\Theta \cup \Delta)$ and for all $\Theta' \in \hat{\wp}(\Theta)$ for which $\perp(\Theta' \cup \Delta)$, $\mathcal{R}[\Theta'] < \mathcal{R}[\Delta]$.

Fact 23. If $\Theta \in \hat{\wp}(\mathcal{D})$ is R-inconsistent (i.e., it is not R-consistent), then there is a $\Delta \in \hat{\wp}(\mathcal{D})$ such that Θ is R-inconsistent with Δ .

Fact 24. If $\Theta \in \hat{\wp}(\mathcal{D})$ is R-inconsistent with $\Delta \in \hat{\wp}(\mathcal{D})$ then Δ is consistent.

Proof. Assume for a contradiction that $\perp \Delta$. Since $\emptyset \in \hat{\wp}(\Theta)$, $\perp(\emptyset \cup \Delta)$ and $\mathcal{R}[\emptyset] \geq \mathcal{R}[\Delta]$, this is a contradiction to Θ being R-inconsistent with Δ . \square

Fact 25. If $\Theta \in \hat{\wp}(\mathcal{D})$ is R-inconsistent with Δ , $\Delta' \in \hat{\wp}(\Delta)$ and $\perp(\Theta \cup \Delta')$ then Θ is R-inconsistent with Δ' .

Proof. Consider an arbitrary $\Theta' \in \hat{\wp}(\Theta)$ such that $\perp(\Theta' \cup \Delta')$. Thus, $\perp(\Theta' \cup \Delta)$ and hence $\mathcal{R}[\Theta'] < \mathcal{R}[\Delta]$. Since by Fact 21 (Item 1), $\mathcal{R}[\Delta] \leq \mathcal{R}[\Delta']$, also $\mathcal{R}[\Theta'] < \mathcal{R}[\Delta']$. Thus, Θ is R-inconsistent with Δ' . \square

Lemma 14. If \mathcal{A} is a set of arguments in $\text{Arg}(\text{AS})$ that is closed under sub-arguments, $\mathcal{D}[\mathcal{A}] = \Theta$ is consistent and R-inconsistent with $\Delta \in \hat{\wp}(\mathcal{D})$ then there is a $b \in \text{Arg}^\top(\Delta \cup \Theta)$ and an $a \in \mathcal{A}$ such that (i) b defeats a and (ii) $\text{Sub}(b) \subseteq \mathcal{A} \cup \text{Arg}(\Delta)$.

Proof. Let $\Theta = \mathcal{D}[\mathcal{A}]$ and suppose Θ is R-inconsistent with Δ . Since \mathcal{A} is closed under sub-arguments, by Fact 20, for each $r \in \Theta$ there is an argument $a \in \mathcal{A}$ with top-rule r . Let $\mathcal{A}_{\mathcal{D}}$ be the set of all $a \in \mathcal{A}$ with defeasible top-rules.

Clearly, there is a set of arguments $\{a_1, \dots, a_n\} \subseteq \mathcal{A}_{\mathcal{D}}$ that is closed under sub-arguments in $\mathcal{A}_{\mathcal{D}}$ such that $\perp(\Delta \cup \mathcal{D}[\{a_1, \dots, a_n\}])$ and for all subsets $\mathcal{A}' \subset \{a_1, \dots, a_n\}$, Δ is consistent with $\mathcal{D}[\mathcal{A}']$. For each $1 \leq i \leq n$ let $\phi_i = \text{head}(r_i)$ where r_i is the top-rule of a_i .

Let $a \in \{a_1, \dots, a_n\}$ be such that (i) $\mathcal{R}[\mathcal{D}(a)] \leq \mathcal{R}[\mathcal{D}(a_i)]$ for all $1 \leq i \leq n$ and (ii) there is no $b \in \{a_1, \dots, a_n\}$ for which $a \in \text{Sub}(b) \setminus \{b\}$. Without loss of generality assume that $a = a_n$.

Since $\perp(\Delta \cup \mathcal{D}[\{a_1, \dots, a_n\}])$, there is a $r \in \Delta \cup \mathcal{D}[\{a_1, \dots, a_n\}]$ and a finite $\Delta' \in \hat{\wp}(\Delta)$ such that $\Delta' \cup \mathcal{D}[\{a_1, \dots, a_n\}] \vdash \text{head}(r)$. By Fact 12, $\Delta' \cup \mathcal{D}[\{a_1, \dots, a_n\}] \in \hat{\wp}(\mathcal{D})$. Thus, by Fact 11, $\mathcal{K}' \cup \text{head}[\Delta'] \cup \{\phi_1, \dots, \phi_n\} \vdash_S \text{head}(r)$ for some finite $\mathcal{K}' \subseteq \mathcal{K}$. Since $\text{head}(r) \in \text{head}[\Delta'] \cup \{\phi_1, \dots, \phi_n\}$ and by S1, $\mathcal{K}' \cup \text{head}[\Delta'] \cup \{\phi_1, \dots, \phi_{n-1}\} \vdash_S \overline{\phi_n}$ and $\Delta' \cup \mathcal{D}(a_1) \cup \dots \cup \mathcal{D}(a_{n-1}) \vdash \overline{\phi_n}$.

Let $\Delta' = \{r'_1, \dots, r'_m\}$. By Fact 25, Θ is R-inconsistent with Δ' . Since $\Delta' \in \hat{\wp}(\mathcal{D})$, by Fact 20, for each $1 \leq i \leq m$ there is an argument $d_i \in \text{Arg}^\top(\Delta')$ with top-rule r'_i . Where $\mathcal{K}' = \{\psi_1, \dots, \psi_l\}$ let $k_i = \langle \psi_i \rangle$ for each $1 \leq i \leq l$. Let $b = k_1, \dots, k_l, d_1, \dots, d_m, a_1, \dots, a_{n-1} \rightarrow \overline{\phi_n} \in \text{Arg}(\Delta \cup \Theta)$. By the \subset -minimality of $\{a_1, \dots, a_n\}$, $\Delta' \cup \mathcal{D}[\{a_1, \dots, a_{n-1}\}]$ is consistent and so $b \in \text{Arg}^\top(\text{AS})$.

Since Θ is R-inconsistent with Δ' and $\perp(\Delta' \cup \bigcup_{i=1}^n \mathcal{D}(a_i))$, $\mathcal{R}[\Delta'] \geq \mathcal{R}[\bigcup_{i=1}^n \mathcal{D}(a_i)]$. Also, $\mathcal{R}[\mathcal{D}(a_n)] \leq \mathcal{R}[\mathcal{D}(a_i)]$ for all $1 \leq i \leq n$. Thus, $\mathcal{R}[\mathcal{D}(a_n)] \leq \mathcal{R}[\bigcup_{i=1}^{n-1} \mathcal{D}(a_i)]$. Altogether, $\mathcal{R}[\mathcal{D}(a_n)] \leq \mathcal{R}[\mathcal{D}(b)]$ and thus b defeats a_n . \square

Lemma 15. Where $\mathcal{A} \in \text{pref}(\text{AS})$ (resp. $\mathcal{A} \in \text{pref}^\top(\text{AS})$), $\mathcal{D}[\mathcal{A}]$ is consistent.

Proof. Let $\mathcal{A}_{\mathcal{D}}$ be the set of all $a \in \mathcal{A}$ with defeasible top-rules. Suppose $\Theta = \mathcal{D}[\mathcal{A}]$ is inconsistent. Let $\{a_1, \dots, a_n\} \subseteq \mathcal{A}_{\mathcal{D}}$ be a \subset -minimal set of arguments in \mathcal{A} that is closed under sub-arguments in $\mathcal{A}_{\mathcal{D}}$ (recall that \mathcal{A} is closed under sub-arguments with Fact 19) and for which $\mathcal{D}[\{a_1, \dots, a_n\}]$ is inconsistent. Note that by Fact 12, $\mathcal{D}[\{a_1, \dots, a_n\}] \in \hat{\wp}(\Theta)$. For each $1 \leq i \leq n$, let r_i be the top-rule of a_i ,

$\Delta_i = \mathcal{D}(a_i)$, and let $\phi_i = \text{head}(r_i)$. Since $\perp \mathcal{D}[\{a_1, \dots, a_n\}]$, $\mathcal{D}[\{a_1, \dots, a_n\}] \vdash \overline{\phi_l}$ for some $1 \leq l \leq n$. By Fact 11 and the compactness of \mathcal{S} , there is a $\mathcal{K}' = \langle \kappa_1, \dots, \kappa_m \rangle \subseteq \mathcal{K}$ for which $\mathcal{K}', \phi_1, \dots, \phi_n \vdash \phi_l$.

Let $1 \leq k \leq n$ be such that $(\dagger) \mathcal{R}[\Delta_k] \leq \mathcal{R}[\Delta_i]$ for all $1 \leq i \leq n$ and such that there is no $1 \leq k' \leq n$ for which $a_k \in \text{Sub}(a_{k'}) \setminus \{a_{k'}\}$. By **S1** and **S2**, $\mathcal{K}', \phi_1, \dots, \phi_{k-1}, \phi_{k+1}, \dots, \phi_n \vdash_{\mathcal{S}} \overline{\phi_k}$. Let $b = \langle \kappa_1, \dots, \kappa_m \rangle, a_1, \dots, a_{k-1}, a_{k+1}, \dots, a_n \rightarrow \overline{\phi_k}$. By the \subseteq -minimality of $\{a_1, \dots, a_n\}$, $b \in \text{Arg}^{\top}(\text{AS})$. By (\dagger) , $\mathcal{D}(b) \geq \mathcal{D}(a_k)$. Thus, b defeats a_k and since \mathcal{A} is preferred, there is a $c \in \mathcal{A}$ that defeats b . But this defeat must take place in some a_i , where $i \in \{1, \dots, k-1, k+1, \dots, n\}$, in contradiction to the conflict-freeness of \mathcal{A} . \square

Lemma 16. *For every $\mathcal{A} \in \text{pref}(\text{AS})$ (resp. $\mathcal{A} \in \text{pref}^{\top}(\text{AS})$) there is a $\Theta \in \text{MCS}_{\mathcal{R}}(\mathcal{D})$ such that $\mathcal{A} = \text{Arg}(\Theta)$.*

Proof. Suppose $\mathcal{A} \in \text{pref}(\mathcal{AF})$ and let $\Theta = \mathcal{D}[\mathcal{A}]$. Since for each $a \in \mathcal{A}$, $\mathcal{D}(a) \in \hat{\phi}(\mathcal{D})$, by Fact 12 also $\Theta \in \hat{\phi}(\mathcal{D})$. By Lemma 15, Θ is consistent. By Fact 19, \mathcal{A} is closed under sub-arguments.

Assume for a contradiction that Θ is not R-consistent. Thus by Fact 23, there is a $\Delta \in \hat{\phi}(\mathcal{D})$ such that Θ is R-inconsistent with Δ . Thus, by Lemma 14, there is a consistent $b \in \text{Arg}(\Theta \cup \Delta)$ such that (i) b defeats an $a \in \mathcal{A}$ with top-rule r and (ii) $\text{Sub}(b) \subseteq \mathcal{A} \cup \text{Arg}(\Delta)$.

Since \mathcal{A} is preferred, there is a $c \in \mathcal{A}$ that defeats b and so $\mathcal{R}[\mathcal{D}(c)] \geq \mathcal{R}[\mathcal{D}(b)]$. By the conflict-freeness of \mathcal{A} , the attack must be in some $b_i \in (\text{Sub}(b) \cap \text{Arg}(\Delta)) \setminus \mathcal{A}$. Note that $\mathcal{D}(b_i) \in \hat{\phi}(\Delta)$ and so $\mathcal{R}[\mathcal{D}(b_i)] \geq \mathcal{R}[\Delta]$ by Fact 21. Since c defeats b_i , $\mathcal{R}[\mathcal{D}(c)] \geq \mathcal{R}[\mathcal{D}(b_i)] \geq \mathcal{R}[\Delta]$. Since $\mathcal{D}(c) \in \hat{\phi}(\Theta)$ and $\perp(\mathcal{D}(c) \cup \Delta)$ this contradicts the fact that Θ is R-inconsistent with Δ .

So, Θ is R-consistent. Suppose it is not maximal R-consistent. Thus, by Lemma 10, there is a maximal R-consistent $\Delta \in \hat{\phi}(\mathcal{D})$ for which $\Delta \supset \Theta$. Since by Lemma 12, $\text{Arg}(\Delta)$ is stable and $\text{Arg}(\Delta) \supset \text{Arg}(\Theta)$ this contradicts that $\text{Arg}(\Theta)$ is preferred. \square

Now we are in a position to prove Theorem 9:

Theorem 9. *For any $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{}, \leq)$ where \mathcal{S} satisfies **S1** and **S2**,*

$$\{\text{Arg}(\Theta) \mid \Theta \in \text{MCS}_{\mathcal{R}}(\mathcal{D})\} = \text{pref}(\text{AS}) = \text{stab}(\text{AS}) = \text{pref}^{\top}(\text{AS}) = \text{stab}^{\top}(\text{AS}).$$

Proof. Suppose $\mathcal{A} \in \text{pref}(\text{AS})$ (resp. $\mathcal{A} \in \text{pref}^{\top}(\text{AS})$). By Lemma 16, $\mathcal{D}[\mathcal{A}] \in \text{MCS}_{\mathcal{R}}(\text{AS})$. By Lemmas 12 and 13, $\mathcal{A} \in \text{stab}(\text{AS})$ and $\mathcal{A} \in \text{stab}^{\top}(\text{AS})$.

Suppose $\mathcal{A} \in \text{stab}(\text{AS})$ (resp. $\mathcal{A} \in \text{stab}^{\top}(\text{AS})$). As shown in [29], $\mathcal{A} \in \text{pref}(\text{AS})$ (resp. $\mathcal{A} \in \text{pref}^{\top}(\text{AS})$). \square

Appendix B. Rationality postulates

B.1. Consistency and closure

The following corollary is a direct consequence of the characterization in terms of maximal consistent sets shown in Section A.

Corollary 2. *Where \mathcal{S} satisfies **S1** and **S2**, $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{}, \leq)$ satisfies Direct Consistency, Consistency and Closure for stable and preferred semantics.*

Proof. We show closure. Suppose $a_1, \dots, a_n \in \mathcal{A} \in \text{pref}(\text{AS})$ and $\text{head}(a_1), \dots, \text{head}(a_n) \vdash_{\mathcal{S}} \phi$. By Theorem 10 resp. Theorem 9 and Lemma 11, $\mathcal{D}[\mathcal{A}] \in \text{MCS}(\mathcal{D})$ and $\mathcal{A} = \text{Arg}(\mathcal{D}[\mathcal{A}])$. Since also $b = a_1, \dots, a_n \rightarrow \phi \in \text{Arg}(\mathcal{D}[\mathcal{A}])$, $b \in \mathcal{A}$. \square

B.2. Non-interference (Theorem 3)

In the following we assume that we have a uniform strict rule set \mathcal{S} that serves as the basis for two argumentation systems $AS = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{}, \leq)$ and $AS' = (\mathcal{L}, \mathcal{S}, \mathcal{D}', \mathcal{K}', \overline{}, \leq')$. Also $\mathcal{D} \cup \mathcal{K} \cup \text{head}[\Delta] \cup \overline{\mathcal{K}}$ and $\mathcal{D}' \cup \mathcal{K}' \cup \text{head}[\Delta'] \cup \overline{\mathcal{K}'}$ are assumed to be syntactically disjoint, where $\overline{\Theta} = \{\overline{\phi} \mid \phi \in \Theta\}$. Finally, $\mathcal{K} \cup \mathcal{K}'$ is assumed to be $\vdash_{\mathcal{S}}$ -consistent.

Fact 26. *If $\Delta \in \hat{\wp}(\mathcal{D})$ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -consistent, then for any $\Theta \subseteq \Delta$, $\text{head}[\Theta] \cup \mathcal{K}$ is $\vdash_{\mathcal{S}}$ -consistent.*

Proof. If $\Theta \cup \mathcal{K}$ is $\vdash_{\mathcal{S}}$ -inconsistent, then there are ψ and $\phi \in \overline{\psi}$ such that $\Theta, \mathcal{K} \vdash_{\mathcal{S}} \phi$ and $\Theta, \mathcal{K} \vdash_{\mathcal{S}} \psi$. Since $\Delta \in \hat{\wp}(\mathcal{D})$, by Fact 11, also $\Delta \vdash_{\mathcal{K}}^{\mathcal{S}} \phi$ and $\Delta \vdash_{\mathcal{K}}^{\mathcal{S}} \psi$. Thus, Δ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -inconsistent. \square

Lemma 17. *Where $\Delta \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$ is $\vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S}}$ -consistent, $\Delta \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -consistent and $\Delta' \cap \mathcal{D}' \in \hat{\wp}(\mathcal{D}')$ is $\vdash_{\mathcal{K}'}$ -consistent.*

Proof. We first define

- $\Delta_1 \subseteq \Delta$ to be the set of rules $r = \phi_1, \dots, \phi_n \Rightarrow \psi$ for which $\vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S}} \phi_k$ for each $1 \leq k \leq n$;
- $\Delta_{i+1} \subseteq \Delta$ to be the set of rules $r = \phi_1, \dots, \phi_n \Rightarrow \psi$ for which $\Delta_i \vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S}} \phi_k$ for each $1 \leq k \leq n$.

Since $\Delta \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$, $\Delta = \bigcup_{i \geq 1} \Delta_i$. Also, by the construction, $\Delta_i \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$ for each $i \geq 1$. Clearly, also $\Delta \cap \mathcal{D} = \bigcup_{i \geq 1} \Delta_i \cap \mathcal{D}$.

We show that $\bigcup_{i \geq 1} \Delta_i \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$ [resp. $\bigcup_{i \geq 1} \Delta_i \cap \mathcal{D}' \in \hat{\wp}(\mathcal{D}')$] via induction by showing that for each $i \geq 1$, $\Delta_i \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$ and $\Delta_i \cap \mathcal{D}$ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -consistent [resp. $\Delta_i \cap \mathcal{D}' \in \hat{\wp}(\mathcal{D}')$ and $\Delta_i \cap \mathcal{D}'$ is $\vdash_{\mathcal{K}'}$ -consistent]. Note that given $\Delta_i \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$ [resp. $\Delta_i \cap \mathcal{D}' \in \hat{\wp}(\mathcal{D}')$], the $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -consistency of $\Delta_i \cap \mathcal{D}$ [resp. the $\vdash_{\mathcal{K}'}$ -consistency of $\Delta_i \cap \mathcal{D}'$] follows directly from the $\vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S}}$ -consistency of Δ .

Note that this is sufficient to show that $\Delta \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$ [resp. $\Delta \cap \mathcal{D}' \in \hat{\wp}(\mathcal{D}')$] since then for every $\phi \in \text{body}[\Delta \cap \mathcal{D}]$ there is an $i \geq 1$ for which $\Delta_i \cap \mathcal{D} \vdash_{\mathcal{K}}^{\mathcal{S}} \phi$ and so $\Delta \cap \mathcal{D} \vdash_{\mathcal{K}}^{\mathcal{S}} \phi$. Also the $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -consistency of $\Delta \cap \mathcal{D}$ [resp. the $\vdash_{\mathcal{K}'}$ -consistency of $\Delta \cap \mathcal{D}'$] follows then directly from the $\vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S}}$ -consistency of Δ .

- ($i = 1$). Let $r = \phi_1, \dots, \phi_n \Rightarrow \psi \in \Delta_1 \cap \mathcal{D}$. Then, $\vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S}} \phi_k$ for all $1 \leq k \leq n$. By Fact 11 and since trivially $\emptyset \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$, $\mathcal{K} \cup \mathcal{K}' \vdash_{\mathcal{S}} \phi_k$. By the uniformity of the set of strict rules and since $\mathcal{K} \cup \mathcal{K}'$ is $\vdash_{\mathcal{S}}$ -consistent \mathcal{S} , $\mathcal{K} \vdash_{\mathcal{S}} \phi_k$ and therefore $\Delta_1 \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$.
- ($i \mapsto i+1$). Suppose $r = \phi_1, \dots, \phi_n \Rightarrow \psi \in \Delta_{i+1} \cap \mathcal{D}$. Thus, $\Delta_i \vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S}} \phi_k$ for each $1 \leq k \leq n$. By Fact 11 and since $\Delta_i \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$, $\mathcal{K} \cup \mathcal{K}' \cup \text{head}[\Delta_i] \vdash_{\mathcal{S}} \phi_k$. Note that $\mathcal{K}' \cup \text{head}[\Delta_i \cap \mathcal{D}']$ is $\vdash_{\mathcal{K}'}$ -consistent since by the inductive hypothesis $\Delta_i \cap \mathcal{D}' \in \hat{\wp}(\mathcal{D}')$ is consistent and thus with Fact 26 so is $\mathcal{K}' \cup \text{head}[\Delta_i \cap \mathcal{D}']$. Thus, by the uniformity of the set of strict rules \mathcal{S} , $\mathcal{K} \cup \text{head}[\Delta_i \cap \mathcal{D}] \vdash_{\mathcal{S}} \phi_k$. Since by the inductive hypothesis $\Delta_i \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$ and by Fact 11, $\Delta_i \cap \mathcal{D} \vdash_{\mathcal{K}}^{\mathcal{S}} \phi_k$. Therefore, $\Delta_{i+1} \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$. \square

Lemma 18. *If $\Delta \in \hat{\wp}(\mathcal{D})$, $\Delta' \in \hat{\wp}(\mathcal{D}')$, Δ' is $\vdash_{\mathcal{K}'}$ -consistent, $\Lambda \in \hat{\wp}(\Delta \cup \Delta')$, ϕ is syntactically disjoint from $\mathcal{K}' \cup \mathcal{D}'$, and $\Lambda \vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S}} \phi$, then $\Lambda \cap \mathcal{D} \vdash_{\mathcal{K}}^{\mathcal{S}} \phi$.*

Proof. Suppose $\Lambda \vdash_{\mathcal{K} \cup \mathcal{K}'}^S \phi$ and assume that Λ is \subseteq -minimal with this property (and therefore finite). Let $\Lambda = \bigcup_{i=1}^k \Delta_i$ as in the proof of Fact 16. We now show by backwards induction on i that $\Delta_{i+1} \setminus \Delta_i \subseteq \mathcal{D}$ for each $0 \leq i < k$, where $\Delta_0 = \emptyset$.

We start with $i = k - 1$. Let $(\Delta_{i+1} \setminus \Delta_i) \cap \mathcal{D} = \{\phi_1, \dots, \phi_n\}$ and $(\Delta_{i+1} \setminus \Delta_i) \cap \mathcal{D}' = \{\phi'_1, \dots, \phi'_m\}$. Note that by the \subseteq -minimality of Λ we have $\phi_1, \dots, \phi_n, \phi'_1, \dots, \phi'_m, \mathcal{K}, \mathcal{K}' \vdash_S \phi$. By Fact 26 and since Δ' is $\vdash_{\mathcal{K}'}^S$ -consistent, $\{\phi'_1, \dots, \phi'_m\} \cup \mathcal{K}'$ is \vdash_S -consistent and thus by uniformity, $\phi_1, \dots, \phi_n, \mathcal{K} \vdash_S \phi$. By the \subseteq -minimality of Λ , $(\Delta_{i+1} \setminus \Delta_i) \cap \mathcal{D}' = \emptyset$.

For the inductive step consider some $0 \leq i < k - 1$. Let $(\Delta_{i+1} \setminus \Delta_i) \cap \mathcal{D} = \{\phi_1, \dots, \phi_n\}$ and $(\Delta_{i+1} \setminus \Delta_i) \cap \mathcal{D}' = \{\phi'_1, \dots, \phi'_m\}$. For each $\psi \in \text{body}[\Delta_{i+2} \setminus \Delta_{i+1}], \mathcal{K}, \mathcal{K}', \phi_1, \dots, \phi_n, \phi'_1, \dots, \phi'_m \vdash_S \psi$. By the inductive hypothesis, $\Delta_{i+2} \setminus \Delta_{i+1} \subseteq \mathcal{D}$. By Fact 26, $\{\phi'_1, \dots, \phi'_m\} \cup \mathcal{K}'$ is \vdash_S -consistent and thus by uniformity, $\phi_1, \dots, \phi_n, \mathcal{K} \vdash_S \psi$. Again, by the \subseteq -minimality of Λ , $(\Delta_{i+1} \setminus \Delta_i) \cap \mathcal{D}' = \emptyset$. \square

Lemma 19. *Where $\Delta \in \hat{\wp}(\mathcal{D})$ and $\Delta' \in \hat{\wp}(\mathcal{D}')$ are $\vdash_{\mathcal{K}}^S$ -consistent resp. $\vdash_{\mathcal{K}'}^S$ -consistent, also $\Delta \cup \Delta' \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$ is $\vdash_{\mathcal{K} \cup \mathcal{K}'}^S$ -consistent.*

Proof. Suppose $\Delta \in \hat{\wp}(\mathcal{D})$ and $\Delta' \in \hat{\wp}(\mathcal{D}')$ are $\vdash_{\mathcal{K}}^S$ -consistent resp. $\vdash_{\mathcal{K}'}^S$ -consistent. Clearly, $\Delta, \Delta' \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$. Thus, by Fact 12, $\Delta \cup \Delta' \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$. Assume that $\Delta \cup \Delta'$ is $\vdash_{\mathcal{K} \cup \mathcal{K}'}^S$ -inconsistent. By Fact 6, there is a $\Lambda \in \hat{\wp}(\Delta \cup \Delta')$ and a $\phi \in \overline{\text{head}[\Delta \cup \Delta']}$ for which $\Lambda \vdash_{\mathcal{K} \cup \mathcal{K}'}^S \phi$. Suppose, without loss of generality, that there is an $r \in \Delta$ for which $\phi \in \overline{\text{head}(r)}$. By Lemma 18 and since $\overline{\text{head}(r)}$ is syntactically disjoint from $\mathcal{K}' \cup \mathcal{D}'$, $\Lambda \cap \mathcal{D} \vdash_{\mathcal{K}}^S \phi$ and hence Δ is $\vdash_{\mathcal{K}}^S$ -inconsistent, which is a contradiction. \square

Proposition 2. $\text{MCS}^{\mathcal{S}, \mathcal{K} \cup \mathcal{K}'}(\mathcal{D} \cup \mathcal{D}') = \{\Lambda \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}') \mid \Lambda \cap \mathcal{D} \in \text{MCS}^{\mathcal{S}, \mathcal{K}}(\mathcal{D}), \Lambda \cap \mathcal{D}' \in \text{MCS}^{\mathcal{S}, \mathcal{K}'}(\mathcal{D}')\}$.

Proof. “ \subseteq ”. Let $\Lambda \in \text{MCS}(\mathcal{D} \cup \mathcal{D}')$. Let $\Lambda' = \Lambda \cap \mathcal{D}'$. We show that $\Lambda' \in \text{MCS}(\mathcal{D}')$. By Lemma 17, $\Lambda' \in \hat{\wp}(\mathcal{D}')$. For the same reason, $\Lambda \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$ is consistent. Suppose there is a consistent $\Lambda'' \supset \Lambda'$ for which $\Lambda'' \in \hat{\wp}(\mathcal{D}')$. By Lemma 19, $\Lambda'' \cup \Lambda \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$ is consistent. This is a contradiction since $\Lambda'' \cup \Lambda \supset \Lambda$. So, $\Lambda' \in \text{MCS}(\mathcal{D}')$.

“ \supseteq ”. Let $\Delta \in \text{MCS}(\mathcal{D})$ and $\Delta' \in \text{MCS}(\mathcal{D}')$. By Lemma 19, $\Delta \cup \Delta' \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$ is consistent. Assume there is a $\Lambda \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$ for which $\Lambda \supset \Delta \cup \Delta'$ and $\Lambda \in \text{MCS}(\mathcal{D} \cup \mathcal{D}')$. By the “ \subseteq ” direction, $\Lambda \cap \mathcal{D} \in \text{MCS}(\mathcal{D})$ and $\Lambda \cap \mathcal{D}' \in \text{MCS}(\mathcal{D}')$. Thus, $\Lambda \cap \mathcal{D} \supset \Delta$ or $\Lambda \cap \mathcal{D}' \supset \Delta'$ which is a contradiction. \square

Proposition 3. $\text{MCS}_{\mathcal{R}}^{\mathcal{S}, \mathcal{K} \cup \mathcal{K}'}(\mathcal{D} \cup \mathcal{D}') = \{\Lambda \in \hat{\wp}^{\mathcal{S}, \mathcal{K} \cup \mathcal{K}'}(\mathcal{D} \cup \mathcal{D}') \mid \Lambda \cap \mathcal{D} \in \text{MCS}_{\mathcal{R}}^{\mathcal{S}, \mathcal{K}}(\mathcal{D}), \Lambda \cap \mathcal{D}' \in \text{MCS}_{\mathcal{R}}^{\mathcal{S}, \mathcal{K}'}(\mathcal{D}')\}$.

Proof. “ \subseteq ”. Suppose $\Lambda \in \text{MCS}_{\mathcal{R}}(\mathcal{D} \cup \mathcal{D}')$. Thus, by Lemma 11, $\Lambda \in \text{MCS}(\mathcal{D} \cup \mathcal{D}')$. By Proposition 2, $\Lambda \cap \mathcal{D} \in \text{MCS}(\mathcal{D})$ and $\Lambda \cap \mathcal{D}' \in \text{MCS}(\mathcal{D}')$. We now show that $\Lambda \cap \mathcal{D} \in \text{MCS}_{\mathcal{R}}(\mathcal{D})$. The case for $\Lambda \cap \mathcal{D}' \in \text{MCS}_{\mathcal{R}}(\mathcal{D}')$ is analogous. By Proposition 1, we only have to show that $\Lambda \cap \mathcal{D}$ is R-consistent.

Consider a $\Theta \in \hat{\wp}(\mathcal{D})$ for which $\perp((\Lambda \cap \mathcal{D}) \cup \Theta)$. Since $\Lambda \in \text{MCS}_{\mathcal{R}}(\mathcal{D} \cup \mathcal{D}')$, there is a $\Lambda' \in \hat{\wp}(\Lambda)$ for which $\perp(\Lambda' \cup \Theta)$ and $\mathcal{R}[\Lambda'] \geq \mathcal{R}[\Theta]$. Since by Fact 21, for all $\Omega \subset \Lambda$, $\mathcal{R}[\Omega] \geq \mathcal{R}[\Lambda]$ we can without loss of generality assume Λ to be \subseteq -minimal with this property. Note that Λ' is $\vdash_{\mathcal{K} \cup \mathcal{K}'}^S$ -consistent and therefore by Lemma 17, $\Lambda' \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$ and $\Lambda' \cap \mathcal{D}' \in \hat{\wp}(\mathcal{D}')$. We have to show that there is also a $\Lambda'' \in \hat{\wp}(\Lambda \cap \mathcal{D})$ for which $\perp(\Lambda'' \cup \Theta)$ and $\mathcal{R}[\Lambda''] \geq \mathcal{R}[\Theta]$. If Θ is inconsistent we simply choose $\Lambda'' = \emptyset$. Consider the case in which Θ is consistent.

Since by Fact 12, $\Lambda' \cup \Theta \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$ and $\perp(\Lambda' \cup \Theta)$, by Lemma 19, $(\Lambda' \cap \mathcal{D}) \cup \Theta$ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -inconsistent, or $\Lambda' \cap \mathcal{D}'$ is $\vdash_{\mathcal{K}'}^{\mathcal{S}}$ -inconsistent. Since $\Lambda \cap \mathcal{D}'$ is $\vdash_{\mathcal{K}'}^{\mathcal{S}}$ -consistent, $(\Lambda \cap \mathcal{D}) \cup \Theta$ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -inconsistent. Let $\Lambda'' = \Lambda \cap \mathcal{D}$, then, by Fact 21, $\mathcal{R}[\Lambda''] \geq \mathcal{R}[\Lambda'] \geq \mathcal{R}[\Theta]$ and our proof is finished.

“ \supseteq ”. Suppose $\Delta \in \text{MCS}_{\mathcal{R}}(\mathcal{D})$ and $\Delta' \in \text{MCS}_{\mathcal{R}}(\mathcal{D}')$. By Lemma 11, $\Delta \in \text{MCS}(\mathcal{D})$ and $\Delta' \in \text{MCS}(\mathcal{D}')$. By Proposition 2, $\Delta \cup \Delta' \in \text{MCS}(\mathcal{D} \cup \mathcal{D}')$. By Proposition 1, we only have to show that $\Delta \cup \Delta'$ is R-consistent. Suppose $\Theta \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$ is such that $\perp(\Theta \cup \Delta \cup \Delta')$. We have to show that there is a $\Lambda \in \hat{\wp}(\Delta \cup \Delta')$ for which $\perp(\Lambda \cup \Theta)$ and $\mathcal{R}[\Lambda] \geq \mathcal{R}[\Theta]$. In case Θ is $\vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S}}$ -inconsistent, $\Lambda = \emptyset$ suffices.

Assume now that Θ is $\vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S}}$ -consistent. Then, by Lemma 17, $\Theta \cap \mathcal{D} \in \hat{\wp}(\mathcal{D})$ and $\Theta \cap \mathcal{D}' \in \hat{\wp}(\mathcal{D}')$. By Fact 12, $\Delta \cup (\Theta \cap \mathcal{D}) \in \hat{\wp}(\mathcal{D})$ and $\Delta' \cup (\Theta \cap \mathcal{D}') \in \hat{\wp}(\mathcal{D}')$. Since, also by Fact 12 $\Delta \cup \Delta' \cup \Theta \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$, by Lemma 19, $\Delta \cup (\Theta \cap \mathcal{D})$ is $\vdash_{\mathcal{K}}^{\mathcal{S}}$ -inconsistent or $\Delta' \cup (\Theta \cap \mathcal{D}')$ is $\vdash_{\mathcal{K}'}^{\mathcal{S}}$ -inconsistent. Assume the former (the other case is analogous). Since $\Delta \in \text{MCS}_{\mathcal{R}}(\mathcal{D})$, there is a $\Lambda \in \hat{\wp}(\Delta) \subseteq \hat{\wp}(\Delta \cup \Delta')$ for which $\perp((\Theta \cap \mathcal{D}) \cup \Lambda)$ and $\mathcal{R}[\Lambda] \geq \mathcal{R}[\Theta \cap \mathcal{D}]$. \square

Theorem 3. *Where $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{\quad}, \leq)$, $\text{AS}' = (\mathcal{L}, \mathcal{S}, \mathcal{D}', \mathcal{K}', \overline{\quad}, \leq')$ and $\text{AS}^* = (\mathcal{L}, \mathcal{S}, \mathcal{D} \cup \mathcal{D}', \mathcal{K} \cup \mathcal{K}', \overline{\quad}, \leq^*)$ where \leq^* is such that $\leq^* \cap (\mathcal{D} \times \mathcal{D}) = \leq$ and $\leq^* \cap (\mathcal{D}' \times \mathcal{D}') = \leq'$, \mathcal{S} is uniform and satisfies S1 and S2, $\mathcal{D} \cup \mathcal{K}$ is syntactically disjoint with $\mathcal{D}' \cup \mathcal{K}'$, and $\text{sem} \in \{\text{pref}, \text{stab}\}$, we have:*

$$\text{sem}(\text{AS}^*) = \{\text{Arg}(\mathcal{D}[\mathcal{E}] \cup \mathcal{D}[\mathcal{E}']) \subseteq \text{Arg}(\text{AS}^*) \mid \mathcal{E} \in \text{sem}(\text{AS}), \mathcal{E}' \in \text{sem}(\text{AS}')\}.$$

Proof. By Theorem 9, $\text{sem}(\text{AS}^*) = \{\text{Arg}(\Delta) \mid \Delta \in \text{MCS}_{\mathcal{R}}^{\mathcal{S}, \mathcal{K} \cup \mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')\}$, $\text{sem}(\text{AS}) = \{\text{Arg}(\Delta) \mid \Delta \in \text{MCS}_{\mathcal{R}}^{\mathcal{S}, \mathcal{K}}(\mathcal{D})\}$, and $\text{sem}(\text{AS}') = \{\text{Arg}(\Delta) \mid \Delta \in \text{MCS}_{\mathcal{R}}^{\mathcal{S}, \mathcal{K}'}(\mathcal{D}')\}$. Thus, by Proposition 3, $\text{sem}(\text{AS}^*) = \{\text{Arg}(\mathcal{D}[\mathcal{E}] \cup \mathcal{D}[\mathcal{E}']) \subseteq \text{Arg}(\text{AS}^*) \mid \mathcal{E} \in \text{sem}(\text{AS}), \mathcal{E}' \in \text{sem}(\text{AS}')\}$. \square

B.3. Strict non-interference (Theorem 5)

In the following we assume two argumentation systems $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{\quad}, \leq)$ and $\text{AS}' = (\mathcal{L}, \mathcal{S}', \mathcal{D}', \mathcal{K}', \overline{\quad}, \leq')$ that are strictly syntactically disjoint.

Lemma 20. *Where $\Delta \in \hat{\wp}^{\mathcal{S} \cup \mathcal{S}', \mathcal{K} \cup \mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$,*

- (1) $\Delta \cap \mathcal{D} \in \hat{\wp}^{\mathcal{S}, \mathcal{K}}(\mathcal{D})$ and $\Delta \cap \mathcal{D}' \in \hat{\wp}^{\mathcal{S}', \mathcal{K}'}(\mathcal{D}')$,
- (2) if $\Delta \vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S} \cup \mathcal{S}'} \phi$ and ϕ is syntactically disjoint with $\mathcal{D}' \cup \mathcal{K}' \cup \mathcal{S}'$ then $\Delta \cap \mathcal{D} \vdash_{\mathcal{K}}^{\mathcal{S}} \phi$.

Proof. Ad 2. Suppose $\Delta \vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S} \cup \mathcal{S}'} \phi$. Thus, there is a $(\mathcal{S} \cup \mathcal{S}' \cup \Delta)$ -derivation of ϕ from $\mathcal{K} \cup \mathcal{K}'$. Since ϕ is syntactically disjoint from $\mathcal{S}' \cup \mathcal{K}' \cup \Delta'$, this proof does not make use of rules in $(\Delta \cap \mathcal{D}') \cup \mathcal{S}'$ and of premises in \mathcal{K}' . Thus, $\mathcal{K} \vdash_{\mathcal{S} \cup (\Delta \cap \mathcal{D})} \phi$ and so $\Delta \cap \mathcal{D} \vdash_{\mathcal{K}}^{\mathcal{S}} \phi$.

Ad 1. This follows immediately with Item 2. \square

Lemma 21. *Where $\Delta \in \hat{\wp}^{\mathcal{S}, \mathcal{K}}(\mathcal{D})$ and $\Delta' \in \hat{\wp}^{\mathcal{S}', \mathcal{K}'}(\mathcal{D}')$ are $\vdash_{\mathcal{K}}^{\mathcal{S}}$ - resp. $\vdash_{\mathcal{K}'}^{\mathcal{S}'}$ -consistent, also $\Delta \cup \Delta' \in \hat{\wp}^{\mathcal{S} \cup \mathcal{S}', \mathcal{K} \cup \mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$ is $\vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S} \cup \mathcal{S}'}$ -consistent.*

Proof. First note that by Fact 12, $\Delta \cup \Delta' \in \hat{\wp}^{\mathcal{S} \cup \mathcal{S}', \mathcal{K} \cup \mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$. Assume that $\Delta \cup \Delta'$ is $\vdash_{\mathcal{K} \cup \mathcal{K}'}^{\mathcal{S} \cup \mathcal{S}'}$ -inconsistent. Thus, there is a $\Lambda \in \hat{\wp}^{\mathcal{S} \cup \mathcal{S}', \mathcal{K} \cup \mathcal{K}'}(\Delta \cup \Delta')$, a $\phi \in \text{head}[\Delta \cup \Delta']$, and a $\psi \in \overline{\phi}$ for which

$\Lambda \vdash_{\mathcal{K}\cup\mathcal{K}'}^{SUS'} \psi$. Suppose, without loss of generality, that there is an $r \in \Delta$ for which $\phi = \text{head}(r)$. By Lemma 20 and since ψ is syntactically disjoint from $S' \cup \mathcal{K}' \cup \mathcal{D}'$, $\Lambda \cap \mathcal{D} \vdash_{\mathcal{K}}^S \bar{\phi}$ and hence Δ is $\vdash_{\mathcal{K}}^S$ -inconsistent. \square

Proposition 4. $\text{MCS}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}') = \{\Lambda \in \hat{\wp}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}') \mid \Lambda \cap \mathcal{D} \in \text{MCS}^{S, \mathcal{K}}(\mathcal{D}), \Lambda \cap \mathcal{D}' \in \text{MCS}^{S', \mathcal{K}'}(\mathcal{D}')\}$.

Proof. “ \subseteq ”. Let $\Lambda \in \text{MCS}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$ and $\Lambda' = \Lambda \cap \mathcal{D}'$. We show that $\Lambda' \in \text{MCS}^{S', \mathcal{K}'}(\mathcal{D}')$. By Lemma 20, $\Lambda' \in \hat{\wp}^{S', \mathcal{K}'}(\mathcal{D}')$. Clearly, Λ' is $\vdash_{\mathcal{K}'}^{S'}$ -consistent in view of the $\vdash_{\mathcal{K}\cup\mathcal{K}'}^{SUS'}$ -consistency of Λ . For the same reason, $\Lambda \cap \mathcal{D} \in \hat{\wp}^{S, \mathcal{K}}(\mathcal{D})$ is $\vdash_{\mathcal{K}}^S$ -consistent. Suppose there is a $\vdash_{\mathcal{K}'}^{S'}$ -consistent $\Lambda'' \supset \Lambda'$ for which $\Lambda'' \in \hat{\wp}^{S', \mathcal{K}'}(\mathcal{D}')$. By Lemma 21, $\Lambda'' \cup \Lambda \in \hat{\wp}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$ is $\vdash_{\mathcal{K}\cup\mathcal{K}'}^{SUS'}$ -consistent. This is a contradiction since $\Lambda'' \cup \Lambda \supset \Lambda$. So, $\Lambda' \in \text{MCS}^{S', \mathcal{K}'}(\mathcal{D}')$.

“ \supseteq ”. Let $\Delta \in \text{MCS}^{S, \mathcal{K}}(\mathcal{D})$ and $\Delta' \in \text{MCS}^{S', \mathcal{K}'}(\mathcal{D}')$. By Lemma 21, $\Delta \cup \Delta' \in \hat{\wp}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$ is $\vdash_{\mathcal{K}\cup\mathcal{K}'}^{SUS'}$ -consistent. Assume there is a $\Lambda \in \hat{\wp}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$ for which $\Lambda \supset \Delta \cup \Delta'$ and $\Lambda \in \text{MCS}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$. By the “ \subseteq ” direction, $\Lambda \cap \mathcal{D} \in \text{MCS}^{S, \mathcal{K}}(\mathcal{D})$ and $\Lambda \cap \mathcal{D}' \in \text{MCS}^{S', \mathcal{K}'}(\mathcal{D}')$. Thus, $\Lambda \cap \mathcal{D} \supset \Delta$ or $\Lambda \cap \mathcal{D}' \supset \Delta'$ which is a contradiction. \square

Proposition 5. $\text{MCS}_{\mathcal{R}}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}') = \{\Lambda \in \hat{\wp}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}') \mid \Lambda \cap \mathcal{D} \in \text{MCS}_{\mathcal{R}}^{S, \mathcal{K}}(\mathcal{D}), \Lambda \cap \mathcal{D}' \in \text{MCS}_{\mathcal{R}}^{S', \mathcal{K}'}(\mathcal{D}')\}$.

Proof. “ \subseteq ”. Suppose $\Lambda \in \text{MCS}_{\mathcal{R}}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$. Thus, by Lemma 11, $\Lambda \in \text{MCS}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$. By Proposition 4, $\Lambda \cap \mathcal{D} \in \text{MCS}^{S, \mathcal{K}}(\mathcal{D})$ and $\Lambda \cap \mathcal{D}' \in \text{MCS}^{S', \mathcal{K}'}(\mathcal{D}')$. We now show that $\Lambda \cap \mathcal{D} \in \text{MCS}_{\mathcal{R}}^{S, \mathcal{K}}(\mathcal{D})$. The case for $\Lambda \cap \mathcal{D}' \in \text{MCS}_{\mathcal{R}}^{S', \mathcal{K}'}(\mathcal{D}')$ is analogous. By Proposition 1, we only have to show that $\Lambda \cap \mathcal{D}$ is R-consistent.

Assume $\Theta \in \hat{\wp}^{S, \mathcal{K}}(\mathcal{D})$ for which $\perp((\Lambda \cap \mathcal{D}) \cup \Theta)$. Since $\Lambda \in \text{MCS}_{\mathcal{R}}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$, there is a $\Lambda' \in \hat{\wp}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\Lambda)$ for which $\perp(\Lambda' \cup \Theta)$ and $\mathcal{R}[\Lambda'] \geq \mathcal{R}[\Theta]$. So there is an $r \in \Lambda' \cup \Theta$ for which $\Lambda' \cup \Theta \vdash_{\mathcal{K}\cup\mathcal{K}'}^{SUS'} \phi$ where $\phi \in \text{head}(r)$. We have to consider two cases: (a) $r \in \mathcal{D}$ and (b) $r \in \mathcal{D}'$.

We first note that (b) is impossible since by Lemma 20 and since $\text{head}(r)$ is syntactically disjoint from $(\mathcal{D} \cup \mathcal{S} \cup \mathcal{K})$, $\Lambda' \cap \mathcal{D}' \vdash_{\mathcal{K}'}^{S'} \phi$ and $r \in \Lambda' \cap \mathcal{D}'$ which implies that $\perp \Lambda'$ (contradicting $\Lambda \in \text{MCS}_{\mathcal{R}}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$).

Suppose thus (a). By Lemma 20, $(\Lambda' \cap \mathcal{D}) \cup \Theta \vdash_{\mathcal{K}}^S \phi$. Since by Fact 12, $\Lambda' \cup \Theta \in \hat{\wp}(\mathcal{D} \cup \mathcal{D}')$, also by Lemma 20, $(\Lambda' \cap \mathcal{D}) \cup \Theta \in \hat{\wp}^{S, \mathcal{K}}(\mathcal{D})$. So, $\perp((\Lambda' \cap \mathcal{D}) \cup \Theta)$. Since $\Lambda' \cap \mathcal{D} \subseteq \Lambda'$, by Fact 21, $\mathcal{R}[\Lambda' \cap \mathcal{D}] \geq \mathcal{R}[\Lambda'] \geq \mathcal{R}[\Theta]$. This shows that $\Lambda \cap \mathcal{D}$ is $\vdash_{\mathcal{K}}^S$ -R-consistent.

“ \supseteq ”. Suppose $\Delta \in \text{MCS}_{\mathcal{R}}^{S, \mathcal{K}}(\mathcal{D})$ and $\Delta' \in \text{MCS}_{\mathcal{R}}^{S', \mathcal{K}'}(\mathcal{D}')$. By Lemma 11, $\Delta \in \text{MCS}^{S, \mathcal{K}}(\mathcal{D})$ and $\Delta' \in \text{MCS}^{S', \mathcal{K}'}(\mathcal{D}')$. By Proposition 4, $\Delta \cup \Delta' \in \text{MCS}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$. By Proposition 1, we only have to show that $\Delta \cup \Delta'$ is R-consistent.

Suppose $\Theta \in \hat{\wp}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')$ is such that $\perp(\Theta \cup \Delta \cup \Delta')$. Thus, there is an $r \in \Theta \cup \Delta \cup \Delta'$ for which $\Theta \cup \Delta \cup \Delta' \vdash_{\mathcal{K}\cup\mathcal{K}'}^{SUS'} \phi$ where $\phi \in \text{head}(r)$. We have two cases to consider: (a) $r \in \mathcal{D}$ and (b) $r \in \mathcal{D}'$.

Suppose (without loss of generality) case (a). By Lemma 20, $(\Theta \cap \mathcal{D}) \cup \Delta \vdash_{\mathcal{K}}^S \phi$ and $(\Theta \cap \mathcal{D}) \cup \Delta \in \hat{\wp}^{S, \mathcal{K}}(\mathcal{D})$. Thus, $\perp((\Theta \cap \mathcal{D}) \cup \Delta)$. Since $\Delta \in \text{MCS}_{\mathcal{R}}^{S, \mathcal{K}}(\mathcal{D})$, there is a $\Lambda \in \hat{\wp}^{S, \mathcal{K}}(\Delta)$ for which $\perp(\Lambda \cup (\Theta \cap \mathcal{D}))$ and $\mathcal{R}[\Lambda] \geq \mathcal{R}[\Theta \cap \mathcal{D}]$. Since $\Theta \cap \mathcal{D} \subseteq \Theta$, by Fact 21, $\mathcal{R}[\Theta \cap \mathcal{D}] \geq \mathcal{R}[\Theta]$ and so $\mathcal{R}[\Lambda] \geq \mathcal{R}[\Theta]$. Since $\Lambda \in \hat{\wp}^{SUS', \mathcal{K}\cup\mathcal{K}'}(\Delta \cup \Delta')$ this shows that $\Delta \cup \Delta'$ is $\vdash_{\mathcal{K}\cup\mathcal{K}'}^{SUS'}$ -R-consistent. \square

Theorem 5. Where $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{}, \leq)$, $\text{AS}' = (\mathcal{L}, \mathcal{S}', \mathcal{D}', \mathcal{K}', \overline{}, \leq')$ and $\text{AS}^* = (\mathcal{L}, \mathcal{S} \cup \mathcal{S}', \mathcal{D} \cup \mathcal{D}', \mathcal{K} \cup \mathcal{K}', \overline{}, \leq^*)$ where \leq^* is such that $\leq^* \cap (\mathcal{D} \times \mathcal{D}) = \leq$ and $\leq^* \cap (\mathcal{D}' \times \mathcal{D}') = \leq'$, \mathcal{S} is uniform and satisfies **S1** and **S2**, AS and AS' are strictly syntactically disjoint, and $\text{sem} \in \{\text{pref}, \text{stab}\}$, we have:

$$\text{sem}(\text{AS}^*) = \{\text{Arg}(\mathcal{D}[\mathcal{E}] \cup \mathcal{D}[\mathcal{E}']) \subseteq \text{Arg}(\text{AS}^*) \mid \mathcal{E} \in \text{sem}(\text{AS}), \mathcal{E}' \in \text{sem}(\text{AS}')\}.$$

Proof. By Theorem 9, $\text{sem}(\text{AS}^*) = \{\text{Arg}(\Delta) \mid \Delta \in \text{MCS}_{\mathcal{R}}^{\mathcal{S}, \mathcal{K} \cup \mathcal{K}'}(\mathcal{D} \cup \mathcal{D}')\}$, $\text{sem}(\text{AS}) = \{\text{Arg}(\Delta) \mid \Delta \in \text{MCS}_{\mathcal{R}}^{\mathcal{S}, \mathcal{K}}(\mathcal{D})\}$, and $\text{sem}(\text{AS}') = \{\text{Arg}(\Delta) \mid \Delta \in \text{MCS}_{\mathcal{R}}^{\mathcal{S}', \mathcal{K}'}(\mathcal{D}')\}$. Thus, by Proposition 5, $\text{sem}(\text{AS}^*) = \{\text{Arg}(\mathcal{D}[\mathcal{E}] \cup \mathcal{D}[\mathcal{E}']) \subseteq \text{Arg}(\text{AS}^*) \mid \mathcal{E} \in \text{sem}(\text{AS}), \mathcal{E}' \in \text{sem}(\text{AS}')\}$. \square

B.4. Cumulativity lite

Where $\text{AS} = (\mathcal{L}, \mathcal{S}, \mathcal{D}, \mathcal{K}, \overline{}, \leq)$ and $a \in \bigcap \text{pref}(\text{AS})$, $n = \min(\{k \mid \phi_1, \dots, \phi_m \Rightarrow_k \psi \in \mathcal{D}(a)\})$,⁴² and ϕ is the conclusion of a , let $\text{AS}^{+a} = (\mathcal{L}, \mathcal{S}, \mathcal{D} \cup \{\Rightarrow_n \phi\}, \mathcal{K}, \overline{}, \leq)$.

Definition 22 (Cumulativity Lite.). $\text{AS} \sim_{\text{pref}} \psi$ iff $\text{AS}^{+a} \sim_{\text{pref}} \psi$.

Where $\mathcal{E} \in \text{pref}(\text{AS})$, let $\mathcal{E}^{+a} = \bigcup_{b \in \mathcal{E}} b[a/a^r]$ where $b[a/a^r]$ the set of all arguments c which are identical to b except that possibly some subarguments a have been replaced with a^r . E.g., in case $a \notin \text{Sub}(b)$, $b[a/a^r] = \{b\}$ or $a[a/a^r] = \{a, a^r\}$, or $\langle a, a \rightarrow \phi \rangle[a/a^r] = \{\langle a, a \rightarrow \phi \rangle, \langle a, a^r \rightarrow \phi \rangle, \langle a^r, a \rightarrow \phi \rangle, \langle a^r, a^r \rightarrow \phi \rangle\}$, etc. Note that

Fact 27. $\text{Arg}(\text{AS}^{+a}) = \bigcup_{b \in \text{Arg}(\text{AS})} b[a/a^r]$.

Fact 28. If b defeats c then any $b' \in b[a/a^r]$ defeats any $c' \in c[a/a^r]$ or it defeats a .

Proof. Note that b' has the same strength as b and the same conclusion. Therefore, any argument defeated by b is also defeated by b' . Suppose now that b defeats c in the subargument $d = d' \Rightarrow \psi$. If b defeats a we're done. Suppose it does not defeat a . Thus, d is not a sub-argument of a or a^r . Hence, c' has a sub-argument $e = e' \Rightarrow \psi$ where $e' \in d'[a/a^r]$ and this argument is defeated by b and so also by b' . \square

Cumulativity Lite then follows immediately in view of the following lemma.

Lemma 22. $\text{pref}(\text{AS}^{+a}) = \{\mathcal{E}^{+a} \mid \mathcal{E} \in \text{pref}(\text{AS})\}$.

Proof. Consider $\mathcal{E} \in \text{pref}(\text{AS})$ and \mathcal{E}^{+a} . We show that (i) \mathcal{E}^{+a} is admissible in AS^{+a} .

- Conflict-free. Assume for a contradiction that there are $b, c \in \mathcal{E}^{+a}$ such that b defeats c . Thus, there are $b', c' \in \mathcal{E}$ for which $b \in b'[a/a^r]$ and $c \in c'[a/a^r]$. By Fact 28, b' defeats c' or a . This contradicts the conflict-freeness of \mathcal{E} .
- Admissibility. Suppose some $c \in \text{Arg}(\text{AS}^{+a})$ defeats some $b \in \mathcal{E}^{+a}$. Thus, there are $b' \in \mathcal{E}$ and $c' \in \text{Arg}(\text{AS})$ for which $b \in b'[a/a^r]$ and $c \in c'[a/a^r]$. By Fact 28, c' defeats b' or a . Thus, there is a $d \in \mathcal{E}$ that defeats c' . By Fact 28, d defeats c or a . Since $a \in \mathcal{E}$ and by the conflict-freeness of \mathcal{E} , d defeats c .

⁴²For simplicity we suppose here that our defeasible rules are ranked.

Consider $\mathcal{E}^+ \in \text{pref}(\text{AS}^{+a})$ and let $\mathcal{E} = \mathcal{E}^+ \cap \text{Arg}(\text{AS})$. We show that (ii) $\mathcal{E} \in \text{pref}(\text{AS})$.

- We first show that (★) if $b \in \mathcal{E}$ then $b[a/a^r] \subseteq \mathcal{E}^+$. Let $b' \in b[a/a^r]$. Clearly, b and b' defeat the same arguments (since they have the same strength and the same conclusion) and any argument c that defeats b' , by Fact 28, defeats b or a and is thus attacked by \mathcal{E}^+ in view of its admissibility. Thus, b' is defended by \mathcal{E}^+ and due to its completeness in \mathcal{E}^+ .
- Conflict-free. Clearly, \mathcal{E} is conflict-free since \mathcal{E}^+ is conflict-free.
- Admissibility. Suppose some $b \in \text{Arg}(\text{AS})$ defeats some $c \in \mathcal{E}$. By the admissibility of \mathcal{E}^+ , there is a $d \in \mathcal{E}^+$ that defeats b . By (★), there is a $d' \in \mathcal{E}$ such that $d \in d'[a/a^r]$. By Fact 28, also d' defeats b .
- Maximality. Suppose there is a $\mathcal{E}' \in \text{pref}(\text{AS})$ for which $\mathcal{E}' \supset \mathcal{E}$. Let $\mathcal{E}'' = \mathcal{E}'^{+a}$. As shown in (i), \mathcal{E}'' is admissible in AS^{+a} . This is a contradiction to \mathcal{E}^+ being preferred since $\mathcal{E}'' \supset \mathcal{E}^+$.

Consider again a $\mathcal{E} \in \text{pref}(\text{AS})$ and \mathcal{E}^{+a} . We now show that (iii) \mathcal{E}^{+a} is admissible in AS^{+a} . By (i) it is admissible. Assume for a contradiction that there is a $\mathcal{E}' \in \text{pref}(\text{AS}^{+a})$ such that $\mathcal{E}' \supset \mathcal{E}^{+a}$. Let $c \in \mathcal{E}' \setminus \mathcal{E}^{+a}$. Thus, there is a $c' \in \text{Arg}(\text{AS})$ for which $c \in c'[a/a^r]$. Since \mathcal{E}^{+a} is closed under $[a/a^r]$ -substitution, $c' \notin \mathcal{E}$. So, $\mathcal{E}' \cap \text{Arg}(\text{AS}) \supset \mathcal{E}$. Since by (ii), $\mathcal{E}' \cap \text{Arg}(\text{AS})$ is admissible in AS this is a contradiction to the fact that \mathcal{E} is preferred. \square

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