# A Randomized Controlled Trial of High-Dose Vitamin D2 Followed by Intranasal Insulin in Alzheimer's Disease

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**Abstract**. Poor vitamin D nutrition is linked with dementia, but vitamin D has not been tested in a randomized controlled trial (RCT) in Alzheimer's disease (AD). Nasal insulin acutely improves cognition and vitamin D upregulates insulin receptor expression and enhances insulin action. In an RCT we examined the effect of high-dose vitamin D followed by nasal insulin on memory and disability in mild-moderate AD. 63 community-dwelling individuals aged > 60 were recruited; 32 with mild-moderate disease (Folstein Mini-Mental State Examination [MMSE] score 12–24) met entry criteria and were randomized. All took low-dose vitamin D (1000IU/day) throughout. After run-in (8 weeks), they were randomized to additional high-dose D/placebo for 8 weeks, followed immediately by randomization to nasal insulin (60 IU qid)/placebo for 48 h. Primary outcome measures were Alzheimer's disease assessment scale-cognitive subscale (ADAS-cog) and Disability Assessment in Dementia (after high-dose D) and ADAS-cog and Wechsler Memory Scale-Revised Logical memory (WMS-R LM) for immediate and delayed recall (after nasal insulin). Baseline median (interquartile range, IR) age, MMSE, and ADAS-cog were 77.5 (69–80), 19.5 (17–22), and 25.5 (20–31), respectively. Median 250HD increased from 49 to 60 nM (p < 0.01) after run-in and was 187 nM after high-dose vitamin D and 72 nM after placebo (p < 0.001). Neither cognition nor disability changed significantly after high-dose D. ADAS-cog improved by a median (IR) of 9 (1–11) with nasal insulin after placebo high-dose vitamin D (p = 0.02), but may represent regression to the mean as WLS-R LM did not change. We conclude that high-dose vitamin D (p = 0.02), but may represent regression to the mean as WLS-R LM did not change. We conclude that high-dose vitamin D provides no benefit for cognition or disability over low-dose vitamin D in mild-moderate AD.

Keywords: Alzheimer's disease, nasal insulin, randomized controlled trial, vitamin D

## **INTRODUCTION**

Dementia has been associated with poor vitamin D nutrition, and serum 25-hydroxyvitamin D (250HD) concentration is reduced in dementia and correlates with impaired cognitive function [1–5]. However, randomized controlled trials (RCTs) of vitamin D on cognition or memory have not been reported. Cerebrospinal fluid (CSF) insulin is reduced in moderate-severe Alzheimer's disease (AD), despite a higher concentration in plasma [6]. Intravenous insulin increases CSF insulin and acutely improves memory [7, 8] but is impractical for routine use. On the other hand, nasal insulin, which enters the CSF [9] but not the systemic circulation without absorption promoting agents [10], improves word recall and mood in healthy volunteers [11–13] and declarative memory in AD [14, 15], but the studies of nasal insulin in AD have

Trial Registration Australian and New Zealand Clinical Trials Registry (ACTRN 12606000324516).

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not been blinded. Because vitamin D may increase insulin receptor expression and reduce insulin resistance [16–18], we hypothesized that vitamin D and nasal insulin might synergize to benefit cognition and memory in AD. We performed an RCT to test, first, if adding high-dose vitamin D2 to ongoing low-dose vitamin D2 supplementation would improve cognition and disability in mild-moderate AD and, second, if nasal insulin immediately after high-dose vitamin D2 would further improve memory.

## MATERIALS AND METHODS

## Feasibility pilot study

Community dwelling participants age  $\geq 60$  were conversant in English, had mild-moderate AD (Folstein Mini-Mental State Examination [MMSE] [19] score 12–24) and, if taking an anti-cholinesterase agent were on a maintenance dose > 3 months or, having failed therapy, were off agent > 3 months. Exclusions were coexistent cerebrovascular disease, dysphasia, abnormalities on blood screening, prior cranial surgery, epilepsy, multiple sclerosis, type 1 diabetes, renal calculi, malabsorption; poorly-controlled psychiatric disorder, alcohol intake considered excessive or any other condition that could impair compliance or cognitive assessment.

Written informed consent was obtained from participants and next of kin/carer, and the Victorian Civil and Administrative Tribunal, and the study was approved by Melbourne Health Human Research Ethics Committee.

Screening tests were performed on fasting venous blood. Plasma calcium, albumin, uric acid, and creatinine were measured on the Olympus 2700 (Olympus, Tokyo). Corrected calcium (mM) was calculated as total calcium (mM) + (40-albumin)[g/l] × 0.02. Individuals with hypercalcemia (corrected calcium>2.60 mM) or creatinine>0.2 mM or hyperuricemia (uric acid above the gender-matched reference range) were excluded and referred to family physicians. The serum 250HD radioimmunoassay (Diasorin, Stillwater, MN) measures 25OHD2 and 25OHD3 [20] with coefficients of variation (CVs) at median 32, 60, and 117 nM of 9.5, 9.5 and 9.0%. PTH was measured by Immulite 2000 Intact (Siemens Los Angeles, CA) with CVs at median 3.4, 30, and 98 pM of 8, 8 and 7.5%. Individuals with 25OHD>90 nM were excluded to preserve study sensitivity [21].

For baseline assessment, a neuropsychologist recorded the ADAS-cog [22] and a geriatrician

recorded the Disability Assessment in Dementia Questionnaire (DAD) based on next of kin responses [23]. Higher ADAS-cog and DAD indicate, respectively, worse cognition and less disability. There were no other assessments of subjective improvement in relatives or participants.

Treatment was open label 3000 IU vitamin D2 tablets (Cardinal Health, Braeside, Victoria) for 8 weeks, with dose adjustments to maintain 25OHD 135–160 nM.

Fasting venous blood was monitored for 25OHD at 1, 2, 3, 4, 6, and 8 weeks, calcium and albumin at 4 and 8 weeks, and PTH, uric acid and creatinine at 8 weeks. After 8 weeks, ADAS-cog and DAD were repeated.

#### Randomized controlled trial

Inclusion and exclusion criteria were as for the pilot study, except that cerebrovascular disease was not excluded in order to broaden the clinical relevance of the RCT. Consent and ethics approval were given as for the pilot study. Blood screening was also as per the pilot study.

An occupational therapist (OT) recorded MMSE. A neuropsychologist applied ADAS-cog and Wechsler Memory Scale-Revised Logical memory (WMS-R LM) subtest for immediate and 30 minute delayed recall of two 25 information bit stories [24] and The Geriatric Depression Scale (GDS) [25]. The OT recorded, age, gender, medications, demographic, and anthropometric data, the DAD questionnaire reported by a next of kin and the Brief Pain Inventory (BPI) [26] using a verbal modification that enhances completion rate, reliability, and validity [27]. The same neuropsychologist and OT performed subsequent assessments.

Participants received one capsule daily of 1000IU vitamin D2 ('Ostelin', Boots, North Ryde, NSW) during an 8-week low-dose vitamin D run-in, following which ADAS-cog, WMS-R LM, GDS, and DAD were repeated, and venepuncture performed for the same analytes as at screening.

An off-site statistician then computer randomized to high-dose D/placebo capsules (6000 IU vitamin D2, Cardinal Health, Braeside, Victoria) blocking on AD treatment (donepezil, galantamine, none, other) (Fig. 1). Initial dosing was 2 capsules 3 times daily with food, and subsequently 0 to 2 capsules 3 times daily, reflecting empiric adjustment based on serum 25OHD at 2, 4, and 6 weeks, aiming to maintain 25OHD 130–175 nM. In a separate random allocation, each participant on high-dose vitamin D was paired with a 'buddy' on placebo. With every change in high-



\*Reasons for failure: 250HD > 90nM (n=2), MMSE > 24 (n=7), MMSE < 12 (n=5), vitamin B12 deficiency (n=1), small bowel surgery (n=1), dementia not Alzheimer's disease (n=2), unexpected surgery (n=1) recent change in medication (n=3), hyperuricaemia (n=6), poor fluency in English (n=1)

Fig. 1. Trial design and flow chart.

dose vitamin D dose the 'buddy' was contacted to make the same capsule dose change. One investigator was unblinded to advise the pharmacist of dose adjustments. The pharmacist remained blinded and contacted participants.

All participants continued daily open-label low-dose (1000 IU) vitamin D2 as it was considered unethical for some participants to be on placebo alone given other potential benefits of D. Thus, the randomized phase tested for benefit from additional high-dose D. D2 was used because, apart from its extra-skeletal efficacy [21], it has an additional pathway through 24OHD [28] and its plant origin minimizes risk of transmissible disease. Base D2, rather than the calcemic metabolite, calcitriol, permits physiologic metabolism of circulating 25OHD to a range of active metabolites [29].

Primary endpoints were ADAS-cog, WMS-R LM immediate and delayed scores, GDS, and DAD. Secondary endpoints were ADAS-cog word recognition and word recall sub-scores, and DAD sub-scores of activities of daily living.

Immediately following the randomized high-dose D/placebo phase (Fig. 1), half of each high-dose vitamin D or placebo group received nasal insulin and half nasal placebo. Randomization was stratified by MMSE (<22 versus  $\geq$ 22) then by drug treatment (none versus some). This allowed testing for the interaction of preceding high-dose vitamin D with nasal insulin.

Human insulin was Humulin-R (100 IU per ml, Eli Lilly, Indianapolis, IN). Placebo was Humulin-R diluent, prepared by Pharmalab (Lane Cove, NSW). Ten ml syringes were sterile filled with insulin or placebo by Pharmatel Fresenius Kabi (West Melbourne, Victoria). Nasal spraypump caps (code 73673; Pfeiffer, Germany) and 10 ml brown glass bottles (code 69546; Pfeiffer, Germany) were packed by Annex (Mulgrave, Victoria), gamma irradiated (Steritech, Dandenong, Victoria), and checked for sterility by ConsulChem (Dandenong, Victoria).

Before each treatment, the syringe content was transferred into a single-use bottle and a disposable pump cap attached. The pump was primed until a uniform volume (100  $\mu$ l) of spray was released. Three sprays per nostril (total 60IU insulin) were administered four times daily. The same pharmacist instructed administration and supervised the first dose. Next of kin/carers were given syringes, bottles and caps, and instructions for storage and use.

WMS-R LM story was told approximately one hour before the first nasal treatment and delayed recall recorded at approximately one hour and at one hour forty minutes after insulin. The story was repeated and delayed recall tested after a further 30 min and 48 h. The story was then repeated and immediate and 30 min delayed recall recorded together with ADAS-cog, GDS and BPI.

Primary endpoints were ADAS-cog and WMS-R LM immediate and delayed scores. Secondary endpoints were ADAS-cog word recognition and word recall sub-scores and GDS and BPI.

#### Statistical analysis

Simple analyses and 95% confidence intervals were calculated using the Minitab Release 13.1. General linear models (Minitab 13.1) for end-treatment ADAS-cog score were constructed with predictors of pre-treatment ADAS-cog score, dummy variable for randomized treatment allocation, and backward selection of clinically relevant potentially confounding variables (PTH, gender, BMI and baseline MMSE). The interaction of insulin/placebo with preceding allocation to high-dose D/placebo high-dose was tested by a general linear model. That model predicted ADAS-cog score after insulin/placebo with the following predictors: ADAS-cog score at start of insulin/placebo, dummy variables for insulin/placebo, preceding high-dose D/placebo, and an interaction term for the preceding high-dose vitamin D/placebo with insulin/placebo. Vitamin D and insulin analyses included all participants who completed randomized treatment. A p value < 0.05 was considered significant.

Power calculations for differences in the median change in ADAS-cog score (treatment versus placebo) were calculated with Minitab 13.1, with alpha 0.05, based on the pilot study standard deviation for change in ADAS-cog score of 3.79 ADAS-cog points. Power was 95% for a difference of 5 ADAS-cog points (high-dose vitamin D versus placebo high-dose) and 80% for a difference of 4 ADAS-cog points (insulin versus placebo).

## RESULTS

## Pilot study

Thirteen individuals with AD, median (interquartile range [IR]) MMSE 21.5 (18.5 to 23), were studied. Median (IR) 250HD increased from 66 (57–72) to 140 (130–150) nM (P<0.001) and median (IR) PTH fell

from 6.3 (5.6–7.0) to 4.4 (3.5–5.6) pM (P = 0.012). No significant changes occurred in other analytes. Median (IR) baseline ADAS-cog was 25 (20–29) and median (IR) improvement in ADAS-cog score was 6.0 (4.5 to 8.5) points (P < 0.001) (95% confidence interval for improvement in ADAS-cog of 4.7 to 8.3 points). DAD score increased, reflecting less disability, in 11 out of 13 (p < 0.02).

## RCT

63 community dwelling individuals with AD were recruited August-December 2006. 29 failed screening and two withdrew after screening (Fig. 1). 32 started treatment (Table 1): 16 took donepezil, 1 rivastigmine, 8 galantamine and 1 galantamine and memantine; 2 had type 2 diabetes treated with oral agents. All completed low-dose vitamin D run-in; 31 completed high-dose D/placebo (Fig. 1), one, on placebo, withdrawing after week 6 due to an intercurrent infection. All 31 completed insulin/placebo treatment. No other adverse events were reported.

During low dose run-in median (IR) 25OHD rose from 49 (39–67) to 60 (55–70) nM (P < 0.01). Median (IR) ADAS-cog, DAD, GDS, and BPI did not change significantly between baseline and 8 weeks being, respectively, 25.5 (20–31) versus 22 (19–30), 77 (56–92) versus 74 (63–92), 2(1–5) versus 2 (1–3), and 0 (0–1) versus 0 (0–1).

Groups were well matched and, with the exception of PTH (see below), did not differ significantly for any clinical or biochemical parameter in Table 2. Nine women and 7 men were randomized to high-dose, and

Table 1   Baseline characteristics of participants				
Feature	Median	Interquartile range		
Age (years)	77.5	69-80		
BMI $(kg/m^2)$	24.5	22-26.5		
Years of symptoms	5	3-6.5		
Years since diagnosis	3	2-4.5		
MMSE	19.5	17-22		
ADAS-cog	25.5	20.25-30.75		
WMS-RLM immediate recall	3.5	2.25-6		
WMS-RLM 30 min delayed	0	0–0		
DAD	77	56-92		
GDS	2	1-4.75		
BPI	0	0-1		
25OHD (nM)	49	39-67		
PTH (pM)	4.3	3.2-5.3		
Calcium (mM)	2.48	2.40-2.56		
Albumin (g/l)	43.5	42-45		
Corrected calcium (mM)	2.40	2.34-2.45		
Uric acid (mM)	0.30	0.25-0.34		
Creatinine (mM)	0.09	0.08-0.10		

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8 women and 8 men to placebo. Median (IR) 25OHD rose from 60 (56–70) to 187 (160–240) nM on highdose vitamin D versus 64 (48–72) to 72 (63–81) nM on placebo (p < 0.001) (Fig. 2). The high-dose vitamin D group had a lower PTH than the placebo group (median [IR] 4.1 [3.2–5.4] versus 6.2 [4.4–7.9] pM; p = 0.046, Kruskal Wallis). PTH fell significantly (p = 0.001) in both high-dose vitamin D and placebo groups to a median [IR] of 3.0 [2.2–3.9] and 4.9 [3.8–5.4] pM, respectively. The absolute fall in PTH was not significantly different but the proportional fall in PTH was greater in the high-dose vitamin D group (P = 0.025, general linear model). Other analytes did not differ significantly within or between groups.

No significant differences in primary or secondary endpoints were detected (Fig. 3, Table 3), even after post-hoc adjustment using general linear modeling for changes in PTH, 25OHD and/or absolute levels of 25OHD during and/or at the end of treatment. At the start of study, 5 participants had GDS > 5 suggesting underlying depression and, as pre-planned, were referred to primary care physicians. Post-hoc analyses excluding these participants did not affect the findings. After 8 weeks low-dose vitamin D run-in followed by 8 weeks RCT high-dose D/placebo the ADAS-cog median [IR] was 24 [19-30] for the whole study cohort. The median [IR] change in the ADAS-cog score (end RCT minus start low-dose vitamin D run-in) was 0 [-3.0 to 4.0] (95% confidence interval for median change -2.3 to 4.0).

Table 2				
Characteristics of the high-dose D and placebo high-dose D cohorts				
at start of randomized treatment. Values are median (interquartile				
range)				

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Feature	High-dose D	Placebo-high
		dose D
Age (years)	75 (64.5-80)	79 (74.5-82)
BMI (kg/m <sup>2</sup> )	24 (22-26.5)	25.5 (22.5-28)
Years of symptoms	4.5 (3-7)	5 (3–7)
Years since diagnosis	3 (1.5–5)	4 (2-4.5)
MMSE	19.5 (17-22)	19 (15.5–23)
ADAS-cog	22 (19-30)	22.5 (18-31)
WMS-RLM immediate recall	4 (2–7)	5.5 (2–11)
WMS-RLM 30 min delayed	0 (0-0)	0 (0–2)
DAD	71 (66-88.5)	76 (56.5–93)
GDS	2 (1-3)	2.5 (1-3)
BPI	0 (0–1)	0 (0–1)
250HD (nM)	60 (56-69.5)	64 (48-72)
PTH (pM)	4.1 (3.2–5.4)	6.2 (4.4–7.9)*
Calcium (mM)	2.46 (2.35-2.51)	2.42 (2.36-2.53)
Albumin (g/l)	41 (39–43)	42 (40-43)
Corrected calcium (mM)	2.40 (2.32-2.49)	2.39 (2.36-2.43)
Uric acid (mM)	0.30 (0.27-0.35)	0.29 (0.25-0.34)
Creatinine (mM)	0.09 (0.08-0.09)	0.09 (0.08-0.10)

No significant differences in medians were detected across groups except for PTH which was different at p = 0.046 (Kruskal Wallis test).

Eight women and 8 men were randomized to insulin, and 9 women and 7 men to placebo. Insulin and placebo groups did not significantly differ for age, BMI, number on AD pharmacotherapy, MMSE, number with MMSE > 22 or any biochemical parameter. No signif-



Fig. 2. Serum vitamin 250HD during the study. All participants received low-dose vitamin D2. Circles represent those randomized to additional high-dose vitamin D2 and diamonds those randomized to placebo. Three outliers (405, 465, and 620 nM at week 14 falling to 240, 245, and 200 nM end study) are not shown.



Fig. 3. Changes in ADAS-cog for the pilot study and randomized trial. Each data point represents the before (X-axis value) and after (Y-axis value) ADAS-cog scores for an individual participant. In the pilot study all points lie to right of the line of identity indicating that for every individual ADAS-cog was lower at study exit. In the randomized trial, participants who received additional high-dose vitamin D2 are plotted as circles and those who received placebo as diamonds. In the RCT, the data points are scattered around the line of identity reflecting a lack of a consistent change in ADAS-cog score.

Table 3 Changes in outcome measures with treatment

Outcome measure	Intervention	95% confidence interval for the difference in median
		changes across intervention
		(treatment minus placebo)
ADAS-cog	High-dose D	-5.0 to 3.0
DAD	High-dose D	-7.0 to 7.0
GDS	High-dose D	0 to 2.0
BPI	High-dose D	0 to 1.0
WMS-RLM immediate	High-dose D	-1 to 3.0
WMS-RLM delayed	High-dose D	0 to 1.0
ADAS-cog	Nasal insulin	-6.0 to 2.0

icant differences were found between the insulin and placebo groups for any endpoint.

A general linear model predicting change in ADAScog score found a significant interaction between insulin/placebo with previous high-dose D/placebo (p=0.024). However, this was no longer significant (p=0.144) when the model was extended to take into account the ADAS-cog score at the start of insulin/placebo treatment. In a subgroup analysis, ADAS-cog changed after 48 h in the subgroup that received nasal insulin after placebo high-dose D, with a median [IR] improvement of 9 [1–11] points (p=0.02,Mann-Whitney). However, WMS-RLM did not change significantly and statistical run-in plots suggested that this 'improvement' in ADAS-cog could have followed a chance worsening and represent regression to the mean. Post-hoc analysis with general linear modeling restricted to those with MMSE>22 or according to gender or BMI revealed no benefit from nasal insulin.

# DISCUSSION

This is the first double-blinded RCT to test highdose vitamin D and nasal insulin in AD. Despite rapid improvement in cognition and disability in an open pilot study, the RCT found no benefit from adding high-dose vitamin D to ongoing low-dose vitamin D supplementation. In the RCT, serum 25OHD concentrations were generally supra-physiologic and may have had no additional effect or conceivably a different effect than physiologic 25OHD concentrations in the pilot study. In addition, it is possible that differences in the rates of change of serum 25OHD after high-dose compared to low-dose vitamin D may have influenced the clinical outcome [30]. The RCT was powered to detect the pilot study improvement in ADAS-cog, but a small benefit cannot be excluded. By chance, PTH at the start of the RCT was lower in the high-dose vitamin D group than the placebo group. This may have made it harder to detect a benefit from high-dose vitamin D mediated through suppression of PTH. However, posthoc analyses considering changes in PTH still did not demonstrate a benefit. The fact that the entire cohort ADAS-cog score was not significantly changed after 16 weeks of low-dose vitamin D supplementation (during 8 weeks of which half the participants were randomized to high-dose vitamin D as well) is consistent with the proposition that low-dose vitamin D may retard progression of AD, which should be further tested.

Gloth and colleagues [31] reported that vitamin D improved mood and function in the elderly over one month, highlighting the potential of vitamin D to have rapid extra-skeletal effects. Their basal 25OHD (mean 27.5nM) was low indicating many of their participants had clinical vitamin D deficiency to begin with, in contrast to our participants who started with better vitamin D nutrition. Craft et al. [8, 14] reported that intravenous and nasal insulin acutely improved memory in AD. Their participants heard two brief narratives, each containing 25 informational bits, which they were asked to recall immediately and after 10 minutes. We also measured recall of two 25-bit stories but found no benefit. Their definition of mild AD based on Clinical Dementia Ratings differed from ours based on MMSE. Their participants had much higher baseline recall scores than ours and probably had milder cognitive impairment, supported by the fact only 4 of 13 participants were on anti-cholinesterase therapy [14]. Thus, any benefit of nasal insulin may have been restricted to early clinical disease. The same investigators reported that memory improvement in AD was related to apolipoprotein (APO) E4 genotype and only occurred in the APOE4-negative subgroup [14, 32]. However, their sample sizes were very small for a genetic association study and they defined improvement as "percentage change from placebo". Inspection of their data (Fig. 1 in reference 14) suggests that improvement could have been due to random worsening in the placebo group. We did not stratify by ApoE as this is not routine for diagnosis or treatment and we are not aware that ApoE genotype influences responses to vitamin D.

In their nasal studies, Craft and coworkers [14, 15] used saline as placebo and mixed saline and insulin to vary the insulin dose. Double blinding would not actually be authentic without the smell of the commercial insulin diluent, and a direct chemical effect of diluent cannot be excluded. We used matched insulin diluent in a placebo-controlled RCT design and stratified insulin randomization to account for basal cognitive function. We analyzed immediate and delayed recall separately in case insulin affected these processes selectively. In addition, our design tested separate effects on recall and on the laying down of new memory. Repeat application of the same cognitive tests might have biased towards a positive finding but even with post-hoc analysis that considered severity of underlying dementia, gender and BMI, we found no benefit from nasal insulin. A possible limitation is that a pharmacist supervised only the first nasal dose, subsequent doses at home being unsupervised. A nasal insulin trial of longer duration may find different outcomes.

In conclusion, despite reports that dementia is associated with poor vitamin D nutrition we found no benefit for cognition or disability from adding high-dose vitamin D to ongoing low-dose vitamin D supplementation. Furthermore, despite reports in unblinded studies of improvement in cognition with nasal insulin in AD, we found no benefit from nasal insulin acutely or over 48 h. We cannot exclude the possibility that an effect of high-dose vitamin D was obviated by a protective effect of low-dose D. Our findings will be important for contextualizing previous correlative studies and for meta-analyses of data from further controlled studies.

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